

## ns-3 Model Library

Release ns-3.20

ns-3 project

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This is the *ns-3 Model Library* documentation. Primary documentation for the ns-3 project is available in five forms:

- ns-3 Doxygen: Documentation of the public APIs of the simulator
- Tutorial, Manual, and Model Library (this document) for the latest release and development tree
- ns-3 wiki

This document is written in reStructuredText for Sphinx and is maintained in the doc/models directory of ns-3's source code.

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**CHAPTER** 

ONE

## ORGANIZATION

This manual compiles documentation for *ns-3* models and supporting software that enable users to construct network simulations. It is important to distinguish between **modules** and **models**:

- *ns-3* software is organized into separate *modules* that are each built as a separate software library. Individual ns-3 programs can link the modules (libraries) they need to conduct their simulation.
- ns-3 models are abstract representations of real-world objects, protocols, devices, etc.

An *ns-3* module may consist of more than one model (for instance, the internet module contains models for both TCP and UDP). In general, ns-3 models do not span multiple software modules, however.

This manual provides documentation about the models of *ns-3*. It complements two other sources of documentation concerning models:

- the model APIs are documented, from a programming perspective, using Doxygen. Doxygen for ns-3 models is available on the project web server.
- the *ns-3* core is documented in the developer's manual. *ns-3* models make use of the facilities of the core, such as attributes, default values, random numbers, test frameworks, etc. Consult the main web site to find copies of the manual.

Finally, additional documentation about various aspects of ns-3 may exist on the project wiki.

A sample outline of how to write model library documentation can be found by executing the create-module.py program and looking at the template created in the file new-module/doc/new-module.rst.

```
$ cd src
$ ./create-module.py new-module
```

The remainder of this document is organized alphabetically by module name.

If you are new to *ns-3*, you might first want to read below about the network module, which contains some fundamental models for the simulator. The packet model, models for different address formats, and abstract base classes for objects such as nodes, net devices, channels, sockets, and applications are discussed there.

## **ANIMATION**

Animation is an important tool for network simulation. While *ns-3* does not contain a default graphical animation tool, we currently have two ways to provide animation, namely using the PyViz method or the NetAnim method. The PyViz method is described in http://www.nsnam.org/wiki/PyViz.

We will describe the NetAnim method briefly here.

#### 2.1 NetAnim

NetAnim is a standalone, Qt4-based software executable that uses a trace file generated during an *ns-3* simulation to display the topology and animate the packet flow between nodes.

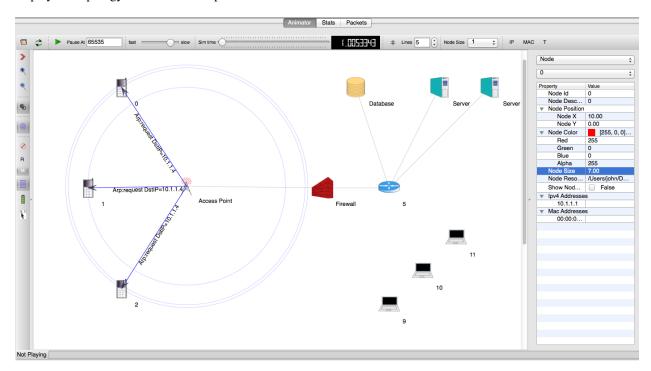


Figure 2.1: An example of packet animation on wired-links

In addition, NetAnim also provides useful features such as tables to display meta-data of packets like the image below

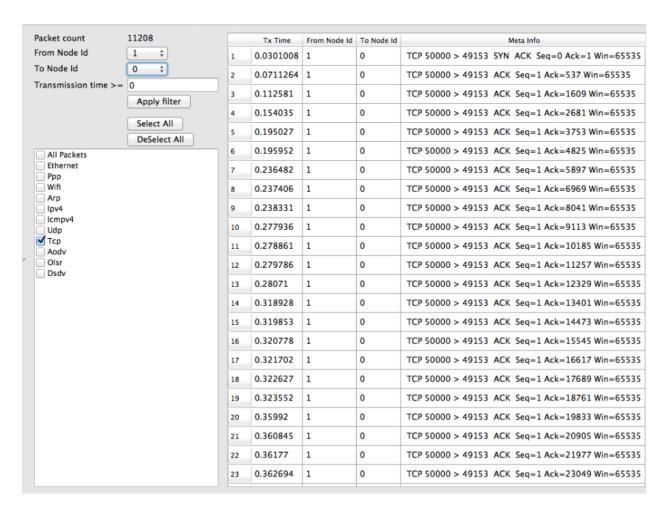


Figure 2.2: An example of tables for packet meta-data with protocol filters

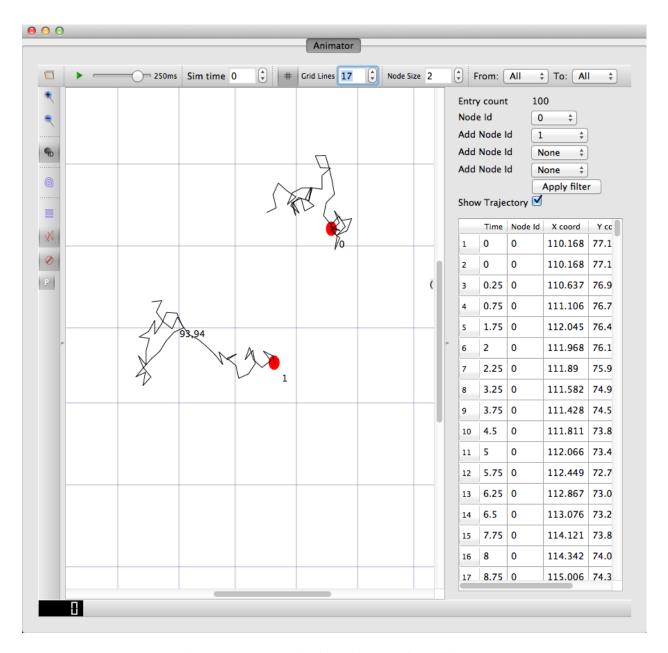


Figure 2.3: An example of the trajectory of a mobile node

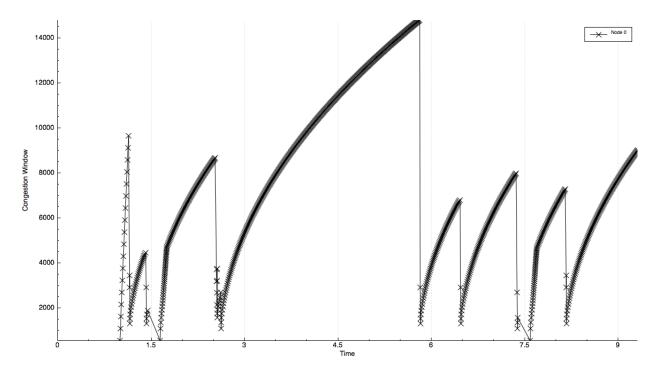
2.1. NetAnim 7

A way to visualize the trajectory of a mobile node

A way to display the routing-tables of multiple nodes at various points in time

Node: 0 Time: 0s lpv4ListRouting table	Node: 1 Time: 0s lpv4ListRouting table	Node: 2 Time: 0s lpv4ListRouting table	
Priority: 0 Protocol; ns3::lpv4StaticRouting	Priority: 0 Protocol: ns3::lpv4StaticRouting	Priority: 0 Protocol: ns3::lpv4StaticRouting	
Destination Gateway Genmask Flags Metric Ref Use Iface	Destination Gateway Genmask Flags Metric Ref Use Iface	Destination Gateway Genmask Flags Metric Ref Use Iface	
127.0.0.0 0.0.0.0 255.0.0.0 U 0 0	127.0.0.0 0.0.0.0 255.0.0.0 U 0 0	127.0.0.0 0.0.0.0 255.0.0.0 U 0 0	
10.1.3.0 0.0.0.0 255.255.255.0 U 0 1	10.1.3.0 0.0.0.0 255.255.255.0 U 0 1	10.1.3.0 0.0.0.0 255.255.255.0 U 0 1	
Priority: -10 Protocol: ns3::lpv4GlobalRouting	Priority: -10 Protocol: ns3::lpv4GlobalRouting	Priority: -10 Protocol: ns3::lpv4GlobalRouting	
Destination Gateway Genmask Flags Metric Ref Use Iface	Destination Gateway Genmask Flags Metric Ref Use Iface	Destination Gateway Genmask Flags Metric Ref Use Iface	
10.1.1.1 10.1.3.21 255.255.255.255 UH 1	10.1.1.1 10.1.3.21 255.255.255.255 UH 1	10.1.1.1 10.1.3.21 255.255.255.255 UH 1	
10.1.1.2 10.1.3.21 255.255.255.255 UH 1	10.1.1.2 10.1.3.21 255.255.255.255 UH 1	10.1.1.2 10.1.3.21 255.255.255.255 UH 1	
10.1.3.0 0.0.0.0 255.255.255.0 U 1	10.1.3.0 0.0.0.0 255.255.255.0 U 1	10.1.3.0 0.0.0.0 255.255.255.0 U 1	
10.1.2.0 10.1.3.21 255.255.255.0 UG 1	10.1.2.0 10.1.3.21 255.255.255.0 UG 1	10.1.2.0 10.1.3.21 255.255.255.0 UG 1	
127.0.0.0 10.1.3.2 255.0.0.0 UG 1	127.0.0.0 10.1.3.1 255.0.0.0 UG 1	127.0.0.0 10.1.3.1 255.0.0.0 UG 1	
127.0.0.0 10.1.3.3 255.0.0.0 UG 1	127.0.0.0 10.1.3.3 255.0.0.0 UG 1	127.0.0.0 10.1.3.2 255.0.0.0 UG 1	
127.0.0.0 10.1.3.4 255.0.0.0 UG 1	127.0.0.0 10.1.3.4 255.0.0.0 UG 1	127.0.0.0 10.1.3.4 255.0.0.0 UG 1	
127.0.0.0 10.1.3.5 255.0.0.0 UG 1	127.0.0.0 10.1.3.5 255.0.0.0 UG 1	127.0.0.0 10.1.3.5 255.0.0.0 UG 1	
127.0.0.0 10.1.3.6 255.0.0.0 UG 1	127.0.0.0 10.1.3.6 255.0.0.0 UG 1	127.0.0.0 10.1.3.6 255.0.0.0 UG 1	
127.0.0.0 10.1.3.7 255.0.0.0 UG 1	127.0.0.0 10.1.3.7 255.0.0.0 UG 1	127.0.0.0 10.1.3.7 255.0.0.0 UG 1	
127.0.0.0 10.1.3.8 255.0.0.0 UG 1	127.0.0.0 10.1.3.8 255.0.0.0 UG 1	127.0.0.0 10.1.3.8 255.0.0.0 UG 1	
127.0.0.0 10.1.3.9 255.0.0.0 UG 1	127.0.0.0 10.1.3.9 255.0.0.0 UG 1	127.0.0.0 10.1.3.9 255.0.0.0 UG 1	
127.0.0.0 10.1.3.10 255.0.0.0 UG 1	127.0.0.0 10.1.3.10 255.0.0.0 UG 1	127.0.0.0 10.1.3.10 255.0.0.0 UG 1	
127.0.0.0 10.1.3.11 255.0.0.0 UG 1	127.0.0.0 10.1.3.11 255.0.0.0 UG 1	127.0.0.0 10.1.3.11 255.0.0.0 UG 1	
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127.0.0.0 10.1.3.14 255.0.0.0 UG 1	127.0.0.0 10.1.3.14 255.0.0.0 UG 1	127.0.0.0 10.1.3.14 255.0.0.0 UG 1	
127.0.0.0 10.1.3.15 255.0.0.0 UG 1	127.0.0.0 10.1.3.15 255.0.0.0 UG 1	127.0.0.0 10.1.3.15 255.0.0.0 UG 1	
127.0.0.0 10.1.3.16 255.0.0.0 UG 1	127.0.0.0 10.1.3.16 255.0.0.0 UG 1	127.0.0.0 10.1.3.16 255.0.0.0 UG 1	
127.0.0.0 10.1.3.17 255.0.0.0 UG 1	127.0.0.0 10.1.3.17 255.0.0.0 UG 1	127.0.0.0 10.1.3.17 255.0.0.0 UG 1	
127.0.0.0 10.1.3.18 255.0.0.0 UG 1	127.0.0.0 10.1.3.18 255.0.0.0 UG 1	127.0.0.0 10.1.3.18 255.0.0.0 UG 1	
127.0.0.0 10.1.3.19 255.0.0.0 UG 1	127.0.0.0 10.1.3.19 255.0.0.0 UG 1	127.0.0.0 10.1.3.19 255.0.0.0 UG 1	
127.0.0.0 10.1.3.20 255.0.0.0 UG 1	127.0.0.0 10.1.3.20 255.0.0.0 UG 1	127.0.0.0 10.1.3.20 255.0.0.0 UG 1	
10.1.1.0 10.1.3.21 255.255.255.0 UG 1	10.1.1.0 10.1.3.21 255.255.255.0 UG 1	10.1.1.0 10.1.3.21 255.255.255.0 UG 1	
127.0.0.0 10.1.3.21 255.0.0.0 UG 1	127.0.0.0 10.1.3.21 255.0.0.0 UG 1	127.0.0.0 10.1.3.21 255.0.0.0 UG 1	
10.1.1.0 10.1.3.21 255.255.255.0 UG 1	10.1.1.0 10.1.3.21 255.255.255.0 UG 1	10.1.1.0 10.1.3.21 255.255.255.0 UG 1	
127.0.0.0 10.1.3.21 255.0.0.0 UG 1	127.0.0.0 10.1.3.21 255.0.0.0 UG 1	127.0.0.0 10.1.3.21 255.0.0.0 UG 1	
127.0.0.0 10.1.3.21 255.0.0.0 UG 1	127.0.0.0 10.1.3.21 255.0.0.0 UG 1	127.0.0.0 10.1.3.21 255.0.0.0 UG 1	

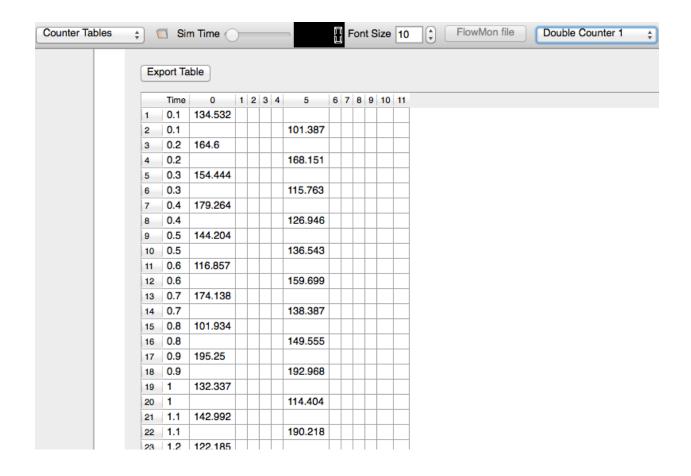
A way to display counters associated with multiple nodes as a chart or a table

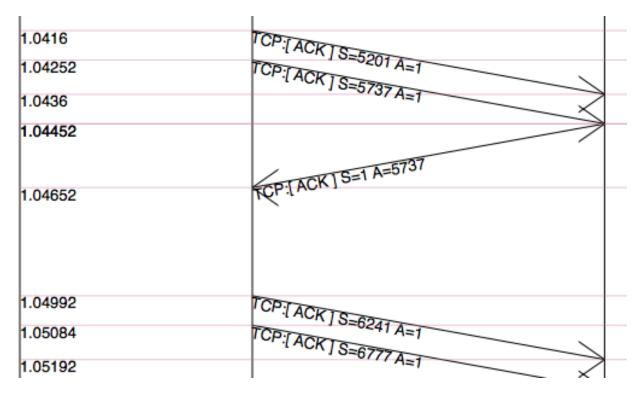


A way to view the timeline of packet transmit and receive events

#### 2.1.1 Methodology

The class ns3::AnimationInterface is responsible for the creation the trace XML file. AnimationInterface uses the tracing infrastructure to track packet flows between nodes. AnimationInterface registers itself as a trace hook for tx and rx events before the simulation begins. When a packet is scheduled for transmission or reception, the corresponding tx and rx trace hooks in AnimationInterface are called. When the rx hooks are called, AnimationInterface will be





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aware of the two endpoints between which a packet has flowed, and adds this information to the trace file, in XML format along with the corresponding tx and rx timestamps. The XML format will be discussed in a later section. It is important to note that AnimationInterface records a packet only if the rx trace hooks are called. Every tx event must be matched by an rx event.

#### 2.1.2 Downloading NetAnim

If NetAnim is not already available in the ns-3 package you downloaded, you can do the following:

Please ensure that you have installed mercurial. The latest version of NetAnim can be downloaded using mercurial with the following command:

```
$ hg clone http://code.nsnam.org/netanim
```

#### 2.1.3 Building NetAnim

#### **Prerequisites**

Qt4 (4.7 and over) is required to build NetAnim. This can be obtained using the following ways:

For Debian/Ubuntu Linux distributions:

```
$ apt-get install qt4-dev-tools
```

For Red Hat/Fedora based distribution:

```
$ yum install qt4
$ yum install qt4-devel
```

For Mac/OSX, see http://qt.nokia.com/downloads/

#### **Build steps**

To build NetAnim use the following commands:

```
$ cd netanim
$ make clean
$ qmake NetAnim.pro (For MAC Users: qmake -spec macx-g++ NetAnim.pro)
$ make
```

Note: qmake could be "qmake-qt4" in some systems

This should create an executable named "NetAnim" in the same directory:

```
$ ls -1 NetAnim
-rwxr-xr-x 1 john john 390395 2012-05-22 08:32 NetAnim
```

#### 2.1.4 **Usage**

Using NetAnim is a two-step process

Step 1:Generate the animation XML trace file during simulation using "ns3::AnimationInterface" in the *ns-3* code base.

Step 2:Load the XML trace file generated in Step 1 with the offline Qt4-based animator named NetAnim.

#### Step 1: Generate XML animation trace file

The class "AnimationInterface" under "src/netanim" uses underlying *ns-3* trace sources to construct a timestamped ASCII file in XML format.

Examples are found under src/netanim/examples Example:

```
$ ./waf -d debug configure --enable-examples
$ ./waf --run "dumbbell-animation"
```

The above will create an XML file dumbbell-animation.xml

#### **Mandatory**

- 1. Ensure that your program's wscript includes the "netanim" module. An example of such a wscript is at src/netanim/examples/wscript.
- 2. Include the header [#include "ns3/netanim-module.h"] in your test program
- 3. Add the statement

```
AnimationInterface anim ("animation.xml"); // where "animation.xml" is any arbitrary filename
```

[for versions before ns-3.13 you also have to use the line "anim.SetXMLOutput() to set the XML mode and also use anim.StartAnimation();]

#### **Optional**

The following are optional but useful steps:

```
// Step 1
anim.SetMobilityPollInterval (Seconds (1));
```

AnimationInterface records the position of all nodes every 250 ms by default. The statement above sets the periodic interval at which AnimationInterface records the position of all nodes. If the nodes are expected to move very little, it is useful to set a high mobility poll interval to avoid large XML files.

```
// Step 2
anim.SetConstantPosition (Ptr< Node > n, double x, double y);
```

AnimationInterface requires that the position of all nodes be set. In *ns-3* this is done by setting an associated MobilityModel. "SetConstantPosition" is a quick way to set the x-y coordinates of a node which is stationary.

```
// Step 3
anim.SetStartTime (Seconds(150)); and anim.SetStopTime (Seconds(150));
```

AnimationInterface can generate large XML files. The above statements restricts the window between which AnimationInterface does tracing. Restricting the window serves to focus only on relevant portions of the simulation and creating manageably small XML files

```
// Step 4
AnimationInterface anim ("animation.xml", 50000);
```

Using the above constructor ensures that each animation XML trace file has only 50000 packets. For example, if AnimationInterface captures 150000 packets, using the above constructor splits the capture into 3 files

- animation.xml containing the packet range 1-50000
- animation.xml-1 containing the packet range 50001-100000

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• animation.xml-2 - containing the packet range 100001-150000

```
// Step 5
anim.EnablePacketMetadata (true);
```

With the above statement, AnimationInterface records the meta-data of each packet in the xml trace file. Metadata can be used by NetAnim to provide better statistics and filter, along with providing some brief information about the packet such as TCP sequence number or source & destination IP address during packet animation.

CAUTION: Enabling this feature will result in larger XML trace files. Please do NOT enable this feature when using Wimax links.

```
// Step 6
anim.UpdateNodeDescription (5, "Access-point");
```

With the above statement, AnimationInterface assigns the text "Access-point" to node 5.

```
// Step 7
anim.UpdateNodeSize (6, 1.5, 1.5);
```

With the above statement, AnimationInterface sets the node size to scale by 1.5. NetAnim automatically scales the graphics view to fit the oboundaries of the topology. This means that NetAnim, can abnormally scale a node's size too high or too low. Using AnimationInterface::UpdateNodeSize allows you to overwrite the default scaling in NetAnim and use your own custom scale.

```
// Step 8
anim.UpdateNodeCounter (89, 7, 3.4);
```

With the above statement, AnimationInterface sets the counter with Id == 89, associated with Node 7 with the value 3.4. The counter with Id 89 is obtained using AnimationInterface::AddNodeCounter. An example usage for this is in src/netanim/examples/resources demo.cc.

#### Step 2: Loading the XML in NetAnim

- 1. Assuming NetAnim was built, use the command "./NetAnim" to launch NetAnim. Please review the section "Building NetAnim" if NetAnim is not available.
- 2. When NetAnim is opened, click on the File open button at the top-left corner, select the XML file generated during Step 1.
- 3. Hit the green play button to begin animation.

Here is a video illustrating this http://www.youtube.com/watch?v=tz hUuNwFDs

#### 2.1.5 Wiki

For detailed instructions on installing "NetAnim", F.A.Qs and loading the XML trace file (mentioned earlier) using NetAnim please refer: http://www.nsnam.org/wiki/NetAnim

## ANTENNA MODULE

#### 3.1 Design documentation

#### 3.1.1 Overview

The Antenna module provides:

- 1. a new base class (AntennaModel) that provides an interface for the modeling of the radiation pattern of an antenna;
- 2. a set of classes derived from this base class that each models the radiation pattern of different types of antennas.

#### 3.1.2 AntennaModel

The AntennaModel uses the coordinate system adopted in [Balanis] and depicted in Figure Coordinate system of the AntennaModel. This system is obtained by traslating the cartesian coordinate system used by the ns-3 MobilityModel into the new origin o which is the location of the antenna, and then transforming the coordinates of every generic point p of the space from cartesian coordinates (x, y, z) into spherical coordinates  $(r, \theta, \phi)$ . The antenna model neglects the radial component r, and only considers the angle components  $(\theta, \phi)$ . An antenna radiation pattern is then expressed as a mathematical function  $g(\theta, \phi) \longrightarrow \mathcal{R}$  that returns the gain (in dB) for each possible direction of transmission/reception. All angles are expressed in radians.

#### 3.1.3 Provided models

In this section we describe the antenna radiation pattern models that are included within the antenna module.

#### **IsotropicAntennaModel**

This antenna radiation pattern model provides a unitary gain (0 dB) for all direction.

#### CosineAntennaModel

This is the cosine model described in [Chunjian]: the antenna gain is determined as:

$$g(\phi, \theta) = \cos^n \left(\frac{\phi - \phi_0}{2}\right)$$

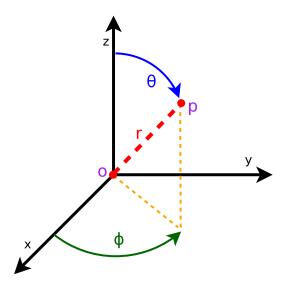


Figure 3.1: Coordinate system of the AntennaModel

where  $\phi_0$  is the azimuthal orientation of the antenna (i.e., its direction of maximum gain) and the exponential

$$n = -\frac{3}{20\log_{10}\left(\cos\frac{\phi_{3dB}}{4}\right)}$$

determines the desired 3dB beamwidth  $\phi_{3dB}$ . Note that this radiation pattern is independent of the inclination angle  $\theta$ .

A major difference between the model of [Chunjian] and the one implemented in the class CosineAntennaModel is that only the element factor (i.e., what described by the above formulas) is considered. In fact, [Chunjian] also considered an additional antenna array factor. The reason why the latter is excluded is that we expect that the average user would desire to specify a given beamwidth exactly, without adding an array factor at a latter stage which would in practice alter the effective beamwidth of the resulting radiation pattern.

#### **ParabolicAntennaModel**

This model is based on the parabolic approximation of the main lobe radiation pattern. It is often used in the context of cellular system to model the radiation pattern of a cell sector, see for instance [R4-092042a] and [Calcev]. The antenna gain in dB is determined as:

$$g_{dB}(\phi, \theta) = -\min\left(12\left(\frac{\phi - \phi_0}{\phi_{3dB}}\right)^2, A_{max}\right)$$

where  $\phi_0$  is the azimuthal orientation of the antenna (i.e., its direction of maximum gain),  $\phi_{3dB}$  is its 3 dB beamwidth, and  $A_{max}$  is the maximum attenuation in dB of the antenna. Note that this radiation pattern is independent of the inclination angle  $\theta$ .

#### 3.2 User Documentation

The antenna moduled can be used with all the wireless technologies and physical layer models that support it. Currently, this includes the physical layer models based on the SpectrumPhy. Please refer to the documentation of each of these models for details.

#### 3.3 Testing Documentation

In this section we describe the test suites included with the antenna module that verify its correct functionality.

#### **3.3.1 Angles**

The unit test suite angles verifies that the Angles class is constructed properly by correct conversion from 3D cartesian coordinates according to the available methods (construction from a single vector and from a pair of vectors). For each method, several test cases are provided that compare the values  $(\phi, \theta)$  determied by the constructor to known reference values. The test passes if for each case the values are equal to the reference up to a tolerance of  $10^{-10}$  which accounts for numerical errors.

#### 3.3.2 DegreesToRadians

The unit test suite degrees-radians verifies that the methods DegreesToRadians and RadiansToDegrees work properly by comparing with known reference values in a number of test cases. Each test case passes if the comparison is equal up to a tolerance of  $10^{-10}$  which accounts for numerical errors.

#### 3.3.3 IsotropicAntennaModel

The unit test suite isotropic-antenna-model checks that the IsotropicAntennaModel class works properly, i.e., returns always a OdB gain regardless of the direction.

#### 3.3.4 CosineAntennaModel

The unit test suite cosine-antenna-model checks that the CosineAntennaModel class works properly. Several test cases are provided that check for the antenna gain value calculated at different directions and for different values of the orientation, the reference gain and the beamwidth. The reference gain is calculated by hand. Each test case passes if the reference gain in dB is equal to the value returned by CosineAntennaModel within a tolerance of 0.001, which accounts for the approximation done for the calculation of the reference values.

#### 3.3.5 ParabolicAntennaModel

The unit test suite parabolic-antenna-model checks that the ParabolicAntennaModel class works properly. Several test cases are provided that check for the antenna gain value calculated at different directions and for different values of the orientation, the maximum attenuation and the beamwidth. The reference gain is calculated by hand. Each test case passes if the reference gain in dB is equal to the value returned by ParabolicAntennaModel within a tolerance of 0.001, which accounts for the approximation done for the calculation of the reference values.

# AD HOC ON-DEMAND DISTANCE VECTOR (AODV)

This model implements the base specification of the Ad Hoc On-Demand Distance Vector (AODV) protocol. The implementation is based on RFC 3561.

The model was written by Elena Buchatskaia and Pavel Boyko of ITTP RAS, and is based on the ns-2 AODV model developed by the CMU/MONARCH group and optimized and tuned by Samir Das and Mahesh Marina, University of Cincinnati, and also on the AODV-UU implementation by Erik Nordström of Uppsala University.

#### 4.1 Model Description

The source code for the AODV model lives in the directory *src/aodv*.

#### 4.1.1 Design

Class ns3::aodv::RoutingProtocol implements all functionality of service packet exchange and inherits from ns3::Ipv4RoutingProtocol. The base class defines two virtual functions for packet routing and forwarding. The first one, ns3::aodv::RouteOutput, is used for locally originated packets, and the second one, ns3::aodv::RouteInput, is used for forwarding and/or delivering received packets.

Protocol operation depends on many adjustable parameters. Parameters for this functionality are attributes of ns3::aodv::RoutingProtocol. Parameter default values are drawn from the RFC and allow the enabling/disabling protocol features, such as broadcasting HELLO messages, broadcasting data packets and so on.

AODV discovers routes on demand. Therefore, the AODV model buffers all packets while a route request packet (RREQ) is disseminated. A packet queue is implemented in aodv-rqueue.cc. A smart pointer to the packet, ns3::Ipv4RoutingProtocol::ErrorCallback, ns3::Ipv4RoutingProtocol::UnicastForwardCallback, and the IP header are stored in this queue. The packet queue implements garbage collection of old packets and a queue size limit.

The routing table implementation supports garbage collection of old entries and state machine, defined in the standard. It is implemented as a STL map container. The key is a destination IP address.

Some elements of protocol operation aren't described in the RFC. These elements generally concern cooperation of different OSI model layers. The model uses the following heuristics:

• This AODV implementation can detect the presence of unidirectional links and avoid them if necessary. If the node the model receives an RREQ for is a neighbor, the cause may be a unidirectional link. This heuristic is taken from AODV-UU implementation and can be disabled.

• Protocol operation strongly depends on broken link detection mechanism. The model implements two such heuristics. First, this implementation support HELLO messages. However HELLO messages are not a good way to perform neighbor sensing in a wireless environment (at least not over 802.11). Therefore, one may experience bad performance when running over wireless. There are several reasons for this: 1) HELLO messages are broadcasted. In 802.11, broadcasting is often done at a lower bit rate than unicasting, thus HELLO messages can travel further than unicast data. 2) HELLO messages are small, thus less prone to bit errors than data transmissions, and 3) Broadcast transmissions are not guaranteed to be bidirectional, unlike unicast transmissions. Second, we use layer 2 feedback when possible. Link are considered to be broken if frame transmission results in a transmission failure for all retries. This mechanism is meant for active links and works faster than the first method.

The layer 2 feedback implementation relies on the TxErrHeader trace source, currently supported in AdhocWifiMac only.

#### 4.1.2 Scope and Limitations

The model is for IPv4 only. The following optional protocol optimizations are not implemented:

- 1. Expanding ring search.
- 2. Local link repair.
- 3. RREP, RREQ and HELLO message extensions.

These techniques require direct access to IP header, which contradicts the assertion from the AODV RFC that AODV works over UDP. This model uses UDP for simplicity, hindering the ability to implement certain protocol optimizations. The model doesn't use low layer raw sockets because they are not portable.

#### 4.1.3 Future Work

No announced plans.

- 4.1.4 References
- 4.2 Usage
- 4.2.1 Examples
- 4.2.2 Helpers
- 4.2.3 Attributes
- 4.2.4 Tracing
- 4.2.5 Logging
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- 4.3 Validation
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CHAPTER FIVE

## **APPLICATIONS**

Placeholder chapter

**CHAPTER** 

SIX

## **BRIDGE NETDEVICE**

Placeholder chapter

Some examples of the use of Bridge NetDevice can be found in examples/csma/directory.

## **BRITE INTEGRATION**

This model implements an interface to BRITE, the Boston university Representative Internet Topology gEnerator <sup>1</sup>. BRITE is a standard tool for generating realistic internet topologies. The ns-3 model, described herein, provides a helper class to facilitate generating ns-3 specific topologies using BRITE configuration files. BRITE builds the original graph which is stored as nodes and edges in the ns-3 BriteTopolgyHelper class. In the ns-3 integration of BRITE, the generator generates a topology and then provides access to leaf nodes for each AS generated. ns-3 users can than attach custom topologies to these leaf nodes either by creating them manually or using topology generators provided in ns-3.

There are three major types of topologies available in BRITE: Router, AS, and Hierarchical which is a combination of AS and Router. For the purposes of ns-3 simulation, the most useful are likely to be Router and Hierarchical. Router level topologies be generated using either the Waxman model or the Barabasi-Albert model. Each model has different parameters that effect topology creation. For flat router topologies, all nodes are considered to be in the same AS.

BRITE Hierarchical topologies contain two levels. The first is the AS level. This level can be also be created by using either the Waxman model or the Barabasi-Albert model. Then for each node in the AS topology, a router level topology is constructed. These router level topologies can again either use the Waxman model or the Barbasi-Albert model. BRITE interconnects these separate router topologies as specified by the AS level topology. Once the hierarchical topology is constructed, it is flattened into a large router level topology.

Further information can be found in the BRITE user manual: http://www.cs.bu.edu/brite/publications/usermanual.pdf

#### 7.1 Model Description

The model relies on building an external BRITE library, and then building some ns-3 helpers that call out to the library. The source code for the ns-3 helpers lives in the directory <code>src/brite/helper</code>.

#### 7.1.1 Design

To generate the BRITE topology, ns-3 helpers call out to the external BRITE library, and using a standard BRITE configuration file, the BRITE code builds a graph with nodes and edges according to this configuration file. Please see the BRITE documenation or the example configuration files in src/brite/examples/conf\_files to get a better grasp of BRITE configuration options. The graph built by BRITE is returned to ns-3, and a ns-3 implementation of the graph is built. Leaf nodes for each AS are available for the user to either attach custom topologies or install ns-3 applications directly.

<sup>&</sup>lt;sup>1</sup> Alberto Medina, Anukool Lakhina, Ibrahim Matta, and John Byers. BRITE: An Approach to Universal Topology Generation. In Proceedings of the International Workshop on Modeling, Analysis and Simulation of Computer and Telecommunications Systems- MASCOTS '01, Cincinnati, Ohio, August 2001.

#### 7.1.2 References

#### 7.2 Usage

The brite-generic-example can be referenced to see basic usage of the BRITE interface. In summary, the BriteTopologyHelper is used as the interface point by passing in a BRITE configuration file. Along with the configuration file a BRITE formatted random seed file can also be passed in. If a seed file is not passed in, the helper will create a seed file using ns-3's UniformRandomVariable. Once the topology has been generated by BRITE, BuildBriteTopology() is called to create the ns-3 representation. Next IP Address can be assigned to the topology using either AssignIpv4Addresses() or AssignIpv6Addresses(). It should be noted that each point-to-point link in the topology will be treated as a new network therefore for IPV4 a /30 subnet should be used to avoid wasting a large amount of the available address space.

Example BRITE configuration files can be found in /src/brite/examples/conf\_files/. ASBarbasi and ASWaxman are examples of AS only topologies. The RTBarabasi and RTWaxman files are examples of router only topologies. Finally the TD\_ASBarabasi\_RTWaxman configuration file is an example of a Hierarchical topology that uses the Barabasi-Albert model for the AS level and the Waxman model for each of the router level topologies. Information on the BRITE parameters used in these files can be found in the BRITE user manual.

#### 7.2.1 Building BRITE Integration

The first step is to download and build the ns-3 specific BRITE repository:

```
$ hg clone http://code.nsnam.org/BRITE
$ cd BRITE
$ make
```

This will build BRITE and create a library, libbrite.so, within the BRITE directory.

Once BRITE has been built successfully, we proceed to configure ns-3 with BRITE support. Change to your ns-3 directory:

```
$ ./waf configure --with-brite=/your/path/to/brite/source --enable-examples
```

Make sure it says 'enabled' beside 'BRITE Integration'. If it does not, then something has gone wrong. Either you have forgotten to build BRITE first following the steps above, or ns-3 could not find your BRITE directory.

Next, build ns-3:

\$ ./waf

#### 7.2.2 Examples

For an example demonstrating BRITE integration run:

```
$ ./waf --run 'brite-generic-example'
```

By enabling the verbose parameter, the example will print out the node and edge information in a similar format to standard BRITE output. There are many other command-line parameters including confFile, tracing, and nix, described below:

**confFile** A BRITE configuration file. Many different BRITE configuration file examples exist in the src/brite/examples/conf\_files directory, for example, RTBarabasi20.conf and RTWaxman.conf. Please refer to the conf\_files directory for more examples.

tracing Enables ascii tracing.

nix Enables nix-vector routing. Global routing is used by default.

The generic BRITE example also support visualization using pyviz, assuming python bindings in ns-3 are enabled:

```
$ ./waf --run brite-generic-example --vis
```

Simulations involving BRITE can also be used with MPI. The total number of MPI instances is passed to the BRITE topology helper where a modulo divide is used to assign the nodes for each AS to a MPI instance. An example can be found in src/brite/examples:

```
$ mpirun -np 2 ./waf --run brite-MPI-example
```

Please see the ns-3 MPI documentation for information on setting up MPI with ns-3.

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## **BUILDINGS MODULE**

cd .. include:: replace.txt

#### 8.1 Design documentation

#### 8.1.1 Overview

The Buildings module provides:

- 1. a new class (Building) that models the presence of a building in a simulation scenario;
- 2. a new class (MobilityBuildingInfo) that allows to specify the location, size and characteristics of buildings present in the simulated area, and allows the placement of nodes inside those buildings;
- 3. a container class with the definition of the most useful pathloss models and the correspondent variables called BuildingsPropagationLossModel.
- 4. a new propagation model (HybridBuildingsPropagationLossModel) working with the mobility model just introduced, that allows to model the phenomenon of indoor/outdoor propagation in the presence of buildings.
- 5. a simplified model working only with Okumura Hata (OhBuildingsPropagationLossModel) considering the phenomenon of indoor/outdoor propagation in the presence of buildings.

The models have been designed with LTE in mind, though their implementation is in fact independent from any LTE-specific code, and can be used with other ns-3 wireless technologies as well (e.g., wifi, wimax).

The HybridBuildingsPropagationLossModel pathloss model included is obtained through a combination of several well known pathloss models in order to mimic different environmental scenarios such as urban, suburban and open areas. Moreover, the model considers both outdoor and indoor indoor and outdoor communication has to be included since HeNB might be installed either within building and either outside. In case of indoor communication, the model has to consider also the type of building in outdoor <-> indoor communication according to some general criteria such as the wall penetration losses of the common materials; moreover it includes some general configuration for the internal walls in indoor communications.

The OhBuildingsPropagationLossModel pathloss model has been created for simplifying the previous one removing the thresholds for switching from one model to other. For doing this it has been used only one propagation model from the one available (i.e., the Okumura Hata). The presence of building is still considered in the model; therefore all the considerations of above regarding the building type are still valid. The same consideration can be done for what concern the environmental scenario and frequency since both of them are parameters of the model considered.

#### 8.1.2 The Building class

The model includes a specific class called Building which contains a ns3 Box class for defining the dimension of the building. In order to implements the characteristics of the pathloss models included, the Building class supports the following attributes:

- building type:
  - Residential (default value)
  - Office
  - Commercial
- · external walls type
  - Wood
  - ConcreteWithWindows (default value)
  - ConcreteWithoutWindows
  - StoneBlocks
- number of floors (default value 1, which means only ground-floor)
- number of rooms in x-axis (default value 1)
- number of rooms in y-axis (default value 1)

The Building class is based on the following assumptions:

- a buildings is represented as a rectangular parallelepiped (i.e., a box)
- the walls are parallel to the x, y, and z axis
- a building is divided into a grid of rooms, identified by the following parameters:
  - number of floors
  - number of rooms along the x-axis
  - number of rooms along the y-axis
- the z axis is the vertical axis, i.e., floor numbers increase for increasing z axis values
- the x and y room indices start from 1 and increase along the x and y axis respectively
- all rooms in a building have equal size

#### 8.1.3 The MobilityBuildingInfo class

The MobilityBuildingInfo class, which inherits from the ns3 class Object, is in charge of maintaining information about the position of a node with respect to building. The information managed by MobilityBuildingInfo is:

- whether the node is indoor or outdoor
- if indoor:
  - in which building the node is
  - in which room the node is positioned (x, y and floor room indices)

The class MobilityBuildingInfo is used by BuildingsPropagationLossModel class, which inherits from the ns3 class PropagationLossModel and manages the pathloss computation of the single components and their composition according to the nodes' positions. Moreover, it implements also the shadowing, that is the loss due to obstacles in the main path (i.e., vegetation, buildings, etc.).

It is to be noted that, MobilityBuildingInfo can be used by any other propagation model. However, based on the information at the time of this writing, only the ones defined in the building module are designed for considering the constraints introduced by the buildings.

# 8.1.4 ItuR1238PropagationLossModel

This class implements a building-dependent indoor propagation loss model based on the ITU P.1238 model, which includes losses due to type of building (i.e., residential, office and commercial). The analytical expression is given in the following.

$$L_{\text{total}} = 20 \log f + N \log d + L_f(n) - 28[dB]$$

where:

$$N = \begin{cases} 28 & residential \\ 30 & office \\ 22 & commercial \end{cases} : \text{power loss coefficient [dB]}$$
 
$$L_f = \begin{cases} 4n & residential \\ 15 + 4(n-1) & office \\ 6 + 3(n-1) & commercial \end{cases}$$
 
$$n : \text{number of floors between base station and mobile } (n \geq 1)$$
 
$$f : \text{frequency [MHz]}$$
 
$$d : \text{distance (where } d > 1) \text{ [m]}$$

# 8.1.5 BuildingsPropagationLossModel

The BuildingsPropagationLossModel provides an additional set of building-dependent pathloss model elements that are used to implement different pathloss logics. These pathloss model elements are described in the following subsections.

#### External Wall Loss (EWL)

This component models the penetration loss through walls for indoor to outdoor communications and vice-versa. The values are taken from the [cost231] model.

- Wood  $\sim 4 \text{ dB}$
- Concrete with windows (not metallized) ~ 7 dB
- Concrete without windows ~ 15 dB (spans between 10 and 20 in COST231)
- Stone blocks ~ 12 dB

## **Internal Walls Loss (IWL)**

This component models the penetration loss occurring in indoor-to-indoor communications within the same building. The total loss is calculated assuming that each single internal wall has a constant penetration loss  $L_{siw}$ , and

approximating the number of walls that are penetrated with the manhattan distance (in number of rooms) between the transmitter and the receiver. In detail, let  $x_1$ ,  $y_1$ ,  $x_2$ ,  $y_2$  denote the room number along the x and y axis respectively for user 1 and 2; the total loss  $L_{IWL}$  is calculated as

$$L_{IWL} = L_{siw}(|x_1 - x_2| + |y_1 - y_2|)$$

# Height Gain Model (HG)

This component model the gain due to the fact that the transmitting device is on a floor above the ground. In the literature [turkmani] this gain has been evaluated as about 2 dB per floor. This gain can be applied to all the indoor to outdoor communications and vice-versa.

# **Shadowing Model**

The shadowing is modeled according to a log-normal distribution with variable standard deviation as function of the relative position (indoor or outdoor) of the MobilityModel instances involved. One random value is drawn for each pair of MobilityModels, and stays constant for that pair during the whole simulation. Thus, the model is appropriate for static nodes only.

The model considers that the mean of the shadowing loss in dB is always 0. For the variance, the model considers three possible values of standard deviation, in detail:

- outdoor (m\_shadowingSigmaOutdoor, defaul value of 7 dB)  $\to X_{\rm O} \sim N(\mu_{\rm O}, \sigma_{\rm O}^2)$ .
- indoor (m\_shadowingSigmaIndoor, defaul value of 10 dB)  $\to X_{\rm I} \sim N(\mu_{\rm I}, \sigma_{\rm I}^2)$ .
- external walls penetration (m\_shadowingSigmaExtWalls, default value 5 dB)  $\to X_{
  m W} \sim N(\mu_{
  m W}, \sigma_{
  m W}^2)$

The simulator generates a shadowing value per each active link according to nodes' position the first time the link is used for transmitting. In case of transmissions from outdoor nodes to indoor ones, and vice-versa, the standard deviation ( $\sigma_{IO}$ ) has to be calculated as the square root of the sum of the quadratic values of the standard deviatio in case of outdoor nodes and the one for the external walls penetration. This is due to the fact that that the components producing the shadowing are independent of each other; therefore, the variance of a distribution resulting from the sum of two independent normal ones is the sum of the variances.

$$X \sim N(\mu, \sigma^2)$$
 and  $Y \sim N(\nu, \tau^2)$  
$$Z = X + Y \sim Z(\mu + \nu, \sigma^2 + \tau^2)$$
 
$$\Rightarrow \sigma_{\mathrm{IO}} = \sqrt{\sigma_{\mathrm{O}}^2 + \sigma_{\mathrm{W}}^2}$$

# 8.1.6 Pathloss logics

In the following we describe the different pathloss logic that are implemented by inheriting from BuildingsPropagationLossModel.

## **HybridBuildingsPropagationLossModel**

The HybridBuildingsPropagationLossModel pathloss model included is obtained through a combination of several well known pathloss models in order to mimic different outdoor and indoor scenarios, as well as indoor-to-outdoor and outdoor-to-indoor scenarios. In detail, the class HybridBuildingsPropagationLossModel integrates the following pathloss models:

• OkumuraHataPropagationLossModel (OH) (at frequencies > 2.3 GHz substituted by Kun2600MhzPropagationLossModel)

- ItuR1411LosPropagationLossModel and ItuR1411NlosOverRooftopPropagationLossModel (I1411)
- ItuR1238PropagationLossModel (I1238)
- the pathloss elements of the BuildingsPropagationLossModel (EWL, HG, IWL)

The following pseudo-code illustrates how the different pathloss model elements described above are integrated in HybridBuildingsPropagationLossModel:

```
if (txNode is outdoor)
  then
    if (rxNode is outdoor)
      then
        if (distance > 1 km)
          then
            if (rxNode or txNode is below the rooftop)
                L = I1411
              else
                L = OH
          else
            L = I1411
      else (rxNode is indoor)
        if (distance > 1 km)
          then
            if (rxNode or txNode is below the rooftop)
              L = I1411 + EWL + HG
            else
              L = OH + EWL + HG
            L = I1411 + EWL + HG
else (txNode is indoor)
  if (rxNode is indoor)
    then
     if (same building)
        then
          L = I1238 + IWL
          L = I1411 + 2 \times EWL
   else (rxNode is outdoor)
    if (distance > 1 km)
      then
        if (rxNode or txNode is below the rooftop)
                L = I1411 + EWL + HG
              else
                L = OH + EWL + HG
      else
        L = I1411 + EWL
```

We note that, for the case of communication between two nodes below rooftop level with distance is greater then 1 km, we still consider the I1411 model, since OH is specifically designed for macro cells and therefore for antennas above the roof-top level.

For the ITU-R P.1411 model we consider both the LOS and NLoS versions. In particular, we considers the LoS propagation for distances that are shorted than a tunable threshold (m\_itu1411NlosThreshold). In case on NLoS propagation, the over the roof-top model is taken in consideration for modeling both macro BS and SC. In case on NLoS several parameters scenario dependent have been included, such as average street width, orientation, etc. The values of such parameters have to be properly set according to the scenario implemented, the model does not calculate natively their values. In case any values is provided, the standard ones are used, apart for the height of the mobile and

BS, which instead their integrity is tested directly in the code (i.e., they have to be greater then zero). In the following we give the expressions of the components of the model.

We also note that the use of different propagation models (OH, I1411, I1238 with their variants) in HybridBuild-ingsPropagationLossModel can result in discontinuities of the pathloss with respect to distance. A proper tuning of the attributes (especially the distance threshold attributes) can avoid these discontinuities. However, since the behavior of each model depends on several other parameters (frequency, node heigth, etc), there is no default value of these thresholds that can avoid the discontinuities in all possible configurations. Hence, an appropriate tuning of these parameters is left to the user.

#### **OhBuildingsPropagationLossModel**

The OhBuildingsPropagationLossModel class has been created as a simple means to solve the discontinuity problems of HybridBuildingsPropagationLossModel without doing scenario-specific parameter tuning. The solution is to use only one propagation loss model (i.e., Okumura Hata), while retaining the structure of the pathloss logic for the calculation of other path loss components (such as wall penetration losses). The result is a model that is free of discontinuities (except those due to walls), but that is less realistic overall for a generic scenario with buildings and outdoor/indoor users, e.g., because Okumura Hata is not suitable neither for indoor communications nor for outdoor communications below rooftop level.

In detail, the class OhBuildingsPropagationLossModel integrates the following pathloss models:

- OkumuraHataPropagationLossModel (OH)
- the pathloss elements of the BuildingsPropagationLossModel (EWL, HG, IWL)

The following pseudo-code illustrates how the different pathloss model elements described above are integrated in OhBuildingsPropagationLossModel:

```
if (txNode is outdoor)
  then
    if (rxNode is outdoor)
      t.hen
        L = OH
      else (rxNode is indoor)
        L = OH + EWL
else (txNode is indoor)
  if (rxNode is indoor)
    t.hen
     if (same building)
        then
          L = OH + IWL
          L = OH + 2 \times EWL
   else (rxNode is outdoor)
      L = OH + EWL
```

We note that OhBuildingsPropagationLossModel is a significant simplification with respect to HybridBuildingsPropagationLossModel, due to the fact that OH is used always. While this gives a less accurate model in some scenarios (especially below rooftop and indoor), it effectively avoids the issue of pathloss discontinuities that affects Hybrid-BuildingsPropagationLossModel.

# 8.2 User Documentation

# 8.2.1 How to use buildings in a simulation

In this section we explain the basic usage of the buildings model within a simulation program.

#### Include the headers

Add this at the beginning of your simulation program:

```
#include <ns3/buildings-module.h>
```

# Create a building

As an example, let's create a residential 10 x 20 x 10 building:

```
double x_min = 0.0;
double x_max = 10.0;
double y_min = 0.0;
double y_max = 20.0;
double z_min = 0.0;
double z_max = 10.0;
Ptr<Building> b = CreateObject <Building> ();
b->SetBoundaries (Box (x_min, x_max, y_min, y_max, z_min, z_max));
b->SetBuildingType (Building::Residential);
b->SetExtWallsType (Building::ConcreteWithWindows);
b->SetNFloors (3);
b->SetNRoomsX (3);
b->SetNRoomsY (2);
```

This building has three floors and an internal 3 x 2 grid of rooms of equal size.

The helper class GridBuildingAllocator is also available to easily create a set of buildings with identical characteristics placed on a rectangular grid. Here's an example of how to use it:

```
Ptr<GridBuildingAllocator> gridBuildingAllocator;
gridBuildingAllocator = CreateObject<GridBuildingAllocator> ();
gridBuildingAllocator->SetAttribute ("GridWidth", UintegerValue (3));
gridBuildingAllocator->SetAttribute ("LengthX", DoubleValue (7));
gridBuildingAllocator->SetAttribute ("LengthY", DoubleValue (13));
gridBuildingAllocator->SetAttribute ("DeltaX", DoubleValue (3));
gridBuildingAllocator->SetAttribute ("DeltaY", DoubleValue (3));
gridBuildingAllocator->SetAttribute ("Height", DoubleValue (6));
gridBuildingAllocator->SetBuildingAttribute ("NRoomsX", UintegerValue (2));
gridBuildingAllocator->SetBuildingAttribute ("NRoomsY", UintegerValue (4));
gridBuildingAllocator->SetBuildingAttribute ("NFloors", UintegerValue (2));
gridBuildingAllocator->SetAttribute ("MinX", DoubleValue (0));
gridBuildingAllocator->SetAttribute ("MinY", DoubleValue (0));
gridBuildingAllocator->Create (6);
```

This will create a 3x2 grid of 6 buildings, each  $7 \times 13 \times 6$  m with  $2 \times 4$  rooms inside and 2 foors; the buildings are spaced by 3 m on both the x and the y axis.

#### Setup nodes and mobility models

Nodes and mobility models are configured as usual, however in order to use them with the buildings model you need an additional call to BuildingsHelper::Install(), so as to let the mobility model include the informtion on their position w.r.t. the buildings. Here is an example:

```
MobilityHelper mobility;
mobility.SetMobilityModel ("ns3::ConstantPositionMobilityModel");
ueNodes.Create (2);
mobility.Install (ueNodes);
BuildingsHelper::Install (ueNodes);
```

It is to be noted that any mobility model can be used. However, the user is advised to make sure that the behavior of the mobility model being used is consistent with the presence of Buildings. For example, using a simple random mobility over the whole simulation area in presence of buildings might easily results in node moving in and out of buildings, regardless of the presence of walls.

#### Place some nodes

You can place nodes in your simulation using several methods, which are described in the following.

#### Legacy positioning methods

Any legacy ns-3 positioning method can be used to place node in the simulation. The important additional step is to For example, you can place nodes manually like this:

```
Ptr<ConstantPositionMobilityModel> mm0 = enbNodes.Get (0)->GetObject<ConstantPositionMobilityModel>
Ptr<ConstantPositionMobilityModel> mm1 = enbNodes.Get (1)->GetObject<ConstantPositionMobilityModel>
mm0->SetPosition (Vector (5.0, 5.0, 1.5));
mm1->SetPosition (Vector (30.0, 40.0, 1.5));

MobilityHelper mobility;
mobility.SetMobilityModel ("ns3::ConstantPositionMobilityModel");
ueNodes.Create (2);
mobility.Install (ueNodes);
BuildingsHelper::Install (ueNodes);
```

Alternatively, you could use any existing PositionAllocator class. The coordinates of the node will determine whether it is placed outdoor or indoor and, if indoor, in which building and room it is placed.

#### **Building-specific positioning methods**

mm0->SetPosition (Vector (5.0, 5.0, 1.5));
mm1->SetPosition (Vector (30.0, 40.0, 1.5));

The following position allocator classes are available to place node in special positions with respect to buildings:

- RandomBuildingPositionAllocator: Allocate each position by randomly chosing a building from the list of all buildings, and then randomly chosing a position inside the building.
- RandomRoomPositionAllocator: Allocate each position by randomly chosing a room from the list of rooms in all buildings, and then randomly chosing a position inside the room.
- SameRoomPositionAllocator: Walks a given NodeContainer sequentially, and for each node allocate a new position randomly in the same room of that node.

• FixedRoomPositionAllocator: Generate a random position uniformly distributed in the volume of a chosen room inside a chosen building.

## Make the Mobility Model Consistent

**Important**: whenever you use buildings, you have to issue the following command after we have placed all nodes and buildings in the simulation:

```
BuildingsHelper:: MakeMobilityModelConsistent ();
```

This command will go through the lists of all nodes and of all buildings, determine for each user if it is indoor or outdoor, and if indoor it will also determine the building in which the user is located and the corresponding floor and number inside the building.

#### **Building-aware pathloss model**

After you placed buildings and nodes in a simulation, you can use a building-aware pathloss model in a simulation exactly in the same way you would use any regular path loss model. How to do this is specific for the wireless module that you are considering (lte, wifi, wimax, etc.), so please refer to the documentation of that model for specific instructions.

# 8.2.2 Main configurable attributes

The Building class has the following configurable parameters:

- building type: Residential, Office and Commercial.
- $\bullet \ \ external \ walls \ type: Wood, Concrete With Windows, Concrete Without Windows \ and \ Stone Blocks.$
- building bounds: a Box class with the building bounds.
- · number of floors.
- number of rooms in x-axis and y-axis (rooms can be placed only in a grid way).

The BuildingMobilityLossModel parameter configurable with the ns3 attribute system is represented by the bound (string Bounds) of the simulation area by providing a Box class with the area bounds. Moreover, by means of its methos the following parameters can be configured:

- the number of floor the node is placed (default 0).
- the position in the rooms grid.

The BuildingPropagationLossModel class has the following configurable parameters configurable with the attribute system:

- Frequency: reference frequency (default 2160 MHz), note that by setting the frequency the wavelength is set accordingly automatically and viceversa).
- Lambda: the wavelength (0.139 meters, considering the above frequency).
- ShadowSigmaOutdoor: the standard deviation of the shadowing for outdoor nodes (defaul 7.0).
- ShadowSigmaIndoor: the standard deviation of the shadowing for indoor nodes (default 8.0).
- ShadowSigmaExtWalls: the standard deviation of the shadowing due to external walls penetration for outdoor to indoor communications (default 5.0).
- RooftopLevel: the level of the rooftop of the building in meters (default 20 meters).

- Los2NlosThr: the value of distance of the switching point between line-of-sight and non-line-of-sight propagation model in meters (default 200 meters).
- ITU1411DistanceThr: the value of distance of the switching point between short range (ITU 1211) communications and long range (Okumura Hata) in meters (default 200 meters).
- MinDistance: the minimum distance in meters between two nodes for evaluating the pathloss (considered neglictible before this threshold) (default 0.5 meters).
- Environment: the environment scenario among Urban, SubUrban and OpenAreas (default Urban).
- CitySize: the dimension of the city among Small, Medium, Large (default Large).

In order to use the hybrid mode, the class to be used is the HybridBuildingMobilityLossModel, which allows the selection of the proper pathloss model according to the pathloss logic presented in the design chapter. However, this solution has the problem that the pathloss model switching points might present discontinuities due to the different characteristics of the model. This implies that according to the specific scenario, the threshold used for switching have to be properly tuned. The simple OhBuildingMobilityLossModel overcome this problem by using only the Okumura Hata model and the wall penetration losses.

# 8.3 Testing Documentation

## 8.3.1 Overview

To test and validate the ns-3 Building Pathloss module, some test suites is provided which are integrated with the ns-3 test framework. To run them, you need to have configured the build of the simulator in this way:

```
$ ./waf configure --enable-tests --enable-modules=buildings
$ ./test.py
```

The above will run not only the test suites belonging to the buildings module, but also those belonging to all the other ns-3 modules on which the buildings module depends. See the ns-3 manual for generic information on the testing framework.

You can get a more detailed report in HTML format in this way:

```
$ ./test.py -w results.html
```

After the above command has run, you can view the detailed result for each test by opening the file results.html with a web browser.

You can run each test suite separately using this command:

```
$ ./test.py -s test-suite-name
```

For more details about test.py and the ns-3 testing framework, please refer to the ns-3 manual.

# 8.3.2 Description of the test suites

#### **BuildingsHelper test**

The test suite buildings-helper checks that the method BuildingsHelper::MakeAllInstancesConsistent () works properly, i.e., that the BuildingsHelper is successful in locating if nodes are outdoor or indoor, and if indoor that they are located in the correct building, room and floor. Several test cases are provided with different buildings (having different size, position, rooms and floors) and different node positions. The test passes if each every node is located correctly.

## **BuildingPositionAllocator test**

The test suite building-position-allocator feature two test cases that check that respectively Random-RoomPositionAllocator and SameRoomPositionAllocator work properly. Each test cases involves a single 2x3x2 room building (total 12 rooms) at known coordinates and respectively 24 and 48 nodes. Both tests check that the number of nodes allocated in each room is the expected one and that the position of the nodes is also correct.

## **Buildings Pathloss tests**

The test suite buildings-pathloss-model provides different unit tests that compare the expected results of the buildings pathloss module in specific scenarios with pre calculated values obtained offline with an Octave script (test/reference/buildings-pathloss.m). The tests are considered passed if the two values are equal up to a tolerance of 0.1, which is deemed appropriate for the typical usage of pathloss values (which are in dB).

In the following we detailed the scenarios considered, their selection has been done for covering the wide set of possible pathloss logic combinations. The pathloss logic results therefore implicitly tested.

#### Test #1 Okumura Hata

In this test we test the standard Okumura Hata model; therefore both eNB and UE are placed outside at a distance of 2000 m. The frequency used is the E-UTRA band #5, which correspond to 869 MHz (see table 5.5-1 of 36.101). The test includes also the validation of the areas extensions (i.e., urban, suburban and open-areas) and of the city size (small, medium and large).

#### Test #2 COST231 Model

This test is aimed at validating the COST231 model. The test is similar to the Okumura Hata one, except that the frequency used is the EUTRA band #1 (2140 MHz) and that the test can be performed only for large and small cities in urban scenarios due to model limitations.

#### Test #3 2.6 GHz model

This test validates the 2.6 GHz Kun model. The test is similar to Okumura Hata one except that the frequency is the EUTRA band #7 (2620 MHz) and the test can be performed only in urban scenario.

#### Test #4 ITU1411 LoS model

This test is aimed at validating the ITU1411 model in case of line of sight within street canyons transmissions. In this case the UE is placed at 100 meters far from the eNB, since the threshold for switching between LoS and NLoS is left to default one (i.e., 200 m.).

#### Test #5 ITU1411 NLoS model

This test is aimed at validating the ITU1411 model in case of non line of sight over the rooftop transmissions. In this case the UE is placed at 900 meters far from the eNB, in order to be above the threshold for switching between LoS and NLoS is left to default one (i.e., 200 m.).

#### Test #6 ITUP1238 model

This test is aimed at validating the ITUP1238 model in case of indoor transmissions. In this case both the UE and the eNB are placed in a residential building with walls made of concrete with windows. Ue is placed at the second floor and distances 30 meters far from the eNB, which is placed at the first floor.

#### Test #7 Outdoor -> Indoor with Okumura Hata model

This test validates the outdoor to indoor transmissions for large distances. In this case the UE is placed in a residential building with wall made of concrete with windows and distances 2000 meters from the outdoor eNB.

#### Test #8 Outdoor -> Indoor with ITU1411 model

This test validates the outdoor to indoor transmissions for short distances. In this case the UE is placed in a residential building with walls made of concrete with windows and distances 100 meters from the outdoor eNB.

#### Test #9 Indoor -> Outdoor with ITU1411 model

This test validates the outdoor to indoor transmissions for very short distances. In this case the eNB is placed in the second floor of a residential building with walls made of concrete with windows and distances 100 meters from the outdoor UE (i.e., LoS communication). Therefore the height gain has to be included in the pathloss evaluation.

#### Test #10 Indoor -> Outdoor with ITU1411 model

This test validates the outdoor to indoor transmissions for short distances. In this case the eNB is placed in the second floor of a residential building with walls made of concrete with windows and distances 500 meters from the outdoor UE (i.e., NLoS communication). Therefore the height gain has to be included in the pathloss evaluation.

## **Buildings Shadowing Test**

The test suite buildings-shadowing-test is a unit test intended to verify the statistical distribution of the shadowing model implemented by BuildingsPathlossModel. The shadowing is modeled according to a normal distribution with mean  $\mu=0$  and variable standard deviation  $\sigma$ , according to models commonly used in literature. Three test cases are provided, which cover the cases of indoor, outdoor and indoor-to-outdoor communications. Each test case generates 1000 different samples of shadowing for different pairs of MobilityModel instances in a given scenario. Shadowing values are obtained by subtracting from the total loss value returned by HybridBuildingsPathlossModel the path loss component which is constant and pre-determined for each test case. The test verifies that the sample mean and sample variance of the shadowing values fall within the 99% confidence interval of the sample mean and sample variance. The test also verifies that the shadowing values returned at successive times for the same pair of MobilityModel instances is constant.

# 8.4 References

# CLICK MODULAR ROUTER INTEGRATION

Click is a software architecture for building configurable routers. By using different combinations of packet processing units called elements, a Click router can be made to perform a specific kind of functionality. This flexibility provides a good platform for testing and experimenting with different protocols.

# 9.1 Model Description

The source code for the Click model lives in the directory src/click.

# 9.1.1 Design

ns-3's design is well suited for an integration with Click due to the following reasons:

- Packets in ns-3 are serialised/deserialised as they move up/down the stack. This allows ns-3 packets to be passed to and from Click as they are.
- This also means that any kind of ns-3 traffic generator and transport should work easily on top of Click.
- By striving to implement click as an Ipv4RoutingProtocol instance, we can avoid significant changes to the LL and MAC layer of the ns-3 code.

The design goal was to make the ns-3-click public API simple enough such that the user needs to merely add an Ipv4ClickRouting instance to the node, and inform each Click node of the Click configuration file (.click file) that it is to use.

This model implements the interface to the Click Modular Router and provides the Ipv4ClickRouting class to allow a node to use Click for external routing. Unlike normal Ipv4RoutingProtocol sub types, Ipv4ClickRouting doesn't use a RouteInput() method, but instead, receives a packet on the appropriate interface and processes it accordingly. Note that you need to have a routing table type element in your Click graph to use Click for external routing. This is needed by the RouteOutput() function inherited from Ipv4RoutingProtocol. Furthermore, a Click based node uses a different kind of L3 in the form of Ipv4L3ClickProtocol, which is a trimmed down version of Ipv4L3Protocol. Ipv4L3ClickProtocol passes on packets passing through the stack to Ipv4ClickRouting for processing.

#### Developing a Simulator API to allow ns-3 to interact with Click

Much of the API is already well defined, which allows Click to probe for information from the simulator (like a Node's ID, an Interface ID and so forth). By retaining most of the methods, it should be possible to write new implementations specific to ns-3 for the same functionality.

Hence, for the Click integration with ns-3, a class named Ipv4ClickRouting will handle the interaction with Click. The code for the same can be found in src/click/model/ipv4-click-routing. {cc, h}.

#### Packet hand off between ns-3 and Click

There are four kinds of packet hand-offs that can occur between ns-3 and Click.

- L4 to L3
- L3 to L4
- L3 to L2
- L2 to L3

To overcome this, we implement Ipv4L3ClickProtocol, a stripped down version of Ipv4L3Protocol. Ipv4L3ClickProtocol passes packets to and from Ipv4ClickRouting appropriately to perform routing.

# 9.1.2 Scope and Limitations

- In its current state, the NS-3 Click Integration is limited to use only with L3, leaving NS-3 to handle L2. We are currently working on adding Click MAC support as well. See the usage section to make sure that you design your Click graphs accordingly.
- Furthermore, ns-3-click will work only with userlevel elements. The complete list of elements are available at <a href="http://read.cs.ucla.edu/click/elements">http://read.cs.ucla.edu/click/elements</a>. Elements that have 'all', 'userlevel' or 'ns' mentioned beside them may be used
- As of now, the ns-3 interface to Click is Ipv4 only. We will be adding Ipv6 support in the future.

# 9.1.3 References

- Eddie Kohler, Robert Morris, Benjie Chen, John Jannotti, and M. Frans Kaashoek. The click modular router. ACM Transactions on Computer Systems 18(3), August 2000, pages 263-297.
- Lalith Suresh P., and Ruben Merz. Ns-3-click: click modular router integration for ns-3. In Proc. of 3rd International ICST Workshop on NS-3 (WNS3), Barcelona, Spain. March, 2011.
- Michael Neufeld, Ashish Jain, and Dirk Grunwald. Nsclick: bridging network simulation and deployment. MSWiM '02: Proceedings of the 5th ACM international workshop on Modeling analysis and simulation of wireless and mobile systems, 2002, Atlanta, Georgia, USA. http://doi.acm.org/10.1145/570758.570772

# 9.2 Usage

# 9.2.1 Building Click

The first step is to clone Click from the github repository and build it:

```
$ git clone https://github.com/kohler/click
$ cd click/
$ ./configure --disable-linuxmodule --enable-nsclick --enable-wifi
$ make
```

The -enable-wifi flag may be skipped if you don't intend on using Click with Wifi. \* Note: You don't need to do a 'make install'.

Once Click has been built successfully, change into the ns-3 directory and configure ns-3 with Click Integration support:

```
$ ./waf configure --enable-examples --enable-tests --with-nsclick=/path/to/click/source
```

Hint: If you have click installed one directory above ns-3 (such as in the ns-3-allinone directory), and the name of the directory is 'click' (or a symbolic link to the directory is named 'click'), then the –with-nsclick specifier is not necessary; the ns-3 build system will successfully find the directory.

If it says 'enabled' beside 'NS-3 Click Integration Support', then you're good to go. Note: If running modular ns-3, the minimum set of modules required to run all ns-3-click examples is wifi, csma and config-store.

Next, try running one of the examples:

```
$ ./waf --run nsclick-simple-lan
```

You may then view the resulting .pcap traces, which are named nsclick-simple-lan-0-0.pcap and nsclick-simple-lan-0-1.pcap.

# 9.2.2 Click Graph Instructions

The following should be kept in mind when making your Click graph:

- Only userlevel elements can be used.
- You will need to replace FromDevice and ToDevice elements with FromSimDevice and ToSimDevice elements.
- Packets to the kernel are sent up using ToSimDevice(tap0,IP).
- For any node, the device which sends/receives packets to/from the kernel, is named 'tap0'. The remaining interfaces should be named eth0, eth1 and so forth (even if you're using wifi). Please note that the device numbering should begin from 0. In future, this will be made flexible so that users can name devices in their Click file as they wish.
- A routing table element is a mandatory. The OUTports of the routing table element should correspond to the interface number of the device through which the packet will ultimately be sent out. Violating this rule will lead to really weird packet traces. This routing table element's name should then be passed to the Ipv4ClickRouting protocol object as a simulation parameter. See the Click examples for details.
- The current implementation leaves Click with mainly L3 functionality, with ns-3 handling L2. We will soon begin working to support the use of MAC protocols on Click as well. This means that as of now, Click's Wifi specific elements cannot be used with ns-3.

# 9.2.3 Debugging Packet Flows from Click

From any point within a Click graph, you may use the Print (http://read.cs.ucla.edu/click/elements/print) element and its variants for pretty printing of packet contents. Furthermore, you may generate pcap traces of packets flowing through a Click graph by using the ToDump (http://read.cs.ucla.edu/click/elements/todump) element as well. For instance:

```
myarpquerier
-> Print(fromarpquery,64)
-> ToDump(out_arpquery,PER_NODE 1)
-> ethout;
```

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and ...will print the contents of packets that flow out of the ArpQuerier, then generate a pcap trace file which will have a suffix 'out\_arpquery', for each node using the Click file, before pushing packets onto 'ethout'.

# 9.2.4 Helper

To have a node run Click, the easiest way would be to use the ClickInternetStackHelper class in your simulation script. For instance:

```
ClickInternetStackHelper click;
click.SetClickFile (myNodeContainer, "nsclick-simple-lan.click");
click.SetRoutingTableElement (myNodeContainer, "u/rt");
click.Install (myNodeContainer);
```

example scripts Click The inside src/click/examples/ demonstrate the use of inside based nodes in different scenarios. The helper source be found can src/click/helper/click-internet-stack-helper.{h,cc}

# 9.2.5 Examples

The following examples have been written, which can be found in src/click/examples/:

- nsclick-simple-lan.cc and nsclick-raw-wlan.cc: A Click based node communicating with a normal ns-3 node
  without Click, using Csma and Wifi respectively. It also demonstrates the use of TCP on top of Click, something
  which the original nsclick implementation for NS-2 couldn't achieve.
- nsclick-udp-client-server-csma.cc and nsclick-udp-client-server-wifi.cc: A 3 node LAN (Csma and Wifi respectively) wherein 2 Click based nodes run a UDP client, that sends packets to a third Click based node running a UDP server.
- nsclick-routing.cc: One Click based node communicates to another via a third node that acts as an IP router (using the IP router Click configuration). This demonstrates routing using Click.

Scripts are available within <click-dir>/conf/ that allow you to generate Click files for some common scenarios. The IP Router used in nsclick-routing.cc was generated from the make-ip-conf.pl file and slightly adapted to work with ns-3-click.

# 9.3 Validation

This model has been tested as follows:

- Unit tests have been written to verify the internals of Ipv4ClickRouting. This can be found in src/click/ipv4-click-routing-test.cc. These tests verify whether the methods inside Ipv4ClickRouting which deal with Device name to ID, IP Address from device name and Mac Address from device name bindings work as expected.
- The examples have been used to test Click with actual simulation scenarios. These can be found in src/click/examples/. These tests cover the following: the use of different kinds of transports on top of Click, TCP/UDP, whether Click nodes can communicate with non-Click based nodes, whether Click nodes can communicate with each other, using Click to route packets using static routing.
- Click has been tested with Csma, Wifi and Point-to-Point devices. Usage instructions are available in the preceding section.

# **CSMA NETDEVICE**

This is the introduction to CSMA NetDevice chapter, to complement the Csma model doxygen.

# 10.1 Overview of the CSMA model

The *ns-3* CSMA device models a simple bus network in the spirit of Ethernet. Although it does not model any real physical network you could ever build or buy, it does provide some very useful functionality.

Typically when one thinks of a bus network Ethernet or IEEE 802.3 comes to mind. Ethernet uses CSMA/CD (Carrier Sense Multiple Access with Collision Detection with exponentially increasing backoff to contend for the shared transmission medium. The *ns-3* CSMA device models only a portion of this process, using the nature of the globally available channel to provide instantaneous (faster than light) carrier sense and priority-based collision "avoidance." Collisions in the sense of Ethernet never happen and so the *ns-3* CSMA device does not model collision detection, nor will any transmission in progress be "jammed."

# 10.1.1 CSMA Layer Model

There are a number of conventions in use for describing layered communications architectures in the literature and in textbooks. The most common layering model is the ISO seven layer reference model. In this view the CsmaNetDevice and CsmaChannel pair occupies the lowest two layers – at the physical (layer one), and data link (layer two) positions. Another important reference model is that specified by RFC 1122, "Requirements for Internet Hosts – Communication Layers." In this view the CsmaNetDevice and CsmaChannel pair occupies the lowest layer – the link layer. There is also a seemingly endless litany of alternative descriptions found in textbooks and in the literature. We adopt the naming conventions used in the IEEE 802 standards which speak of LLC, MAC, MII and PHY layering. These acronyms are defined as:

- LLC: Logical Link Control;
- MAC: Media Access Control;
- MII: Media Independent Interface;
- PHY: Physical Layer.

In this case the *LLC* and *MAC* are sublayers of the OSI data link layer and the *MII* and *PHY* are sublayers of the OSI physical layer.

The "top" of the CSMA device defines the transition from the network layer to the data link layer. This transition is performed by higher layers by calling either CsmaNetDevice::Send or CsmaNetDevice::SendFrom.

In contrast to the IEEE 802.3 standards, there is no precisely specified PHY in the CSMA model in the sense of wire types, signals or pinouts. The "bottom" interface of the CsmaNetDevice can be thought of as as a kind of Media

Independent Interface (MII) as seen in the "Fast Ethernet" (IEEE 802.3u) specifications. This MII interface fits into a corresponding media independent interface on the CsmaChannel. You will not find the equivalent of a 10BASE-T or a 1000BASE-LX PHY.

The CsmaNetDevice calls the CsmaChannel through a media independent interface. There is a method defined to tell the channel when to start "wiggling the wires" using the method CsmaChannel::TransmitStart, and a method to tell the channel when the transmission process is done and the channel should begin propagating the last bit across the "wire": CsmaChannel::TransmitEnd.

When the TransmitEnd method is executed, the channel will model a single uniform signal propagation delay in the medium and deliver copes of the packet to each of the devices attached to the packet via the CsmaNetDevice::Receive method.

There is a "pin" in the device media independent interface corresponding to "COL" (collision). The state of the channel may be sensed by calling CsmaChannel::GetState. Each device will look at this "pin" before starting a send and will perform appropriate backoff operations if required.

Properly received packets are forwarded up to higher levels from the CsmaNetDevice via a callback mechanism. The callback function is initialized by the higher layer (when the net device is attached) using CsmaNetDevice::SetReceiveCallback and is invoked upon "proper" reception of a packet by the net device in order to forward the packet up the protocol stack.

# 10.2 CSMA Channel Model

The class CsmaChannel models the actual transmission medium. There is no fixed limit for the number of devices connected to the channel. The CsmaChannel models a data rate and a speed-of-light delay which can be accessed via the attributes "DataRate" and "Delay" respectively. The data rate provided to the channel is used to set the data rates used by the transmitter sections of the CSMA devices connected to the channel. There is no way to independently set data rates in the devices. Since the data rate is only used to calculate a delay time, there is no limitation (other than by the data type holding the value) on the speed at which CSMA channels and devices can operate; and no restriction based on any kind of PHY characteristics.

The CsmaChannel has three states, IDLE, TRANSMITTING and PROPAGATING. These three states are "seen" instantaneously by all devices on the channel. By this we mean that if one device begins or ends a simulated transmission, all devices on the channel are *immediately* aware of the change in state. There is no time during which one device may see an IDLE channel while another device physically further away in the collision domain may have begun transmitting with the associated signals not propagated down the channel to other devices. Thus there is no need for collision detection in the CsmaChannel model and it is not implemented in any way.

We do, as the name indicates, have a Carrier Sense aspect to the model. Since the simulator is single threaded, access to the common channel will be serialized by the simulator. This provides a deterministic mechanism for contending for the channel. The channel is allocated (transitioned from state IDLE to state TRANSMITTING) on a first-come first-served basis. The channel always goes through a three state process:

```
IDLE -> TRANSMITTING -> PROPAGATING -> IDLE
```

The TRANSMITTING state models the time during which the source net device is actually wiggling the signals on the wire. The PROPAGATING state models the time after the last bit was sent, when the signal is propagating down the wire to the "far end."

The transition to the TRANSMITTING state is driven by a call to CsmaChannel::TransmitStart which is called by the net device that transmits the packet. It is the responsibility of that device to end the transmission with a call to CsmaChannel::TransmitEnd at the appropriate simulation time that reflects the time elapsed to put all of the packet bits on the wire. When TransmitEnd is called, the channel schedules an event corresponding to a single speed-of-light delay. This delay applies to all net devices on the channel identically. You can think of a symmetrical hub in which the packet bits propagate to a central location and then back out equal length cables to the other devices on the

channel. The single "speed of light" delay then corresponds to the time it takes for: 1) a signal to propagate from one CsmaNetDevice through its cable to the hub; plus 2) the time it takes for the hub to forward the packet out a port; plus 3) the time it takes for the signal in question to propagate to the destination net device.

The CsmaChannel models a broadcast medium so the packet is delivered to all of the devices on the channel (including the source) at the end of the propagation time. It is the responsibility of the sending device to determine whether or not it receives a packet broadcast over the channel.

The CsmaChannel provides following Attributes:

- DataRate: The bitrate for packet transmission on connected devices;
- Delay: The speed of light transmission delay for the channel.

# 10.3 CSMA Net Device Model

The CSMA network device appears somewhat like an Ethernet device. The CsmaNetDevice provides following Attributes:

- Address: The Mac48Address of the device;
- SendEnable: Enable packet transmission if true;
- ReceiveEnable: Enable packet reception if true;
- EncapsulationMode: Type of link layer encapsulation to use;
- RxErrorModel: The receive error model;
- TxQueue: The transmit queue used by the device;
- InterframeGap: The optional time to wait between "frames";
- Rx: A trace source for received packets;
- Drop: A trace source for dropped packets.

The CsmaNetDevice supports the assignment of a "receive error model." This is an ErrorModel object that is used to simulate data corruption on the link.

Packets sent over the CsmaNetDevice are always routed through the transmit queue to provide a trace hook for packets sent out over the network. This transmit queue can be set (via attribute) to model different queuing strategies.

Also configurable by attribute is the encapsulation method used by the device. Every packet gets an EthernetHeader that includes the destination and source MAC addresses, and a length/type field. Every packet also gets an Ethernet-Trailer which includes the FCS. Data in the packet may be encapsulated in different ways.

By default, or by setting the "EncapsulationMode" attribute to "Dix", the encapsulation is according to the DEC, Intel, Xerox standard. This is sometimes called EthernetII framing and is the familiar destination MAC, source MAC, EtherType, Data, CRC format.

If the "EncapsulationMode" attribute is set to "Llc", the encapsulation is by LLC SNAP. In this case, a SNAP header is added that contains the EtherType (IP or ARP).

The other implemented encapsulation modes are IP\_ARP (set "EncapsulationMode" to "IpArp") in which the length type of the Ethernet header receives the protocol number of the packet; or ETHERNET\_V1 (set "EncapsulationMode" to "EthernetV1") in which the length type of the Ethernet header receives the length of the packet. A "Raw" encapsulation mode is defined but not implemented – use of the RAW mode results in an assertion.

Note that all net devices on a channel must be set to the same encapsulation mode for correct results. The encapsulation mode is not sensed at the receiver.

The CsmaNetDevice implements a random exponential backoff algorithm that is executed if the channel is determined to be busy (TRANSMITTING or PPROPAGATING) when the device wants to start propagating. This results in a random delay of up to pow (2, retries) - 1 microseconds before a retry is attempted. The default maximum number of retries is 1000.

# 10.4 Using the CsmaNetDevice

The CSMA net devices and channels are typically created and configured using the associated CsmaHelper object. The various *ns-3* device helpers generally work in a similar way, and their use is seen in many of our example programs.

The conceptual model of interest is that of a bare computer "husk" into which you plug net devices. The bare computers are created using a NodeContainer helper. You just ask this helper to create as many computers (we call them Nodes) as you need on your network:

```
NodeContainer csmaNodes;
csmaNodes.Create (nCsmaNodes);
```

Once you have your nodes, you need to instantiate a CsmaHelper and set any attributes you may want to change.:

```
CsmaHelper csma;
csma.SetChannelAttribute ("DataRate", StringValue ("100Mbps"));
csma.SetChannelAttribute ("Delay", TimeValue (NanoSeconds (6560)));
csma.SetDeviceAttribute ("EncapsulationMode", StringValue ("Dix"));
csma.SetDeviceAttribute ("FrameSize", UintegerValue (2000));
```

Once the attributes are set, all that remains is to create the devices and install them on the required nodes, and to connect the devices together using a CSMA channel. When we create the net devices, we add them to a container to allow you to use them in the future. This all takes just one line of code.:

```
NetDeviceContainer csmaDevices = csma.Install (csmaNodes);
```

We recommend thinking carefully about changing these Attributes, since it can result in behavior that surprises users. We allow this because we believe flexibility is important. As an example of a possibly surprising effect of changing Attributes, consider the following:

The Mtu Attribute indicates the Maximum Transmission Unit to the device. This is the size of the largest Protocol Data Unit (PDU) that the device can send. This Attribute defaults to 1500 bytes and corresponds to a number found in RFC 894, "A Standard for the Transmission of IP Datagrams over Ethernet Networks." The number is actually derived from the maximum packet size for 10Base5 (full-spec Ethernet) networks – 1518 bytes. If you subtract DIX encapsulation overhead for Ethernet packets (18 bytes) you will end up with a maximum possible data size (MTU) of 1500 bytes. One can also find that the MTU for IEEE 802.3 networks is 1492 bytes. This is because LLC/SNAP encapsulation adds an extra eight bytes of overhead to the packet. In both cases, the underlying network hardware is limited to 1518 bytes, but the MTU is different because the encapsulation is different.

If one leaves the Mtu Attribute at 1500 bytes and changes the encapsulation mode Attribute to Llc, the result will be a network that encapsulates 1500 byte PDUs with LLC/SNAP framing resulting in packets of 1526 bytes. This would be illegal in many networks, but we allow you do do this. This results in a simulation that quite subtly does not reflect what you might be expecting since a real device would balk at sending a 1526 byte packet.

There also exist jumbo frames (1500 < MTU <= 9000 bytes) and super-jumbo (MTU > 9000 bytes) frames that are not officially sanctioned by IEEE but are available in some high-speed (Gigabit) networks and NICs. In the CSMA model, one could leave the encapsulation mode set to Dix, and set the Mtu to 64000 bytes – even though an associated CsmaChannel DataRate was left at 10 megabits per second (certainly not Gigabit Ethernet). This would essentially model an Ethernet switch made out of vampire-tapped 1980s-style 10Base5 networks that support superjumbo datagrams, which is certainly not something that was ever made, nor is likely to ever be made; however it is quite easy for you to configure.

Be careful about assumptions regarding what CSMA is actually modelling and how configuration (Attributes) may allow you to swerve considerably away from reality.

# 10.5 CSMA Tracing

Like all *ns-3* devices, the CSMA Model provides a number of trace sources. These trace sources can be hooked using your own custom trace code, or you can use our helper functions to arrange for tracing to be enabled on devices you specify.

# 10.5.1 Upper-Level (MAC) Hooks

From the point of view of tracing in the net device, there are several interesting points to insert trace hooks. A convention inherited from other simulators is that packets destined for transmission onto attached networks pass through a single "transmit queue" in the net device. We provide trace hooks at this point in packet flow, which corresponds (abstractly) only to a transition from the network to data link layer, and call them collectively the device MAC hooks.

When a packet is sent to the CSMA net device for transmission it always passes through the transmit queue. The transmit queue in the CsmaNetDevice inherits from Queue, and therefore inherits three trace sources:

- An Enqueue operation source (see Queue::m\_traceEnqueue);
- A Dequeue operation source (see Queue::m\_traceDequeue);
- A Drop operation source (see Queue::m\_traceDrop).

The upper-level (MAC) trace hooks for the CsmaNetDevice are, in fact, exactly these three trace sources on the single transmit queue of the device.

The m\_traceEnqueue event is triggered when a packet is placed on the transmit queue. This happens at the time that CsmaNetDevice::Send or CsmaNetDevice::SendFrom is called by a higher layer to queue a packet for transmission.

The m\_traceDequeue event is triggered when a packet is removed from the transmit queue. Dequeues from the transmit queue can happen in three situations: 1) If the underlying channel is idle when the CsmaNetDevice::Send or CsmaNetDevice::SendFrom is called, a packet is dequeued from the transmit queue and immediately transmitted; 2) If the underlying channel is idle, a packet may be dequeued and immediately transmitted in an internal TransmitCompleteEvent that functions much like a transmit complete interrupt service routine; or 3) from the random exponential backoff handler if a timeout is detected.

Case (3) implies that a packet is dequeued from the transmit queue if it is unable to be transmitted according to the backoff rules. It is important to understand that this will appear as a Dequeued packet and it is easy to incorrectly assume that the packet was transmitted since it passed through the transmit queue. In fact, a packet is actually dropped by the net device in this case. The reason for this behavior is due to the definition of the Queue Drop event. The m\_traceDrop event is, by definition, fired when a packet cannot be enqueued on the transmit queue because it is full. This event only fires if the queue is full and we do not overload this event to indicate that the CsmaChannel is "full."

# 10.5.2 Lower-Level (PHY) Hooks

Similar to the upper level trace hooks, there are trace hooks available at the lower levels of the net device. We call these the PHY hooks. These events fire from the device methods that talk directly to the CsmaChannel.

The trace source m\_dropTrace is called to indicate a packet that is dropped by the device. This happens in two cases: First, if the receive side of the net device is not enabled (see CsmaNetDevice::m\_receiveEnable and the associated attribute "ReceiveEnable").

The m\_dropTrace is also used to indicate that a packet was discarded as corrupt if a receive error model is used (see CsmaNetDevice::m\_receiveErrorModel and the associated attribute "ReceiveErrorModel").

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The other low-level trace source fires on reception of an accepted packet (see CsmaNetDevice::m\_rxTrace). A packet is accepted if it is destined for the broadcast address, a multicast address, or to the MAC address assigned to the net device.

# 10.6 Summary

The ns3 CSMA model is a simplistic model of an Ethernet-like network. It supports a Carrier-Sense function and allows for Multiple Access to a shared medium. It is not physical in the sense that the state of the medium is instantaneously shared among all devices. This means that there is no collision detection required in this model and none is implemented. There will never be a "jam" of a packet already on the medium. Access to the shared channel is on a first-come first-served basis as determined by the simulator scheduler. If the channel is determined to be busy by looking at the global state, a random exponential backoff is performed and a retry is attempted.

Ns-3 Attributes provide a mechanism for setting various parameters in the device and channel such as addresses, encapsulation modes and error model selection. Trace hooks are provided in the usual manner with a set of upper level hooks corresponding to a transmit queue and used in ASCII tracing; and also a set of lower level hooks used in pcap tracing.

Although the ns-3 CsmaChannel and CsmaNetDevice does not model any kind of network you could build or buy, it does provide us with some useful functionality. You should, however, understand that it is explicitly not Ethernet or any flavor of IEEE 802.3 but an interesting subset.

# DATA COLLECTION

This chapter describes the ns-3 Data Collection Framework (DCF), which provides capabilities to obtain data generated by models in the simulator, to perform on-line reduction and data processing, and to marshal raw or transformed data into various output formats.

The framework presently supports standalone ns-3 runs that don't rely on any external program execution control. The objects provided by the DCF may be hooked to *ns-3* trace sources to enable data processing.

The source code for the classes lives in the directory src/stats.

This chapter is organized as follows. First, an overview of the architecture is presented. Next, the helpers for these classes are presented; this initial treatment should allow basic use of the data collection framework for many use cases. Users who wish to produce output outside of the scope of the current helpers, or who wish to create their own data collection objects, should read the remainder of the chapter, which goes into detail about all of the basic DCF object types and provides low-level coding examples.

# 11.1 Design

The DCF consists of three basic classes:

- *Probe* is a mechanism to instrument and control the output of simulation data that is used to monitor interesting events. It produces output in the form of one or more *ns-3* trace sources. Probe objects are hooked up to one or more trace *sinks* (called *Collectors*), which process samples on-line and prepare them for output.
- *Collector* consumes the data generated by one or more Probe objects. It performs transformations on the data, such as normalization, reduction, and the computation of basic statistics. Collector objects do not produce data that is directly output by the ns-3 run; instead, they output data downstream to another type of object, called *Aggregator*, which performs that function. Typically, Collectors output their data in the form of trace sources as well, allowing collectors to be chained in series.
- Aggregator is the end point of the data collected by a network of Probes and Collectors. The main responsibility of the Aggregator is to marshal data and their corresponding metadata, into different output formats such as plain text files, spreadsheet files, or databases.

All three of these classes provide the capability to dynamically turn themselves on or off throughout a simulation.

Any standalone *ns-3* simulation run that uses the DCF will typically create at least one instance of each of the three classes above.

The overall flow of data processing is depicted in *Data Collection Framework overview*. On the left side, a running *ns-3* simulation is depicted. In the course of running the simulation, data is made available by models through trace sources, or via other means. The diagram depicts that probes can be connected to these trace sources to receive data asynchronously, or probes can poll for data. Data is then passed to a collector object that transforms the data. Finally, an aggregator can be connected to the outputs of the collector, to generate plots, files, or databases.

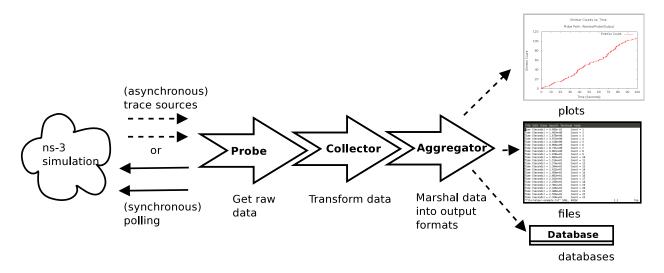


Figure 11.1: Data Collection Framework overview

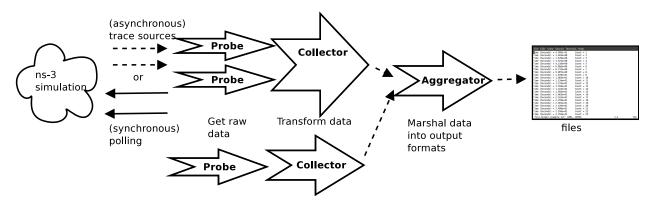


Figure 11.2: Data Collection Framework aggregation

A variation on the above figure is provided in *Data Collection Framework aggregation*. This second figure illustrates that the DCF objects may be chained together in a manner that downstream objects take inputs from multiple upstream objects. The figure conceptually shows that multiple probes may generate output that is fed into a single collector; as an example, a collector that outputs a ratio of two counters would typically acquire each counter data from separate probes. Multiple collectors can also feed into a single aggregator, which (as its name implies) may collect a number of data streams for inclusion into a single plot, file, or database.

# 11.2 Data Collection Helpers

The full flexibility of the data collection framework is provided by the interconnection of probes, collectors, and aggregators. Performing all of these interconnections leads to many configuration statements in user programs. For ease of use, some of the most common operations can be combined and encapsulated in helper functions. In addition, some statements involving *ns-3* trace sources do not have Python bindings, due to limitations in the bindings.

# 11.2.1 Data Collection Helpers Overview

In this section, we provide an overview of some helper classes that have been created to ease the configuration of the data collection framework for some common use cases. The helpers allow users to form common operations with only a few statements in their C++ or Python programs. But, this ease of use comes at the cost of significantly less flexibility than low-level configuration can provide, and the need to explicitly code support for new Probe types into the helpers (to work around an issue described below).

The emphasis on the current helpers is to marshal data out of *ns-3* trace sources into gnuplot plots or text files, without a high degree of output customization or statistical processing (initially). Also, the use is constrained to the available probe types in *ns-3*. Later sections of this documentation will go into more detail about creating new Probe types, as well as details about hooking together Probes, Collectors, and Aggregators in custom arrangements.

To date, two Data Collection helpers have been implemented:

- GnuplotHelper
- FileHelper

# 11.2.2 GnuplotHelper

The GnuplotHelper is a helper class for producing output files used to make gnuplots. The overall goal is to provide the ability for users to quickly make plots from data exported in *ns-3* trace sources. By default, a minimal amount of data transformation is performed; the objective is to generate plots with as few (default) configuration statements as possible.

#### **GnuplotHelper Overview**

The GnuplotHelper will create 3 different files at the end of the simulation:

- A space separated gnuplot data file
- A gnuplot control file
- A shell script to generate the gnuplot

There are two configuration statements that are needed to produce plots. The first statement configures the plot (filename, title, legends, and output type, where the output type defaults to PNG if unspecified):

The second statement hooks the Probe of interest:

The arguments are as follows:

- typeId: The *ns-3* TypeId of the Probe
- path: The path in the ns-3 configuration namespace to one or more probes
- probeTraceSource: Which output of the probe should be connected to
- title: The title to associate with the dataset (in the gnuplot legend)

A variant on the PlotProbe above is to specify a fifth optional argument that controls where in the plot the key (legend) is placed.

A fully worked example (from seventh.cc) is shown below:

Note that the path specified may contain wildcards. In this case, multiple datasets are plotted on one plot; one for each matched path.

The main output produced will be three files:

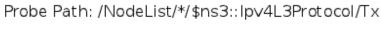
```
seventh-packet-byte-count.dat
seventh-packet-byte-count.plt
seventh-packet-byte-count.sh
```

At this point, users can either hand edit the .plt file for further customizations, or just run it through gnuplot. Running *sh seventh-packet-byte-count.sh* simply runs the plot through gnuplot, as shown below.

It can be seen that the key elements (legend, title, legend placement, xlabel, ylabel, and path for the data) are all placed on the plot. Since there were two matches to the configuration path provided, the two data series are shown:

- Packet Byte Count-0 corresponds to /NodeList/0/\$ns3::Ipv4L3Protocol/Tx
- Packet Byte Count-1 corresponds to /NodeList/1/\$ns3::Ipv4L3Protocol/Tx

# Packet Byte Count vs. Time



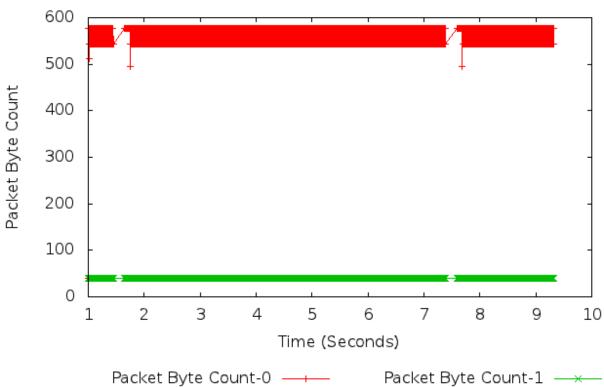


Figure 11.3: 2-D Gnuplot Created by seventh.cc Example.

#### **GnuplotHelper ConfigurePlot**

The GnuplotHelper's ConfigurePlot () function can be used to configure plots.

It has the following prototype:

It has the following arguments:

Argument	Description
outputFileNameWithoutExten-	Name of gnuplot related files to write with no extension.
sion	
title	Plot title string to use for this plot.
xLegend	The legend for the x horizontal axis.
yLegend	The legend for the y vertical axis.
terminalType	Terminal type setting string for output. The default terminal type is
	"png".

The GnuplotHelper's ConfigurePlot () function configures plot related parameters for this gnuplot helper so that it will create a space separated gnuplot data file named outputFileNameWithoutExtension + ".dat", a gnuplot control file named outputFileNameWithoutExtension + ".plt", and a shell script to generate the gnuplot named outputFileNameWithoutExtension + ".sh".

An example of how to use this function can be seen in the seventh.cc code described above where it was used as follows:

### **GnuplotHelper PlotProbe**

The GnuplotHelper's PlotProbe () function can be used to plot values generated by probes.

It has the following prototype:

It has the following arguments:

Argument	Description
typeId	The type ID for the probe used when it is created.
path	Config path to access the probe.
probeTraceSource	The probe trace source to access.
title	The title to be associated to this dataset
keyLocation	The location of the key in the plot. The default location is inside.

The GnuplotHelper's PlotProbe () function plots a dataset generated by hooking the *ns-3* trace source with a probe, and then plotting the values from the probeTraceSource. The dataset will have the provided title, and will consist of the 'newValue' at each timestamp.

If the config path has more than one match in the system because there is a wildcard, then one dataset for each match will be plotted. The dataset titles will be suffixed with the matched characters for each of the wildcards in the config path, separated by spaces. For example, if the proposed dataset title is the string "bytes", and there are two wildcards in the path, then dataset titles like "bytes-0 0" or "bytes-12 9" will be possible as labels for the datasets that are plotted.

An example of how to use this function can be seen in the seventh.cc code described above where it was used as follows:

#### Other Examples

#### **Gnuplot Helper Example**

A slightly simpler example than the seventh.cc example can be found in src/stats/examples/gnuplot-helper-example.cc. It is more of a toy example than seventh.cc because it has a made-up trace source created for demonstration purposes.

The following 2-D gnuplot was created using the example.

In this example, there is an Emitter object that increments its counter at various random times and then emits the counter's value as a trace source.

```
Ptr<Emitter> emitter = CreateObject<Emitter> ();
Names::Add ("/Names/Emitter", emitter);
```

The following code is probing the Counter exported by the emitter object. This DoubleProbe is using a path in the configuration namespace to make the connection. Note that the emitter registered itself in the configuration namespace after it was created; otherwise, the ConnectByPath would not work.

```
Ptr<DoubleProbe> probe = CreateObject<DoubleProbe> ();
probe->SetName ("PathProbe");
Names::Add ("/Names/Probe", probe);

// Note, no return value is checked here.
probe->ConnectByPath ("/Names/Emitter/Counter");
```

Note that because there are no wildcards in the path used below, only 1 datastream was drawn in the plot. This single datastream in the plot is simply labeled "Emitter Count", with no extra suffixes like you would see if there were wildcards in the path.

# Emitter Counts vs. Time Probe Path: /Names/Probe/Output Emitter Count **Emitter Count** Time (Seconds)

Figure 11.4: 2-D Gnuplot Created by gnuplot-helper-example.cc Example.

# 11.2.3 FileHelper

The FileHelper is a helper class used to put data values into a file. The overall goal is to provide the ability for users to quickly make formatted text files from data exported in *ns-3* trace sources. By default, a minimal amount of data transformation is performed; the objective is to generate files with as few (default) configuration statements as possible.

#### FileHelper Overview

The FileHelper will create 1 or more text files at the end of the simulation.

The FileHelper can create 4 different types of text files:

- Formatted
- Space separated (the default)
- Comma separated
- · Tab separated

. . .

Formatted files use C-style format strings and the sprintf() function to print their values in the file being written.

The following text file with 2 columns of formatted values named seventh-packet-byte-count-0.txt was created using more new code that was added to the original ns-3 Tutorial example's code. Only the first 10 lines of this file are shown here for brevity.

```
Time (Seconds) = 1.000e+00
                              Packet Byte Count = 40
                              Packet Byte Count = 40
Time (Seconds) = 1.004e+00
Time (Seconds) = 1.004e+00
                              Packet Byte Count = 576
Time (Seconds) = 1.009e+00
                              Packet Byte Count = 576
Time (Seconds) = 1.009e+00
                              Packet Byte Count = 576
Time (Seconds) = 1.015e+00
                              Packet Byte Count = 512
Time (Seconds) = 1.017e+00
                              Packet Byte Count = 576
Time (Seconds) = 1.017e+00
                              Packet Byte Count = 544
Time (Seconds) = 1.025e+00
                              Packet Byte Count = 576
                              Packet Byte Count = 544
Time (Seconds) = 1.025e+00
```

The following different text file with 2 columns of formatted values named seventh-packet-byte-count-1.txt was also created using the same new code that was added to the original *ns-3* Tutorial example's code. Only the first 10 lines of this file are shown here for brevity.

```
Time (Seconds) = 1.002e+00 Packet Byte Count = 40 Time (Seconds) = 1.007e+00 Packet Byte Count = 40 Time (Seconds) = 1.013e+00 Packet Byte Count = 40 Time (Seconds) = 1.020e+00 Packet Byte Count = 40 Time (Seconds) = 1.028e+00 Packet Byte Count = 40
```

```
Time (Seconds) = 1.036e+00 Packet Byte Count = 40
Time (Seconds) = 1.045e+00 Packet Byte Count = 40
Time (Seconds) = 1.053e+00 Packet Byte Count = 40
Time (Seconds) = 1.061e+00 Packet Byte Count = 40
Time (Seconds) = 1.069e+00 Packet Byte Count = 40
```

The new code that was added to produce the two text files is below. More details about this API will be covered in a later section.

Note that because there were 2 matches for the wildcard in the path, 2 separate text files were created. The first text file, which is named "seventh-packet-byte-count-0.txt", corresponds to the wildcard match with the "\*" replaced with "0". The second text file, which is named "seventh-packet-byte-count-1.txt", corresponds to the wildcard match with the "\*" replaced with "1". Also, note that the function call to WriteProbe () will give an error message if there are no matches for a path that contains wildcards.

## FileHelper ConfigureFile

The FileHelper's ConfigureFile() function can be used to configure text files.

It has the following prototype:

It has the following arguments:

Argument	Description
outputFileNameWithoutExtension	Name of output file to write with no extension.
fileType	Type of file to write. The default type of file is space separated.

The FileHelper's ConfigureFile() function configures text file related parameters for the file helper so that it will create a file named outputFileNameWithoutExtension plus possible extra information from wildcard matches plus ".txt" with values printed as specified by fileType. The default file type is space-separated.

An example of how to use this function can be seen in the seventh.cc code described above where it was used as follows:

## FileHelper WriteProbe

The FileHelper's WriteProbe () function can be used to write values generated by probes to text files.

It has the following prototype:

It has the following arguments:

Argument	Description
typeId	The type ID for the probe used when it is created.
path	Config path to access the probe.
probeTraceSource	The probe trace source to access.

The FileHelper's WriteProbe () function creates output text files generated by hooking the ns-3 trace source with a probe, and then writing the values from the probeTraceSource. The output file names will have the text stored in the member variable m\_outputFileNameWithoutExtension plus ".txt", and will consist of the 'newValue' at each timestamp.

If the config path has more than one match in the system because there is a wildcard, then one output file for each match will be created. The output file names will contain the text in m\_outputFileNameWithoutExtension plus the matched characters for each of the wildcards in the config path, separated by dashes, plus ".txt". For example, if the value in m\_outputFileNameWithoutExtension is the string "packet-byte-count", and there are two wildcards in the path, then output file names like "packet-byte-count-0-0.txt" or "packet-byte-count-12-9.txt" will be possible as names for the files that will be created.

An example of how to use this function can be seen in the seventh.cc code described above where it was used as follows:

#### Other Examples

#### File Helper Example

A slightly simpler example than the seventh.cc example can be found in src/stats/examples/file-helper-example.cc. This example only uses the FileHelper, not the FileHelper. It is also more of a toy example than seventh.cc because it has a made-up trace source created for demonstration purposes.

The following text file with 2 columns of formatted values named file-helper-example.txt was created using the example. Only the first 10 lines of this file are shown here for brevity.

```
Time (Seconds) = 4.995e-01
                              Count = 1
Time (Seconds) = 1.463e+00
                              Count = 2
Time (Seconds) = 1.678e+00
                              Count = 3
Time (Seconds) = 3.972e+00
                              Count = 4
Time (Seconds) = 4.150e+00
                              Count = 5
Time (Seconds) = 8.066e+00
                              Count = 6
Time (Seconds) = 8.731e+00
                              Count = 7
Time (Seconds) = 9.807e+00
                              Count = 8
Time (Seconds) = 1.078e+01
                              Count = 9
Time (Seconds) = 1.083e+01
                              Count = 10
```

. . .

In this example, there is an Emitter object that increments its counter at various random times and then emits the counter's value as a trace source.

```
Ptr<Emitter> emitter = CreateObject<Emitter> ();
Names::Add ("/Names/Emitter", emitter);
```

The following code is probing the Counter exported by the emitter object. This DoubleProbe is using a path in the configuration namespace to make the connection. Note that the emitter registered itself in the configuration namespace after it was created; otherwise, the ConnectByPath would not work.

```
Ptr<DoubleProbe> probe = CreateObject<DoubleProbe> ();
probe->SetName ("PathProbe");
Names::Add ("/Names/Probe", probe);

// Note, no return value is checked here.
probe->ConnectByPath ("/Names/Emitter/Counter");
```

Note that because there are no wildcards in the path used below, only 1 text file was created. This single text file is simply named "file-helper-example.txt", with no extra suffixes like you would see if there were wildcards in the path.

# 11.2.4 Scope and Limitations

Currently, only these Probes have been implemented and connected to the GnuplotHelper and to the FileHelper:

- BooleanProbe
- DoubleProbe
- Uinteger8Probe
- Uinteger16Probe
- Uinteger32Probe
- PacketProbe
- ApplicationPacketProbe
- Ipv4PacketProbe

These Probes, therefore, are the only ones available to be used in PlotProbe() and WriteProbe().

In the next few sections, we cover each of the fundamental object types (Probe, Collector, and Aggregator) in more detail, and show how they can be connected together using lower-level API.

# 11.3 Probes

This section details the functionalities provided by the Probe class to an *ns-3* simulation, and gives examples on how to code them in a program. This section is meant for users interested in developing simulations with the *ns-3* tools and using the Data Collection Framework, of which the Probe class is a part, to generate data output with their simulation's results.

#### 11.3.1 Probe Overview

A Probe object is supposed to be connected to a variable from the simulation whose values throughout the experiment are relevant to the user. The Probe will record what were values assumed by the variable throughout the simulation and pass such data to another member of the Data Collection Framework. While it is out of this section's scope to discuss what happens after the Probe produces its output, it is sufficient to say that, by the end of the simulation, the user will have detailed information about what values were stored inside the variable being probed during the simulation.

Typically, a Probe is connected to an *ns-3* trace source. In this manner, whenever the trace source exports a new value, the Probe consumes the value (and exports it downstream to another object via its own trace source).

The Probe can be thought of as kind of a filter on trace sources. The main reasons for possibly hooking to a Probe rather than directly to a trace source are as follows:

- Probes may be dynamically turned on and off during the simulation with calls to Enable() and Disable(). For example, the outputting of data may be turned off during the simulation warmup phase.
- Probes may perform operations on the data to extract values from more complicated structures; for instance, outputting the packet size value from a received ns3::Packet.
- Probes register a name in the ns3::Config namespace (using Names::Add ()) so that other objects may refer to them.
- Probes provide a static method that allows one to manipulate a Probe by name, such as what is done in ns2measure [Cic06]

```
Stat::put ("my_metric", ID, sample);
```

The ns-3 equivalent of the above ns2measure code is, e.g.

```
DoubleProbe::SetValueByPath ("/path/to/probe", sample);
```

## Creation

Note that a Probe base class object can not be created because it is an abstract base class, i.e. it has pure virtual functions that have not been implemented. An object of type DoubleProbe, which is a subclass of the Probe class, will be created here to show what needs to be done.

One declares a DoubleProbe in dynamic memory by using the smart pointer class (Ptr<T>). To create a DoubleProbe in dynamic memory with smart pointers, one just needs to call the *ns-3* method CreateObject():

```
Ptr<DoubleProbe> myprobe = CreateObject<DoubleProbe> ();
```

The declaration above creates DoubleProbes using the default values for its attributes. There are four attributes in the DoubleProbe class; two in the base class object DataCollectionObject, and two in the Probe base class:

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- "Name" (DataCollectionObject), a StringValue
- "Enabled" (DataCollectionObject), a BooleanValue
- "Start" (Probe), a TimeValue
- "Stop" (Probe), a TimeValue

One can set such attributes at object creation by using the following method:

```
Ptr<DoubleProbe> myprobe = CreateObjectWithAttributes<DoubleProbe> (
   "Name", StringValue ("myprobe"),
   "Enabled", BooleanValue (false),
   "Start", TimeValue (Seconds (100.0)),
   "Stop", TimeValue (Seconds (1000.0)));
```

Start and Stop are Time variables which determine the interval of action of the Probe. The Probe will only output data if the current time of the Simulation is inside of that interval. The special time value of 0 seconds for Stop will disable this attribute (i.e. keep the Probe on for the whole simulation). Enabled is a flag that turns the Probe on or off, and must be set to true for the Probe to export data. The Name is the object's name in the DCF framework.

## Importing and exporting data

*ns-3* trace sources are strongly typed, so the mechanisms for hooking Probes to a trace source and for exporting data belong to its subclasses. For instance, the default distribution of *ns-3* provides a class DoubleProbe that is designed to hook to a trace source exporting a double value. We'll next detail the operation of the DoubleProbe, and then discuss how other Probe classes may be defined by the user.

#### 11.3.2 DoubleProbe Overview

The DoubleProbe connects to a double-valued *ns-3* trace source, and itself exports a different double-valued *ns-3* trace source.

The following code, drawn from src/stats/examples/double-probe-example.cc, shows the basic operations of plumbing the DoubleProbe into a simulation, where it is probing a Counter exported by an emitter object (class Emitter).

```
Ptr<Emitter> emitter = CreateObject<Emitter> ();
Names::Add ("/Names/Emitter", emitter);
...

Ptr<DoubleProbe> probe1 = CreateObject<DoubleProbe> ();

// Connect the probe to the emitter's Counter
bool connected = probe1->ConnectByObject ("Counter", emitter);
```

The following code is probing the same Counter exported by the same emitter object. This DoubleProbe, however, is using a path in the configuration namespace to make the connection. Note that the emitter registered itself in the configuration namespace after it was created; otherwise, the ConnectByPath would not work.

```
Ptr<DoubleProbe> probe2 = CreateObject<DoubleProbe> ();
// Note, no return value is checked here
probe2->ConnectByPath ("/Names/Emitter/Counter");
```

The next DoubleProbe shown that is shown below will have its value set using its path in the configuration namespace. Note that this time the DoubleProbe registered itself in the configuration namespace after it was created.

```
Ptr<DoubleProbe> probe3 = CreateObject<DoubleProbe> ();
probe3->SetName ("StaticallyAccessedProbe");

// We must add it to the config database
Names::Add ("/Names/Probes", probe3->GetName (), probe3);
```

The emitter's Count() function is now able to set the value for this DoubleProbe as follows:

#### void

```
Emitter::Count (void)
{
    ...
    m_counter += 1.0;
    DoubleProbe::SetValueByPath ("/Names/StaticallyAccessedProbe", m_counter);
    ...
}
```

The above example shows how the code calling the Probe does not have to have an explicit reference to the Probe, but can direct the value setting through the Config namespace. This is similar in functionality to the *Stat::Put* method introduced by ns2measure paper [Cic06], and allows users to temporarily insert Probe statements like *printf* statements within existing *ns-3* models. Note that in order to be able to use the DoubleProbe in this example like this, 2 things were necessary:

- 1. the stats module header file was included in the example .cc file
- 2. the example was made dependent on the stats module in its wscript file.

Analogous things need to be done in order to add other Probes in other places in the ns-3 code base.

The values for the DoubleProbe can also be set using the function DoubleProbe::SetValue(), while the values for the DoubleProbe can be gotten using the function DoubleProbe::GetValue().

The DoubleProbe exports double values in its "Output" trace source; a downstream object can hook a trace sink (NotifyViaProbe) to this as follows:

```
connected = probe1->TraceConnect ("Output", probe1->GetName (), MakeCallback (&NotifyViaProbe));
```

## 11.3.3 Other probes

Besides the DoubleProbe, the following Probes are also available:

- Uinteger8Probe connects to an *ns-3* trace source exporting an uint8\_t.
- Uinteger16Probe connects to an *ns-3* trace source exporting an uint16\_t.
- Uinteger32Probe connects to an *ns-3* trace source exporting an uint32\_t.
- PacketProbe connects to an *ns-3* trace source exporting a packet.
- ApplicationPacketProbe connects to an ns-3 trace source exporting a packet and a socket address.
- Ipv4PacketProbe connects to an ns-3 trace source exporting a packet, an IPv4 object, and an interface.

# 11.3.4 Creating new Probe types

To create a new Probe type, you need to perform the following steps:

• Be sure that your new Probe class is derived from the Probe base class.

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- Be sure that the pure virtual functions that your new Probe class inherits from the Probe base class are implemented.
- Find an existing Probe class that uses a trace source that is closest in type to the type of trace source your Probe will be using.
- Copy that existing Probe class's header file (.h) and implementation file (.cc) to two new files with names matching your new Probe.
- Replace the types, arguments, and variables in the copied files with the appropriate type for your Probe.
- · Make necessary modifications to make the code compile and to make it behave as you would like.

# 11.3.5 Examples

Two examples will be discussed in detail here:

- Double Probe Example
- IPv4 Packet Plot Example

# **Double Probe Example**

The double probe example has been discussed previously. The example program can be found in src/stats/examples/double-probe-example.cc. To summarize what occurs in this program, there is an emitter that exports a counter that increments according to a Poisson process. In particular, two ways of emitting data are shown:

1. through a traced variable hooked to one Probe:

```
TracedValue < double > m_counter; // normally this would be integer type
```

2. through a counter whose value is posted to a second Probe, referenced by its name in the Config system:

#### void

```
Emitter::Count (void)
{
   NS_LOG_FUNCTION (this);
   NS_LOG_DEBUG ("Counting at " << Simulator::Now ().GetSeconds ());
   m_counter += 1.0;
   DoubleProbe::SetValueByPath ("/Names/StaticallyAccessedProbe", m_counter);
   Simulator::Schedule (Seconds (m_var->GetValue ()), &Emitter::Count, this);
}
```

Let's look at the Probe more carefully. Probes can receive their values in a multiple ways:

- 1. by the Probe accessing the trace source directly and connecting a trace sink to it
- 2. by the Probe accessing the trace source through the config namespace and connecting a trace sink to it
- 3. by the calling code explicitly calling the Probe's SetValue() method
- 4. by the calling code explicitly calling SetValueByPath ("/path/through/Config/namespace", ...)

The first two techniques are expected to be the most common. Also in the example, the hooking of a normal callback function is shown, as is typically done in *ns-3*. This callback function is not associated with a Probe object. We'll call this case 0) below.

```
// This is a function to test hooking a raw function to the trace source
NotifyViaTraceSource (std::string context, double oldVal, double newVal)
  NS_LOG_DEBUG ("context: " << context << " old " << oldVal << " new " << newVal);
First, the emitter needs to be setup:
Ptr<Emitter> emitter = CreateObject<Emitter> ();
Names::Add ("/Names/Emitter", emitter);
// The Emitter object is not associated with an ns-3 node, so
// it won't get started automatically, so we need to do this ourselves
Simulator::Schedule (Seconds (0.0), &Emitter::Start, emitter);
The various DoubleProbes interact with the emitter in the example as shown below.
Case 0):
    // The below shows typical functionality without a probe
    // (connect a sink function to a trace source)
    connected = emitter->TraceConnect ("Counter", "sample context", MakeCallback (&NotifyViaTraceSou
    NS_ASSERT_MSG (connected, "Trace source not connected");
case 1):
    // Probel will be hooked directly to the Emitter trace source object
    // probe1 will be hooked to the Emitter trace source
    Ptr<DoubleProbe> probe1 = CreateObject<DoubleProbe> ();
    // the probe's name can serve as its context in the tracing
    probe1->SetName ("ObjectProbe");
    // Connect the probe to the emitter's Counter
    connected = probe1->ConnectByObject ("Counter", emitter);
    NS_ASSERT_MSG (connected, "Trace source not connected to probel");
case 2):
    // Probe2 will be hooked to the Emitter trace source object by
    // accessing it by path name in the Config database
    // Create another similar probe; this will hook up via a Config path
    Ptr<DoubleProbe> probe2 = CreateObject<DoubleProbe> ();
    probe2->SetName ("PathProbe");
    // Note, no return value is checked here
    probe2->ConnectByPath ("/Names/Emitter/Counter");
case 4) (case 3 is not shown in this example):
    // Probe3 will be called by the emitter directly through the
    // static method SetValueByPath().
```

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```
//
Ptr<DoubleProbe> probe3 = CreateObject<DoubleProbe> ();
probe3->SetName ("StaticallyAccessedProbe");
// We must add it to the config database
Names::Add ("/Names/Probes", probe3->GetName (), probe3);
```

And finally, the example shows how the probes can be hooked to generate output:

The following callback is hooked to the Probe in this example for illustrative purposes; normally, the Probe would be hooked to a Collector object.

```
// This is a function to test hooking it to the probe output
void
NotifyViaProbe (std::string context, double oldVal, double newVal)
{
   NS_LOG_DEBUG ("context: " << context << " old " << oldVal << " new " << newVal);
}</pre>
```

### **IPv4 Packet Plot Example**

The IPv4 packet plot example is based on the fifth.cc example from the ns-3 Tutorial. It can be found in src/stats/examples/ipv4-packet-plot-example.cc.

```
node 0
               node 1
+----+
           +----+
 ns-3 TCP
             ns-3 TCP |
           +----+
           +----+
 10.1.1.1
             10.1.1.2
           +----+
           | point-to-point |
| point-to-point |
    +----+
```

We'll just look at the Probe, as it illustrates that Probes may also unpack values from structures (in this case, packets) and report those values as trace source outputs, rather than just passing through the same type of data.

There are other aspects of this example that will be explained later in the documentation. The two types of data that are exported are the packet itself (*Output*) and a count of the number of bytes in the packet (*OutputBytes*).

```
"The number of bytes in the packet",

MakeTraceSourceAccessor (&Ipv4PacketProbe::m_outputBytes))

;
return tid;
}
```

When the Probe's trace sink gets a packet, if the Probe is enabled, then it will output the packet on its *Output* trace source, but it will also output the number of bytes on the *OutputBytes* trace source.

#### void

```
Ipv4PacketProbe::TraceSink (Ptr<const Packet> packet, Ptr<Ipv4> ipv4, uint32_t interface)
{
    NS_LOG_FUNCTION (this << packet << ipv4 << interface);
    if (IsEnabled ())
    {
        m_packet = packet;
        m_ipv4 = ipv4;
        m_interface = interface;
        m_output (packet, ipv4, interface);

        uint32_t packetSizeNew = packet->GetSize ();
        m_outputBytes (m_packetSizeOld, packetSizeNew);
        m_packetSizeOld = packetSizeNew;
    }
}
```

### 11.3.6 References

### 11.4 Collectors

This section is a placeholder to detail the functionalities provided by the Collector class to an *ns-3* simulation, and gives examples on how to code them in a program.

**Note:** As of ns-3.18, Collectors are still under development and not yet provided as part of the framework.

# 11.5 Aggregators

This section details the functionalities provided by the Aggregator class to an *ns-3* simulation. This section is meant for users interested in developing simulations with the *ns-3* tools and using the Data Collection Framework, of which the Aggregator class is a part, to generate data output with their simulation's results.

# 11.5.1 Aggregator Overview

An Aggregator object is supposed to be hooked to one or more trace sources in order to receive input. Aggregators are the end point of the data collected by the network of Probes and Collectors during the simulation. It is the Aggregator's job to take these values and transform them into their final output format such as plain text files, spreadsheet files, plots, or databases.

Typically, an aggregator is connected to one or more Collectors. In this manner, whenever the Collectors' trace sources export new values, the Aggregator can process the value so that it can be used in the final output format where the data values will reside after the simulation.

Note the following about Aggregators:

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- Aggregators may be dynamically turned on and off during the simulation with calls to Enable() and Disable(). For example, the aggregating of data may be turned off during the simulation warmup phase, which means those values won't be included in the final output medium.
- Aggregators receive data from Collectors via callbacks. When a Collector is associated to an aggregator, a call to TraceConnect is made to establish the Aggregator's trace sink method as a callback.

To date, two Aggregators have been implemented:

- GnuplotAggregator
- FileAggregator

# 11.5.2 GnuplotAggregator

The GnuplotAggregator produces output files used to make gnuplots.

The GnuplotAggregator will create 3 different files at the end of the simulation:

- A space separated gnuplot data file
- A gnuplot control file
- A shell script to generate the gnuplot

#### Creation

An object of type GnuplotAggregator will be created here to show what needs to be done.

One declares a GnuplotAggregator in dynamic memory by using the smart pointer class (Ptr<T>). To create a GnuplotAggregator in dynamic memory with smart pointers, one just needs to call the *ns-3* method CreateObject(). The following code from src/stats/examples/gnuplot-aggregator-example.cc shows how to do this:

```
string fileNameWithoutExtension = "gnuplot-aggregator";

// Create an aggregator.

Ptr<GnuplotAggregator> aggregator =
   CreateObject<GnuplotAggregator> (fileNameWithoutExtension);
```

The first argument for the constructor, fileNameWithoutExtension, is the name of the gnuplot related files to write with no extension. This GnuplotAggregator will create a space separated gnuplot data file named "gnuplot-aggregator.dat", a gnuplot control file named "gnuplot-aggregator.plt", and a shell script to generate the gnuplot named + "gnuplot-aggregator.sh".

The gnuplot that is created can have its key in 4 different locations:

- No key
- Key inside the plot (the default)
- · Key above the plot
- · Key below the plot

The following gnuplot key location enum values are allowed to specify the key's position:

```
enum KeyLocation {
  NO_KEY,
  KEY_INSIDE,
  KEY_ABOVE,
```

```
KEY_BELOW
};
```

If it was desired to have the key below rather than the default position of inside, then you could do the following.

```
aggregator->SetKeyLocation(GnuplotAggregator::KEY_BELOW);
```

## **Examples**

One example will be discussed in detail here:

• Gnuplot Aggregator Example

### **Gnuplot Aggregator Example**

An example that exercises the GnuplotAggregator can be found in src/stats/examples/gnuplot-aggregator-example. The following 2-D gnuplot was created using the example.

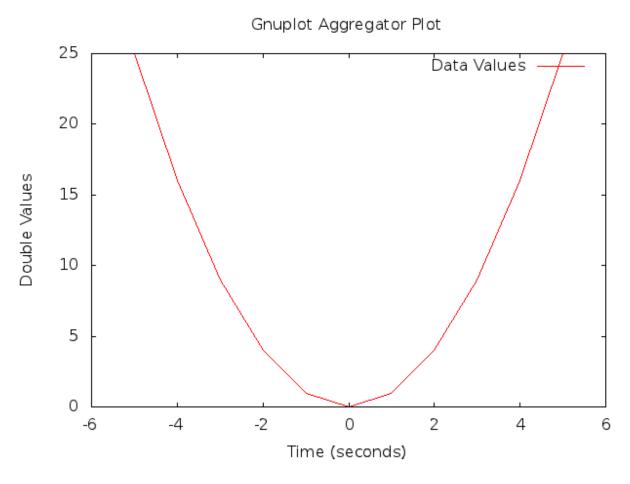


Figure 11.5: 2-D Gnuplot Created by gnuplot-aggregator-example.cc Example.

This code from the example shows how to construct the GnuplotAggregator as was discussed above.

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```
void Create2dPlot ()
{
   using namespace std;

   string fileNameWithoutExtension = "gnuplot-aggregator";
   string plotTitle = "Gnuplot Aggregator Plot";
   string plotXAxisHeading = "Time (seconds)";
   string plotYAxisHeading = "Double Values";
   string plotDatasetLabel = "Data Values";
   string datasetContext = "Dataset/Context/String";

// Create an aggregator.
Ptr<GnuplotAggregator> aggregator =
   CreateObject<GnuplotAggregator> (fileNameWithoutExtension);
```

Various GnuplotAggregator attributes are set including the 2-D dataset that will be plotted.

```
// Set the aggregator's properties.
aggregator->SetTerminal ("png");
aggregator->SetTitle (plotTitle);
aggregator->SetLegend (plotXAxisHeading, plotYAxisHeading);

// Add a data set to the aggregator.
aggregator->Add2dDataset (datasetContext, plotDatasetLabel);

// aggregator must be turned on
aggregator->Enable ();
```

Next, the 2-D values are calculated, and each one is individually written to the GnuplotAggregator using the Write2d() function.

```
double time;
double value;

// Create the 2-D dataset.
for (time = -5.0; time <= +5.0; time += 1.0)
{
    // Calculate the 2-D curve
    //
    // value = time .
    //
    value = time * time;

    // Add this point to the plot.
    aggregator->Write2d (datasetContext, time, value);
}

// Disable logging of data for the aggregator.
aggregator->Disable ();
```

### 11.5.3 FileAggregator

The FileAggregator sends the values it receives to a file.

The FileAggregator can create 4 different types of files:

- Formatted
- Space separated (the default)
- · Comma separated
- · Tab separated

Formatted files use C-style format strings and the sprintf() function to print their values in the file being written.

### Creation

An object of type FileAggregator will be created here to show what needs to be done.

One declares a FileAggregator in dynamic memory by using the smart pointer class (Ptr<T>). To create a FileAggregator in dynamic memory with smart pointers, one just needs to call the *ns-3* method CreateObject. The following code from src/stats/examples/file-aggregator-example.cc shows how to do this:

```
string fileName = "file-aggregator-formatted-values.txt";

// Create an aggregator that will have formatted values.

Ptr<FileAggregator> aggregator =
    CreateObject<FileAggregator> (fileName, FileAggregator::FORMATTED);
```

The first argument for the constructor, filename, is the name of the file to write; the second argument, fileType, is type of file to write. This FileAggregator will create a file named "file-aggregator-formatted-values.txt" with its values printed as specified by fileType, i.e., formatted in this case.

The following file type enum values are allowed:

```
enum FileType {
  FORMATTED,
   SPACE_SEPARATED,
  COMMA_SEPARATED,
  TAB_SEPARATED
};
```

### **Examples**

One example will be discussed in detail here:

· File Aggregator Example

### File Aggregator Example

An example that exercises the FileAggregator can be found in src/stats/examples/file-aggregator-example.cc.

The following text file with 2 columns of values separated by commas was created using the example.

```
-5,25
-4,16
-3,9
-2,4
-1,1
0,0
1,1
2,4
3,9
```

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```
4,16
5,25
```

This code from the example shows how to construct the FileAggregator as was discussed above.

```
void CreateCommaSeparatedFile ()
 using namespace std;
                       = "file-aggregator-comma-separated.txt";
 string fileName
 string datasetContext = "Dataset/Context/String";
  // Create an aggregator.
 Ptr<FileAggregator> aggregator =
   CreateObject<FileAggregator> (fileName, FileAggregator::COMMA_SEPARATED);
```

FileAggregator attributes are set.

```
// aggregator must be turned on
aggregator->Enable ();
```

Next, the 2-D values are calculated, and each one is individually written to the FileAggregator using the Write2d() function.

```
double time;
double value;
// Create the 2-D dataset.
for (time = -5.0; time <= +5.0; time += 1.0)
    // Calculate the 2-D curve
           value = time
   value = time * time;
    // Add this point to the plot.
   aggregator->Write2d (datasetContext, time, value);
// Disable logging of data for the aggregator.
aggregator->Disable ();
```

The following text file with 2 columns of formatted values was also created using the example.

```
Time = -5.000e+00
                     Value = 25
Time = -4.000e+00
                     Value = 16
Time = -3.000e+00
                     Value = 9
Time = -2.000e+00
                     Value = 4
Time = -1.000e+00
                     Value = 1
Time = 0.000e+00
                     Value = 0
Time = 1.000e+00
                     Value = 1
Time = 2.000e+00
                     Value = 4
Time = 3.000e+00
                     Value = 9
Time = 4.000e+00
                     Value = 16
Time = 5.000e+00
                     Value = 25
```

This code from the example shows how to construct the FileAggregator as was discussed above.

```
void CreateFormattedFile ()
{
   using namespace std;

   string fileName = "file-aggregator-formatted-values.txt";
   string datasetContext = "Dataset/Context/String";

// Create an aggregator that will have formatted values.
Ptr<FileAggregator> aggregator =
        CreateObject<FileAggregator> (fileName, FileAggregator::FORMATTED);
```

FileAggregator attributes are set, including the C-style format string to use.

```
// Set the format for the values.
aggregator->Set2dFormat ("Time = %.3e\tValue = %.0f");
// aggregator must be turned on
aggregator->Enable ();
```

Next, the 2-D values are calculated, and each one is individually written to the FileAggregator using the Write2d() function.

# 11.6 Adaptors

This section details the functionalities provided by the Adaptor class to an *ns-3* simulation. This section is meant for users interested in developing simulations with the *ns-3* tools and using the Data Collection Framework, of which the Adaptor class is a part, to generate data output with their simulation's results.

Note: the term 'adaptor' may also be spelled 'adapter'; we chose the spelling aligned with the C++ standard.

## 11.6.1 Adaptor Overview

An Adaptor is used to make connections between different types of DCF objects.

To date, one Adaptor has been implemented:

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TimeSeriesAdaptor

# 11.6.2 Time Series Adaptor

The TimeSeriesAdaptor lets Probes connect directly to Aggregators without needing any Collector in between.

Both of the implemented DCF helpers utilize TimeSeriesAdaptors in order to take probed values of different types and output the current time plus the value with both converted to doubles.

The role of the TimeSeriesAdaptor class is that of an adaptor, which takes raw-valued probe data of different types and outputs a tuple of two double values. The first is a timestamp, which may be set to different resolutions (e.g. Seconds, Milliseconds, etc.) in the future but which is presently hardcoded to Seconds. The second is the conversion of a non-double value to a double value (possibly with loss of precision).

# 11.7 Statistical Framework

This chapter outlines work on simulation data collection and the statistical framework for ns-3.

The source code for the statistical framework lives in the directory src/stats.

### 11.7.1 Goals

Primary objectives for this effort are the following:

- Provide functionality to record, calculate, and present data and statistics for analysis of network simulations.
- Boost simulation performance by reducing the need to generate extensive trace logs in order to collect data.
- Enable simulation control via online statistics, e.g. terminating simulations or repeating trials.

Derived sub-goals and other target features include the following:

- Integration with the existing ns-3 tracing system as the basic instrumentation framework of the internal simulation engine, e.g. network stacks, net devices, and channels.
- Enabling users to utilize the statistics framework without requiring use of the tracing system.
- Helping users create, aggregate, and analyze data over multiple trials.
- Support for user created instrumentation, e.g. of application specific events and measures.
- Low memory and CPU overhead when the package is not in use.
- Leveraging existing analysis and output tools as much as possible. The framework may provide some basic statistics, but the focus is on collecting data and making it accessible for manipulation in established tools.
- Eventual support for distributing independent replications is important but not included in the first round of features.

### 11.7.2 Overview

The statistics framework includes the following features:

- The core framework and two basic data collectors: A counter, and a min/max/avg/total observer.
- Extensions of those to easily work with times and packets.
- Plaintext output formatted for OMNet++.

- Database output using SQLite, a standalone, lightweight, high performance SQL engine.
- Mandatory and open ended metadata for describing and working with runs.
- An example based on the notional experiment of examining the properties of NS-3's default ad hoc WiFi performance. It incorporates the following:
  - Constructs of a two node ad hoc WiFi network, with the nodes a parameterized distance apart.
  - UDP traffic source and sink applications with slightly different behavior and measurement hooks than the stock classes.
  - Data collection from the NS-3 core via existing trace signals, in particular data on frames transmitted and received by the WiFi MAC objects.
  - Instrumentation of custom applications by connecting new trace signals to the stat framework, as well as
    via direct updates. Information is recorded about total packets sent and received, bytes transmitted, and
    end-to-end delay.
  - An example of using packet tags to track end-to-end delay.
  - A simple control script which runs a number of trials of the experiment at varying distances and queries the resulting database to produce a graph using GNUPlot.

### 11.7.3 To-Do

High priority items include:

- Inclusion of online statistics code, e.g. for memory efficient confidence intervals.
- Provisions in the data collectors for terminating runs, i.e. when a threshold or confidence is met.
- Data collectors for logging samples over time, and output to the various formats.
- Demonstrate writing simple cyclic event glue to regularly poll some value.

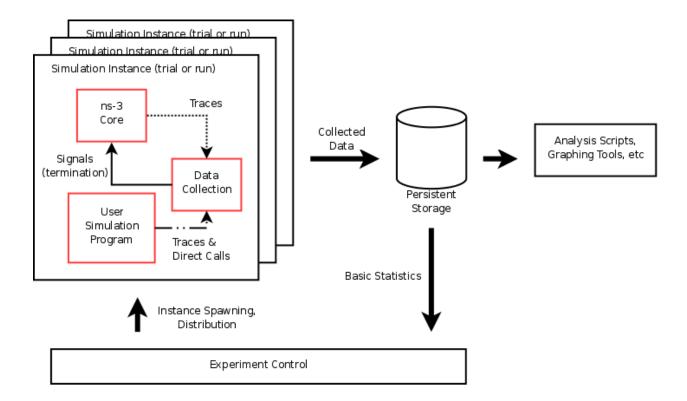
Each of those should prove straightforward to incorporate in the current framework.

# 11.7.4 Approach

The framework is based around the following core principles:

- One experiment trial is conducted by one instance of a simulation program, whether in parallel or serially.
- A control script executes instances of the simulation, varying parameters as necessary.
- Data is collected and stored for plotting and analysis using external scripts and existing tools.
- Measures within the ns-3 core are taken by connecting the stat framework to existing trace signals.
- Trace signals or direct manipulation of the framework may be used to instrument custom simulation code.

Those basic components of the framework and their interactions are depicted in the following figure.



# **11.7.5 Example**

This section goes through the process of constructing an experiment in the framework and producing data for analysis (graphs) from it, demonstrating the structure and API along the way.

### Question

"What is the (simulated) performance of ns-3's WiFi NetDevices (using the default settings)? How far apart can wireless nodes be in a simulation before they cannot communicate reliably?"

• Hypothesis: Based on knowledge of real life performance, the nodes should communicate reasonably well to at least 100m apart. Communication beyond 200m shouldn't be feasible.

Although not a very common question in simulation contexts, this is an important property of which simulation developers should have a basic understanding. It is also a common study done on live hardware.

### **Simulation Program**

The first thing to do in implementing this experiment is developing the simulation program. The code for this example can be found in examples/stats/wifi-example-sim.cc. It does the following main steps.

• Declaring parameters and parsing the command line using ns3::CommandLine.

```
double distance = 50.0;
string format ("OMNet++");
string experiment ("wifi-distance-test");
string strategy ("wifi-default");
string runID;
CommandLine cmd;
```

• Creating nodes and network stacks using ns3::NodeContainer, ns3::WiFiHelper, and ns3::InternetStackHelper.

```
NodeContainer nodes;
nodes.Create(2);
WifiHelper wifi;
wifi.SetMac("ns3::AdhocWifiMac");
wifi.SetPhy("ns3::WifiPhy");
NetDeviceContainer nodeDevices = wifi.Install(nodes);
InternetStackHelper internet;
internet.Install(nodes);
Ipv4AddressHelper ipAddrs;
ipAddrs.SetBase("192.168.0.0", "255.255.255.0");
ipAddrs.Assign(nodeDevices);
```

• Positioning the nodes using ns3::MobilityHelper. By default the nodes have static mobility and won't move, but must be positioned the given distance apart. There are several ways to do this; it is done here using ns3::ListPositionAllocator, which draws positions from a given list.

```
MobilityHelper mobility;
Ptr<ListPositionAllocator> positionAlloc =
    CreateObject<ListPositionAllocator>();
positionAlloc->Add(Vector(0.0, 0.0, 0.0));
positionAlloc->Add(Vector(0.0, distance, 0.0));
mobility.SetPositionAllocator(positionAlloc);
mobility.Install(nodes);
```

• Installing a traffic generator and a traffic sink. The stock Applications could be used, but the example includes custom objects in src/test/test02-apps.(cc|h). These have a simple behavior, generating a given number of packets spaced at a given interval. As there is only one of each they are installed manually; for a larger set the ns3::ApplicationHelper class could be used. The commented-out Config::Set line changes the destination of the packets, set to broadcast by default in this example. Note that in general WiFi may have different performance for broadcast and unicast frames due to different rate control and MAC retransmission policies.

• Configuring the data and statistics to be collected. The basic paradigm is that an ns3::DataCollector object is created to hold information about this particular run, to which observers and calculators are attached to

actually generate data. Importantly, run information includes labels for the "experiment", "strategy", "input", and "run". These are used to later identify and easily group data from multiple trials.

- The experiment is the study of which this trial is a member. Here it is on WiFi performance and distance.
- The strategy is the code or parameters being examined in this trial. In this example it is fixed, but an
  obvious extension would be to investigate different WiFi bit rates, each of which would be a different
  strategy.
- The input is the particular problem given to this trial. Here it is simply the distance between the two nodes.
- The runID is a unique identifier for this trial with which it's information is tagged for identification in later analysis. If no run ID is given the example program makes a (weak) run ID using the current time.

Those four pieces of metadata are required, but more may be desired. They may be added to the record using the ns3::DataCollector::AddMetadata() method.

```
DataCollector data;
data.DescribeRun(experiment, strategy, input, runID);
data.AddMetadata("author", "tjkopena");
```

Actual observation and calculating is done by ns3::DataCalculator objects, of which several different types exist. These are created by the simulation program, attached to reporting or sampling code, and then registered with the ns3::DataCollector so they will be queried later for their output. One easy observation mechanism is to use existing trace sources, for example to instrument objects in the ns-3 core without changing their code. Here a counter is attached directly to a trace signal in the WiFi MAC layer on the target node.

Calculators may also be manipulated directly. In this example, a counter is created and passed to the traffic sink application to be updated when packets are received.

```
Ptr<CounterCalculator<> > appRx = CreateObject<CounterCalculator<> >();
appRx->SetKey("receiver-rx-packets");
receiver->SetCounter(appRx);
data.AddDataCalculator(appRx);
```

To increment the count, the sink's packet processing code then calls one of the calculator's update methods.

```
m_calc->Update();
```

The program includes several other examples as well, using both the primitive calculators such as ns3::CounterCalculator and those adapted for observing packets and times. In src/test/test02-apps.(cc|h) it also creates a simple custom tag which it uses to track end-to-end delay for generated packets, reporting results to a ns3::TimeMinMaxAvgTotalCalculator data calculator.

• Running the simulation, which is very straightforward once constructed.

```
Simulator::Run();
```

• Generating either OMNet++ or SQLite output, depending on the command line arguments. To do this a ns3::DataOutputInterface object is created and configured. The specific type of this will determine the output format. This object is then given the ns3::DataCollector object which it interrogates to produce the output.

```
Ptr<DataOutputInterface> output;
if (format == "OMNet++") {
   NS_LOG_INFO("Creating OMNet++ formatted data output.");
   output = CreateObject<OmnetDataOutput>();
} else {
   ifdef STAT_USE_DB
    NS_LOG_INFO("Creating SQLite formatted data output.");
   output = CreateObject<SqliteDataOutput>();
   endif
}
output->Output(data);
```

• Freeing any memory used by the simulation. This should come at the end of the main function for the example.

```
Simulator::Destroy();
```

### Logging

To see what the example program, applications, and stat framework are doing in detail, set the NS\_LOG variable appropriately. The following will provide copious output from all three.

```
$ export NS_LOG=WiFiDistanceExperiment:WiFiDistanceApps
```

Note that this slows down the simulation extraordinarily.

### **Sample Output**

Compiling and simply running the test program will append OMNet++ formatted output such as the following to data.sca.

```
run run-1212239121
attr experiment "wifi-distance-test"
attr strategy "wifi-default"
attr input "50"
attr description ""
attr "author" "tjkopena"
scalar wifi-tx-frames count 30
scalar wifi-rx-frames count 30
scalar sender-tx-packets count 30
scalar receiver-rx-packets count 30
scalar tx-pkt-size count 30
scalar tx-pkt-size total 1920
scalar tx-pkt-size average 64
scalar tx-pkt-size max 64
scalar tx-pkt-size min 64
scalar delay count 30
scalar delay total 5884980ns
scalar delay average 196166ns
scalar delay max 196166ns
scalar delay min 196166ns
```

### **Control Script**

In order to automate data collection at a variety of inputs (distances), a simple Bash script is used to execute a series of simulations. It can be found at examples/stats/wifi-example-db.sh. The script is meant to be run from the examples/stats/directory.

The script runs through a set of distances, collecting the results into an SQLite database. At each distance five trials are conducted to give a better picture of expected performance. The entire experiment takes only a few dozen seconds to run on a low end machine as there is no output during the simulation and little traffic is generated.

```
#!/bin/sh
DISTANCES="25 50 75 100 125 145 147 150 152 155 157 160 162 165 167 170 172 175 177 180"
TRIALS="1 2 3 4 5"
echo WiFi Experiment Example
if [ -e data.db ]
then
  echo Kill data.db?
  read ANS
  if [ "$ANS" = "yes" -o "$ANS" = "y" ]
   echo Deleting database
   rm data.db
  fi
fi
for trial in $TRIALS
  for distance in $DISTANCES
    echo Trial $trial, distance $distance
    ./bin/test02 --format=db --distance=$distance --run=run-$distance-$trial
  done
done
```

#### **Analysis and Conclusion**

Once all trials have been conducted, the script executes a simple SQL query over the database using the SQLite command line program. The query computes average packet loss in each set of trials associated with each distance. It does not take into account different strategies, but the information is present in the database to make some simple extensions and do so. The collected data is then passed to GNUPlot for graphing.

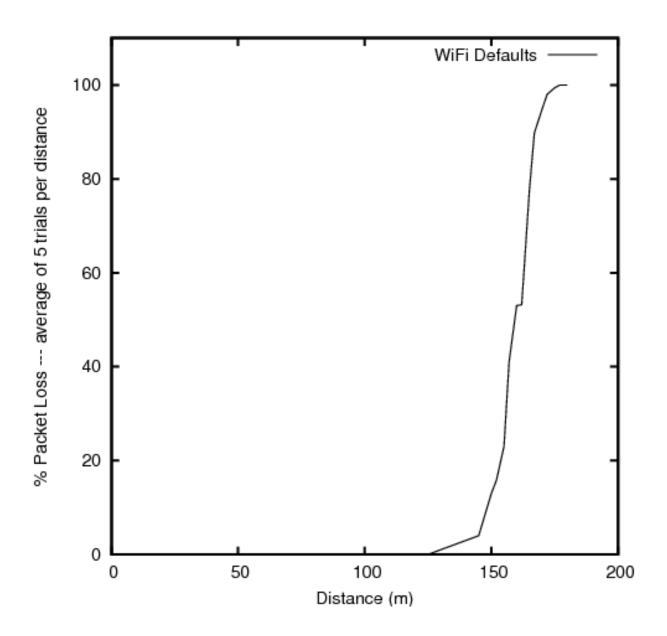
```
CMD="select exp.input,avg(100-((rx.value*100)/tx.value)) \
    from Singletons rx, Singletons tx, Experiments exp \
    where rx.run = tx.run AND \
        rx.run = exp.run AND \
        rx.name='receiver-rx-packets' AND \
        tx.name='sender-tx-packets' \
    group by exp.input \
    order by abs(exp.input) ASC;"

sqlite3 -noheader data.db "$CMD" > wifi-default.data
sed -i "s/|/ " wifi-default.data
gnuplot wifi-example.gnuplot
```

The GNUPlot script found at examples/stats/wifi-example.gnuplot simply defines the output format and some basic formatting for the graph.

### **End Result**

The resulting graph provides no evidence that the default WiFi model's performance is necessarily unreasonable and lends some confidence to an at least token faithfulness to reality. More importantly, this simple investigation has been carried all the way through using the statistical framework. Success!



# 11.8 Scope/Limitations

This section discusses the scope and limitations of the Data Collection Framework.

Currently, only these Probes have been implemented in DCF:

- BooleanProbe
- DoubleProbe
- Uinteger8Probe
- Uinteger16Probe
- Uinteger32Probe
- PacketProbe

- ApplicationPacketProbe
- Ipv4PacketProbe

Currently, no Collectors are available in the DCF, although a BasicStatsCollector is under development.

Currently, only these Aggregators have been implemented in DCF:

- GnuplotAggregator
- FileAggregator

Currently, only this Adaptor has been implemented in DCF:

Time-Series Adaptor.

## 11.8.1 Future Work

This section discusses the future work to be done on the Data Collection Framework.

Here are some things that still need to be done:

- Hook up more trace sources in *ns-3* code to get more values out of the simulator.
- Implement more types of Probes than there currently are.
- Implement more than just the single current 2-D Collector, BasicStatsCollector.
- Implement more Aggregators.
- Implement more than just Adaptors.

# **DSDV ROUTING**

Destination-Sequenced Distance Vector (DSDV) routing protocol is a pro-active, table-driven routing protocol for MANETs developed by Charles E. Perkins and Pravin Bhagwat in 1994. It uses the hop count as metric in route selection.

This model was developed by the ResiliNets research group at the University of Kansas. A paper on this model exists at this URL.

# 12.1 DSDV Routing Overview

DSDV Routing Table: Every node will maintain a table listing all the other nodes it has known either directly or through some neighbors. Every node has a single entry in the routing table. The entry will have information about the node's IP address, last known sequence number and the hop count to reach that node. Along with these details the table also keeps track of the nexthop neighbor to reach the destination node, the timestamp of the last update received for that node.

The DSDV update message consists of three fields, Destination Address, Sequence Number and Hop Count.

Each node uses 2 mechanisms to send out the DSDV updates. They are,

- 1. **Periodic Updates** Periodic updates are sent out after every m\_periodicUpdateInterval(default:15s). In this update the node broadcasts out its entire routing table.
- 2. Trigger Updates Trigger Updates are small updates in-between the periodic updates. These updates are sent out whenever a node receives a DSDV packet that caused a change in its routing table. The original paper did not clearly mention when for what change in the table should a DSDV update be sent out. The current implemntation sends out an update irrespective of the change in the routing table.

The updates are accepted based on the metric for a particular node. The first factor determining the acceptance of an update is the sequence number. It has to accept the update if the sequence number of the update message is higher irrespective of the metric. If the update with same sequence number is received, then the update with least metric (hopCount) is given precedence.

In highly mobile scenarios, there is a high chance of route fluctuations, thus we have the concept of weighted settling time where an update with change in metric will not be advertised to neighbors. The node waits for the settling time to make sure that it did not receive the update from its old neighbor before sending out that update.

The current implementation covers all the above features of DSDV. The current implementation also has a request queue to buffer packets that have no routes to destination. The default is set to buffer up to 5 packets per destination.

# 12.2 References

Link to the Paper: http://portal.acm.org/citation.cfm?doid=190314.190336

# **DSR ROUTING**

Dynamic Source Routing (DSR) protocol is a reactive routing protocol designed specifically for use in multi-hop wireless ad hoc networks of mobile nodes.

This model was developed by the ResiliNets research group at the University of Kansas.

# 13.1 DSR Routing Overview

This model implements the base specification of the Dynamic Source Routing (DSR) protocol. Implementation is based on RFC 4728, with some extensions and modifications to the RFC specifications.

DSR operates on a on-demand behavior. Therefore, our DSR model buffers all packets while a route request packet (RREQ) is disseminated. We implement a packet buffer in dsr-rsendbuff.cc. The packet queue implements garbage collection of old packets and a queue size limit. When the packet is sent out from the send buffer, it will be queued in maintenance buffer for next hop acknowledgment.

The maintenance buffer then buffers the already sent out packets and waits for the notification of packet delivery. Protocol operation strongly depends on broken link detection mechanism. We implement the three heuristics recommended based the RFC as follows:

First, we use link layer feedback when possible, which is also the fastest mechanism of these three to detect link errors. A link is considered to be broken if frame transmission results in a transmission failure for all retries. This mechanism is meant for active links and works much faster than in its absence. DSR is able to detect the link layer transmission failure and notify that as broken. Recalculation of routes will be triggered when needed. If user does not want to use link layer acknowledgment, it can be tuned by setting "LinkAcknowledgment" attribute to false in "dsr-routing.cc".

Second, passive acknowledgment should be used whenever possible. The node turns on "promiscuous" receive mode, in which it can receive packets not destined for itself, and when the node assures the delivery of that data packet to its destination, it cancels the passive acknowledgment timer.

Last, we use a network layer acknowledge scheme to notify the receipt of a packet. Route request packet will not be acknowledged or retransmitted.

The Route Cache implementation support garbage collection of old entries and state machine, as defined in the standard. It implements as a STL map container. The key is the destination IP address.

DSR operates with direct access to IP header, and operates between network and transport layer. When packet is sent out from transport layer, it passes itself to DSR and DSR header is appended.

We have two caching mechanisms: path cache and link cache. The path cache saves the whole path in the cache. The paths are sorted based on the hop count, and whenever one path is not able to be used, we change to the next path. The link cache is a slightly better design in the sense that it uses different subpaths and uses Implemented Link Cache using Dijsktra algorithm, and this part is implemented by Song Luan <\super@mail.ustc.edu.cn>.

The following optional protocol optimizations aren't implemented:

- · Flow state
- First Hop External (F), Last Hop External (L) flags
- Handling unknown DSR options
- Two types of error headers:
  - 1. flow state not supported option
  - 2. unsupported option (not going to happen in simulation)

## 13.1.1 DSR update in ns-3.17

We originally used "TxErrHeader" in Ptr<WifiMac> to indicate the transmission error of a specific packet in link layer, however, it was not working quite correctly since even when the packet was dropped, this header was not recorded in the trace file. We used to a different path on implementing the link layer notification mechanism. We look into the trace file by finding packet receive event. If we find one receive event for the data packet, we count that as the indicator for successful data delivery.

## 13.1.2 Useful parameters

<b></b>	<b>.</b>	
Parameter	Description	Default
MaxSendBuffLen	Maximum number of packets that can   be stored in send buffer	, ,
MaxSendBuffTime	Maximum time packets can be queued   in the send buffer	Seconds(30)
MaxMaintLen	Maximum number of packets that can     be stored in maintenance buffer	50
MaxMaintTime	Maximum time packets can be queued     in maintenance buffer	Seconds(30)
MaxCacheLen	Maximum number of route entries   that can be stored in route cache	64
RouteCacheTimeout	Maximum time the route cache can   be queued in route cache	Seconds (300)   
RreqRetries	Maximum number of retransmissions   for request discovery of a route	16
CacheType	Use Link Cache or use Path Cache	LinkCache"
LinkAcknowledgment	Enable Link layer acknowledgment   mechanism	True
· ·	I .	

# 13.1.3 Implementation modification

- The DsrFsHeader has added 3 fields: message type, source id, destination id, and these changes only for post-processing
  - 1. Message type is used to identify the data packet from control packet
  - 2. source id is used to identify the real source of the data packet since we have to deliver the packet hop-by-hop and the ipv4header is not carrying the real source and destination ip address as needed
  - 3. destination id is for same reason of above
- · Route Reply header is not word-aligned in DSR RFC, change it to word-aligned in implementation
- DSR works as a shim header between transport and network protocol, it needs its own forwarding mechanism,
  we are changing the packet transmission to hop-by-hop delivery, so we added two fields in dsr fixed header to
  notify packet delivery

# 13.1.4 Current Route Cache implementation

This implementation used "path cache", which is simple to implement and ensures loop-free paths:

- the path cache has automatic expire policy
- the cache saves multiple route entries for a certain destination and sort the entries based on hop counts
- the MaxEntriesEachDst can be tuned to change the maximum entries saved for a single destination
- when adding mulitiple routes for one destination, the route is compared based on hop-count and expire time, the one with less hop count or relatively new route is favored
- Future implementation may include "link cache" as another possibility

## 13.2 DSR Instructions

The following should be kept in mind when running DSR as routing protocol:

- NodeTraversalTime is the time it takes to traverse two neighboring nodes and should be chosen to fit the transmission range
- PassiveAckTimeout is the time a packet in maintenance buffer wait for passive acknowledgment, normally set as two times of NodeTraversalTime
- RouteCacheTimeout should be set smaller value when the nodes' velocity become higher. The default value is 300s.

# 13.3 Helper

To have a node run DSR, the easiest way would be to use the DsrHelper and DsrMainHelpers in your simulation script. For instance:

```
DsrHelper dsr;
DsrMainHelper dsrMain;
dsrMain.Install (dsr, adhocNodes);
```

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The example scripts inside src/dsr/examples/ demonstrate the use of DSR based nodesin different scenarios. The helper source can be found inside src/dsr/helper/dsr-main-helper.{h,cc} and src/dsr/helper/dsr-helper.{h,cc}

# 13.4 Examples

The example can be found in src/dsr/examples/:

• dsr.cc use DSR as routing protocol within a traditional MANETs environment[3].

DSR is also built in the routing comparison case in examples/routing/:

• manet-routing-compare.cc is a comparison case with built in MANET routing protocols and can generate its own results.

# 13.5 Validation

This model has been tested as follows:

- Unit tests have been written to verify the internals of DSR. This can be found in src/dsr/test/dsr-test-suite.cc. These tests verify whether the methods inside DSR module which deal with packet buffer, headers work correctly.
- Simulation cases similar to [3] have been tested and have comparable results.
- manet-routing-compare.cc has been used to compare DSR with three of other routing protocols.

A paper was presented on these results at the Workshop on ns-3 in 2011.

## 13.6 Limitations

The model is not fully compliant with RFC 4728. As an example, Dsr fixed size header has been extended and it is four octects longer then the RFC specification. As a consequence, the DSR headers can not be correctly decoded by Wireshark.

The model full compliance with the RFC is planned for the future.

# 13.7 References

- [1] Original paper: http://www.monarch.cs.rice.edu/monarch-papers/dsr-chapter00.pdf
- [2] RFC 4728 http://www6.ietf.org/rfc/rfc4728.txt
- [3] Broch's comparison paper: http://www.monarch.cs.rice.edu/monarch-papers/mobicom98.ps

# **EMULATION OVERVIEW**

ns-3 has been designed for integration into testbed and virtual machine environments. We have addressed this need by providing two kinds of net devices. The first kind of device is a file descriptor net device (FdNetDevice), which is a generic device type that can read and write from a file descriptor. By associating this file descriptor with different things on the host system, different capabilities can be provided. For instance, the FdNetDevice can be associated with an underlying packet socket to provide emulation capabilities. This allows ns-3 simulations to send data on a "real" network. The second kind, called a Tap NetDevice allows a "real" host to participate in an ns-3 simulation as if it were one of the simulated nodes. An ns-3 simulation may be constructed with any combination of simulated or emulated devices.

**Note:** Prior to ns-3.17, the emulation capability was provided by a special device called an Emu NetDevice; the Emu NetDevice has been superseded by the FdNetDevice, and will be deprecated and removed in future revisions of ns-3.

One of the use-cases we want to support is that of a testbed. A concrete example of an environment of this kind is the ORBIT testbed. ORBIT is a laboratory emulator/field trial network arranged as a two dimensional grid of 400 802.11 radio nodes. We integrate with ORBIT by using their "imaging" process to load and run *ns-3* simulations on the ORBIT array. We can use our EmuFdNetDevice to drive the hardware in the testbed and we can accumulate results either using the *ns-3* tracing and logging functions, or the native ORBIT data gathering techniques. See http://www.orbitlab.org/ for details on the ORBIT testbed.

A simulation of this kind is shown in the following figure:

You can see that there are separate hosts, each running a subset of a "global" simulation. Instead of an *ns-3* channel connecting the hosts, we use real hardware provided by the testbed. This allows *ns-3* applications and protocol stacks attached to a simulation node to communicate over real hardware.

We expect the primary use for this configuration will be to generate repeatable experimental results in a real-world network environment that includes all of the *ns-3* tracing, logging, visualization and statistics gathering tools.

In what can be viewed as essentially an inverse configuration, we allow "real" machines running native applications and protocol stacks to integrate with an *ns-3* simulation. This allows for the simulation of large networks connected to a real machine, and also enables virtualization. A simulation of this kind is shown in the following figure:

Here, you will see that there is a single host with a number of virtual machines running on it. An *ns-3* simulation is shown running in the virtual machine shown in the center of the figure. This simulation has a number of nodes with associated *ns-3* applications and protocol stacks that are talking to an *ns-3* channel through native simulated *ns-3* net devices.

There are also two virtual machines shown at the far left and far right of the figure. These VMs are running native (Linux) applications and protocol stacks. The VM is connected into the simulation by a Linux Tap net device. The user-mode handler for the Tap device is instantiated in the simulation and attached to a proxy node that represents the native VM in the simulation. These handlers allow the Tap devices on the native VMs to behave as if they were *ns-3* net devices in the simulation VM. This, in turn, allows the native software and protocol suites in the native VMs to believe that they are connected to the simulated *ns-3* channel.

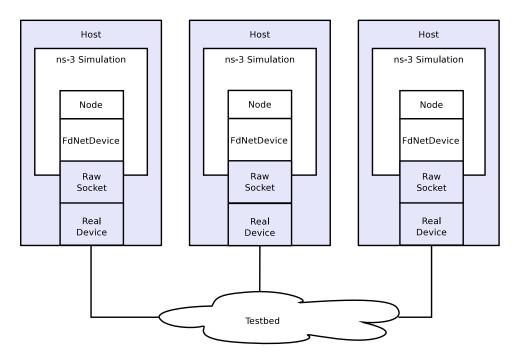


Figure 14.1: Example Implementation of Testbed Emulation.

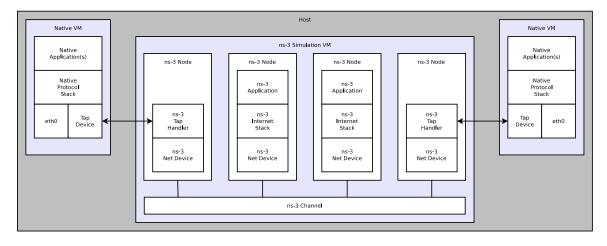


Figure 14.2: Implementation overview of emulated channel.

We expect the typical use case for this environment will be to analyze the behavior of native applications and protocol suites in the presence of large simulated *ns-3* networks.

# 14.1 Emu NetDevice

**Note:** The EmuNetDevice is being deprecated as of ns-3.17. The *File Descriptor NetDevice* and EmuFdNetDeviceHelper class replaces this functionality and API entirely.

### 14.1.1 Behavior

The Emu net device allows a simulation node to send and receive packets over a real network. The emulated net device relies on a specified interface being in promiscuous mode. It opens a raw socket and binds to that interface. We perform MAC spoofing to separate simulation network traffic from other network traffic that may be flowing to and from the host.

One can use the Emu net device in a testbed situation where the host on which the simulation is running has a specific interface of interest which drives the testbed hardware. You would also need to set this specific interface into promiscuous mode and provide an appropriate device name to the *ns-3* emulated net device. An example of this environment is the ORBIT testbed as described above.

The Emu net device only works if the underlying interface is up and in promiscuous mode. Packets will be sent out over the device, but we use MAC spoofing. The MAC addresses will be generated (by default) using the Organizationally Unique Identifier (OUI) 00:00:00 as a base. This vendor code is not assigned to any organization and so should not conflict with any real hardware.

It is always up to the user to determine that using these MAC addresses is okay on your network and won't conflict with anything else (including another simulation using Emu devices) on your network. If you are using the emulated net device in separate simulations you must consider global MAC address assignment issues and ensure that MAC addresses are unique across all simulations. The emulated net device respects the MAC address provided in the SetAddress method so you can do this manually. For larger simulations, you may want to set the OUI in the MAC address allocation function.

IP addresses corresponding to the emulated net devices are the addresses generated in the simulation, which are generated in the usual way via helper functions. Since we are using MAC spoofing, there will not be a conflict between *ns-3* network stacks and any native network stacks.

The emulated net device comes with a helper function as all *ns-3* devices do. One unique aspect is that there is no channel associated with the underlying medium. We really have no idea what this external medium is, and so have not made an effort to model it abstractly. The primary thing to be aware of is the implication this has for IPv4 global routing. The global router module attempts to walk the channels looking for adjacent networks. Since there is no channel, the global router will be unable to do this and you must then use a dynamic routing protocol such as OLSR to include routing in Emu-based networks.

### 14.1.2 Usage

Any mixing of *ns-3* objects with real objects will typically require that *ns-3* compute checksums in its protocols. By default, checksums are not computed by *ns-3*. To enable checksums (e.g. UDP, TCP, IP), users must set the attribute ChecksumEnabled to true, such as follows:

```
GlobalValue::Bind ("ChecksumEnabled", BooleanValue (true));
```

The usage of the Emu net device is straightforward once the network of simulations has been configured. Since most of the work involved in working with this device is in network configuration before even starting a simulation, you

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may want to take a moment to review a couple of HOWTO pages on the *ns-3* wiki that describe how to set up a virtual test network using VMware and how to run a set of example (client server) simulations that use Emu net devices.

- http://www.nsnam.org/wiki/HOWTO\_use\_VMware\_to\_set\_up\_virtual\_networks\_(Windows)
- http://www.nsnam.org/wiki/HOWTO\_use\_ns-3\_scripts\_to\_drive\_real\_hardware\_(experimental)

Once you are over the configuration hurdle, the script changes required to use an Emu device are trivial. The main structural difference is that you will need to create an *ns-3* simulation script for each node. In the case of the HOWTOs above, there is one client script and one server script. The only "challenge" is to get the addresses set correctly.

Just as with all other *ns-3* net devices, we provide a helper class for the Emu net device. The following code snippet illustrates how one would declare an EmuHelper and use it to set the "DeviceName" attribute to "eth1" and install Emu devices on a group of nodes. You would do this on both the client and server side in the case of the HOWTO seen above.:

```
EmuHelper emu;
emu.SetAttribute ("DeviceName", StringValue ("eth1"));
NetDeviceContainer d = emu.Install (n);
```

The only other change that may be required is to make sure that the address spaces (MAC and IP) on the client and server simulations are compatible. First the MAC address is set to a unique well-known value in both places (illustrated here for one side).:

```
//
// We've got the devices in place. Since we're using MAC address
// spoofing under the sheets, we need to make sure that the MAC addresses
// we have assigned to our devices are unique. Ns-3 will happily
// automatically assign the same MAC address to the devices in both halves
// of our two-script pair, so let's go ahead and just manually change them
// to something we ensure is unique.
//
Ptr<NetDevice> nd = d.Get (0);
Ptr<EmuNetDevice> ed = nd->GetObject<EmuNetDevice> ();
ed->SetAddress ("00:00:00:00:00:02");
```

And then the IP address of the client or server is set in the usual way using helpers.:

```
//
// We've got the "hardware" in place. Now we need to add IP addresses.
// This is the server half of a two-script pair. We need to make sure
// that the addressing in both of these applications is consistent, so
// we use provide an initial address in both cases. Here, the client
// will reside on one machine running ns-3 with one node having ns-3
// with IP address "10.1.1.2" and talk to a server script running in
// another ns-3 on another computer that has an ns-3 node with IP
// address "10.1.1.3"
//
Ipv4AddressHelper ipv4;
ipv4.SetBase ("10.1.1.0", "255.255.255.0", "0.0.0.2");
Ipv4InterfaceContainer i = ipv4.Assign (d);
```

You will use application helpers to generate traffic exactly as you do in any *ns-3* simulation script. Note that the server address shown below in a snippet from the client, must correspond to the IP address assigned to the server node similarly to the snippet above.:

```
uint32_t packetSize = 1024;
uint32_t maxPacketCount = 2000;
Time interPacketInterval = Seconds (0.001);
UdpEchoClientHelper client ("10.1.1.3", 9);
```

```
client.SetAttribute ("MaxPackets", UintegerValue (maxPacketCount));
client.SetAttribute ("Interval", TimeValue (interPacketInterval));
client.SetAttribute ("PacketSize", UintegerValue (packetSize));
ApplicationContainer apps = client.Install (n.Get (0));
apps.Start (Seconds (1.0));
apps.Stop (Seconds (2.0));
```

The Emu net device and helper provide access to ASCII and pcap tracing functionality just as other *ns-3* net devices to. You enable tracing similarly to these other net devices:

```
EmuHelper::EnablePcapAll ("emu-udp-echo-client");
```

For examples that use the Emu net device, see src/emu/examples/emu-udp-echo.cc and src/emu/examples/emu-ping.cc in the repository.

# 14.1.3 Implementation

Perhaps the most unusual part of the Emu and Tap device implementation relates to the requirement for executing some of the code with super-user permissions. Rather than force the user to execute the entire simulation as root, we provide a small "creator" program that runs as root and does any required high-permission sockets work.

We do a similar thing for both the Emu and the Tap devices. The high-level view is that the CreateSocket method creates a local interprocess (Unix) socket, forks, and executes the small creation program. The small program, which runs as suid root, creates a raw socket and sends back the raw socket file descriptor over the Unix socket that is passed to it as a parameter. The raw socket is passed as a control message (sometimes called ancillary data) of type SCM\_RIGHTS.

The Emu net device uses the *ns-3* threading and multithreaded real-time scheduler extensions. The interesting work in the Emu device is done when the net device is started (EmuNetDevice::StartDevice ()). An attribute ("Start") provides a simulation time at which to spin up the net device. At this specified time (which defaults to t=0), the socket creation function is called and executes as described above. You may also specify a time at which to stop the device using the "Stop" attribute.

Once the (promiscuous mode) socket is created, we bind it to an interface name also provided as an attribute ("DeviceName") that is stored internally as m\_deviceName:

```
struct ifreq ifr;
bzero (&ifr, sizeof(ifr));
strncpy ((char *) ifr.ifr_name, m_deviceName.c_str (), IFNAMSIZ);
int32_t rc = ioctl (m_sock, SIOCGIFINDEX, &ifr);
struct sockaddr_ll ll;
bzero (&ll, sizeof(ll));

ll.sll_family = AF_PACKET;
ll.sll_ifindex = m_sll_ifindex;
ll.sll_protocol = htons(ETH_P_ALL);

rc = bind (m_sock, (struct sockaddr *)&ll, sizeof (ll));
```

After the promiscuous raw socket is set up, a separate thread is spawned to do reads from that socket and the link state is set to Up.:

```
m_readThread = Create<SystemThread> (
   MakeCallback (&EmuNetDevice::ReadThread, this));
m_readThread->Start ();
```

14.1. Emu NetDevice 97

```
NotifyLinkUp ();
```

The EmuNetDevice::ReadThread function basically just sits in an infinite loop reading from the promiscuous mode raw socket and scheduling packet receptions using the real-time simulator extensions.:

The line starting with our templated DynamicCast function probably deserves a comment. It gains access to the simulator implementation object using the Simulator::GetImplementation method and then casts to the real-time simulator implementation to use the real-time schedule method ScheduleRealtimeNow. This function will cause a handler for the newly received packet to be scheduled for execution at the current real time clock value. This will, in turn cause the simulation clock to be advanced to that real time value when the scheduled event (EmuNetDevice::ForwardUp) is fired.

The ForwardUp function operates as most other similar *ns-3* net device methods do. The packet is first filtered based on the destination address. In the case of the Emu device, the MAC destination address will be the address of the Emu device and not the hardware address of the real device. Headers are then stripped off and the trace hooks are hit. Finally, the packet is passed up the *ns-3* protocol stack using the receive callback function of the net device.

Sending a packet is equally straightforward as shown below. The first thing we do is to add the ethernet header and trailer to the *ns-3* Packet we are sending. The source address corresponds to the address of the Emu device and not the underlying native device MAC address. This is where the MAC address spoofing is done. The trailer is added and we enqueue and dequeue the packet from the net device queue to hit the trace hooks.:

```
header.SetSource (source);
header.SetDestination (destination);
header.SetLengthType (packet->GetSize ());
packet->AddHeader (header);

EthernetTrailer trailer;
trailer.CalcFcs (packet);
packet->AddTrailer (trailer);

m_queue->Enqueue (packet);
packet = m_queue->Dequeue ();

struct sockaddr_ll ll;
bzero (&ll, sizeof (ll));

ll.sll_family = AF_PACKET;
ll.sll_ifindex = m_sll_ifindex;
ll.sll_protocol = htons(ETH_P_ALL);

rc = sendto (m_sock, packet->PeekData (), packet->GetSize (), 0,
```

```
reinterpret_cast<struct sockaddr *> (&ll), sizeof (ll));
```

Finally, we simply send the packet to the raw socket which puts it out on the real network.

From the point of view of tracing in the net device, there are several interesting points to insert trace hooks. A convention inherited from other simulators is that packets destined for transmission onto attached networks pass through a single "transmit queue" in the net device. We provide trace hooks at this point in packet flow, which corresponds (abstractly) only to a transition from the network to data link layer, and call them collectively the device MAC hooks.

When a packet is sent to the Emu net device for transmission it always passes through the transmit queue. The transmit queue in the EmuNetDevice inherits from Queue, and therefore inherits three trace sources:

- An Enqueue operation source (see Queue::m\_traceEnqueue);
- A Dequeue operation source (see Queue::m\_traceDequeue);
- A Drop operation source (see Queue::m\_traceDrop).

The upper-level (MAC) trace hooks for the EmuNetDevice are, in fact, exactly these three trace sources on the single transmit queue of the device.

The m\_traceEnqueue event is triggered when a packet is placed on the transmit queue. This happens at the time that ns3::EmuNetDevice::Send or ns3::EmuNetDevice::SendFrom is called by a higher layer to queue a packet for transmission.

The m\_traceDequeue event is triggered when a packet is removed from the transmit queue. Dequeues from the transmit queue happen immediately after the packet was enqueued and only indicate that the packet is about to be sent to an underlying raw socket. The actual time at which the packet is sent out on the wire is not available.

Similar to the upper level trace hooks, there are trace hooks available at the lower levels of the net device. We call these the PHY hooks. These events fire from the device methods that talk directly to the underlying raw socket.

The trace source m\_dropTrace is not used in the Emu net device since that is really the business of the underlying "real" device driver.

The other low-level trace source fires on reception of an accepted packet (see ns3::EmuNetDevice::m\_rxTrace). A packet is accepted if it is destined for the broadcast address, a multicast address, or to the MAC address assigned to the Emu net device.

# 14.2 File Descriptor NetDevice

The src/fd-net-device module provides the FdNetDevice class, which is able to read and write traffic using a file descriptor provided by the user. This file descriptor can be associated to a TAP device, to a raw socket, to a user space process generating/consuming traffic, etc. The user has full freedom to define how external traffic is generated and *ns-3* traffic is consumed.

Different mechanisms to associate a simulation to external traffic can be provided through helper classes. Three specific helpers are provided:

- EmuFdNetDeviceHelper (to associate the *ns-3* device with a physical device in the host machine)
- TapFdNetDeviceHelper (to associate the ns-3 device with the file descriptor from a tap device in the host machine)
- PlanteLabFdNetDeviceHelper (to automate the creation of tap devices in PlanetLab nodes, enabling *ns-3* simulations that can send and receive traffic though the Internet using PlanetLab resource.

# 14.2.1 Model Description

The source code for this module lives in the directory src/fd-net-device.

The FdNetDevice is a special type of *ns-3* NetDevice that reads traffic to and from a file descriptor. That is, unlike pure simulation NetDevice objects that write frames to and from a simulated channel, this FdNetDevice directs frames out of the simulation to a file descriptor. The file descriptor may be associated to a Linux TUN/TAP device, to a socket, or to a user-space process.

It is up to the user of this device to provide a file descriptor. The type of file descriptor being provided determines what is being modelled. For instance, if the file descriptor provides a raw socket to a WiFi card on the host machine, the device being modelled is a WiFi device.

From the conceptual "top" of the device looking down, it looks to the simulated node like a device supporting a 48-bit IEEE MAC address that can be bridged, supports broadcast, and uses IPv4 ARP or IPv6 Neighbor Discovery, although these attributes can be tuned on a per-use-case basis.

### Design

The FdNetDevice implementation makes use of a reader object, extended from the FdReader class in the *ns-3* src/core module, which manages a separate thread from the main *ns-3* execution thread, in order to read traffic from the file descriptor.

Upon invocation of the StartDevice method, the reader object is initialized and starts the reading thread. Before device start, a file descriptor must be previously associated to the FdNetDevice with the SetFileDescriptor invocation.

The creation and configuration of the file descriptor can be left to a number of helpers, described in more detail below. When this is done, the invocation of SetFileDescriptor is responsibility of the helper and must not be directly invoked by the user.

Upon reading an incoming frame from the file descriptor, the reader will pass the frame to the ReceiveCallback method, whose task it is to schedule the reception of the frame by the device as a *ns-3* simulation event. Since the new frame is passed from the reader thread to the main *ns-3* simulation thread, thread-safety issues are avoided by using the ScheduleWithContext call instead of the regular Schedule call.

In order to avoid overwhelming the scheduler when the incoming data rate is too high, a counter is kept with the number of frames that are currently scheduled to be received by the device. If this counter reaches the value given by the RxQueueSize attribute in the device, then the new frame will be dropped silently.

The actual reception of the new frame by the device occurs when the scheduled FordwarUp method is invoked by the simulator. This method acts as if a new frame had arrived from a channel attached to the device. The device then decapsulates the frame, removing any layer 2 headers, and forwards it to upper network stack layers of the node. The ForwardUp method will remove the frame headers, according to the frame encapsulation type defined by the EncapsulationMode attribute, and invoke the receive callback passing an IP packet.

An extra header, the PI header, can be present when the file descriptor is associated to a TAP device that was created without setting the IFF\_NO\_PI flag. This extra header is removed if <code>EncapsulationMode</code> is set to DIXPI value.

In the opposite direction, packets generated inside the simulation that are sent out through the device, will be passed to the Send method, which will in turn invoke the SendFrom method. The latter method will add the necessary layer 2 headers, and simply write the newly created frame to the file descriptor.

### **Scope and Limitations**

Users of this device are cautioned that there is no flow control across the file descriptor boundary, when using in emulation mode. That is, in a Linux system, if the speed of writing network packets exceeds the ability of the

underlying physical device to buffer the packets, backpressure up to the writing application will be applied to avoid local packet loss. No such flow control is provided across the file descriptor interface, so users must be aware of this limitation.

As explained before, the RxQueueSize attribute limits the number of packets that can be pending to be received by the device. Frames read from the file descriptor while the number of pending packets is in its maximum will be silently dropped.

The mtu of the device defaults to the Ethernet II MTU value. However, helpers are supposed to set the mtu to the right value to reflect the characteristics of the network interface associated to the file descriptor. If no helper is used, then the responsibility of setting the correct mtu value for the device falls back to the user. The size of the read buffer on the file descriptor reader is set to the mtu value in the StartDevice method.

The FdNetDevice class currently supports three encapsulation modes, DIX for Ethernet II frames, LLC for 802.2 LLC/SNAP frames, and DIXPI for Ethernet II frames with an additional TAP PI header. This means that traffic traversing the file descriptor is expected to be Ethernet II compatible. Attaching an FdNetDevice to a wireless interface is possible as long as the driver provides Ethernet II frames to the socket API. Note that to associate a FdNetDevice to a wireless card in ad-hoc mode, the MAC address of the device must be set to the real card MAC address, else any incoming traffic a fake MAC address will be discarded by the driver.

As mentioned before, three helpers are provided with the fd-net-device module. Each individual helper (file descriptor type) may have platform limitations. For instance, threading, real-time simulation mode, and the ability to create TUN/TAP devices are prerequisites to using the provided helpers. Support for these modes can be found in the output of the waf configure step, e.g.:

```
Threading Primitives : enabled
Real Time Simulator : enabled
Emulated Net Device : enabled
Tap Bridge : enabled
```

It is important to mention that while testing the FdNetDevice we have found an upper bound limit for TCP throughput when using 1Gb Ethernet links of 60Mbps. This limit is most likely due to the processing power of the computers involved in the tests.

# 14.2.2 Usage

The usage pattern for this type of device is similar to other net devices with helpers that install to node pointers or node containers. When using the base FdNetDeviceHelper the user is responsible for creating and setting the file descriptor by himself.

```
FdNetDeviceHelper fd;
NetDeviceContainer devices = fd.Install (nodes);
// file descriptor generation
...
device->SetFileDescriptor (fd);
```

Most commonly a FdNetDevice will be used to interact with the host system. In these cases it is almost certain that the user will want to run in real-time emulation mode, and to enable checksum computations. The typical program statements are as follows:

```
GlobalValue::Bind ("SimulatorImplementationType", StringValue ("ns3::RealtimeSimulatorImpl"));
GlobalValue::Bind ("ChecksumEnabled", BooleanValue (true));
```

The easiest way to set up an experiment that interacts with a Linux host system is to user the Emu and Tap helpers. Perhaps the most unusual part of these helper implementations relates to the requirement for executing some of the code with super-user permissions. Rather than force the user to execute the entire simulation as root, we provide a

small "creator" program that runs as root and does any required high-permission sockets work. The easiest way to set the right privileges for the "creator" programs, is by enabling the --enable-sudo flag when performing waf configure.

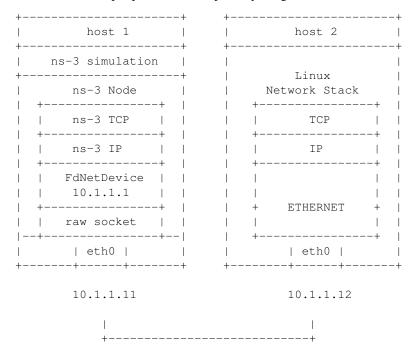
We do a similar thing for both the Emu and the Tap devices. The high-level view is that the CreateFileDescriptor method creates a local interprocess (Unix) socket, forks, and executes the small creation program. The small program, which runs as suid root, creates a raw socket and sends back the raw socket file descriptor over the Unix socket that is passed to it as a parameter. The raw socket is passed as a control message (sometimes called ancillary data) of type SCM\_RIGHTS.

#### **Helpers**

### **EmuFdNetDeviceHelper**

The EmuFdNetDeviceHelper creates a raw socket to an underlying physical device, and provides the socket descriptor to the FdNetDevice. This allows the *ns-3* simulation to read frames from and write frames to a network device on the host

The emulation helper permits to transparently integrate a simulated *ns-3* node into a network composed of real nodes.



This helper replaces the functionality of the EmuNetDevice found in *ns-3* prior to ns-3.17, by bringing this type of device into the common framework of the FdNetDevice. The EmuNetDevice will be deprecated in favor of this new helper.

The device is configured to perform MAC spoofing to separate simulation network traffic from other network traffic that may be flowing to and from the host.

One can use this helper in a testbed situation where the host on which the simulation is running has a specific interface of interest which drives the testbed hardware. You would also need to set this specific interface into promiscuous mode and provide an appropriate device name to the *ns-3* simulation.

The helper only works if the underlying interface is up and in promiscuous mode. Packets will be sent out over the device, but we use MAC spoofing. The MAC addresses will be generated (by default) using the Organizationally

Unique Identifier (OUI) 00:00:00 as a base. This vendor code is not assigned to any organization and so should not conflict with any real hardware.

It is always up to the user to determine that using these MAC addresses is okay on your network and won't conflict with anything else (including another simulation using such devices) on your network. If you are using the emulated FdNetDevice configuration in separate simulations, you must consider global MAC address assignment issues and ensure that MAC addresses are unique across all simulations. The emulated net device respects the MAC address provided in the Address attribute so you can do this manually. For larger simulations, you may want to set the OUI in the MAC address allocation function.

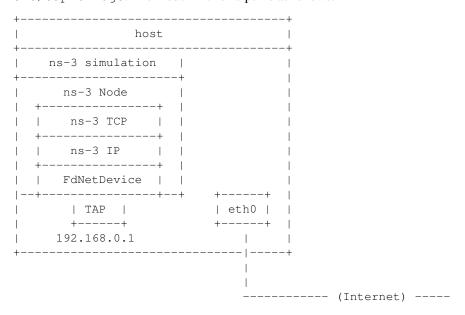
Before invoking the Install method, the correct device name must be configured on the helper using the SetDeviceName method. The device name is required to identify which physical device should be used to open the raw socket.

```
EmuFdNetDeviceHelper emu;
emu.SetDeviceName (deviceName);
NetDeviceContainer devices = emu.Install (node);
Ptr<NetDevice> device = devices.Get (0);
device->SetAttribute ("Address", Mac48AddressValue (Mac48Address::Allocate ()));
```

### **TapFdNetDeviceHelper**

A Tap device is a special type of Linux device for which one end of the device appears to the kernel as a virtual net\_device, and the other end is provided as a file descriptor to user-space. This file descriptor can be passed to the FdNetDevice. Packets forwarded to the TAP device by the kernel will show up in the FdNetDevice in *ns-3*.

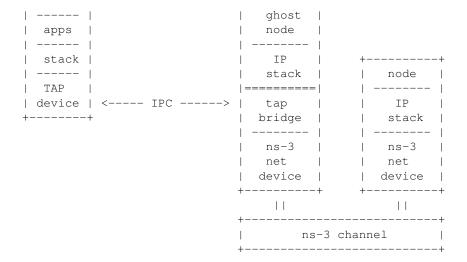
Users should note that this usage of TAP devices is different than that provided by the TapBridge NetDevice found in src/tap-bridge. The model in this helper is as follows:



In the above, the configuration requires that the host be able to forward traffic generated by the simulation to the Internet

The model in TapBridge (in another module) is as follows:

```
+----+
| Linux |
| host | +-----+
```



In the above, packets instead traverse *ns-3* NetDevices and Channels.

The usage pattern for this example is that the user sets the MAC address and either (or both) the IPv4 and IPv6 addresses and masks on the device, and the PI header if needed. For example:

```
TapFdNetDeviceHelper helper;
helper.SetDeviceName (deviceName);
helper.SetModePi (modePi);
helper.SetTapIpv4Address (tapIp);
helper.SetTapIpv4Mask (tapMask);
...
helper.Install (node);
```

## PlanetLabFdNetDeviceHelper

PlanetLab is a world wide distributed network testbed composed of nodes connected to the Internet. Running ns-3 simulations in PlanetLab nodes using the PlanetLabFdNetDeviceHelper allows to send simulated traffic generated by ns-3 directly to the Internet. This setup can be useful to validate ns-3 Internet protocols or other future protocols implemented in ns-3.

To run experiments using PlanetLab nodes it is required to have a PlanetLab account. Only members of PlanetLab partner institutions can obtain such accounts (for more information visit <a href="http://www.planet-lab.org/">http://www.planet-lab.org/</a> or <a href="http://www.planet-lab.org/">http://www.planet-lab.org/</a> or <a href="http://www.planet-lab.eu">http://www.planet-lab.org/</a> or <a href="http://www.planet-lab.eu">http://www.planet-lab.eu</a> ). Once the account is obtained, a PlanetLab slice must be requested in order to conduct experiments. A slice represents an experiment unit related to a group of PlanetLab users, and can be associated to virtual machines in different PlanetLab nodes. Slices can also be customized by adding configuration tags to it (this is done by PlanetLab administrators).

The PlanetLabFdNetDeviceHelper creates TAP devices on PlanetLab nodes using specific PlanetLab mechanisms (i.e. the vsys system), and associates the TAP device to a FdNetDevice in ns-3. The functionality provided by this helper is similar to that provided by the FdTapNetDeviceHelper, except that the underlying mechanisms to create the TAP device are different.

In order to be able to assign private IPv4 addresses to the TAP devices, account holders must request the *vsys\_vnet* tag to be added to their slice by PlanetLab administrators. The vsys\_vnet tag is associated to private network segment and only addresses from this segment can be used in experiments.

The syntax used to create a TAP device with this helper is similar to that used for the previously described helpers:

```
PlanetLabFdNetDeviceHelper helper;
helper.SetTapIpAddress (tapIp);
helper.SetTapMask (tapMask);
...
helper.Install (node);
```

PlanetLab nodes have a Fedora based distribution, so ns-3 can be installed following the instructions for ns-3 Linux installation.

### **Attributes**

The FdNetDevice provides a number of attributes:

- Address: The MAC address of the device
- Start: The simulation start time to spin up the device thread
- Stop: The simulation start time to stop the device thread
- EncapsulationMode: Link-layer encapsulation format
- RxQueueSize: The buffer size of the read queue on the file descriptor thread (default of 1000 packets)

Start and Stop do not normally need to be specified unless the user wants to limit the time during which this device is active. Address needs to be set to some kind of unique MAC address if the simulation will be interacting with other real devices somehow using real MAC addresses. Typical code:

```
device->SetAttribute ("Address", Mac48AddressValue (Mac48Address::Allocate ()));
```

### **Output**

Ascii and PCAP tracing is provided similar to the other ns-3 NetDevice types, through the helpers, such as (e.g.):

:: EmuFdNetDeviceHelper emu; NetDeviceContainer devices = emu.Install (node); ... emu.EnablePcap ("emu-ping", device, true);

The standard set of Mac-level NetDevice trace sources is provided.

MaxTx: Trace source triggered when ns-3 provides the device with a new frame to send

- MaxTxDrop: Trace source if write to file descriptor fails
- MaxPromiscRx: Whenever any valid Mac frame is received
- MaxRx: Whenever a valid Mac frame is received for this device
- Sniffer: Non-promiscuous packet sniffer
- PromiscSniffer: Promiscuous packet sniffer (for tcpdump-like traces)

### **Examples**

Several examples are provided:

- dummy-network.cc: This simple example creates two nodes and interconnects them with a Unix pipe by passing the file descriptors from the socketpair into the FdNetDevice objects of the respective nodes.
- realtime-dummy-network.cc: Same as dummy-network.cc but uses the real time simulator implement-nation instead of the default one.
- fd2fd-onoff.cc: This example is aimed at measuring the throughput of the FdNetDevice in a pure simulation. For this purpose two FdNetDevices, attached to different nodes but in a same simulation, are connected using a socket pair. TCP traffic is sent at a saturating data rate.
- fd-emu-onoff.cc: This example is aimed at measuring the throughput of the FdNetDevice when using the EmuFdNetDeviceHelper to attach the simulated device to a real device in the host machine. This is achieved by saturating the channel with TCP traffic.
- fd-emu-ping.cc: This example uses the EmuFdNetDeviceHelper to send ICMP traffic over a real channel.
- fd-emu-udp-echo.cc: This example uses the EmuFdNetDeviceHelper to send UDP traffic over a real channel.
- fd-planetlab-ping.cc: This example shows how to set up an experiment to send ICMP traffic from a PlanetLab node to the Internet.
- fd-tap-ping.cc: This example uses the TapFdNetDeviceHelper to send ICMP traffic over a real channel.

# 14.3 Tap NetDevice

The Tap NetDevice can be used to allow a host system or virtual machines to interact with a simulation.

## 14.3.1 TapBridge Model Overview

The Tap Bridge is designed to integrate "real" internet hosts (or more precisely, hosts that support Tun/Tap devices) into ns-3 simulations. The goal is to make it appear to a "real" host node in that it has an ns-3 net device as a local device. The concept of a "real host" is a bit slippery since the "real host" may actually be virtualized using readily available technologies such as VMware, VirtualBox or OpenVZ.

Since we are, in essence, connecting the inputs and outputs of an ns-3 net device to the inputs and outputs of a Linux Tap net device, we call this arrangement a Tap Bridge.

There are three basic operating modes of this device available to users. Basic functionality is essentially identical, but the modes are different in details regarding how the arrangement is created and configured; and what devices can live on which side of the bridge.

We call these three modes the ConfigureLocal, UseLocal and UseBridge modes. The first "word" in the camel case mode identifier indicates who has the responsibility for creating and configuring the taps. For example, the "Configure"

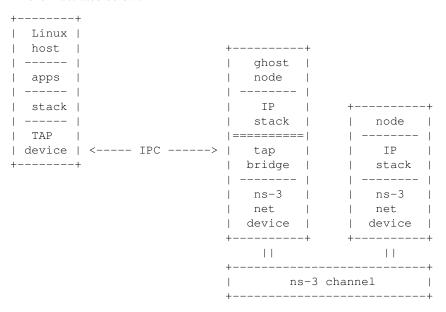
in ConfigureLocal mode indicates that it is the TapBridge that has responsibility for configuring the tap. In UseLocal mode and UseBridge modes, the "Use" prefix indicates that the TapBridge is asked to "Use" an existing configuration.

In other words, in ConfigureLocal mode, the TapBridge has the responsibility for creating and configuring the TAP devices. In UseBridge or UseLocal modes, the user provides a configuration and the TapBridge adapts to that configuration.

## **TapBridge ConfigureLocal Mode**

In the ConfigureLocal mode, the configuration of the tap device is ns-3 configuration-centric. Configuration information is taken from a device in the ns-3 simulation and a tap device matching the ns-3 attributes is automatically created. In this case, a Linux computer is made to appear as if it was directly connected to a simulated ns-3 network.

This is illustrated below:



In this case, the "ns-3 net device" in the "ghost node" appears as if it were actually replacing the TAP device in the Linux host. The ns-3 simulation creates the TAP device on the underlying Linux OS and configures the IP and MAC addresses of the TAP device to match the values assigned to the simulated ns-3 net device. The "IPC" link shown above is the network tap mechanism in the underlying OS. The whole arrangement acts as a conventional bridge; but a bridge between devices that happen to have the same shared MAC and IP addresses.

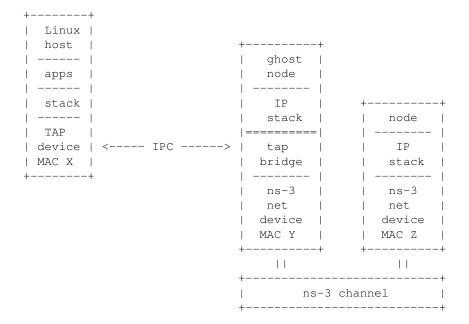
Here, the user is not required to provide any configuration information specific to the tap. A tap device will be created and configured by ns-3 according to its defaults, and the tap device will have its name assigned by the underlying operating system according to its defaults.

If the user has a requirement to access the created tap device, he or she may optionally provide a "DeviceName" attribute. In this case, the created OS tap device will be named accordingly.

The ConfigureLocal mode is the default operating mode of the Tap Bridge.

### **TapBridge UseLocal Mode**

The UseLocal mode is quite similar to the ConfigureLocal mode. The significant difference is, as the mode name implies, the TapBridge is going to "Use" an existing tap device previously created and configured by the user. This mode is particularly useful when a virtualization scheme automatically creates tap devices and ns-3 is used to provide simulated networks for those devices.



In this case, the pre-configured MAC address of the "Tap device" (MAC X) will not be the same as that of the bridged "ns-3 net device" (MAC Y) shown in the illustration above. In order to bridge to ns-3 net devices which do not support SendFrom() (especially wireless STA nodes) we impose a requirement that only one Linux device (with one unique MAC address – here X) generates traffic that flows across the IPC link. This is because the MAC addresses of traffic across the IPC link will be "spoofed" or changed to make it appear to Linux and ns-3 that they have the same address. That is, traffic moving from the Linux host to the ns-3 ghost node will have its MAC address changed from X to Y and traffic from the ghost node to the Linux host will have its MAC address changed from Y to X. Since there is a one-to-one correspondence between devices, there may only be one MAC source flowing from the Linux side. This means that Linux bridges with more than one net device added are incompatible with UseLocal mode.

In UseLocal mode, the user is expected to create and configure a tap device completely outside the scope of the ns-3 simulation using something like:

```
$ sudo tunctl -t tap0
$ sudo ifconfig tap0 hw ether 08:00:2e:00:00:01
$ sudo ifconfig tap0 10.1.1.1 netmask 255.255.255.0 up
```

To tell the TapBridge what is going on, the user will set either directly into the TapBridge or via the TapBridgeHelper, the "DeviceName" attribute. In the case of the configuration above, the "DeviceName" attribute would be set to "tap0" and the "Mode" attribute would be set to "UseLocal".

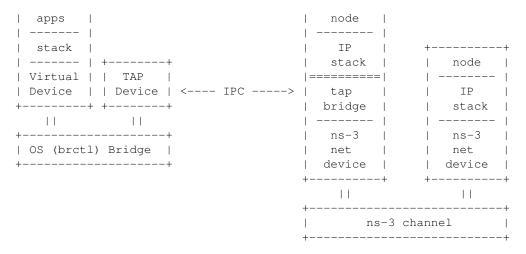
One particular use case for this mode is in the OpenVZ environment. There it is possible to create a Tap device on the "Hardware Node" and move it into a Virtual Private Server. If the TapBridge is able to use an existing tap device it is then possible to avoid the overhead of an OS bridge in that environment.

### TapBridge UseBridge Mode

The simplest mode for those familiar with Linux networking is the UseBridge mode. Again, the "Use" prefix indicates that the TapBridge is going to Use an existing configuration. In this case, the TapBridge is going to logically extend a Linux bridge into ns-3.

This is illustrated below:

++		
	Linux	++
-		ghost



In this case, a computer running Linux applications, protocols, etc., is connected to a ns-3 simulated network in such a way as to make it appear to the Linux host that the TAP device is a real network device participating in the Linux bridge.

In the ns-3 simulation, a TapBridge is created to match each TAP Device. The name of the TAP Device is assigned to the Tap Bridge using the "DeviceName" attribute. The TapBridge then logically extends the OS bridge to encompass the ns-3 net device.

Since this mode logically extends an OS bridge, there may be many Linux net devices on the non-ns-3 side of the bridge. Therefore, like a net device on any bridge, the ns-3 net device must deal with the possibly of many source addresses. Thus, ns-3 devices must support SendFrom() (NetDevice::SupportsSendFrom() must return true) in order to be configured for use in UseBridge mode.

It is expected that the user will do something like the following to configure the bridge and tap completely outside ns-3:

```
$ sudo brctl addbr mybridge
$ sudo tunctl -t mytap
$ sudo ifconfig mytap hw ether 00:00:00:00:00:01
$ sudo ifconfig mytap 0.0.0.0 up
$ sudo brctl addif mybridge mytap
$ sudo brctl addif mybridge ...
$ sudo ifconfig mybridge 10.1.1.1 netmask 255.255.255.0 up
```

To tell the TapBridge what is going on, the user will set either directly into the TapBridge or via the TapBridgeHelper, the "DeviceName" attribute. In the case of the configuration above, the "DeviceName" attribute would be set to "mytap" and the "Mode" attribute would be set to "UseBridge".

This mode is especially useful in the case of virtualization where the configuration of the virtual hosts may be dictated by another system and not be changable to suit ns-3. For example, a particular VM scheme may create virtual "vethx" or "vmnetx" devices that appear local to virtual hosts. In order to connect to such systems, one would need to manually create TAP devices on the host system and brigde these TAP devices to the existing (VM) virtual devices. The job of the Tap Bridge in this case is to extend the bridge to join a ns-3 net device.

### **TapBridge ConfigureLocal Operation**

In ConfigureLocal mode, the TapBridge and therefore its associated ns-3 net device appears to the Linux host computer as a network device just like any arbitrary "eth0" or "ath0" might appear. The creation and configuration of the TAP device is done by the ns-3 simulation and no manual configuration is required by the user. The IP addresses, MAC addresses, gateways, etc., for created TAP devices are extracted from the simulation itself by querying the configuration of the ns-3 device and the TapBridge Attributes.

Since the MAC addresses are identical on the Linux side and the ns-3 side, we can use Send() on the ns-3 device which is available on all ns-3 net devices. Since the MAC addresses are identical there is no requirement to hook the promiscuous callback on the receive side. Therefore there are no restrictions on the kinds of net device that are usable in ConfigureLocal mode.

The TapBridge appears to an ns-3 simulation as a channel-less net device. This device must not have an IP address associated with it, but the bridged (ns-3) net device must have an IP address. Be aware that this is the inverse of an ns-3 BridgeNetDevice (or a conventional bridge in general) which demands that its bridge ports not have IP addresses, but allows the bridge device itself to have an IP address.

The host computer will appear in a simulation as a "ghost" node that contains one TapBridge for each NetDevice that is being bridged. From the perspective of a simulation, the only difference between a ghost node and any other node will be the presence of the TapBridge devices. Note however, that the presence of the TapBridge does affect the connectivity of the net device to the IP stack of the ghost node.

Configuration of address information and the ns-3 devices is not changed in any way if a TapBridge is present. A TapBridge will pick up the addressing information from the ns-3 net device to which it is connected (its "bridged" net device) and use that information to create and configure the TAP device on the real host.

The end result of this is a situation where one can, for example, use the standard ping utility on a real host to ping a simulated ns-3 node. If correct routes are added to the internet host (this is expected to be done automatically in future ns-3 releases), the routing systems in ns-3 will enable correct routing of the packets across simulated ns-3 networks. For an example of this, see the example program, tap-wifi-dumbbell.cc in the ns-3 distribution.

The Tap Bridge lives in a kind of a gray world somewhere between a Linux host and an ns-3 bridge device. From the Linux perspective, this code appears as the user mode handler for a TAP net device. In ConfigureLocal mode, this Tap device is automatically created by the ns-3 simulation. When the Linux host writes to one of these automatically created /dev/tap devices, the write is redirected into the TapBridge that lives in the ns-3 world; and from this perspective, the packet write on Linux becomes a packet read in the Tap Bridge. In other words, a Linux process writes a packet to a tap device and this packet is redirected by the network tap mechanism toan ns-3 process where it is received by the TapBridge as a result of a read operation there. The TapBridge then writes the packet to the ns-3 net device to which it is bridged; and therefore it appears as if the Linux host sent a packet directly through an ns-3 net device onto an ns-3 network.

In the other direction, a packet received by the ns-3 net device connected to the Tap Bridge is sent via a receive callback to the TapBridge. The TapBridge then takes that packet and writes it back to the host using the network tap mechanism. This write to the device will appear to the Linux host as if a packet has arrived on a net device; and therefore as if a packet received by the ns-3 net device during a simulation has appeared on a real Linux net device.

The upshot is that the Tap Bridge appears to bridge a tap device on a Linux host in the "real world" to an ns-3 net device in the simulation. Because the TAP device and the bridged ns-3 net device have the same MAC address and the network tap IPC link is not externalized, this particular kind of bridge makes it appear that a ns-3 net device is actually installed in the Linux host.

In order to implement this on the ns-3 side, we need a "ghost node" in the simulation to hold the bridged ns-3 net device and the TapBridge. This node should not actually do anything else in the simulation since its job is simply to make the net device appear in Linux. This is not just arbitrary policy, it is because:

- Bits sent to the TapBridge from higher layers in the ghost node (using the TapBridge Send method) are completely ignored. The TapBridge is not, itself, connected to any network, neither in Linux nor in ns-3. You can never send nor receive data over a TapBridge from the ghost node.
- The bridged ns-3 net device has its receive callback disconnected from the ns-3 node and reconnected to the Tap Bridge. All data received by a bridged device will then be sent to the Linux host and will not be received by the node. From the perspective of the ghost node, you can send over this device but you cannot ever receive.

Of course, if you understand all of the issues you can take control of your own destiny and do whatever you want – we do not actively prevent you from using the ghost node for anything you decide. You will be able to perform typical ns-3 operations on the ghost node if you so desire. The internet stack, for example, must be there and functional on

that node in order to participate in IP address assignment and global routing. However, as mentioned above, interfaces talking to any TapBridge or associated bridged net devices will not work completely. If you understand exactly what you are doing, you can set up other interfaces and devices on the ghost node and use them; or take advantage of the operational send side of the bridged devices to create traffic generators. We generally recommend that you treat this node as a ghost of the Linux host and leave it to itself, though.

### **TapBridge UseLocal Mode Operation**

As described in above, the TapBridge acts like a bridge from the "real" world into the simulated ns-3 world. In the case of the ConfigureLocal mode, life is easy since the IP address of the Tap device matches the IP address of the ns-3 device; and there is a one-to-one relationship between the devices.

Things are slightly complicated when a Tap device is externally configured with a different MAC address than the ns-3 net device. The conventional way to deal with this kind of difference is to use promiscuous mode in the bridged device to receive packets destined for the different MAC address and forward them off to Linux. In order to move packets the other way, the conventional solution is SendFrom() which allows a caller to "spoof" or change the source MAC address to match the different Linux MAC address.

We do have a specific requirement to be able to bridge Linux Virtual Machines onto wireless STA nodes. Unfortunately, the 802.11 spec doesn't provide a good way to implement SendFrom(), so we have to work around that problem.

To this end, we provided the UseLocal mode of the Tap Bridge. This mode allows you approach the problem as if you were creating a bridge with a single net device. A single allowed address on the Linux side is remembered in the TapBridge, and all packets coming from the Linux side are repeated out the ns-3 side using the ns-3 device MAC source address. All packets coming in from the ns-3 side are repeated out the Linux side using the remembered MAC address. This allows us to use Send() on the ns-3 device side which is available on all ns-3 net devices.

UseLocal mode is identical to the ConfigureLocal mode except for the creation and configuration of the tap device and the MAC address spoofing.

### **TapBridge UseBridge Operation**

As described in the ConfigureLocal mode section, when the Linux host writes to one of the /dev/tap devices, the write is redirected into the TapBridge that lives in the ns-3 world. In the case of the UseBridge mode, these packets will need to be sent out on the ns-3 network as if they were sent on a device participating in the Linux bridge. This means calling the SendFrom() method on the bridged device and providing the source MAC address found in the packet.

In the other direction, a packet received by an ns-3 net device is hooked via callback to the TapBridge. This must be done in promiscuous mode since the goal is to bridge the ns-3 net device onto the OS (brctl) bridge of which the TAP device is a part.

For these reasons, only ns-3 net devices that support SendFrom() and have a hookable promiscuous receive callback are allowed to participate in UseBridge mode TapBridge configurations.

### 14.3.2 Tap Bridge Channel Model

There is no channel model associated with the Tap Bridge. In fact, the intention is make it appear that the real internet host is connected to the channel of the bridged net device.

# 14.3.3 Tap Bridge Tracing Model

Unlike most ns-3 devices, the TapBridge does not provide any standard trace sources. This is because the bridge is an intermediary that is essentially one function call away from the bridged device. We expect that the trace hooks in the bridged device will be sufficient for most users,

# 14.3.4 Using the TapBridge

We expect that most users will interact with the TapBridge device through the TapBridgeHelper. Users of other helper classes, such as CSMA or Wifi, should be comfortable with the idioms used there.

# **ENERGY FRAMEWORK**

Energy consumption is a key issue for wireless devices, and wireless network researchers often need to investigate the energy consumption at a node or in the overall network while running network simulations in ns-3. This requires ns-3 to support energy consumption modeling. Further, as concepts such as fuel cells and energy scavenging are becoming viable for low power wireless devices, incorporating the effect of these emerging technologies into simulations requires support for modeling diverse energy sources in ns-3. The ns-3 Energy Framework provides the basis for energy consumption and energy source modeling.

# **15.1 Model Description**

The source code for the Energy Framework is currently at: src/energy.

# 15.1.1 Design

The ns-3 Energy Framework is composed of 2 parts: Energy Source and Device Energy Model. The framework will be implemented into the src/energy/models folder.

### **Energy Source**

The Energy Source represents the power supply on each node. A node can have one or more energy sources, and each energy source can be connected to multiple device energy models. Connecting an energy source to a device energy model implies that the corresponding device draws power from the source. The basic functionality of the Energy Source is to provide energy for devices on the node. When energy is completely drained from the Energy Source, it notifies the devices on node such that each device can react to this event. Further, each node can access the Energy Source Objects for information such as remaining energy or energy fraction (battery level). This enables the implementation of energy aware protocols in ns-3.

In order to model a wide range of power supplies such as batteries, the Energy Source must be able to capture characteristics of these supplies. There are 2 important characteristics or effects related to practical batteries:

- Rate Capacity Effect: Decrease of battery lifetime when the current draw is higher than the rated value of the battery.
- Recovery Effect: Increase of battery lifetime when the battery is alternating between discharge and idle states.

In order to incorporate the Rate Capacity Effect, the Energy Source uses current draw from all devices on the same node to calculate energy consumption. The Energy Source polls all devices on the same node periodically to calculate the total current draw and hence the energy consumption. When a device changes state, its corresponding Device Energy Model will notify the Energy Source of this change and new total current draw will be calculated.

The Energy Source base class keeps a list of devices (Device Energy Model objects) using the particular Energy Source as power supply. When energy is completely drained, the Energy Source will notify all devices on this list. Each device can then handle this event independently, based on the desired behavior when power supply is drained.

### **Device Energy Model**

The Device Energy Model is the energy consumption model of a device on node. It is designed to be a state based model where each device is assumed to have a number of states, and each state is associated with a power consumption value. Whenever the state of the device changes, the corresponding Device Energy Model will notify the Energy Source of the new current draw of the device. The Energy Source will then calculate the new total current draw and update the remaining energy.

The Device Energy Model can also be used for devices that do not have finite number of states. For example, in an electric vehicle, the current draw of the motor is determined by its speed. Since the vehicle's speed can take continuous values within a certain range, it is infeasible to define a set of discrete states of operation. However, by converting the speed value into current directly, the same set of Device Energy Model APIs can still be used.

### 15.1.2 Future Work

For Device Energy Models, we are planning to include support for other PHY layer models provided in ns-3 such as WiMAX. For Energy Sources, we are planning to included new types of Energy Sources such as energy scavenging.

## 15.1.3 References

# 15.2 Usage

The main way that ns-3 users will typically interact with the Energy Framework is through the helper API and through the publicly visible attributes of the framework. The helper API is defined in src/energy/helper/\*.h.

In order to use the energy framework, the user must install an Energy Source for the node of interest and the corresponding Device Energy Model for the network devices. Energy Source (objects) are aggregated onto each node by the Energy Source Helper. In order to allow multiple energy sources per node, we aggregate an Energy Source Container rather than directly aggregating a source object.

The Energy Source object also keeps a list of Device Energy Model objects using the source as power supply. Device Energy Model objects are installed onto the Energy Source by the Device Energy Model Helper. User can access the Device Energy Model objects through the Energy Source object to obtain energy consumption information of individual devices.

# 15.2.1 Examples

The example directories, src/examples/energy and examples/energy, contain some basic code that shows how to set up the framework.

### 15.2.2 Helpers

### **Energy Source Helper**

Base helper class for Energy Source objects, this helper Aggregates Energy Source object onto a node. Child implementation of this class creates the actual Energy Source object.

### **Device Energy Model Helper**

Base helper class for Device Energy Model objects, this helper attaches Device Energy Model objects onto Energy Source objects. Child implementation of this class creates the actual Device Energy Model object.

## 15.2.3 Attributes

Attributes differ between Energy Sources and Devices Energy Models implementations, please look at the specific child class for details.

# **Basic Energy Source**

- BasicEnergySourceInitialEnergyJ: Initial energy stored in basic energy source.
- BasicEnergySupplyVoltageV: Initial supply voltage for basic energy source.
- PeriodicEnergyUpdateInterval: Time between two consecutive periodic energy updates.

## **RV Battery Model**

- RvBatteryModelPeriodicEnergyUpdateInterval: RV battery model sampling interval.
- RvBatteryModelOpenCircuitVoltage: RV battery model open circuit voltage.
- RvBatteryModelCutoffVoltage: RV battery model cutoff voltage.
- RvBatteryModelAlphaValue: RV battery model alpha value.
- RvBatteryModelBetaValue: RV battery model beta value.
- RvBatteryModelNumOfTerms: The number of terms of the infinite sum for estimating battery level.

# WiFi Radio Energy Model

- IdleCurrentA: The default radio Idle current in Ampere.
- CcaBusyCurrentA: The default radio CCA Busy State current in Ampere.
- TxCurrentA: The radio Tx current in Ampere.
- RxCurrentA: The radio Rx current in Ampere.
- SwitchingCurrentA: The default radio Channel Switch current in Ampere.

## **15.2.4 Tracing**

Traced values differ between Energy Sources and Devices Energy Models implementations, please look at the specific child class for details.

### **Basic Energy Source**

• RemainingEnergy: Remaining energy at BasicEnergySource.

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## **RV Battery Model**

- RvBatteryModelBatteryLevel: RV battery model battery level.
- RvBatteryModelBatteryLifetime: RV battery model battery lifetime.

### WiFi Radio Energy Model

• TotalEnergyConsumption: Total energy consumption of the radio device.

## 15.2.5 Validation

Comparison of the Energy Framework against actual devices have not been performed. Current implementation of the Energy Framework is checked numerically for computation errors. The RV battery model is validated by comparing results with what was presented in the original RV battery model paper.

# FLOW MONITOR

# **16.1 Model Description**

The source code for the new module lives in the directory src/flow-monitor.

The Flow Monitor module goal is to provide a flexible system to measure the performance of network protocols. The module uses probes, installed in network nodes, to track the packets exchanged by the nodes, and it will measure a number of parameters. Packets are divided according to the flow they belong to, where each flow is defined according to the probe's characteristics (e.g., for IP, a flow is defined as the packets with the same {protocol, source (IP, port), destination (IP, port)} tuple.

The statistics are collected for each flow can be exported in XML format. Moreover, the user can access the probes directly to request specific stats about each flow.

# 16.1.1 **Design**

Flow Monitor module is designed in a modular way. It can be extended by subclassing ns3::FlowProbe and ns3::FlowClassifier.

The full module design is described in [FlowMonitor]

# 16.1.2 Scope and Limitations

At the moment, probes and classifiers are available for IPv4 and IPv6.

Each probe will classify packets in four points:

- When a packet is sent (SendOutgoing IPv[4,6] traces)
- When a packet is forwarded (UnicastForward IPv[4,6] traces)
- When a packet is received (LocalDeliver IPv[4,6] traces)
- When a packet is dropped (Drop IPv[4,6] traces)

Since the packets are tracked at IP level, any retransmission caused by L4 protocols (e.g., TCP) will be seen by the probe as a new packet.

A Tag will be added to the packet (ns3::Ipv[4,6]FlowProbeTag). The tag will carry basic packet's data, useful for the packet's classification.

It must be underlined that only L4 (TCP, UDP) packets are, so far, classified. Moreover, only unicast packets will be classified. These limitations may be removed in the future.

The data collected for each flow are:

- timeFirstTxPacket: when the first packet in the flow was transmitted;
- timeLastTxPacket: when the last packet in the flow was transmitted;
- timeFirstRxPacket: when the first packet in the flow was received by an end node;
- timeLastRxPacket: when the last packet in the flow was received;
- delaySum: the sum of all end-to-end delays for all received packets of the flow;
- jitterSum: the sum of all end-to-end delay jitter (delay variation) values for all received packets of the flow, as defined in RFC 3393;
- txBytes, txPackets: total number of transmitted bytes / packets for the flow;
- rxBytes, rxPackets: total number of received bytes / packets for the flow;
- lostPackets: total number of packets that are assumed to be lost (not reported over 10 seconds);
- timesForwarded: the number of times a packet has been reportedly forwarded;
- delayHistogram, jitterHistogram, packetSizeHistogram: histogram versions for the delay, jitter, and packet sizes, respectively;
- packetsDropped, bytesDropped: the number of lost packets and bytes, divided according to the loss reason code (defined in the probe).

It is worth pointing out that the probes measure the packet bytes including IP headers. The L2 headers are not included in the measure.

These stats will be written in XML form upon request (see the Usage section).

### 16.1.3 References

# 16.2 Usage

The module usage is extremely simple. The helper will take care of about everything.

The typical use is:

```
// Flow monitor
Ptr<FlowMonitor> flowMonitor;
FlowMonitorHelper flowHelper;
flowMonitor = flowHelper.InstallAll();
Simulator::Stop (Seconds(stop_time));
Simulator::Run ();
flowMonitor->SerializeToXmlFile("NameOfFile.xml", true, true);
```

the SerializeToXmlFile () function 2nd and 3rd parameters are used respectively to activate/deactivate the histograms and the per-probe detailed stats.

Other possible alternatives can be found in the Doxygen documentation.

# 16.2.1 Helpers

The helper API follows the pattern usage of normal helpers. Through the helper you can install the monitor in the nodes, set the monitor attributes, and print the statistics.

One important thing is: the ns3::FlowMonitorHelper must be instantiated only once in the main.

### 16.2.2 Attributes

The module provides the following attributes in ns3::FlowMonitor:

- MaxPerHopDelay (Time, default 10s): The maximum per-hop delay that should be considered;
- StartTime (Time, default 0s): The time when the monitoring starts;
- DelayBinWidth (double, default 0.001): The width used in the delay histogram;
- JitterBinWidth (double, default 0.001): The width used in the jitter histogram;
- PacketSizeBinWidth (double, default 20.0): The width used in the packetSize histogram;
- FlowInterruptionsBinWidth (double, default 0.25): The width used in the flowInterruptions histogram;
- FlowInterruptionsMinTime (double, default 0.5): The minimum inter-arrival time that is considered a flow interruption.

# 16.2.3 Output

The main model output is an XML formatted report about flow statistics. An example is:

```
<?xml version="1.0" ?>
<FlowMonitor>
 <FlowStats>
 <Flow flowId="1" timeFirstTxPacket="+0.0ns" timeFirstRxPacket="+20067198.0ns" timeLastTxPacket="+20067198.0ns"</pre>
  </Flow>
  </FlowStats>
 <Ipv4FlowClassifier>
 <Flow flowId="1" sourceAddress="10.1.3.1" destinationAddress="10.1.2.2" protocol="6" sourcePort="4</pre>
  </Ipv4FlowClassifier>
 <Ipv6FlowClassifier>
 Ipv6FlowClassifier>
  <FlowProbes>
  <FlowProbe index="0">
    <FlowStats flowId="1" packets="3735" bytes="2149400" delayFromFirstProbeSum="+0.0ns" >
    </FlowStats>
  </FlowProbe>
  <FlowProbe index="2">
    <FlowStats flowId="1" packets="7466" bytes="2224020" delayFromFirstProbeSum="+199415389258.0ns"</pre>
    </FlowStats>
  </FlowProbe>
  <FlowProbe index="4">
    <FlowStats flowId="1" packets="3735" bytes="2149400" delayFromFirstProbeSum="+138731526300.0ns"</pre>
    </FlowStats>
 </FlowProbe>
  </FlowProbes>
</FlowMonitor>
```

The output was generated by a TCP flow from 10.1.3.1 to 10.1.2.2.

It is worth noticing that the index 2 probe is reporting more packets and more bytes than the other probles. That's a perfectly normal behaviour, as packets are fragmented at IP level in that node.

It should also be observed that the receiving node's probe (index 4) doesn't count the fragments, as the reassembly is done before the probing point.

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# 16.2.4 Examples

The examples are located in *src/flow-monitor/examples*.

Moreover, the following examples use the flow-monitor module:

- examples/matrix-topology/matrix-topology.cc
- examples/routing/manet-routing-compare.cc
- examples/routing/simple-global-routing.cc
- examples/tcp/tcp-variants-comparison.cc
- examples/wireless/multirate.cc
- examples/wireless/wifi-hidden-terminal.cc

# 16.2.5 Troubleshooting

Do not define more than one ns3::FlowMonitorHelper in the simulation.

# 16.3 Validation

The paper in the references contains a full description of the module validation against a test network.

Tests are provided to ensure the Histogram correct functionality.

# INTERNET MODELS

# 17.1 Internet Stack

# 17.1.1 Internet stack aggregation

A bare class Node is not very useful as-is; other objects must be aggregated to it to provide useful node functionality.

The *ns-3* source code directory src/internet provides implementation of TCP/IPv4- and IPv6-related components. These include IPv4, ARP, UDP, TCP, IPv6, Neighbor Discovery, and other related protocols.

Internet Nodes are not subclasses of class Node; they are simply Nodes that have had a bunch of IP-related objects aggregated to them. They can be put together by hand, or via a helper function InternetStackHelper::Install () which does the following to all nodes passed in as arguments:

#### void

```
InternetStackHelper::Install (Ptr<Node> node) const
 if (m_ipv4Enabled)
      /* IPv4 stack */
     if (node->GetObject<Ipv4> () != 0)
         NS_FATAL_ERROR ("InternetStackHelper::Install (): Aggregating "
                          "an InternetStack to a node with an existing Ipv4 object");
         return:
     CreateAndAggregateObjectFromTypeId (node, "ns3::ArpL3Protocol");
     CreateAndAggregateObjectFromTypeId (node, "ns3::Ipv4L3Protocol");
     CreateAndAggregateObjectFromTypeId (node, "ns3::Icmpv4L4Protocol");
      // Set routing
     Ptr<Ipv4> ipv4 = node->GetObject<Ipv4> ();
     Ptr<Ipv4RoutingProtocol> ipv4Routing = m_routing->Create (node);
      ipv4->SetRoutingProtocol (ipv4Routing);
 if (m_ipv6Enabled)
      /* IPv6 stack */
      if (node->GetObject<Ipv6> () != 0)
         NS_FATAL_ERROR ("InternetStackHelper::Install (): Aggregating "
                          "an InternetStack to a node with an existing Ipv6 object");
```

```
return:
        }
      CreateAndAggregateObjectFromTypeId (node, "ns3::Ipv6L3Protocol");
      CreateAndAggregateObjectFromTypeId (node, "ns3::Icmpv6L4Protocol");
      // Set routing
      Ptr<Ipv6> ipv6 = node->GetObject<Ipv6> ();
      Ptr<Ipv6RoutingProtocol> ipv6Routing = m_routingv6->Create (node);
      ipv6->SetRoutingProtocol (ipv6Routing);
      /* register IPv6 extensions and options */
     ipv6->RegisterExtensions ();
      ipv6->RegisterOptions ();
 if (m_ipv4Enabled || m_ipv6Enabled)
      /* UDP and TCP stacks */
     CreateAndAggregateObjectFromTypeId (node, "ns3::UdpL4Protocol");
     node->AggregateObject (m_tcpFactory.Create<Object> ());
     Ptr<PacketSocketFactory> factory = CreateObject<PacketSocketFactory> ();
     node->AggregateObject (factory);
}
```

Where multiple implementations exist in *ns-3* (TCP, IP routing), these objects are added by a factory object (TCP) or by a routing helper (m\_routing).

Note that the routing protocol is configured and set outside this function. By default, the following protocols are added:

```
void InternetStackHelper::Initialize ()
{
   SetTcp ("ns3::TcpL4Protocol");
   Ipv4StaticRoutingHelper staticRouting;
   Ipv4GlobalRoutingHelper globalRouting;
   Ipv4ListRoutingHelper listRouting;
   Ipv6ListRoutingHelper listRoutingv6;
   Ipv6StaticRoutingHelper staticRoutingv6;
   listRouting.Add (staticRouting, 0);
   listRouting.Add (globalRouting, -10);
   listRoutingv6.Add (staticRoutingv6, 0);
   SetRoutingHelper (listRouting);
   SetRoutingHelper (listRoutingv6);
}
```

By default, IPv4 and IPv6 are enabled.

### **Internet Node structure**

An IP-capable Node (an *ns-3* Node augmented by aggregation to have one or more IP stacks) has the following internal structure.

### Layer-3 protocols

At the lowest layer, sitting above the NetDevices, are the "layer 3" protocols, including IPv4, IPv6, ARP and so on. The class Ipv4L3Protocol is an implementation class whose public interface is typically class Ipv4, but the Ipv4L3Protocol public API is also used internally at present.

In class Ipv4L3Protocol, one method described below is Receive ():

```
/**
  * Lower layer calls this method after calling L3Demux::Lookup
  * The ARP subclass needs to know from which NetDevice this
  * packet is coming to:
  * - implement a per-NetDevice ARP cache
  * - send back arp replies on the right device
  */
void Receive( Ptr<NetDevice> device, Ptr<const Packet> p, uint16_t protocol,
const Address &from, const Address &to, NetDevice::PacketType packetType);
```

First, note that the Receive () function has a matching signature to the ReceiveCallback in the class Node. This function pointer is inserted into the Node's protocol handler when AddInterface () is called. The actual registration is done with a statement such as follows:

The Ipv4L3Protocol object is aggregated to the Node; there is only one such Ipv4L3Protocol object. Higher-layer protocols that have a packet to send down to the Ipv4L3Protocol object can call GetObject<Ipv4L3Protocol> () to obtain a pointer, as follows:

```
Ptr<Ipv4L3Protocol> ipv4 = m_node->GetObject<Ipv4L3Protocol> ();
if (ipv4 != 0)
{
    ipv4->Send (packet, saddr, daddr, PROT_NUMBER);
}
```

This class nicely demonstrates two techniques we exploit in *ns-3* to bind objects together: callbacks, and object aggregation.

Once IPv4 routing has determined that a packet is for the local node, it forwards it up the stack. This is done with the following function:

### void

```
Ipv4L3Protocol::LocalDeliver (Ptr<const Packet> packet, Ipv4Header const&ip, uint32_t iif)
```

The first step is to find the right Ipv4L4Protocol object, based on IP protocol number. For instance, TCP is registered in the demux as protocol number 6. Finally, the Receive() function on the Ipv4L4Protocol (such as TcpL4Protocol:Receive is called.

We have not yet introduced the class Ipv4Interface. Basically, each NetDevice is paired with an IPv4 representation of such device. In Linux, this class Ipv4Interface roughly corresponds to the struct in\_device; the main purpose is to provide address-family specific information (addresses) about an interface.

All the classes have appropriate traces in order to track sent, received and lost packets. The users is encouraged to use them so to find out if (and where) a packet is dropped. A common mistake is to forget the effects of local queues when sending packets, e.g., the ARP queue. This can be particularly puzzling when sending jumbo packets or packet bursts using UDP. The ARP cache pending queue is limited (3 datagrams) and IP packets might be fragmented, easily overfilling the ARP cache queue size. In those cases it is useful to increase the ARP cache pending size to a proper value, e.g.:

```
Config::SetDefault ("ns3::ArpCache::PendingQueueSize", UintegerValue (MAX_BURST_SIZE/L2MTU*3));
```

The IPv6 implementation follows a similar architecture. Dual-stacked nodes (one with support for both IPv4 and IPv6) will allow an IPv6 socket to receive IPv4 connections as a standard dual-stacked system does. A socket bound and listening to an IPv6 endpoint can receive an IPv4 connection and will return the remote address as an IPv4-mapped address. Support for the IPV6\_V6ONLY socket option does not currently exist.

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### Layer-4 protocols and sockets

We next describe how the transport protocols, sockets, and applications tie together. In summary, each transport protocol implementation is a socket factory. An application that needs a new socket

For instance, to create a UDP socket, an application would use a code snippet such as the following:

```
Ptr<Udp> udpSocketFactory = GetNode ()->GetObject<Udp> ();
Ptr<Socket> m_socket = socketFactory->CreateSocket ();
m_socket->Bind (m_local_address);
```

The above will query the node to get a pointer to its UDP socket factory, will create one such socket, and will use the socket with an API similar to the C-based sockets API, such as Connect () and Send (). The address passed to the Bind (), Connect (), or Send () functions may be a <code>Ipv4Address</code>, <code>Ipv6Address</code>, or <code>Address</code>. If a <code>Address</code> is passed in and contains anything other than a <code>Ipv4Address</code> or <code>Ipv6Address</code>, these functions will return an error. The <code>Bind</code> (<code>void</code>) and <code>Bind6</code> (<code>void</code>) functions bind to "0.0.0.0" and "::" respectively. See the chapter on ns-3 sockets for more information.

We have described so far a socket factory (e.g. class Udp) and a socket, which may be specialized (e.g., class UdpSocket). There are a few more key objects that relate to the specialized task of demultiplexing a packet to one or more receiving sockets. The key object in this task is class Ipv4EndPointDemux. This demultiplexer stores objects of class Ipv4EndPoint. This class holds the addressing/port tuple (local port, local address, destination port, destination address) associated with the socket, and a receive callback. This receive callback has a receive function registered by the socket. The Lookup () function to Ipv4EndPointDemux returns a list of Ipv4EndPoint objects (there may be a list since more than one socket may match the packet). The layer-4 protocol copies the packet to each Ipv4EndPoint and calls its ForwardUp () method, which then calls the Receive () function registered by the socket.

An issue that arises when working with the sockets API on real systems is the need to manage the reading from a socket, using some type of I/O (e.g., blocking, non-blocking, asynchronous, ...). *ns-3* implements an asynchronous model for socket I/O; the application sets a callback to be notified of received data ready to be read, and the callback is invoked by the transport protocol when data is available. This callback is specified as follows:

The data being received is conveyed in the Packet data buffer. An example usage is in class PacketSink:

```
m_socket->SetRecvCallback (MakeCallback(&PacketSink::HandleRead, this));
```

To summarize, internally, the UDP implementation is organized as follows:

- a Udp Impl class that implements the UDP socket factory functionality
- a UdpL4Protocol class that implements the protocol logic that is socket-independent
- a UdpSocketImpl class that implements socket-specific aspects of UDP
- a class called Ipv4EndPoint that stores the addressing tuple (local port, local address, destination port, destination address) associated with the socket, and a receive callback for the socket.

### IP-capable node interfaces

Many of the implementation details, or internal objects themselves, of IP-capable Node objects are not exposed at the simulator public API. This allows for different implementations; for instance, replacing the native *ns-3* models with ported TCP/IP stack code.

The C++ public APIs of all of these objects is found in the src/network directory, including principally:

- address.h
- socket.h
- node.h
- packet.h

These are typically base class objects that implement the default values used in the implementation, implement access methods to get/set state variables, host attributes, and implement publicly-available methods exposed to clients such as CreateSocket.

### Example path of a packet

These two figures show an example stack trace of how packets flow through the Internet Node objects.

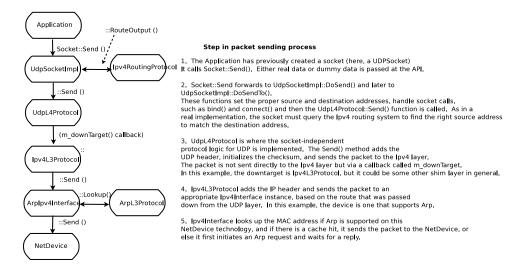


Figure 17.1: Send path of a packet.

# 17.2 IPv4

Placeholder chapter

# 17.3 IPv6

This chapter describes the ns-3 IPv6 model capabilities and limitations along with its usage and examples.

# 17.3.1 IPv6 model description

The IPv6 model is loosely patterned after the Linux implementation; the implementation is not complete as some features of IPv6 are not of much interest to simulation studies, and some features of IPv6 are simply not modeled yet in *ns-3*.

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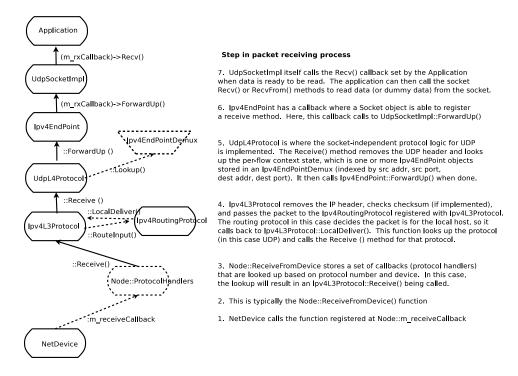


Figure 17.2: Receive path of a packet.

The base class Ipv6 defines a generic API, while the class Ipv6L3Protocol is the actual class implementing the protocol. The actual classes used by the IPv6 stack are located mainly in the directory src/internet.

The implementation of IPv6 is contained in the following files:

```
src/internet/model/icmpv6-header.{cc,h}
src/internet/model/icmpv6-14-protocol.{cc,h}
src/internet/model/ipv6.{cc,h}
src/internet/model/ipv6-address-generator.{cc,h}
src/internet/model/ipv6-autoconfigured-prefix.{cc,h}
src/internet/model/ipv6-end-point.{cc,h}
src/internet/model/ipv6-end-point-demux.{cc,h}
src/internet/model/ipv6-extension.{cc,h}
src/internet/model/ipv6-extension-demux.{cc,h}
src/internet/model/ipv6-extension-header.{cc,h}
src/internet/model/ipv6-header.{cc,h}
src/internet/model/ipv6-interface.{cc,h}
src/internet/model/ipv6-interface-address.{cc,h}
src/internet/model/ipv6-13-protocol.{cc,h}
src/internet/model/ipv6-list-routing.{cc,h}
src/internet/model/ipv6-option.{cc,h}
src/internet/model/ipv6-option-demux.{cc,h}
src/internet/model/ipv6-option-header.{cc,h}
src/internet/model/ipv6-packet-info-tag.{cc,h}
src/internet/model/ipv6-pmtu-cache.{cc,h}
src/internet/model/ipv6-raw-socket-factory.{cc,h}
src/internet/model/ipv6-raw-socket-factory-impl.{cc,h}
src/internet/model/ipv6-raw-socket-impl.{cc,h}
src/internet/model/ipv6-route.{cc,h}
src/internet/model/ipv6-routing-protocol.{cc,h}
src/internet/model/ipv6-routing-table-entry.{cc,h}
src/internet/model/ipv6-static-routing.{cc,h}
```

```
src/internet/model/ndisc-cache.{cc,h}
src/network/utils/inet6-socket-address.{cc,h}
src/network/utils/ipv6-address.{cc,h}
```

### Also some helpers are involved with IPv6:

```
src/internet/helper/internet-stack-helper.{cc,h}
src/internet/helper/ipv6-address-helper.{cc,h}
src/internet/helper/ipv6-interface-container.{cc,h}
src/internet/helper/ipv6-list-routing-helper.{cc,h}
src/internet/helper/ipv6-routing-helper.{cc,h}
src/internet/helper/ipv6-static-routing-helper.{cc,h}
```

The model files can be roughly divided into:

- protocol models (e.g., ipv6, ipv6-13-protocol, icmpv6-14-protocol, etc.)
- routing models (i.e., anything with 'routing' in its name)
- sockets and interfaces (e.g., ipv6-raw-socket, ipv6-interface, ipv6-end-point, etc.)
- · address-related things
- headers, option headers, extension headers, etc.
- accessory classes (e.g., ndisc-cache)

# 17.3.2 Usage

The following description is based on using the typical helpers found in the example code.

IPv6 does not need to be activated in a node. it is automatically added to the available protocols once the Internet Stack is installed.

In order to *not* install IPv6 along with IPv4, it is possible to use ns3::InternetStackHelper method SetIpv6StackInstall (bool enable) before installing the InternetStack in the nodes.

Note that to have an IPv6-only network (i.e., to not install the IPv4 stack in a node) one should use ns3::InternetStackHelper method SetIpv4StackInstall (bool enable) before the stack installation.

As an example, in the following code node 0 will have both IPv4 and IPv6, node 1 only only IPv6 and node 2 only IPv4:

```
NodeContainer n;
n.Create (3);
InternetStackHelper internet;
InternetStackHelper internetV4only;
InternetStackHelper internetV6only;
internetV4only.SetIpv6StackInstall (false);
internetV6only.SetIpv4StackInstall (false);
internet.Install (n.Get (0));
internetV6only.Install (n.Get (1));
internetV4only.Install (n.Get (2));
```

### IPv6 addresses assignment

In order to use IPv6 on a network, the first thing to do is assigning IPv6 addresses.

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Any IPv6-enabled *ns-3* node will have at least one NetDevice: the ns3::LoopbackNetDevice. The loopback device address is ::1. All the other NetDevices will have one or more IPv6 addresses:

- One link-local address: fe80::interface ID, where interface ID is derived from the NetDevice MAC address.
- Zero or more global addresses, e.g., 2001:db8::1.

Typically the first address on an interface will be the link-local one, with the global address(es) being the following ones

IPv6 global addresses might be:

- · manually assigned
- · auto-generated

ns-3 can use both methods, and it's quite important to understand the implications of both.

### Manually assigned IPv6 adddresses

This is probably the easiest and most used method. As an example:

```
Ptr<Node> n0 = CreateObject<Node> ();
Ptr<Node> n1 = CreateObject<Node> ();
NodeContainer net (n0, n1);
CsmaHelper csma;
NetDeviceContainer ndc = csma.Install (net);

NS_LOG_INFO ("Assign IPv6 Addresses.");
Ipv6AddressHelper ipv6;
ipv6.SetBase (Ipv6Address ("2001:db8::"), Ipv6Prefix (64));
Ipv6InterfaceContainer ic = ipv6.Assign (ndc);
```

This method will add two global IPv6 addresses to the nodes. Note that, as usual for IPv6, all the nodes will also have a link-local address. Typically the first address on an interface will be the link-local one, with the global address(es) being the following ones.

Note that the global addesses will be derived from the MAC address. As a consequence, expect to have addresses similar to 2001; db8::200:ff:fe00:1.

It is possible to repeat the above to assign more than one global address to a node. However, due to the Ipv6AddressHelper singleton nature, one should first assign all the adddresses of a network, then change the network base (SetBase), then do a new assignment.

Alternatively, it is possible to assign a specific address to a node:

```
Ptr<Node> n0 = CreateObject<Node> ();
NodeContainer net (n0);
CsmaHelper csma;
NetDeviceContainer ndc = csma.Install (net);

NS_LOG_INFO ("Specifically Assign an IPv6 Address.");
Ipv6AddressHelper ipv6;
Ptr<NetDevice> device = ndc.Get (0);
Ptr<Node> node = device->GetNode ();
Ptr<Ipv6> ipv6proto = node->GetObject<Ipv6> ();
int32_t ifIndex = 0;
ifIndex = ipv6proto->GetInterfaceForDevice (device);
Ipv6InterfaceAddress ipv6Addr = Ipv6InterfaceAddress (Ipv6Address ("2001:db8:f00d:cafe::42"), Ipv6Prcipv6proto->AddAddress (ifIndex, ipv6Addr);
```

**Auto-generated IPv6 adddresses** This is accomplished by relying on the RADVD protocol, implemented by the class Radvd. At the time there is no helper for this application, and the use is rather difficult (see examples/ipv6/radvd.cc).

Upon using this method, the nodes will acquire dynamically (i.e., during the simulation) one (or more) global address(es) according to the RADVD configuration. These addresses will be bases on the RADVD announced prefix and the node's EUI-64.

**Random-generated IPv6 adddresses** While IPv6 real nodes will use randomly generated addresses to protect privacy, *ns-3* does NOT have this capability. This feature haven't been so far considered as interesting for simulation.

**Duplicate Address Detection (DAD)** Nodes will perform DAD (it can be disabled using an Icmpv6L4Protocol attribute). Upon receiving a DAD, however, nodes will not react to it. As is: DAD reaction is incomplete so far. The main reason relies on the missing random-generated address capability. Moreover, since *ns-3* nodes will usually be well-behaving, therea should't be any Duplicate Address. This might be changed in the future, so as to avoid issues with real-world integrated simulations.

#### Host and Router behaviour in IPv6 and ns-3

In IPv6 there is a clear distinction between *routers* and *hosts*. As one might expect, routers can forward packets from an interface to another interface, while hosts drop packets not directed to them.

Unfortunately, forwarding is not the only thing affected by this distinction, and forwarding itself might be fine-tuned, e.g., to forward packets incoming from an interface and drop packets from another interface.

In ns-3 a node is configured to be an host by default. There are two main ways to change this behaviour:

- Using ns3::Ipv6InterfaceContainer SetForwarding(uint32\_t i, bool router) where i is the interface index in the container.
- Changing the ns3:: Ipv6 attribute IpForward.

Either one can be used during the simulation.

A fine-grained setup can be accomplished by using ns3::Ipv6Interface SetForwarding (bool forward); which allows to change the behaviour on a per-interface-basis.

Note that the node-wide configuration only serves as a convenient method to enable/disable the ns3::Ipv6Interface specific setting. An Ipv6Interface added to a node with forwarding enabled will be set to be forwarding as well. This is really important when a node has interfaces added during the simulation.

According to the ns3::Ipv6Interface forwarding state, the following happens:

- · Forwarding OFF
- The node will NOT reply to Router Solicitation
- The node will react to Router Advertisement
- The node will periodically send Router Solicitation
- Routing protocols MUST DROP packets not directed to the node
- · Forwarding ON
- The node will reply to Router Solicitation
- The node will NOT react to Router Advertisement
- The node will NOT send Router Solicitation

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• Routing protocols MUST forward packets

The behaviour is matching ip-sysctl.txt (http://www.kernel.org/doc/Documentation/networking/ip-sysctl.txt) with the difference that it's not possible to override the behaviour using esoteric settings (e.g., forwarding but accept router advertisements, accept\_ra=2, or forwarding but send router solicitations forwarding=2).

Consider carefully the implications of packet forwarding. As an example, a node will NOT send ICMPv6 PACKET\_TOO\_BIG messages from an interface with frowarding off. This is completely normal, as the Routing protocol will drop the packet before attempting to forward it.

### **Helpers**

Typically the helpers used in IPv6 setup are:

```
ns3::InternetStackHelperns3::Ipv6AddressHelper
```

• ns3::Ipv6InterfaceContainer

The use is almost identical to the corresponding IPv4 case, e.g.:

```
NodeContainer n;
n.Create (4);

NS_LOG_INFO ("Create IPv6 Internet Stack");
InternetStackHelper internetv6;
internetv6.Install (n);

NS_LOG_INFO ("Create channels.");
CsmaHelper csma;
NetDeviceContainer d = csma.Install (n);

NS_LOG_INFO ("Create networks and assign IPv6 Addresses.");
Ipv6AddressHelper ipv6;
ipv6.SetBase (Ipv6Address ("2001:db8::"), Ipv6Prefix (64));
Ipv6InterfaceContainer iic = ipv6.Assign (d);
```

Additionally, a common task is to enable forwarding on one of the nodes and to setup a default route toward it in the other nodes, e.g.:

```
iic.SetForwarding (0, true);
iic.SetDefaultRouteInAllNodes (0);
```

This will enable forwarding on the node 0 and will setup a default route in ns3::Ipv6StaticRouting on all the other nodes. Note that this requires that Ipv6StaticRouting is present in the nodes.

The IPv6 routing helpers enable the user to perform specific tasks on the particular routing algorith and to print the routing tables.

### **Attributes**

Many classes in the *ns-3* IPv6 implementation contain attributes. The most useful ones are:

- ns3::Ipv6
- *IpForward*, boolean, default false. Globally enable or disable IP forwarding for all current and future IPv6 devices.
- MtuDiscover, boolean, default true. If disabled, every interface will have its MTU set to 1280 bytes.

- ns3::Ipv6L3Protocol
- DefaultTtl, uint8\_t, default 64. The TTL value set by default on all outgoing packets generated on this node.
- SendIcmpv6Redirect, boolean, default true. Send the ICMPv6 Redirect when appropriate.
- ns3::Icmpv6L4Protocol
- DAD, boolean, default true. Always do DAD (Duplicate Address Detection) check.
- ns3::NdiscCache
- UnresolvedQueueSize, uint32\_t, default 3. Size of the queue for packets pending an NA reply.

### Output

The IPv6 stack provides some useful trace sources:

- ns3::Ipv6L3Protocol
- Tx, Send IPv6 packet to outgoing interface.
- Rx, Receive IPv6 packet from incoming interface.
- Drop, Drop IPv6 packet.
- ns3::Ipv6Extension
- Drop, Drop IPv6 packet.

The latest trace source is generated when a packet contains an unknown option blocking its processing.

Mind that ns3::NdiscCache could drop packets as well, and they are not logged in a trace source (yet). This might generate some confusion in the sent/received packets counters.

### **Advanced Usage**

### IPv6 maximum transmission unit (MTU) and fragmentation

*ns-3* NetDevices define the MTU according to the L2 simulated Device. IPv6 requires that the minimum MTU is 1280 bytes, so all NetDevices are required to support at least this MTU. This is the link-MTU.

In order to support different MTUs in a source-destination path, *ns-3* IPv6 model can perform fragmentation. This can be either triggered by receiving a packet bigger than the link-MTU from the L4 protocols (UDP, TCP, etc.), or by receiving an ICMPv6 PACKET\_TOO\_BIG message. The model mimics RFC 1981, with the following notable exceptions:

- L4 protocols are not informed of the Path MTU change
- TCP can not change its Segment Size according to the Path-MTU.

Both limitations are going to be removed in due time.

The Path-MTU cache is currently based on the source-destination IPv6 addresses. Further classifications (e.g., flow label) are possible but not yet implemented.

The Path-MTU default validity time is 10 minutes. After the cache entry expiration, the Path-MTU information is removed and the next packet will (eventually) trigger a new ICMPv6 PACKET\_TOO\_BIG message. Note that 1) this is consistent with the RFC specification and 2) L4 protocols are responsible for retransmitting the packets.

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### **Examples**

The examples for IPv6 are in the directory examples/ipv6. These examples focus on the most interesting IPv6 peculiarities, such as fragmentation, redirect and so on.

Moreover, most TCP and UDP examples located in examples/udp, examples/tcp, etc. have a command-line option to use IPv6 instead of IPv4.

### **Troubleshooting**

There are just a few pitfalls to avoid while using *ns-3* IPv6.

### **Routing loops**

Since the only (so far) routing scheme available for IPv6 is ns3::Ipv6StaticRouting, default router have to be setup manually. When there are two or more routers in a network (e.g., node A and node B), avoid using the helper function SetDefaultRouteInAllNodes for more than one router.

The consequence would be to install a default route to B in A and a default route pointing to A in B, generating a loop.

### Global address leakage

Remember that addresses in IPv6 are *global* by definition. When using IPv6 with an emulation *ns-3* capability, avoid at all costs address leakage toward the global Internet. It is advisable to setup an external firewall to prevent leakage.

#### 2001:DB8::/32 addresses

IPv6 standard (RFC 3849) defines the 2001:DB8::/32 address class for the *documentation*. This manual uses this convention. The addresses in this class are, however, only usable in a document, and routers should discard them.

### 17.3.3 Validation

The IPv6 protocols has not yet been extensively validated against real implementations. The actual tests involve mainly performing checks of the .pcap trace files with Wireshark, and the results are positive.

# 17.4 Routing overview

ns-3 is intended to support traditional routing approaches and protocols, support ports of open source routing implementations, and facilitate research into unorthodox routing techniques. The overall routing architecture is described below in *Routing architecture*. Users who wish to just read about how to configure global routing for wired topologies can read *Global centralized routing*. Unicast routing protocols are described in *Unicast routing*. Multicast routing is documented in *Multicast routing*.

# 17.4.1 Routing architecture

Overview of routing shows the overall routing architecture for Ipv4. The key objects are Ipv4L3Protocol, Ipv4RoutingProtocol(s) (a class to which all routing/forwarding has been delegated from Ipv4L3Protocol), and Ipv4Route(s).

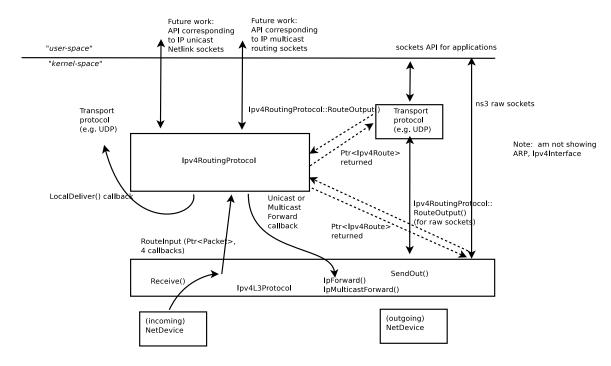


Figure 17.3: Overview of routing

Ipv4L3Protocol must have at least one Ipv4RoutingProtocol added to it at simulation setup time. This is done explicitly by calling Ipv4::SetRoutingProtocol ().

The abstract base class Ipv4RoutingProtocol () declares a minimal interface, consisting of two methods: RouteOutput () and RouteInput (). For packets traveling outbound from a host, the transport protocol will query Ipv4 for the Ipv4RoutingProtocol object interface, and will request a route via Ipv4RoutingProtocol::RouteOutput (). A Ptr to Ipv4Route object is returned. This is analagous to a dst\_cache entry in Linux. The Ipv4Route is carried down to the Ipv4L3Protocol to avoid a second lookup there. However, some cases (e.g. Ipv4 raw sockets) will require a call to RouteOutput() directly from Ipv4L3Protocol.

For packets received inbound for forwarding or delivery, the following steps occur. Ipv4L3Protocol::Receive() calls Ipv4RoutingProtocol::RouteInput(). This passes the packet ownership to the Ipv4RoutingProtocol object. There are four callbacks associated with this call:

- LocalDeliver
- UnicastForward
- · MulticastForward
- Error

The Ipv4RoutingProtocol must eventually call one of these callbacks for each packet that it takes responsibility for. This is basically how the input routing process works in Linux.

This overall architecture is designed to support different routing approaches, including (in the future) a Linux-like policy-based routing implementation, proactive and on-demand routing protocols, and simple routing protocols for when the simulation user does not really care about routing.

*Ipv4Routing specialization.* illustrates how multiple routing protocols derive from this base class. A class Ipv4ListRouting (implementation class Ipv4ListRoutingImpl) provides the existing list routing approach in *ns-3*. Its API is the same as base class Ipv4Routing except for the ability to add multiple prioritized routing protocols (Ipv4ListRouting::AddRoutingProtocol(), Ipv4ListRouting::GetRoutingProtocol()).

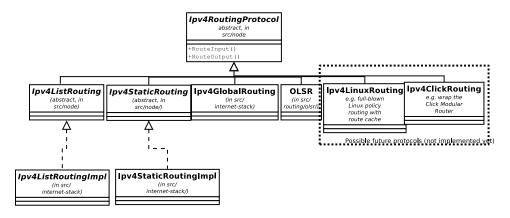


Figure 17.4: Ipv4Routing specialization.

The details of these routing protocols are described below in *Unicast routing*. For now, we will first start with a basic unicast routing capability that is intended to globally build routing tables at simulation time t=0 for simulation users who do not care about dynamic routing.

# 17.4.2 Global centralized routing

Global centralized routing is sometimes called "God" routing; it is a special implementation that walks the simulation topology and runs a shortest path algorithm, and populates each node's routing tables. No actual protocol overhead (on the simulated links) is incurred with this approach. It does have a few constraints:

- Wired only: It is not intended for use in wireless networks.
- Unicast only: It does not do multicast.
- Scalability: Some users of this on large topologies (e.g. 1000 nodes) have noticed that the current implementation is not very scalable. The global centralized routing will be modified in the future to reduce computations and runtime performance.

Presently, global centralized IPv4 unicast routing over both point-to-point and shared (CSMA) links is supported.

By default, when using the *ns-3* helper API and the default InternetStackHelper, global routing capability will be added to the node, and global routing will be inserted as a routing protocol with lower priority than the static routes (i.e., users can insert routes via Ipv4StaticRouting API and they will take precedence over routes found by global routing).

## **Global Unicast Routing API**

The public API is very minimal. User scripts include the following:

```
#include "ns3/internet-module.h"
```

If the default InternetStackHelper is used, then an instance of global routing will be aggregated to each node. After IP addresses are configured, the following function call will cause all of the nodes that have an Ipv4 interface to receive forwarding tables entered automatically by the GlobalRouteManager:

```
Ipv4GlobalRoutingHelper::PopulateRoutingTables ();
```

*Note:* A reminder that the wifi NetDevice will work but does not take any wireless effects into account. For wireless, we recommend OLSR dynamic routing described below.

It is possible to call this function again in the midst of a simulation using the following additional public function:

```
Ipv4GlobalRoutingHelper::RecomputeRoutingTables ();
```

which flushes the old tables, queries the nodes for new interface information, and rebuilds the routes.

For instance, this scheduling call will cause the tables to be rebuilt at time 5 seconds:

There are two attributes that govern the behavior. The first is Ipv4GlobalRouting::RandomEcmpRouting. If set to true, packets are randomly routed across equal-cost multipath routes. If set to false (default), only one route is consistently used. The second is Ipv4GlobalRouting::RespondToInterfaceEvents. If set to true, dynamically recompute the global routes upon Interface notification events (up/down, or add/remove address). If set to false (default), routing may break unless the user manually calls RecomputeRoutingTables() after such events. The default is set to false to preserve legacy *ns-3* program behavior.

### **Global Routing Implementation**

This section is for those readers who care about how this is implemented. A singleton object (GlobalRouteManager) is responsible for populating the static routes on each node, using the public Ipv4 API of that node. It queries each node in the topology for a "globalRouter" interface. If found, it uses the API of that interface to obtain a "link state advertisement (LSA)" for the router. Link State Advertisements are used in OSPF routing, and we follow their formatting.

It is important to note that all of these computations are done before packets are flowing in the network. In particular, there are no overhead or control packets being exchanged when using this implementation. Instead, this global route manager just walks the list of nodes to build the necessary information and configure each node's routing table.

The GlobalRouteManager populates a link state database with LSAs gathered from the entire topology. Then, for each router in the topology, the GlobalRouteManager executes the OSPF shortest path first (SPF) computation on the database, and populates the routing tables on each node.

The quagga (http://www.quagga.net) OSPF implementation was used as the basis for the routing computation logic. One benefit of following an existing OSPF SPF implementation is that OSPF already has defined link state advertisements for all common types of network links:

- point-to-point (serial links)
- point-to-multipoint (Frame Relay, ad hoc wireless)
- non-broadcast multiple access (ATM)
- broadcast (Ethernet)

Therefore, we think that enabling these other link types will be more straightforward now that the underlying OSPF SPF framework is in place.

Presently, we can handle IPv4 point-to-point, numbered links, as well as shared broadcast (CSMA) links. Equal-cost multipath is also supported. Although wireless link types are supported by the implementation, note that due to the nature of this implementation, any channel effects will not be considered and the routing tables will assume that every node on the same shared channel is reachable from every other node (i.e. it will be treated like a broadcast CSMA link).

The GlobalRouteManager first walks the list of nodes and aggregates a GlobalRouter interface to each one as follows:

```
typedef std::vector < Ptr<Node> >::iterator Iterator;
for (Iterator i = NodeList::Begin (); i != NodeList::End (); i++)
    {
      Ptr<Node> node = *i;
      Ptr<GlobalRouter> globalRouter = CreateObject<GlobalRouter> (node);
```

```
node->AggregateObject (globalRouter);
}
```

This interface is later queried and used to generate a Link State Advertisement for each router, and this link state database is fed into the OSPF shortest path computation logic. The Ipv4 API is finally used to populate the routes themselves.

# 17.4.3 Unicast routing

There are presently seven unicast routing protocols defined for IPv4 and three for IPv6:

- class Ipv4StaticRouting (covering both unicast and multicast)
- IPv4 Optimized Link State Routing (OLSR) (a MANET protocol defined in RFC 3626)
- IPv4 Ad Hoc On Demand Distance Vector (AODV) (a MANET protocol defined in RFC 3561)
- IPv4 Destination Sequenced Distance Vector (DSDV) (a MANET protocol)
- IPv4 Dynamic Source Routing (DSR) (a MANET protocol)
- class Ipv4ListRouting (used to store a prioritized list of routing protocols)
- class Ipv4GlobalRouting (used to store routes computed by the global route manager, if that is used)
- class Ipv4NixVectorRouting (a more efficient version of global routing that stores source routes in a packet header field)
- class Ipv6ListRouting (used to store a prioritized list of routing protocols)
- class Ipv6StaticRouting
- class RipNg the IPv6 RIPng protocol (RFC 2080)

In the future, this architecture should also allow someone to implement a Linux-like implementation with routing cache, or a Click modular router, but those are out of scope for now.

### Ipv[4,6]ListRouting

This section describes the current default *ns-3* Ipv[4,6]RoutingProtocol. Typically, multiple routing protocols are supported in user space and coordinate to write a single forwarding table in the kernel. Presently in *ns-3*, the implementation instead allows for multiple routing protocols to build/keep their own routing state, and the IP implementation will query each one of these routing protocols (in some order determined by the simulation author) until a route is found.

We chose this approach because it may better facilitate the integration of disparate routing approaches that may be difficult to coordinate the writing to a single table, approaches where more information than destination IP address (e.g., source routing) is used to determine the next hop, and on-demand routing approaches where packets must be cached.

## Ipv[4,6]4ListRouting::AddRoutingProtocol

Classes Ipv4ListRouting and Ipv6ListRouting provides a pure virtual function declaration for the method that allows one to add a routing protocol:

These methods are implemented respectively by class Ipv4ListRoutingImpl and by class Ipv6ListRoutingImpl in the internet module.

The priority variable above governs the priority in which the routing protocols are inserted. Notice that it is a signed int. By default in *ns-3*, the helper classes will instantiate a Ipv[4,6]ListRoutingImpl object, and add to it an Ipv[4,6]StaticRoutingImpl object at priority zero. Internally, a list of Ipv[4,6]RoutingProtocols is stored, and and the routing protocols are each consulted in decreasing order of priority to see whether a match is found. Therefore, if you want your Ipv4RoutingProtocol to have priority lower than the static routing, insert it with priority less than 0; e.g.:

```
Ptr<MyRoutingProtocol> myRoutingProto = CreateObject<MyRoutingProtocol> ();
listRoutingPtr->AddRoutingProtocol (myRoutingProto, -10);
```

Upon calls to RouteOutput() or RouteInput(), the list routing object will search the list of routing protocols, in priority order, until a route is found. Such routing protocol will invoke the appropriate callback and no further routing protocols will be searched.

### **Optimized Link State Routing (OLSR)**

This IPv4 routing protocol was originally ported from the OLSR-UM implementation for ns-2. The implementation is found in the src/olsr directory, and an example script is in examples/simple-point-to-point-olsr.cc.

Typically, OLSR is enabled in a main program by use of an OlsrHelper class that installs OLSR into an Ipv4ListRoutingProtocol object. The following sample commands will enable OLSR in a simulation using this helper class along with some other routing helper objects. The setting of priority value 10, ahead of the staticRouting priority of 0, means that OLSR will be consulted for a route before the node's static routing table.:

```
NodeContainer c:
...

// Enable OLSR

NS_LOG_INFO ("Enabling OLSR Routing.");
OlsrHelper olsr;

Ipv4StaticRoutingHelper staticRouting;

Ipv4ListRoutingHelper list;
list.Add (staticRouting, 0);
list.Add (olsr, 10);

InternetStackHelper internet;
internet.SetRoutingHelper (list);
internet.Install (c);
```

Once installed,the OLSR "main interface" can be set with the SetMainInterface() command. If the user does not specify a main address, the protocol will select the first primary IP address that it finds, starting first the loopback interface and then the next non-loopback interface found, in order of Ipv4 interface index. The loopback address of 127.0.0.1 is not selected. In addition, a number of protocol constants are defined in olsr-routing-protocol.cc.

Olsr is started at time zero of the simulation, based on a call to Object::Start() that eventually calls OlsrRoutingProtocol::DoStart(). Note: a patch to allow the user to start and stop the protocol at other times would be welcome.

Presently, OLSR is limited to use with an Ipv4ListRouting object, and does not respond to dynamic changes to a device's IP address or link up/down notifications; i.e. the topology changes are due to loss/gain of connectivity over a wireless channel.

### **RIPng**

This IPv6 routing protocol (RFC 2080) is the evolution of the well-known RIPv1 and RIPv2 (see RFC 1058 and RFC 1723) routing protocols for IPv4.

The protocol is very simple, and it is normally suitable for flat, simple network topologies.

RIPng is strongly based on RIPv1 and RIPv2, and it have the very same goals and limitations. In particular, RIP considers any route with a metric equal or greater than 16 as unreachable. As a consequence, the maximum number of hops is the network must be less than 15 (the number of routers is not set). Users are encouraged to read RFC 2080 and RFC 1058 to fully understand RIPng behaviour and limitations.

### **Routing convergence**

RIPng uses a Distance-Vector algorithm, and routes are updated according to the Bellman-Ford algorithm (sometimes known as Ford-Fulkerson algorithm). The algorithm has a convergence time of O(|V|\*|E|) where |V| and |E| are the number of vertices (routers) and edges (links) respectively. It should be stressed that the convergence time is the number of steps in the algorithm, and each step is triggered by a message. Since Triggered Updates (i.e., when a route is changed) have a 1-5 seconds cooldown, the toplogy can require some time to be stabilized.

Users should be aware that, during routing tables construction, the routers might drop packets. Data traffic should be sent only after a time long enough to allow RIPng to build the network topology. Usually 80 seconds should be enough to have a suboptimal (but working) routing setup. This includes the time needed to propagate the routes to the most distant router (16 hops) with Triggered Updates.

If the network topology is changed (e.g., a link is broken), the recovery time might be quite high, and it might be even higher than the initial setup time. Moreover, the network topology recovery is affected by the Split Horizoning strategy.

The example examples/routing/ripng-simple-network.cc shows both the network setup and network recovery phases.

## **Split Horizoning**

Split Horizon is a strategy to prevent routing instability. Three options are possible:

- · No Split Horizon
- · Split Horizon
- Poison Reverse

In the first case, routes are advertised on all the router's interfaces. In the second case, routers will not advertise a route on the interface from which it was learned. Poison Reverse will advertise the route on the interface from which it was learned, but with a metric of 16 (infinity). For a full analysis of the three techniques, see RFC 1058, section 2.2.

The example ripng-simple-network.cc is based on the network toplogy described in the RFC, but it does not show the effect described there.

The reason are the Triggered Updates, together with the fact that when a router invalidates a route, it will immediately propagate the route unreachability, thus preventing most of the issues described in the RFC.

However, with complex toplogies, it is still possible to have route instability phenomena similar to the one described in the RFC after a link failure. As a consequence, all the considerations about Split Horizon remains valid.

#### **Default routes**

RIPng protocol should be installed *only* on routers. As a consequence, nodes will not know what is the default router.

To overcome this limitation, users should either install the default route manually (e.g., by resorting to Ipv6StaticRouting), or by using RADVd. RADVd is available in *ns-3* in the Applications module, and it is strongly suggested.

#### Protocol parameters and options

The RIPng ns-3 implementation allows to change all the timers associated with route updates and routes lifetime.

Moreover, users can change the interface metrics on a per-node basis.

The type of Split Horizoning (to avoid routes back-propagation) can be selected on a per-node basis, with the choices being "no split horizon", "split horizon" and "poison reverse". See RFC 2080 for further details, and RFC 1058 for a complete discussion on the split horizoning strategies.

#### Limitations

There is no support for the Next Hop option (RFC 2080, Section 2.1.1). The Next Hop option is useful when RIPng is not being run on all of the routers on a network. Support for this option may be considered in the future.

There is no support for CIDR prefix aggregation. As a result, both routing tables and route advertisements may be larger than necessary. Prefix aggregation may be added in the future.

## 17.4.4 Multicast routing

The following function is used to add a static multicast route to a node:

## void

A multicast route must specify an origin IP address, a multicast group and an input network interface index as conditions and provide a vector of output network interface indices over which packets matching the conditions are sent.

Typically there are two main types of multicast routes: routes of the first kind are used during forwarding. All of the conditions must be explicitly provided. The second kind of routes are used to get packets off of a local node. The difference is in the input interface. Routes for forwarding will always have an explicit input interface specified. Routes off of a node will always set the input interface to a wildcard specified by the index Ipv4RoutingProtocol::IF INDEX ANY.

For routes off of a local node wildcards may be used in the origin and multicast group addresses. The wildcard used for Ipv4Adresses is that address returned by Ipv4Address::GetAny () – typically "0.0.0.0". Usage of a wildcard allows one to specify default behavior to varying degrees.

For example, making the origin address a wildcard, but leaving the multicast group specific allows one (in the case of a node with multiple interfaces) to create different routes using different output interfaces for each multicast group.

If the origin and multicast addresses are made wildcards, you have created essentially a default multicast address that can forward to multiple interfaces. Compare this to the actual default multicast address that is limited to specifying a single output interface for compatibility with existing functionality in other systems.

Another command sets the default multicast route:

#### void

```
Ipv4StaticRouting::SetDefaultMulticastRoute (uint32_t outputInterface);
```

This is the multicast equivalent of the unicast version SetDefaultRoute. We tell the routing system what to do in the case where a specific route to a destination multicast group is not found. The system forwards packets out the specified interface in the hope that "something out there" knows better how to route the packet. This method is only used in initially sending packets off of a host. The default multicast route is not consulted during forwarding – exact routes must be specified using AddMulticastRoute for that case.

Since we're basically sending packets to some entity we think may know better what to do, we don't pay attention to "subtleties" like origin address, nor do we worry about forwarding out multiple interfaces. If the default multicast route is set, it is returned as the selected route from LookupStatic irrespective of origin or multicast group if another specific route is not found.

Finally, a number of additional functions are provided to fetch and remove multicast routes:

## 17.5 TCP models in ns-3

This chapter describes the TCP models available in ns-3.

## 17.5.1 Generic support for TCP

ns-3 was written to support multiple TCP implementations. The implementations inherit from a few common header classes in the src/network directory, so that user code can swap out implementations with minimal changes to the scripts.

There are two important abstract base classes:

- class TcpSocket: This is defined in src/internet/model/tcp-socket. {cc,h}. This class exists for hosting TcpSocket attributes that can be reused across different implementations. For instance, the attribute InitialCwnd can be used for any of the implementations that derive from class TcpSocket.
- class TcpSocketFactory: This is used by the layer-4 protocol instance to create TCP sockets of the right type.

There are presently three implementations of TCP available for *ns-3*.

- a natively implemented TCP for ns-3
- support for the Network Simulation Cradle (NSC)
- support for Direct Code Exectution (DCE)

It should also be mentioned that various ways of combining virtual machines with *ns-3* makes available also some additional TCP implementations, but those are out of scope for this chapter.

## 17.5.2 ns-3 TCP

Until ns-3.10 release, *ns-3* contained a port of the TCP model from GTNetS. This implementation was substantially rewritten by Adriam Tam for ns-3.10. The model is a full TCP, in that it is bidirectional and attempts to model the connection setup and close logic.

The implementation of TCP is contained in the following files:

```
src/internet/model/tcp-header.{cc,h}
src/internet/model/tcp-14-protocol.{cc,h}
src/internet/model/tcp-socket-factory-impl.{cc,h}
src/internet/model/tcp-socket-base.{cc,h}
src/internet/model/tcp-tx-buffer.{cc,h}
src/internet/model/tcp-rx-buffer.{cc,h}
src/internet/model/tcp-rfc793.{cc,h}
src/internet/model/tcp-tahoe.{cc,h}
src/internet/model/tcp-reno.{cc,h}
src/internet/model/tcp-westwood.{cc,h}
src/internet/model/tcp-newreno.{cc,h}
src/internet/model/tcp-newreno.{cc,h}
src/internet/model/tcp-newreno.{cc,h}
src/internet/model/sequence-number.{cc,h}
```

Different variants of TCP congestion control are supported by subclassing the common base class TcpSocketBase. Several variants are supported, including RFC 793 (no congestion control), Tahoe, Reno, Westwood, Westwood+, and NewReno. NewReno is used by default. See the Usage section of this document for on how to change the default TCP variant used in simulation.

## **Usage**

In many cases, usage of TCP is set at the application layer by telling the *ns-3* application which kind of socket factory to use.

Using the helper functions defined in src/applications/helper and src/network/helper, here is how one would create a TCP receiver:

```
// Create a packet sink on the star "hub" to receive these packets
uint16_t port = 50000;
Address sinkLocalAddress(InetSocketAddress (Ipv4Address::GetAny (), port));
PacketSinkHelper sinkHelper ("ns3::TcpSocketFactory", sinkLocalAddress);
ApplicationContainer sinkApp = sinkHelper.Install (serverNode);
sinkApp.Start (Seconds (1.0));
sinkApp.Stop (Seconds (10.0));
```

Similarly, the below snippet configures OnOffApplication traffic source to use TCP:

```
// Create the OnOff applications to send TCP to the server
OnOffHelper clientHelper ("ns3::TcpSocketFactory", Address ());
```

The careful reader will note above that we have specified the TypeId of an abstract base class TcpSocketFactory. How does the script tell *ns-3* that it wants the native *ns-3* TCP vs. some other one? Well, when internet stacks are added to the node, the default TCP implementation that is aggregated to the node is the *ns-3* TCP. This can be overridden as we show below when using Network Simulation Cradle. So, by default, when using the *ns-3* helper API, the TCP that is aggregated to nodes with an Internet stack is the native *ns-3* TCP.

To configure behavior of TCP, a number of parameters are exported through the *ns-3* attribute system. These are documented in the *Doxygen <http://www.nsnam.org/doxygen/classns3\_1\_1\_tcp\_socket.html>* for class TcpSocket. For example, the maximum segment size is a settable attribute.

To set the default socket type before any internet stack-related objects are created, one may put the following statement at the top of the simulation program:

```
Config::SetDefault ("ns3::TcpL4Protocol::SocketType", StringValue ("ns3::TcpTahoe"));
```

For users who wish to have a pointer to the actual socket (so that socket operations like Bind(), setting socket options, etc. can be done on a per-socket basis), Tcp sockets can be created by using the Socket::CreateSocket() method. The TypeId passed to CreateSocket() must be of type ns3::SocketFactory, so configuring the underlying socket type must be done by twiddling the attribute associated with the underlying TcpL4Protocol object. The easiest way to get at this would be through the attribute configuration system. In the below example, the Node container "n0n1" is accessed to get the zeroth element, and a socket is created on this node:

```
// Create and bind the socket...
TypeId tid = TypeId::LookupByName ("ns3::TcpTahoe");
Config::Set ("/NodeList/*/$ns3::TcpL4Protocol/SocketType", TypeIdValue (tid));
Ptr<Socket> localSocket =
   Socket::CreateSocket (n0n1.Get (0), TcpSocketFactory::GetTypeId ());
```

Above, the "\*" wild card for node number is passed to the attribute configuration system, so that all future sockets on all nodes are set to Tahoe, not just on node 'n0n1.Get (0)'. If one wants to limit it to just the specified node, one would have to do something like:

```
// Create and bind the socket...
TypeId tid = TypeId::LookupByName ("ns3::TcpTahoe");
std::stringstream nodeId;
nodeId << n0n1.Get (0) ->GetId ();
std::string specificNode = "/NodeList/" + nodeId.str () + "/$ns3::TcpL4Protocol/SocketType";
Config::Set (specificNode, TypeIdValue (tid));
Ptr<Socket> localSocket =
   Socket::CreateSocket (n0n1.Get (0), TcpSocketFactory::GetTypeId ());
```

Once a TCP socket is created, one will want to follow conventional socket logic and either connect() and send() (for a TCP client) or bind(), listen(), and accept() (for a TCP server). See *Sockets APIs* for a review of how sockets are used in *ns-3*.

## **Validation**

Several TCP validation test results can be found in the wiki page describing this implementation.

## **Current limitations**

SACK is not supported

#### 17.5.3 Network Simulation Cradle

The Network Simulation Cradle (NSC) is a framework for wrapping real-world network code into simulators, allowing simulation of real-world behavior at little extra cost. This work has been validated by comparing situations using a test network with the same situations in the simulator. To date, it has been shown that the NSC is able to produce extremely accurate results. NSC supports four real world stacks: FreeBSD, OpenBSD, lwIP and Linux. Emphasis has been placed on not changing any of the network stacks by hand. Not a single line of code has been changed in the network protocol implementations of any of the above four stacks. However, a custom C parser was built to programmatically change source code.

NSC has previously been ported to *ns-2* and OMNeT++, and was was added to *ns-3* in September 2008 (ns-3.2 release). This section describes the *ns-3* port of NSC and how to use it.

To some extent, NSC has been superseded by the Linux kernel support within Direct Code Execution (DCE). However, NSC is still available through the bake build system. NSC supports Linux kernels 2.6.18 and 2.6.26, but newer versions of the kernel have not been ported.

## **Prerequisites**

Presently, NSC has been tested and shown to work on these platforms: Linux i386 and Linux x86-64. NSC does not support powerpc. Use on FreeBSD or OS X is unsupported (although it may be able to work).

Building NSC requires the packages flex and bison.

## **Configuring and Downloading**

As of ns-3.17 or later, NSC must either be downloaded separately from its own repository, or downloading when using the bake build system of *ns-3*.

For ns-3.17 or later releases, when using bake, one must configure NSC as part of an "allinone" configuration, such as:

```
$ cd bake
$ python bake.py configure -e ns-allinone-3.19
$ python bake.py download
$ python bake.py build
```

Instead of a released version, one may use the ns-3 development version by specifying "ns-3-allinone" to the configure step above.

NSC may also be downloaded from its download site using Mercurial:

```
$ hg clone https://secure.wand.net.nz/mercurial/nsc
```

Prior to the ns-3.17 release, NSC was included in the allinone tarball and the released version did not need to be separately downloaded.

## **Building and validating**

NSC may be built as part of the bake build process; alternatively, one may build NSC by itself using its build system; e.g.:

```
$ cd nsc-dev
$ python scons.py
```

Once NSC has been built either manually or through the bake system, change into the *ns-3* source directory and try running the following configuration:

```
$ ./waf configure
```

If NSC has been previously built and found by waf, then you will see:

```
Network Simulation Cradle : enabled
```

If NSC has not been found, you will see:

```
Network Simulation Cradle : not enabled (NSC not found (see option --with-nsc))
```

In this case, you must pass the relative or absolute path to the NSC libraries with the "-with-nsc" configure option; e.g.

```
$ ./waf configure --with-nsc=/path/to/my/nsc/directory
```

For *ns-3* releases prior to the ns-3.17 release, using the build.py script in ns-3-allinone directory, NSC will be built by default unless the platform does not support it. To explicitly disable it when building *ns-3*, type:

```
$ ./waf configure --enable-examples --enable-tests --disable-nsc
```

If waf detects NSC, then building *ns-3* with NSC is performed the same way with waf as without it. Once *ns-3* is built, try running the following test suite:

```
$ ./test.py -s ns3-tcp-interoperability
```

If NSC has been successfully built, the following test should show up in the results:

```
PASS TestSuite ns3-tcp-interoperability
```

This confirms that NSC is ready to use.

## **Usage**

There are a few example files. Try:

```
$ ./waf --run tcp-nsc-zoo
$ ./waf --run tcp-nsc-lfn
```

These examples will deposit some .pcap files in your directory, which can be examined by tcpdump or wireshark.

Let's look at the examples/tcp/tcp-nsc-zoo.cc file for some typical usage. How does it differ from using native ns-3 TCP? There is one main configuration line, when using NSC and the ns-3 helper API, that needs to be set:

```
InternetStackHelper internetStack;
```

```
internetStack.SetNscStack ("liblinux2.6.26.so");
// this switches nodes 0 and 1 to NSCs Linux 2.6.26 stack.
internetStack.Install (n.Get(0));
internetStack.Install (n.Get(1));
```

The key line is the SetNscStack. This tells the InternetStack helper to aggregate instances of NSC TCP instead of native *ns-3* TCP to the remaining nodes. It is important that this function be called **before** calling the Install() function, as shown above.

Which stacks are available to use? Presently, the focus has been on Linux 2.6.18 and Linux 2.6.26 stacks for *ns-3*. To see which stacks were built, one can execute the following find command at the *ns-3* top level directory:

```
$ find nsc -name "*.so" -type f
nsc/linux-2.6.18/liblinux2.6.18.so
nsc/linux-2.6.26/liblinux2.6.26.so
```

This tells us that we may either pass the library name liblinux2.6.18.so or liblinux2.6.26.so to the above configuration step.

## Stack configuration

NSC TCP shares the same configuration attributes that are common across TCP sockets, as described above and documented in Doxygen

Additionally, NSC TCP exports a lot of configuration variables into the *ns-3* attributes system, via a sysctl-like interface. In the examples/tcp/tcp-nsc-zoo example, you can see the following configuration:

```
// this disables TCP SACK, wscale and timestamps on node 1 (the attributes
  represent sysctl-values).
Config::Set ("/NodeList/1/$ns3::Ns3NscStack<linux2.6.26>/net.ipv4.tcp_sack",
    StringValue ("0"));
Config::Set ("/NodeList/1/$ns3::Ns3NscStack<linux2.6.26>/net.ipv4.tcp_timestamps",
    StringValue ("0"));
Config::Set ("/NodeList/1/$ns3::Ns3NscStack<linux2.6.26>/net.ipv4.tcp_window_scaling",
    StringValue ("0"));
```

These additional configuration variables are not available to native *ns-3* TCP.

Also note that default values for TCP attributes in *ns-3* TCP may differ from the nsc TCP implementation. Specifically in *ns-3*:

- 1. TCP default MSS is 536
- 2. TCP Delayed Ack count is 2

Therefore when making comparisons between results obtained using nsc and *ns-3* TCP, care must be taken to ensure these values are set appropriately. See /examples/tcp/tcp-nsc-comparision.cc for an example.

#### **NSC API**

This subsection describes the API that NSC presents to *ns-3* or any other simulator. NSC provides its API in the form of a number of classes that are defined in sim/sim\_interface.h in the nsc directory.

- INetStack INetStack contains the 'low level' operations for the operating system network stack, e.g. in and output functions from and to the network stack (think of this as the 'network driver interface'. There are also functions to create new TCP or UDP sockets.
- **ISendCallback** This is called by NSC when a packet should be sent out to the network. This simulator should use this callback to re-inject the packet into the simulator so the actual data can be delivered/routed to its destination, where it will eventually be handed into Receive() (and eventually back to the receivers NSC instance via INetStack->if\_receive()).
- **INetStreamSocket** This is the structure defining a particular connection endpoint (file descriptor). It contains methods to operate on this endpoint, e.g. connect, disconnect, accept, listen, send\_data/read\_data, ...
- **IInterruptCallback** This contains the wakeup callback, which is called by NSC whenever something of interest happens. Think of wakeup() as a replacement of the operating systems wakeup function: Whenever the operating system would wake up a process that has been waiting for an operation to complete (for example the TCP handshake during connect()), NSC invokes the wakeup() callback to allow the simulator to check for state changes in its connection endpoints.

## ns-3 implementation

The *ns-3* implementation makes use of the above NSC API, and is implemented as follows.

The three main parts are:

- ns3::NscTcpL4Protocol: a subclass of Ipv4L4Protocol (and two nsc classes: ISendCallback and IInterruptCallback)
- ns3::NscTcpSocketImpl: a subclass of TcpSocket
- ns3::NscTcpSocketFactoryImpl: a factory to create new NSC sockets

src/internet/model/nsc-tcp-14-protocol is the main class. Upon Initialization, it loads an nsc network stack to use (via dlopen()). Each instance of this class may use a different stack. The stack (=shared library) to use

is set using the SetNscLibrary() method (at this time its called indirectly via the internet stack helper). The nsc stack is then set up accordingly (timers etc). The NscTcpL4Protocol::Receive() function hands the packet it receives (must be a complete tcp/ip packet) to the nsc stack for further processing. To be able to send packets, this class implements the nsc send\_callback method. This method is called by nsc whenever the nsc stack wishes to send a packet out to the network. Its arguments are a raw buffer, containing a complete TCP/IP packet, and a length value. This method therefore has to convert the raw data to a Ptr<Packet> usable by *ns-3*. In order to avoid various ipv4 header issues, the nsc ip header is not included. Instead, the tcp header and the actual payload are put into the Ptr<Packet>, after this the Packet is passed down to layer 3 for sending the packet out (no further special treatment is needed in the send code path).

This class calls ns3::NscTcpSocketImpl both from the nsc wakeup() callback and from the Receive path (to ensure that possibly queued data is scheduled for sending).

src/internet/model/nsc-tcp-socket-impl implements the nsc socket interface. Each instance has its own nscTcpSocket. Data that is Send() will be handed to the nsc stack via m\_nscTcpSocket->send\_data(). (and not to nsc-tcp-l4, this is the major difference compared to *ns-3* TCP). The class also queues up data that is Send() before the underlying descriptor has entered an ESTABLISHED state. This class is called from the nsc-tcp-l4 class, when the nsc-tcp-l4 wakeup() callback is invoked by nsc. nsc-tcp-socket-impl then checks the current connection state (SYN\_SENT, ESTABLISHED, LISTEN...) and schedules appropriate callbacks as needed, e.g. a LISTEN socket will schedule Accept to see if a new connection must be accepted, an ESTABLISHED socket schedules any pending data for writing, schedule a read callback, etc.

Note that ns3::NscTcpSocketImpl does not interact with nsc-tcp directly: instead, data is redirected to nsc. nsc-tcp calls the nsc-tcp-sockets of a node when its wakeup callback is invoked by nsc.

#### Limitations

- NSC only works on single-interface nodes; attempting to run it on a multi-interface node will cause a program error.
- Cygwin and OS X PPC are not supported; OS X Intel is not supported but may work
- The non-Linux stacks of NSC are not supported in ns-3
- Not all socket API callbacks are supported

For more information, see this wiki page.

PageBreak

# LOW-RATE WIRELESS PERSONAL AREA NETWORK (LR-WPAN)

This chapter describes the implementation of ns-3 models for the low-rate, wireless personal area network (LR-WPAN) as specified by IEEE standard 802.15.4 (2006).

## **18.1 Model Description**

The source code for the lr-wpan module lives in the directory src/lr-wpan.

## 18.1.1 **Design**

The model design closely follows the standard from an architectural standpoint.

The grey areas in the figure (adapted from Fig 3. of IEEE Std. 802.15.4-2006) show the scope of the model.

The Spectrum NetDevice from Nicola Baldo is the basis for the implementation.

The implementation also plans to borrow from the ns-2 models developed by Zheng and Lee in the future.

#### **APIs**

The APIs closely follow the standard, adapted for ns-3 naming conventions and idioms. The APIs are organized around the concept of service primitives as shown in the following figure adapted from Figure 14 of IEEE Std. 802.15.4-2006.

The APIs are organized around four conceptual services and service access points (SAP):

- MAC data service (MCPS)
- MAC management service (MLME)
- PHY data service (PD)
- PHY management service (PLME)

In general, primitives are standardized as follows (e.g. Sec 7.1.1.1.1 of IEEE 802.15.4-2006)::

```
MCPS-DATA.request (
SrcAddrMode,
DstAddrMode,
DstPANId,
```

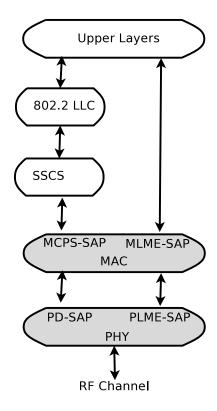


Figure 18.1: Architecture and scope of lr-wpan models

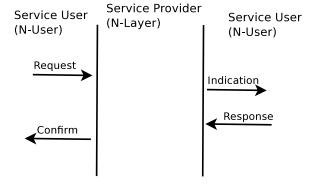


Figure 18.2: Service primitives

```
DstAddr,
msduLength,
msdu,
msduHandle,
TxOptions,
SecurityLevel,
KeyIdMode,
KeySource,
KeyIndex
```

This maps to ns-3 classes and methods such as::

```
struct McpsDataRequestParameters
{
    uint8_t m_srcAddrMode;
    uint8_t m_dstAddrMode;
    ...
};

void
LrWpanMac::McpsDataRequest (McpsDataRequestParameters params)
{
    ...
}
```

#### **MAC**

The MAC at present implements the unslotted CSMA/CA variant, without beaconing. Currently there is no support for coordinators and the relavant APIs.

The implemented MAC is similar to Contiki's NullMAC, i.e., a MAC without sleep features. The radio is assumed to be always active (receiving or transmitting), of completely shut down. Frame reception is not disabled while performing the CCA.

The main API supported is the data transfer API (McpsDataRequest/Indication/Confirm). CSMA/CA according to Stc 802.15.4-2006, section 7.5.1.4 is supported. Frame reception and rejection according to Std 802.15.4-2006, section 7.5.6.2 is supported, including acknowledgements. Only short addressing completely implemented. Various trace sources are supported, and trace sources can be hooked to sinks.

## **PHY**

The physical layer components consist of a Phy model, an error rate model, and a loss model. The error rate model presently models the error rate for IEEE 802.15.4 2.4 GHz AWGN channel for OQPSK; the model description can be found in IEEE Std 802.15.4-2006, section E.4.1.7. The Phy model is based on SpectrumPhy and it follows specification described in section 6 of IEEE Std 802.15.4-2006. It models PHY service specifications, PPDU formats, PHY constants and PIB attributes. It currently only supports the transmit power spectral density mask specified in 2.4 GHz per section 6.5.3.1. The noise power density assumes uniformly distributed thermal noise across the frequency bands. The loss model can fully utilize all existing simple (non-spectrum phy) loss models. The Phy model uses the existing single spectrum channel model. The physical layer is modeled on packet level, that is, no preamble/SFD detection is done. Packet reception will be started with the first bit of the preamble (which is not modeled), if the SNR is more than -5 dB, see IEEE Std 802.15.4-2006, appendix E, Figure E.2. Reception of the packet will finish after the packet was completely transmitted. Other packets arriving during reception will add up to the interference/noise.

Currently the receiver sensitivity is set to a fixed value of -106.58 dBm. This corresponds to a packet error rate of 1% for 20 byte reference packets for this signal power, according to IEEE Std 802.15.4-2006, section 6.1.7. In the future

we will provide support for changing the sensitivity to different values.

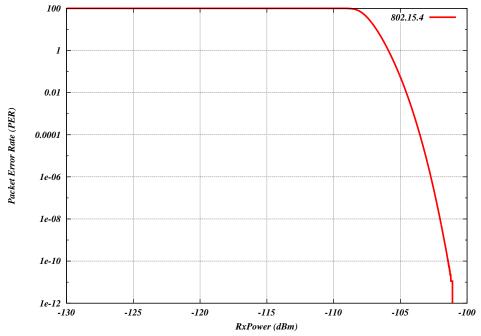


Figure 18.3: Packet error rate vs. signal power

## **NetDevice**

Although it is expected that other technology profiles (such as 6LoWPAN and ZigBee) will write their own NetDevice classes, a basic LrWpanNetDevice is provided, which encapsulates the common operations of creating a generic LrWpan device and hooking things together.

## 18.1.2 Scope and Limitations

Future versions of this document will contain a PICS proforma similar to Appendix D of IEEE 802.15.4-2006. The current emphasis is on the unslotted mode of 802.15.4 operation for use in Zigbee, and the scope is limited to enabling a single mode (CSMA/CA) with basic data transfer capabilities. Association with PAN coordinators is not yet supported, nor the use of extended addressing. Interference is modeled as AWGN but this is currently not thoroughly tested.

The NetDevice Tx queue is not limited, i.e., packets are never dropped due to queue becoming full. They may be dropped due to excessive transmission retries or channel access failure.

## 18.1.3 References

- Wireless Medium Access Control (MAC) and Physical Layer (PHY) Specifications for Low-Rate Wireless Personal Area Networks (WPANs), IEEE Computer Society, IEEE Std 802.15.4-2006, 8 September 2006.
- 10. Zheng and Myung J. Lee, "A comprehensive performance study of IEEE 802.15.4," Sensor Network Operations, IEEE Press, Wiley Interscience, Chapter 4, pp. 218-237, 2006.

## 18.2 Usage

## 18.2.1 Enabling Ir-wpan

Add 1r-wpan to the list of modules built with ns-3.

## 18.2.2 Helper

The helper is patterned after other device helpers. In particular, tracing (ascii and pcap) is enabled similarly, and enabling of all lr-wpan log components is performed similarly. Use of the helper is exemplified in examples/lr-wpan-data.cc. For ascii tracing, the transmit and receive traces are hooked at the Mac layer.

The default propagation loss model added to the channel, when this helper is used, is the LogDistancePropagation-LossModel with default parameters.

## 18.2.3 Examples

The following examples have been written, which can be found in src/lr-wpan/examples/:

- lr-wpan-data.cc: A simple example showing end-to-end data transfer.
- lr-wpan-error-distance-plot.cc: An example to plot variations of the packet success ratio as a function of distance.
- lr-wpan-error-model-plot.cc: An example to test the phy.
- lr-wpan-packet-print.cc: An example to print out the MAC header fields.
- lr-wpan-phy-test.cc: An example to test the phy.

In particular, the module enables a very simplified end-to-end data transfer scenario, implemented in lr-wpan-data.cc. The figure shows a sequence of events that are triggered when the MAC receives a DataRequest from the higher layer. It invokes a Clear Channel Assessment (CCA) from the PHY, and if successful, sends the frame down to the PHY where it is transmitted over the channel and results in a DataIndication on the peer node.

The example lr-wpan-error-distance-plot.cc plots the packet success ratio (PSR) as a function of distance, using the default LogDistance propagation loss model and the 802.15.4 error model. The channel (default 11), packet size (default 20 bytes) and transmit power (default 0 dBm) can be varied by command line arguments. The program outputs a file named 802.15.4-psr-distance.plt. Loading this file into gnuplot yields a file 802.15.4-psr-distance.eps, which can be converted to pdf or other formats. The default output is shown below.

## 18.2.4 Tests

The following tests have been written, which can be found in src/lr-wpan/tests/:

- lr-wpan-ack-test.cc: Check that acknowledgments are being used and issued in the correct order.
- lr-wpan-collision-test.cc: Test correct reception of packets with interference and collisions.
- 1r-wpan-error-model-test.cc: Check that the error model gives predictable values.
- lr-wpan-packet-test.cc: Test the 802.15.4 MAC header/trailer classes
- 1r-wpan-pd-plme-sap-test.cc: Test the PLME and PD SAP per IEEE 802.15.4

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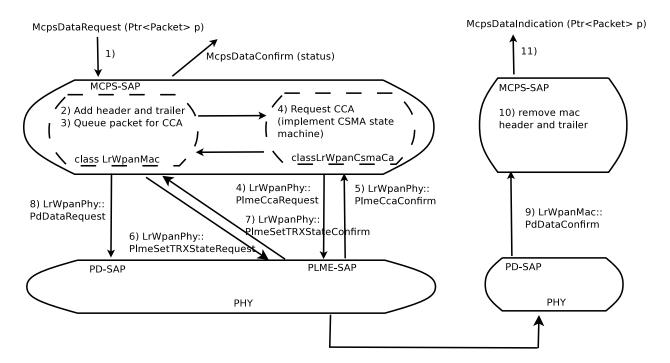


Figure 18.4: Data example for simple LR-WPAN data transfer end-to-end

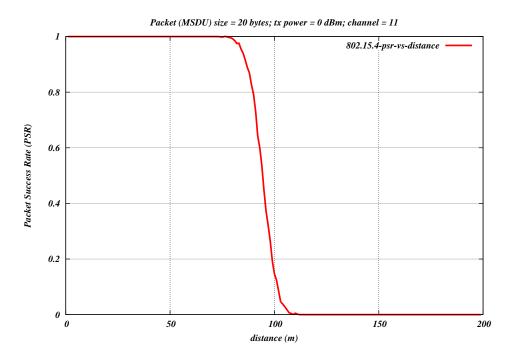


Figure 18.5: Default output of the program lr-wpan-error-distance-plot.cc

• lr-wpan-spectrum-value-helper-test.cc: Test that the conversion between power (expressed as a scalar quantity) and spectral power, and back again, falls within a 25% tolerance across the range of possible channels and input powers.

## 18.3 Validation

The model has not been validated against real hardware. The error model has been validated against the data in IEEE Std 802.15.4-2006, section E.4.1.7 (Figure E.2). The MAC behavior (CSMA backoff) has been validated by hand against expected behavior. The below plot is an example of the error model validation and can be reproduced by running lr-wpan-error-model-plot.cc:

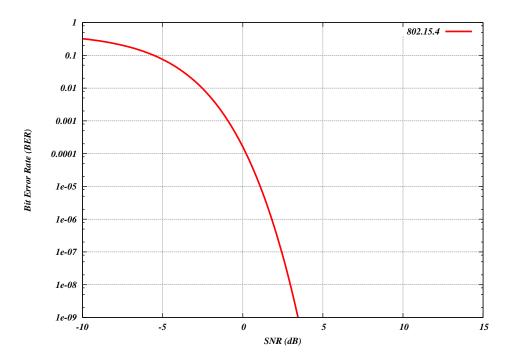


Figure 18.6: Default output of the program lr-wpan-error-model-plot.cc

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## LTE MODULE

## 19.1 Design Documentation

## 19.1.1 Overview

An overview of the LTE-EPC simulation model is depicted in the figure *Overview of the LTE-EPC simulation model*. There are two main components:

- the LTE Model. This model includes the LTE Radio Protocol stack (RRC, PDCP, RLC, MAC, PHY). These entities reside entirely within the UE and the eNB nodes.
- the EPC Model. This models includes core network interfaces, protocols and entities. These entities and protocols reside within the SGW, PGW and MME nodes, and partially within the eNB nodes.

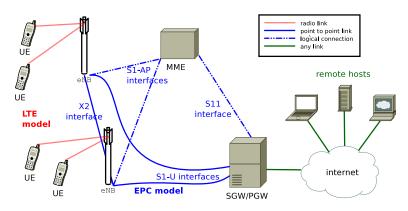


Figure 19.1: Overview of the LTE-EPC simulation model

## 19.1.2 Design Criteria

## **LTE Model**

The LTE model has been designed to support the evaluation of the following aspects of LTE systems:

- Radio Resource Management
- · QoS-aware Packet Scheduling
- Inter-cell Interference Coordination

• Dynamic Spectrum Access

In order to model LTE systems to a level of detail that is sufficient to allow a correct evaluation of the above mentioned aspects, the following requirements have been considered:

- 1. At the radio level, the granularity of the model should be at least that of the Resource Block (RB). In fact, this is the fundamental unit being used for resource allocation. Without this minimum level of granularity, it is not possible to model accurately packet scheduling and inter-cell-interference. The reason is that, since packet scheduling is done on a per-RB basis, an eNB might transmit on a subset only of all the available RBs, hence interfering with other eNBs only on those RBs where it is transmitting. Note that this requirement rules out the adoption of a system level simulation approach, which evaluates resource allocation only at the granularity of call/bearer establishment.
- 2. The simulator should scale up to tens of eNBs and hundreds of User Equipments (UEs). This rules out the use of a link level simulator, i.e., a simulator whose radio interface is modeled with a granularity up to the symbol level. This is because to have a symbol level model it is necessary to implement all the PHY layer signal processing, whose huge computational complexity severely limits simulation. In fact, link-level simulators are normally limited to a single eNB and one or a few UEs.
- 3. It should be possible within the simulation to configure different cells so that they use different carrier frequencies and system bandwidths. The bandwidth used by different cells should be allowed to overlap, in order to support dynamic spectrum licensing solutions such as those described in [Ofcom2600MHz] and [RealWireless]. The calculation of interference should handle appropriately this case.
- 4. To be more representative of the LTE standard, as well as to be as close as possible to real-world implementations, the simulator should support the MAC Scheduler API published by the FemtoForum [FFAPI]. This interface is expected to be used by femtocell manufacturers for the implementation of scheduling and Radio Resource Management (RRM) algorithms. By introducing support for this interface in the simulator, we make it possible for LTE equipment vendors and operators to test in a simulative environment exactly the same algorithms that would be deployed in a real system.
- 5. The LTE simulation model should contain its own implementation of the API defined in [FFAPI]. Neither binary nor data structure compatibility with vendor-specific implementations of the same interface are expected; hence, a compatibility layer should be interposed whenever a vendor-specific MAC scheduler is to be used with the simulator. This requirement is necessary to allow the simulator to be independent from vendor-specific implementations of this interface specification. We note that [FFAPI] is a logical specification only, and its implementation (e.g., translation to some specific programming language) is left to the vendors.
- 6. The model is to be used to simulate the transmission of IP packets by the upper layers. With this respect, it shall be considered that in LTE the Scheduling and Radio Resource Management do not work with IP packets directly, but rather with RLC PDUs, which are obtained by segmentation and concatenation of IP packets done by the RLC entities. Hence, these functionalities of the RLC layer should be modeled accurately.

## **EPC Model**

The main objective of the EPC model is to provides means for the simulation of end-to-end IP connectivity over the LTE model. To this aim, it supports for the interconnection of multiple UEs to the Internet, via a radio access network of multiple eNBs connected to a single SGW/PGW node, as shown in Figure *Overview of the LTE-EPC simulation model*.

The following design choices have been made for the EPC model:

- 1. The only Packet Data Network (PDN) type supported is IPv4.
- 2. The SGW and PGW functional entities are implemented within a single node, which is hence referred to as the SGW/PGW node.
- 3. The scenarios with inter-SGW mobility are not of interests. Hence, a single SGW/PGW node will be present in all simulations scenarios

- 4. A requirement for the EPC model is that it can be used to simulate the end-to-end performance of realistic applications. Hence, it should be possible to use with the EPC model any regular ns-3 application working on top of TCP or UDP.
- 5. Another requirement is the possibility of simulating network topologies with the presence of multiple eNBs, some of which might be equipped with a backhaul connection with limited capabilities. In order to simulate such scenarios, the user data plane protocols being used between the eNBs and the SGW/PGW should be modeled accurately.
- 6. It should be possible for a single UE to use different applications with different QoS profiles. Hence, multiple EPS bearers should be supported for each UE. This includes the necessary classification of TCP/UDP traffic over IP done at the UE in the uplink and at the PGW in the downlink.
- 7. The focus of the EPC model is mainly on the EPC data plane. The accurate modeling of the EPC control plane is, for the time being, not a requirement; hence, the necessary control plane interactions can be modeled in a simplified way by leveraging on direct interaction among the different simulation objects via the provided helper objects.
- 8. The focus of the EPC model is on simulations of active users in ECM connected mode. Hence, all the functionality that is only relevant for ECM idle mode (in particular, tracking area update and paging) are not modeled at
- 9. The model should allow the possibility to perform an X2-based handover between two eNBs.

## 19.1.3 Architecture

## **LTE Model**

## **UE** architecture

The architecture of the LTE radio protocol stack model of the UE is represented in the figures *LTE radio protocol stack* architecture for the UE on the data plane and *LTE radio protocol stack* architecture for the UE on the control plane which highlight respectively the data plane and the control plane.

The architecture of the PHY/channel model of the UE is represented in figure *PHY and channel model architecture* for the UE.

## eNB architecture

The architecture of the LTE radio protocol stack model of the eNB is represented in the figures *LTE radio protocol stack architecture for the eNB on the data plane* and *LTE radio protocol stack architecture for the eNB on the control plane* which highlight respectively the data plane and the control plane.

The architecture of the PHY/channel model of the eNB is represented in figure *PHY and channel model architecture* for the eNB.

#### **EPC Model**

#### **EPC** data plane

In Figure LTE-EPC data plane protocol stack, we represent the end-to-end LTE-EPC data plane protocol stack as it is modeled in the simulator. From the figure, it is evident that the biggest simplification introduced in the data plane model is the inclusion of the SGW and PGW functionality within a single SGW/PGW node, which removes the need

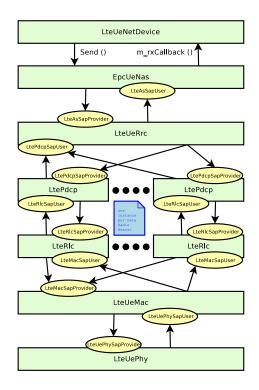


Figure 19.2: LTE radio protocol stack architecture for the UE on the data plane

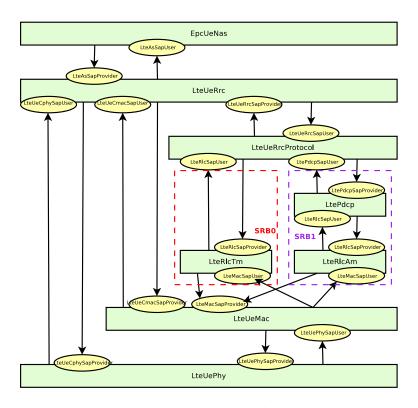


Figure 19.3: LTE radio protocol stack architecture for the UE on the control plane

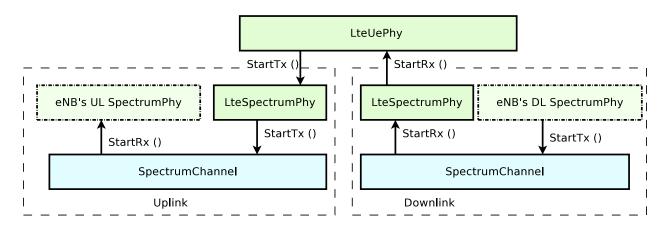


Figure 19.4: PHY and channel model architecture for the UE

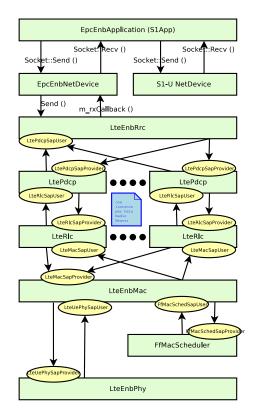


Figure 19.5: LTE radio protocol stack architecture for the eNB on the data plane

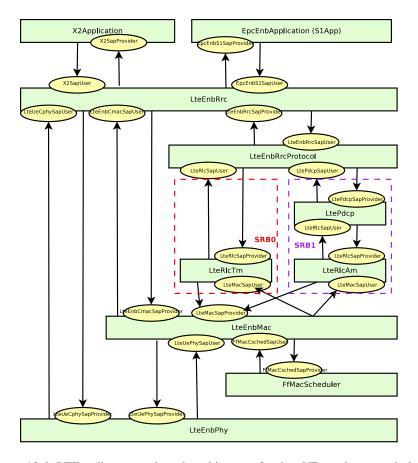


Figure 19.6: LTE radio protocol stack architecture for the eNB on the control plane

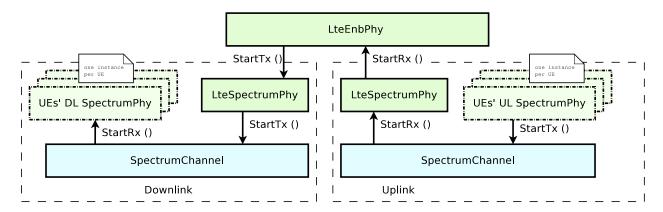


Figure 19.7: PHY and channel model architecture for the eNB

for the S5 or S8 interfaces specified by 3GPP. On the other hand, for both the S1-U protocol stack and the LTE radio protocol stack all the protocol layers specified by 3GPP are present.

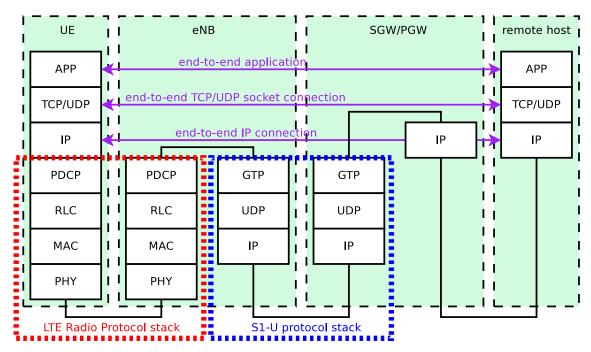


Figure 19.8: LTE-EPC data plane protocol stack

## **EPC** control plane

The architecture of the implementation of the control plane model is shown in figure *EPC control model*. The control interfaces that are modeled explicitly are the S1-AP, the X2-AP and the S11 interfaces.

We note that the S1-AP and the S11 interfaces are modeled in a simplified fashion, by using just one pair of interface classes to model the interaction between entities that reside on different nodes (the eNB and the MME for the S1-AP interface, and the MME and the SGW for the S11 interface). In practice, this means that the primitives of these interfaces are mapped to a direct function call between the two objects. On the other hand, the X2-AP interface is being modeled using protocol data units sent over an X2 link (modeled as a point-to-point link); for this reason, the X2-AP interface model is more realistic.

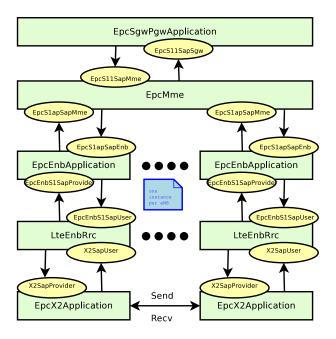


Figure 19.9: EPC control model

## 19.1.4 Channel and Propagation

For channel modeling purposes, the LTE module uses the SpectrumChannel interface provided by the spectrum module. At the time of this writing, two implementations of such interface are available: SingleModelSpectrumChannel and MultiModelSpectrumChannel, and the LTE module requires the use of the MultiModelSpectrumChannel in order to work properly. This is because of the need to support different frequency and bandwidth configurations. All the the propagation models supported by MultiModelSpectrumChannel can be used within the LTE module.

## Use of the Buildings model with LTE

The recommended propagation model to be used with the LTE module is the one provided by the Buildings module, which was in fact designed specifically with LTE (though it can be used with other wireless technologies as well). Please refer to the documentation of the Buildings module for generic information on the propagation model it provides.

In this section we will highlight some considerations that specifically apply when the Buildings module is used together with the LTE module.

The naming convention used in the following will be:

- User equipment: UE
- · Macro Base Station: MBS
- Small cell Base Station (e.g., pico/femtocell): SC

The LTE module considers FDD only, and implements downlink and uplink propagation separately. As a consequence, the following pathloss computations are performed

- MBS <-> UE (indoor and outdoor)
- SC (indoor and outdoor) <-> UE (indoor and outdoor)

The LTE model does not provide the following pathloss computations:

- UE <-> UE
- MBS <-> MBS
- MBS <-> SC
- SC <-> SC

The Buildings model does not know the actual type of the node; i.e., it is not aware of whether a transmitter node is a UE, a MBS, or a SC. Rather, the Buildings model only cares about the position of the node: whether it is indoor and outdoor, and what is its z-axis respect to the rooftop level. As a consequence, for an eNB node that is placed outdoor and at a z-coordinate above the rooftop level, the propagation models typical of MBS will be used by the Buildings module. Conversely, for an eNB that is placed outdoor but below the rooftop, or indoor, the propagation models typical of pico and femtocells will be used.

For communications involving at least one indoor node, the corresponding wall penetration losses will be calculated by the Buildings model. This covers the following use cases:

- MBS <-> indoor UE
- outdoor SC <-> indoor UE
- indoor SC <-> indoor UE
- indoor SC <-> outdoor UE

Please refer to the documentation of the Buildings module for details on the actual models used in each case.

## **Fading Model**

The LTE module includes a trace-based fading model derived from the one developed during the GSoC 2010 [Piro2011]. The main characteristic of this model is the fact that the fading evaluation during simulation run-time is based on per-calculated traces. This is done to limit the computational complexity of the simulator. On the other hand, it needs huge structures for storing the traces; therefore, a trade-off between the number of possible parameters and the memory occupancy has to be found. The most important ones are:

- users' speed: relative speed between users (affects the Doppler frequency, which in turns affects the time-variance property of the fading)
- number of taps (and relative power): number of multiple paths considered, which affects the frequency property
  of the fading.
- time granularity of the trace: sampling time of the trace.
- frequency granularity of the trace: number of values in frequency to be evaluated.
- length of trace: ideally large as the simulation time, might be reduced by windowing mechanism.
- number of users: number of independent traces to be used (ideally one trace per user).

With respect to the mathematical channel propagation model, we suggest the one provided by the rayleighchan function of Matlab, since it provides a well accepted channel modelization both in time and frequency domain. For more information, the reader is referred to [mathworks].

The simulator provides a matlab script (src/lte/model/fading-traces/fading-trace-generator.m) for generating traces based on the format used by the simulator. In detail, the channel object created with the rayleighchan function is used for filtering a discrete-time impulse signal in order to obtain the channel impulse response. The filtering is repeated for different TTI, thus yielding subsequent time-correlated channel responses (one per TTI). The channel response is then processed with the pwelch function for obtaining its power spectral density values, which are then saved in a file with the proper format compatible with the simulator model.

Since the number of variable it is pretty high, generate traces considering all of them might produce a high number of traces of huge size. On this matter, we considered the following assumptions of the parameters based on the 3GPP fading propagation conditions (see Annex B.2 of [TS36104]):

- users' speed: typically only a few discrete values are considered, i.e.:
  - 0 and 3 kmph for pedestrian scenarios
  - 30 and 60 kmph for vehicular scenarios
  - 0, 3, 30 and 60 for urban scenarios
- channel taps: only a limited number of sets of channel taps are normally considered, for example three models are mentioned in Annex B.2 of [TS36104].
- time granularity: we need one fading value per TTI, i.e., every 1 ms (as this is the granularity in time of the ns-3 LTE PHY model).
- frequency granularity: we need one fading value per RB (which is the frequency granularity of the spectrum model used by the ns-3 LTE model).
- length of the trace: the simulator includes the windowing mechanism implemented during the GSoC 2011, which consists of picking up a window of the trace each window length in a random fashion.
- per-user fading process: users share the same fading trace, but for each user a different starting point in the trace is randomly picked up. This choice was made to avoid the need to provide one fading trace per user.

According to the parameters we considered, the following formula express in detail the total size  $S_{traces}$  of the fading traces:

$$S_{traces} = S_{sample} \times N_{RB} \times \frac{T_{trace}}{T_{sample}} \times N_{scenarios} \text{ [bytes]}$$

where  $S_{sample}$  is the size in bytes of the sample (e.g., 8 in case of double precision, 4 in case of float precision),  $N_{RB}$  is the number of RB or set of RBs to be considered,  $T_{trace}$  is the total length of the trace,  $T_{sample}$  is the time resolution of the trace (1 ms), and  $N_{scenarios}$  is the number of fading scenarios that are desired (i.e., combinations of different sets of channel taps and user speed values). We provide traces for 3 different scenarios one for each taps configuration defined in Annex B.2 of [TS36104]:

- Pedestrian: with nodes' speed of 3 kmph.
- Vehicular: with nodes' speed of 60 kmph.
- Urban: with nodes' speed of 3 kmph.

hence  $N_{scenarios} = 3$ . All traces have  $T_{trace} = 10$  s and  $RB_{NUM} = 100$ . This results in a total 24 MB bytes of traces.

#### **Antennas**

Being based on the SpectrumPhy, the LTE PHY model supports antenna modeling via the ns-3 AntennaModel class. Hence, any model based on this class can be associated with any eNB or UE instance. For instance, the use of the CosineAntennaModel associated with an eNB device allows to model one sector of a macro base station. By default, the IsotropicAntennaModel is used for both eNBs and UEs.

## 19.1.5 PHY

#### Overview

The physical layer model provided in this LTE simulator is based on the one described in [Piro2011], with the following modifications. The model now includes the inter cell intereference calculation and the simulation of uplink traffic, including both packet transmission and CQI generation.

#### **Subframe Structure**

The subframe is divided into control and data part as described in Figure LTE subframe division..

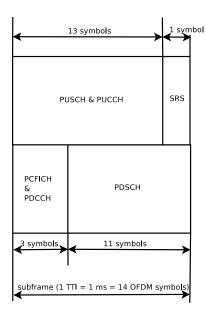


Figure 19.10: LTE subframe division.

Considering the granularity of the simulator based on RB, the control and the reference signaling have to be consequently modeled considering this constraint. According to the standard [TS36211], the downlink control frame starts at the beginning of each subframe and lasts up to three symbols across the whole system bandwidth, where the actual duration is provided by the Physical Control Format Indicator Channel (PCFICH). The information on the allocation are then mapped in the remaining resource up to the duration defined by the PCFICH, in the so called Physical Downlink Control Channel (PDCCH). A PDCCH transports a single message called Downlink Control Information (DCI) coming from the MAC layer, where the scheduler indicates the resource allocation for a specific user. The PCFICH and PDCCH are modeled with the transmission of the control frame of a fixed duration of 3/14 of milliseconds spanning in the whole available bandwidth, since the scheduler does not estimate the size of the control region. This implies that a single transmission block models the entire control frame with a fixed power (i.e., the one used for the PDSCH) across all the available RBs. According to this feature, this transmission represents also a valuable support for the Reference Signal (RS). This allows of having every TTI an evaluation of the interference scenario since all the eNB are transmitting (simultaneously) the control frame over the respective available bandwidths. We note that, the model does not include the power boosting since it does not reflect any improvement in the implemented model of the channel estimation.

The Sounding Reference Signal (SRS) is modeled similar to the downlink control frame. The SRS is periodically placed in the last symbol of the subframe in the whole system bandwidth. The RRC module already includes an algorithm for dynamically assigning the periodicity as function of the actual number of UEs attached to a eNB according to the UE-specific procedure (see Section 8.2 of [TS36213]).

## MAC to Channel delay

To model the latency of real MAC and PHY implementations, the PHY model simulates a MAC-to-channel delay in multiples of TTIs (1ms). The transmission of both data and control packets are delayed by this amount.

#### **CQI** feedback

The generation of CQI feedback is done accordingly to what specified in [FFAPI]. In detail, we considered the generation of periodic wideband CQI (i.e., a single value of channel state that is deemed representative of all RBs in use) and inband CQIs (i.e., a set of value representing the channel state for each RB).

In downlink, the CQI feedbacks are currently evaluated according to the SINR perceived by control channel (i.e., PDCCH + PCFIC) in order to have an estimation of the interference when all the eNB are transmitting simultaneously. In uplink, two types of CQIs are implemented:

- SRS based, periodically sent by the UEs.
- PUSCH based, calculated from the actual transmitted data.

The scheduler interface include an attribute system calld UlCqiFilter for managing the filtering of the CQIs according to their nature, in detail:

- SRS\_UL\_CQI for storing only SRS based CQIs.
- PUSCH\_UL\_CQI for storing only PUSCH based CQIs.
- ALL\_UL\_CQI for storing all the CQIs received.

It has to be noted that, the FfMacScheduler provides only the interface and it is matter of the actual scheduler implementation to include the code for managing these attibutes (see scheduler related section for more information on this matter).

#### **Interference Model**

The PHY model is based on the well-known Gaussian interference models, according to which the powers of interfering signals (in linear units) are summed up together to determine the overall interference power.

The sequence diagram of Figure Sequence diagram of the PHY interference calculation procedure shows how interfering signals are processed to calculate the SINR, and how SINR is then used for the generation of CQI feedback.

## LTE Spectrum Model

The usage of the radio spectrum by eNBs and UEs in LTE is described in [TS36101]. In the simulator, radio spectrum usage is modeled as follows. Let  $f_c$  denote the LTE Absolute Radio Frequency Channel Number, which identifies the carrier frequency on a 100 kHz raster; furthermore, let B be the Transmission Bandwidth Configuration in number of Resource Blocks. For every pair  $(f_c, B)$  used in the simulation we define a corresponding SpectrumModel using the functionality provided by the sec-spetrum-module. model using the Spectrum framework described in [Baldo2009].  $f_c$  and B can be configured for every eNB instantiated in the simulation; hence, each eNB can use a different spectrum model. Every UE will automatically use the spectrum model of the eNB it is attached to. Using the MultiModelSpectrumChannel described in [Baldo2009], the interference among eNBs that use different spectrum models is properly accounted for. This allows to simulate dynamic spectrum access policies, such as for example the spectrum licensing policies that are discussed in [Ofcom2600MHz].

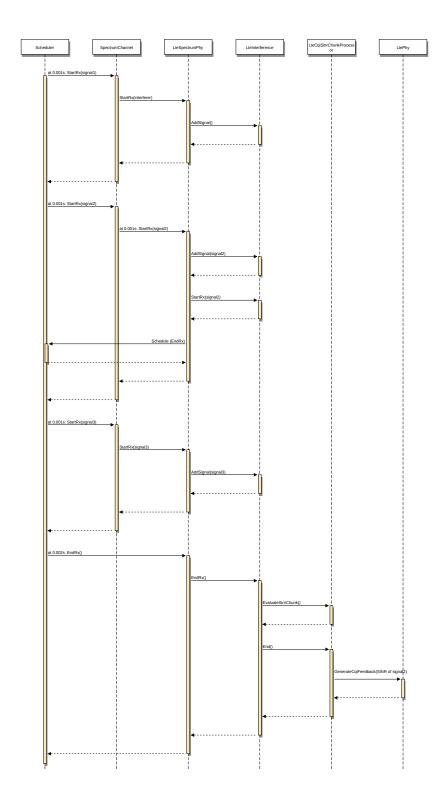


Figure 19.11: Sequence diagram of the PHY interference calculation procedure

#### **Data PHY Error Model**

The simulator includes an error model of the data plane (i.e., PDSCH and PUSCH) according to the standard link-to-system mapping (LSM) techniques. The choice is aligned with the standard system simulation methodology of OFDMA radio transmission technology. Thanks to LSM we are able to maintain a good level of accuracy and at the same time limiting the computational complexity increase. It is based on the mapping of single link layer performance obtained by means of link level simulators to system (in our case network) simulators. In particular link the layer simulator is used for generating the performance of a single link from a PHY layer perspective, usually in terms of code block error rate (BLER), under specific static conditions. LSM allows the usage of these parameters in more complex scenarios, typical of system/network simulators, where we have more links, interference and "colored" channel propagation phenomena (e.g., frequency selective fading).

To do this the Vienna LTE Simulator [ViennaLteSim] has been used for what concerns the extraction of link layer performance and the Mutual Information Based Effective SINR (MIESM) as LSM mapping function using part of the work recently published by the Signet Group of University of Padua [PaduaPEM].

#### **MIESM**

The specific LSM method adopted is the one based on the usage of a mutual information metric, commonly referred to as the mutual information per per coded bit (MIB or MMIB when a mean of multiples MIBs is involved). Another option would be represented by the Exponential ESM (EESM); however, recent studies demonstrate that MIESM outperforms EESM in terms of accuracy [LozanoCost].

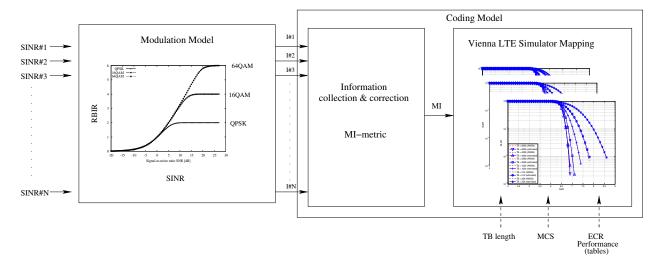


Figure 19.12: MIESM computational procedure diagram

The mutual information (MI) is dependent on the constellation mapping and can be calculated per transport block (TB) basis, by evaluating the MI over the symbols and the subcarrier. However, this would be too complex for a network simulator. Hence, in our implementation a flat channel response within the RB has been considered; therefore the overall MI of a TB is calculated averaging the MI evaluated per each RB used in the TB. In detail, the implemented scheme is depicted in Figure *MIESM computational procedure diagram*, where we see that the model starts by evaluating the MI value for each RB, represented in the figure by the SINR samples. Then the equivalent MI is evaluated per TB basis by averaging the MI values. Finally, a further step has to be done since the link level simulator returns the performance of the link in terms of block error rate (BLER) in a addive white guassian noise (AWGN) channel, where the blocks are the code blocks (CBs) independently encoded/decoded by the turbo encoder. On this matter the standard 3GPP segmentation scheme has been used for estimating the actual CB size (described in section 5.1.2 of [TS36212]). This scheme divides the the TB in  $N_{K_-}$  blocks of size  $K_-$  and  $N_{K_+}$  blocks of size  $K_+$ . Therefore the

overall TB BLER (TBLER) can be expressed as

$$TBLER = 1 - \prod_{i=1}^{C} (1 - CBLER_i)$$

where the  $CBLER_i$  is the BLER of the CB i obtained according to the link level simulator CB BLER curves. For estimating the  $CBLER_i$ , the MI evaluation has been implemented according to its numerical approximation defined in [wimaxEmd]. Moreover, for reducing the complexity of the computation, the approximation has been converted into lookup tables. In detail, Gaussian cumulative model has been used for approximating the AWGN BLER curves with three parameters which provides a close fit to the standard AWGN performances, in formula:

$$CBLER_{i} = \frac{1}{2} \left[ 1 - erf\left(\frac{x - b_{ECR}}{\sqrt{2}c_{ECR}}\right) \right]$$

where x is the MI of the TB,  $b_{ECR}$  represents the "transition center" and  $c_{ECR}$  is related to the "transition width" of the Gaussian cumulative distribution for each Effective Code Rate (ECR) which is the actual transmission rate according to the channel coding and MCS. For limiting the computational complexity of the model we considered only a subset of the possible ECRs in fact we would have potentially 5076 possible ECRs (i.e., 27 MCSs and 188 CB sizes). On this respect, we will limit the CB sizes to some representative values (i.e., 40, 140, 160, 256, 512, 1024, 2048, 4032, 6144), while for the others the worst one approximating the real one will be used (i.e., the smaller CB size value available respect to the real one). This choice is aligned to the typical performance of turbo codes, where the CB size is not strongly impacting on the BLER. However, it is to be notes that for CB sizes lower than 1000 bits the effect might be relevant (i.e., till 2 dB); therefore, we adopt this unbalanced sampling interval for having more precision where it is necessary. This behaviour is confirmed by the figures presented in the Annes Section.

#### **BLER Curves**

On this respect, we reused part of the curves obtained within [PaduaPEM]. In detail, we introduced the CB size dependency to the CB BLER curves with the support of the developers of [PaduaPEM] and of the LTE Vienna Simulator. In fact, the module released provides the link layer performance only for what concerns the MCSs (i.e, with a given fixed ECR). In detail the new error rate curves for each has been evaluated with a simulation campaign with the link layer simulator for a single link with AWGN noise and for CB size of 104, 140, 256, 512, 1024, 2048, 4032 and 6144. These curves has been mapped with the Gaussian cumulative model formula presented above for obtaining the correspondents  $b_{ECR}$  and  $c_{ECR}$  parameters.

The BLER perfomance of all MCS obtained with the link level simulator are plotted in the following figures (blue lines) together with their correspondent mapping to the Gaussian cumulative distribution (red dashed lines).

#### Integration of the BLER curves in the ns-3 LTE module

The model implemented uses the curves for the LSM of the recently LTE PHY Error Model released in the ns3 community by the Signet Group [PaduaPEM] and the new ones generated for different CB sizes. The LteSpectrumPhy class is in charge of evaluating the TB BLER thanks to the methods provided by the LteMiErrorModel class, which is in charge of evaluating the TB BLER according to the vector of the perceived SINR per RB, the MCS and the size in order to proper model the segmentation of the TB in CBs. In order to obtain the vector of the perceived SINR two instances of LtePemSinrChunkProcessor (child of LteSinrChunkProcessor dedicated to evaluate the SINR for obtaining physical error performance) have been attached to UE downlink and eNB uplink LteSpectrumPhy modules for evaluating the error model distribution respectively of PDSCH (UE side) and ULSCH (eNB side).

The model can be disabled for working with a zero-losses channel by setting the PemEnabled attribute of the LteSpectrumPhy class (by default is active). This can be done according to the standard ns3 attribute system procedure, that is:

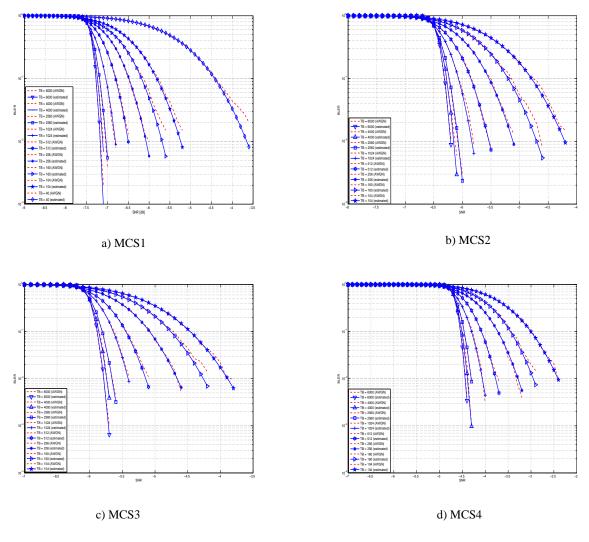


Figure 19.13: BLER for MCS 1, 2, 3 and 4.

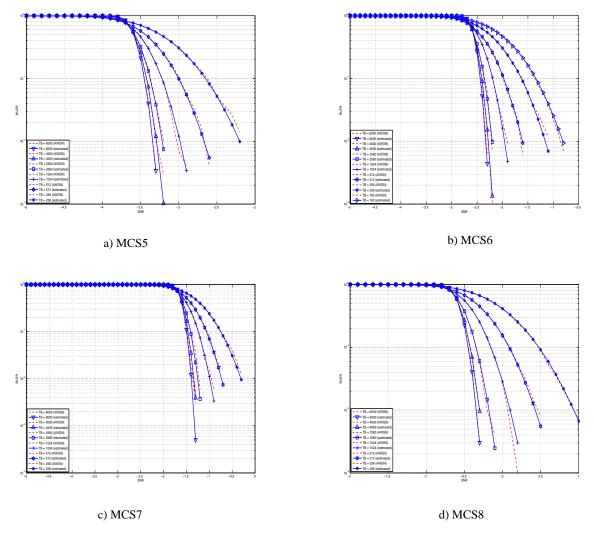


Figure 19.14: BLER for MCS 5, 6, 7 and 8.

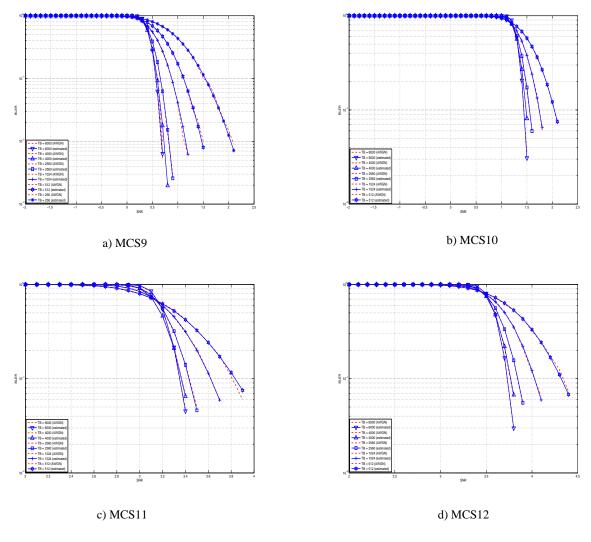


Figure 19.15: BLER for MCS 9, 10, 11 and 12.

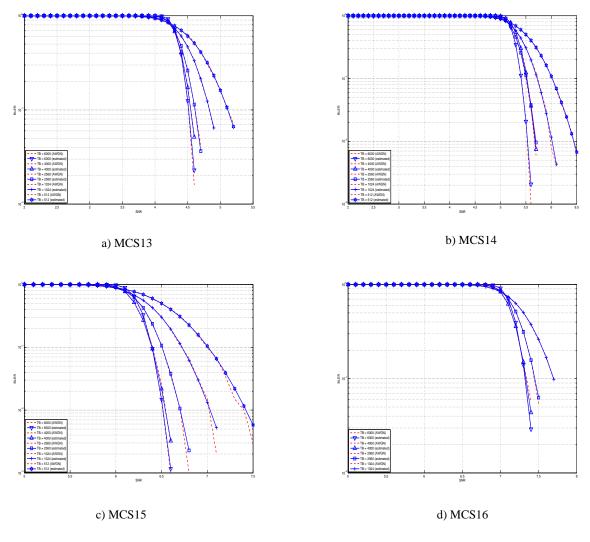


Figure 19.16: BLER for MCS 13, 14, 15 and 16.

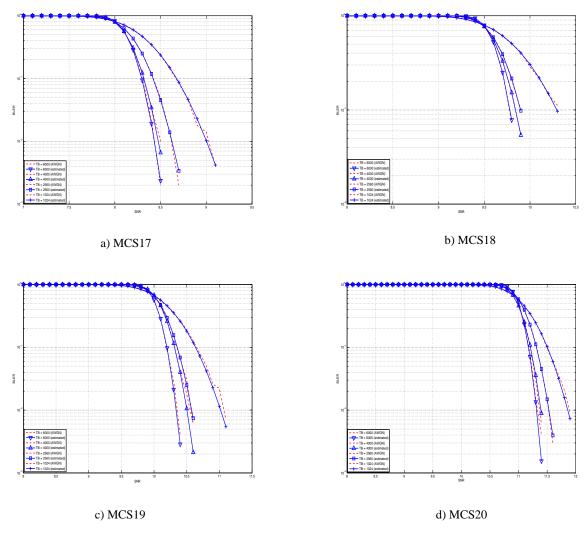


Figure 19.17: BLER for MCS 17, 17, 19 and 20.

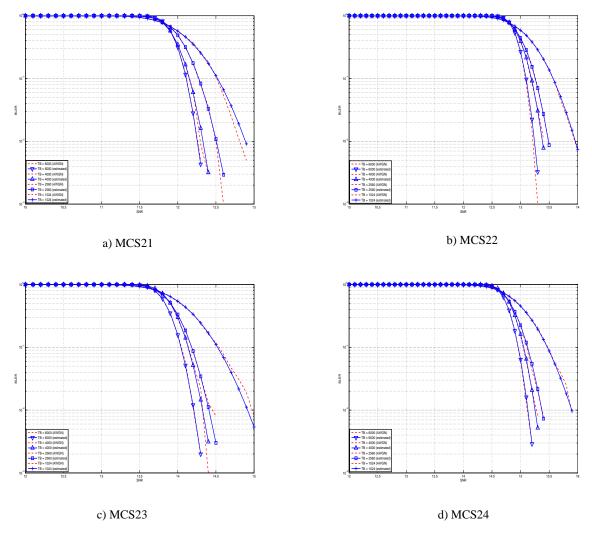


Figure 19.18: BLER for MCS 21, 22, 23 and 24.

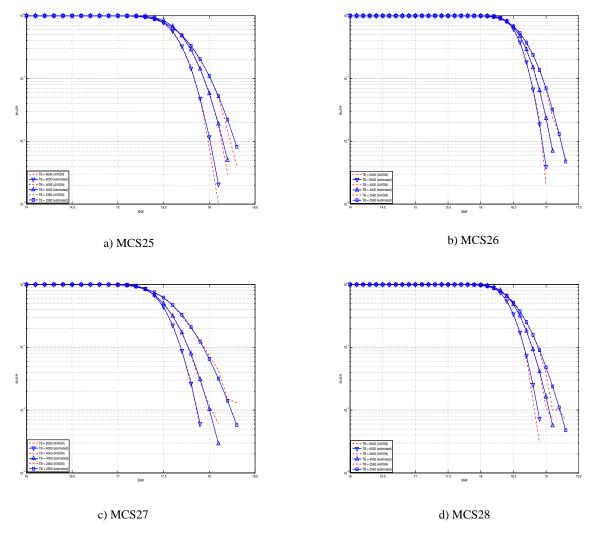


Figure 19.19: BLER for MCS 25, 26, 27 and 28.

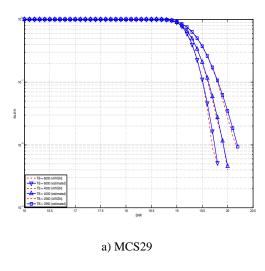


Figure 19.20: BLER for MCS 29.

Config::SetDefault ("ns3::LteSpectrumPhy::DataErrorModelEnabled", BooleanValue (false));

#### Control Channels PHY Error Model

The simulator includes the error model for downlink control channels (PCFICH and PDCCH), while in uplink it is assumed and ideal error-free channel. The model is based on the MIESM approach presented before for considering the effects of the frequency selective channel since most of the control channels span the whole available bandwidth.

### **PCFICH + PDCCH Error Model**

The model adopted for the error distribution of these channels is based on an evaluation study carried out in the RAN4 of 3GPP, where different vendors investigated the demodulation performance of the PCFICH jointly with PDCCH. This is due to the fact that the PCFICH is the channel in charge of communicating to the UEs the actual dimension of the PDCCH (which spans between 1 and 3 symbols); therefore the correct decodification of the DCIs depends on the correct interpretation of both ones. In 3GPP this problem have been evaluated for improving the cell-edge performance [FujitsuWhitePaper], where the interference among neighboring cells can be relatively high due to signal degradation. A similar problem has been notices in femto-cell scenario and, more in general, in HetNet scenarios the bottleneck has been detected mainly as the PCFICH channel [Bharucha2011], where in case of many eNBs are deployed in the same service area, this channel may collide in frequency, making impossible the correct detection of the PDCCH channel, too.

In the simulator, the SINR perceived during the reception has been estimated according to the MIESM model presented above in order to evaluate the error distribution of PCFICH and PDCCH. In detail, the SINR samples of all the RBs are included in the evaluation of the MI associated to the control frame and, according to this values, the effective SINR (eSINR) is obtained by inverting the MI evaluation process. It has to be noted that, in case of MIMO transmission, both PCFICH and the PDCCH use always the transmit diversity mode as defined by the standard. According to the eSINR perceived the decodification error probability can be estimated as function of the results presented in [R4-081920]. In case an error occur, the DCIs discarded and therefore the UE will be not able to receive the correspondent Tbs, therefore resulting lost.

# **MIMO Model**

The use of multiple antennas both at transmitter and receiver side, known as multiple-input and multiple-output (MIMO), is a problem well studied in literature during the past years. Most of the work concentrate on evaluating analytically the gain that the different MIMO schemes might have in term of capacity; however someones provide also information of the gain in terms of received power [CatreuxMIMO].

According to the considerations above, a model more flexible can be obtained considering the gain that MIMO schemes bring in the system from a statistical point of view. As highlighted before, [CatreuxMIMO] presents the statistical gain of several MIMO solutions respect to the SISO one in case of no correlation between the antennas. In the work the gain is presented as the cumulative distribution function (CDF) of the output SINR for what concern SISO, MIMO-Alamouti, MIMO-MMSE, MIMO-OSIC-MMSE and MIMO-ZF schemes. Elaborating the results, the output SINR distribution can be approximated with a log-normal one with different mean and variance as function of the scheme considered. However, the variances are not so different and they are approximatively equal to the one of the SISO mode already included in the shadowing component of the BuildingsPropagationLossModel, in detail:

- SISO:  $\mu = 13.5$  and  $\sigma = 20$  [dB].
- MIMO-Alamouti:  $\mu = 17.7$  and  $\sigma = 11.1$  [dB].
- MIMO-MMSE:  $\mu=10.7$  and  $\sigma=16.6$  [dB].
- MIMO-OSIC-MMSE:  $\mu = 12.6$  and  $\sigma = 15.5$  [dB].

• MIMO-ZF:  $\mu = 10.3$  and  $\sigma = 12.6$  [dB].

Therefore the PHY layer implements the MIMO model as the gain perceived by the receiver when using a MIMO scheme respect to the one obtained using SISO one. We note that, these gains referred to a case where there is no correlation between the antennas in MIMO scheme; therefore do not model degradation due to paths correlation.

## **UE PHY Measurements Model**

According to [TS36214], the UE has to report a set of measurements of the eNBs that the device is able to perceive: the the reference signal received power (RSRP) and the reference signal received quality (RSRQ). The former is a measure of the received power of a specific eNB, while the latter includes also channel interference and thermal noise. The UE has to report the measurements jointly with the physical cell identity (PCI) of the cell. Both the RSRP and RSRQ measurements are performed during the reception of the RS, while the PCI is obtained with the Primary Synchronization Signal (PSS). The PSS is sent by the eNB each 5 subframes and in detail in the subframes 1 and 6. In real systems, only 504 distinct PCIs are available, and hence it could occur that two nearby eNBs use the same PCI; however, in the simulator we model PCIs using simulation metadata, and we allow up to 65535 distinct PCIs, thereby avoiding PCI collisions provided that less that 65535 eNBs are simulated in the same scenario.

According to [TS36133] sections 9.1.4 and 9.1.7, RSRP is reported by PHY layer in dBm while RSRQ in dB. The values of RSRP and RSRQ are provided to higher layers through the C-PHY SAP (by means of UeMeasurementsParameters struct) every 200 ms as defined in [TS36331]. Layer 1 filtering is performed by averaging the all the measurements collected during the last window slot. The periodicity of reporting can be adjusted for research purposes by means of the LteUePhy::UeMeasurementsFilterPeriod attribute.

The formulas of the RSRP and RSRQ can be simplified considering the assumption of the PHY layer that the channel is flat within the RB, the finest level of accuracy. In fact, this implies that all the REs within a RB have the same power, therefore:

$$RSRP = \frac{\sum_{k=0}^{K-1} \frac{\sum_{m=0}^{M-1} (P(k,m))}{M}}{K} = \frac{\sum_{k=0}^{K-1} \frac{(M \times P(k))}{M}}{K} = \frac{\sum_{k=0}^{K-1} (P(k))}{K}$$

where P(k,m) represents the signal power of the RE m within the RB k, which, as observed before, is constant within the same RB and equal to P(k), M is the number of REs carrying the RS in a RB and K is the number of RBs. It is to be noted that P(k), and in general all the powers defined in this section, is obtained in the simulator from the PSD of the RB (which is provided by the LteInterferencePowerChunkProcessor), in detail:

$$P(k) = PSD_{RB}(k) * 180000/12$$

where  $PSD_{RB}(k)$  is the power spectral density of the RB k, 180000 is the bandwidth in Hz of the RB and 12 is the number of REs per RB in an OFDM symbol. Similarly, for RSSI we have

$$RSSI = \sum_{k=0}^{K-1} \frac{\sum_{s=0}^{S-1} \sum_{r=0}^{R-1} (P(k, s, r) + I(k, s, r) + N(k, s, r))}{S}$$

where S is the number of OFDM symbols carrying RS in a RB and R is the number of REs carrying a RS in a OFDM symbol (which is fixed to 2) while P(k,s,r), I(k,s,r) and N(k,s,r) represent respectively the perceived power of the serving cell, the interference power and the noise power of the RE r in symbol s. As for RSRP, the measurements within a RB are always equals among each others according to the PHY model; therefore P(k,s,r) = P(k), I(k,s,r) = I(k) and I(k,s,r) = I(k), which implies that the RSSI can be calculated as:

$$RSSI = \sum_{k=0}^{K-1} \frac{S \times 2 \times (P(k) + I(k) + N(k))}{S} = \sum_{k=0}^{K-1} 2 \times (P(k) + I(k) + N(k))$$

Considering the constraints of the PHY reception chain implementation, and in order to maintain the level of computational complexity low, only RSRP can be directly obtained for all the cells. This is due to the fact that LteSpectrumPhy is designed for evaluating the interference only respect to the signal of the serving eNB. This implies that the PHY layer is optimized for managing the power signals information with the serving eNB as a reference. However, RSRP and RSRQ of neighbor cell *i* can be extracted by the current information available of the serving cell *j* as detailed in the following:

$$RSRP_i = \frac{\sum_{k=0}^{K-1} (P_i(k))}{K}$$

$$RSSI_i = RSSI_j = \sum_{k=0}^{K-1} 2 \times (I_j(k) + P_j(k) + N_j(k))$$

$$RSRQ_i^j = K \times RSRP_i / RSSI_j$$

where  $RSRP_i$  is the RSRP of the neighbor cell i,  $P_i(k)$  is the power perceived at any RE within the RB k, K is the total number of RBs,  $RSSI_i$  is the RSSI of the neighbor cell i when the UE is attached to cell j (which, since it is the sum of all the received powers, coincides with  $RSSI_j$ ),  $I_j(k)$  is the total interference perceived by UE in any RE of RB k when attached to cell i (obtained by the LteInterferencePowerChunkProcessor),  $P_j(k)$  is the power perceived of cell j in any RE of the RB k and N is the power noise spectral density in any RE. The sample is considered as valid in case of the RSRQ evaluated is above the LteUePhy::RsrqUeMeasThreshold attribute.

## 19.1.6 HARQ

The HARQ scheme implemented is based on a incremental redundancy (IR) solutions combined with multiple stopand-wait processes for enabling a continuous data flow. In detail, the solution adopted is the *soft combining hybrid IR Full incremental redundancy* (also called IR Type II), which implies that the retransmissions contain only new information respect to the previous ones. The resource allocation algorithm of the HARQ has been implemented within the respective scheduler classes (i.e., RrffmacScheduler and PfffmacScheduler, refer to their correspondent sections for more info), while the decodification part of the HARQ has been implemented in the LteSpectrumPhy and LteHarqPhy classes which will be detailed in this section.

According to the standard, the UL retransmissions are synchronous and therefore are allocated 7 ms after the original transmission. On the other hand, for the DL, they are asynchronous and therefore can be allocated in a more flexible way starting from 7 ms and it is a matter of the specific scheduler implementation. The HARQ processes behavior is depicted in Figure:ref:fig-harq-processes-scheme.

At the MAC layer, the HARQ entity residing in the scheduler is in charge of controlling the 8 HARQ processes for generating new packets and managing the retransmissions both for the DL and the UL. The scheduler collects the HARQ feedback from eNB and UE PHY layers (respectively for UL and DL connection) by means of the FF API primitives SchedulTriggerReq and SchedulTriggerReq. According to the HARQ feedback and the RLC buffers status, the scheduler generates a set of DCIs including both retransmissions of HARQ blocks received erroneous and new transmissions, in general, giving priority to the former. On this matter, the scheduler has to take into consideration one constraint when allocating the resource for HARQ retransmissions, it must use the same modulation order of the first transmission attempt (i.e., QPSK for MCS  $\in$  [0..9], 16QAM for MCS  $\in$  [10..16] and 64QAM for MCS  $\in$  [17..28]). This restriction comes from the specification of the rate matcher in the 3GPP standard [TS36212], where the algorithm fixes the modulation order for generating the different blocks of the redundancy versions.

The PHY Error Model model (i.e., the LteMiErrorModel class already presented before) has been extended for considering IR HARQ according to [wimaxEmd], where the parameters for the AWGN curves mapping for MIESM

mapping in case of retransmissions are given by:

$$R_{eff} = \frac{X}{\sum_{i=1}^{q} C_i}$$

$$M_{Ieff} = \frac{\sum_{i=1}^{q} C_i M_i}{\sum_{i=1}^{q} C_i}$$

where X is the number of original information bits,  $C_i$  are number of coded bits,  $M_i$  are the mutual informations per HARQ block received on the total number of q retransmissions. Therefore, in order to be able to return the error probability with the error model implemented in the simulator evaluates the  $R_{eff}$  and the  $MI_{leff}$  and return the value of error probability of the ECR of the same modulation with closest lower rate respect to the  $R_{eff}$ . In order to consider the effect of HARQ retransmissions a new sets of curves have been integrated respect to the standard one used for the original MCS. The new curves are intended for covering the cases when the most conservative MCS of a modulation is used which implies the generation of  $R_{eff}$  lower respect to the one of standard MCSs. On this matter the curves for 1, 2 and 3 retransmissions have been evaluated for 10 and 17. For MCS 0 we considered only the first retransmission since the produced code rate is already very conservative (i.e., 0.04) and returns an error rate enough robust for the reception (i.e., the downturn of the BLER is centered around -18 dB). It is to be noted that, the size of first TB transmission has been assumed as containing all the information bits to be coded; therefore X is equal to the size of the first TB sent of a an HARQ process. The model assumes that the eventual presence of parity bits in the codewords is already considered in the link level curves. This implies that as soon as the minimum  $R_{eff}$  is reached the model is not including the gain due to the transmission of further parity bits.

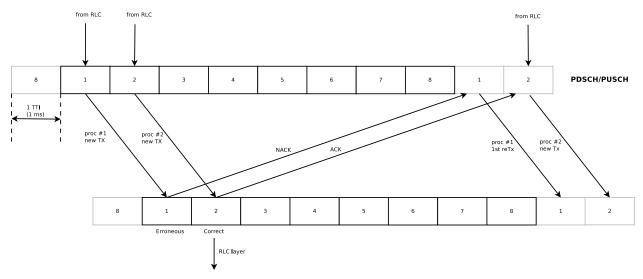


Figure 19.21: HARQ processes behavior in LTE

The part of HARQ devoted to manage the decodification of the HARQ blocks has been implemented in the LteHarqPhy and LteSpectrumPhy classes. The former is in charge of maintaining the HARQ information for each active process. The latter interacts with LteMiErrorModel class for evaluating the correctness of the blocks received and includes the messaging algorithm in charge of communicating to the HARQ entity in the scheduler the result of the decodifications. These messages are encapsulated in the dlInfoListElement for DL and ulInfoListElement for UL and sent through the PUCCH and the PHICH respectively with an ideal error free model according to the assumptions in their implementation. A sketch of the iteration between HARQ and LTE protocol stack in represented in Figure:ref:fig-harq-architecture.

Finally, the HARQ engine is always active both at MAC and PHY layer; however, in case of the scheduler does not support HARQ the system will continue to work with the HARQ functions inhibited (i.e., buffers are filled but not

MAC MAC DIInfoListElement\_s UlinfoListElement\_s DCI and PhichListElement eNB UE TR ТВ Scheduler Scheduler HARQ Manager PhichListElement **PHY** PHY LteMiErrorModel LteMiErrorModel LteSpectrumPhy LteSpectrumPhy LteHarqPhy LteHarqPhy PDSCH/PUSCH DlInfoListElement\_s (PUCCH)

used). This implementation characteristic gives backward compatibility with schedulers implemented before HARQ integration.

Figure 19.22: Interaction between HARQ and LTE protocol stack

PhichListElement (PHICH)

## 19.1.7 MAC

### **Resource Allocation Model**

We now briefly describe how resource allocation is handled in LTE, clarifying how it is modeled in the simulator. The scheduler is in charge of generating specific structures called *Data Control Indication* (DCI) which are then transmitted by the PHY of the eNB to the connected UEs, in order to inform them of the resource allocation on a per subframe basis. In doing this in the downlink direction, the scheduler has to fill some specific fields of the DCI structure with all the information, such as: the Modulation and Coding Scheme (MCS) to be used, the MAC Transport Block (TB) size, and the allocation bitmap which identifies which RBs will contain the data transmitted by the eNB to each user.

For the mapping of resources to physical RBs, we adopt a *localized mapping* approach (see [Sesia2009], Section 9.2.2.1); hence in a given subframe each RB is always allocated to the same user in both slots. The allocation bitmap can be coded in different formats; in this implementation, we considered the *Allocation Type 0* defined in [TS36213], according to which the RBs are grouped in Resource Block Groups (RBG) of different size determined as a function of the Transmission Bandwidth Configuration in use.

For certain bandwidth values not all the RBs are usable, since the group size is not a common divisor of the group. This is for instance the case when the bandwidth is equal to 25 RBs, which results in a RBG size of 2 RBs, and therefore 1 RB will result not addressable. In uplink the format of the DCIs is different, since only adjacent RBs can be used because of the SC-FDMA modulation. As a consequence, all RBs can be allocated by the eNB regardless of the bandwidth configuration.

## **Adaptive Modulation and Coding**

The simulator provides two Adaptive Modulation and Coding (AMC) models: one based on the GSoC model [Piro2011] and one based on the physical error model (described in the following sections).

The former model is a modified version of the model described in [Piro2011], which in turn is inspired from [Seo2004]. Our version is described in the following. Let i denote the generic user, and let  $\gamma_i$  be its SINR. We get the spectral efficiency  $\eta_i$  of user i using the following equations:

BER = 0.00005  

$$\Gamma = \frac{-\ln(5 * \text{BER})}{1.5}$$

$$\eta_i = \log_2\left(1 + \frac{\gamma_i}{\Gamma}\right)$$

The procedure described in [R1-081483] is used to get the corresponding MCS scheme. The spectral efficiency is quantized based on the channel quality indicator (CQI), rounding to the lowest value, and is mapped to the corresponding MCS scheme.

Finally, we note that there are some discrepancies between the MCS index in [R1-081483] and that indicated by the standard: [TS36213] Table 7.1.7.1-1 says that the MCS index goes from 0 to 31, and 0 appears to be a valid MCS scheme (TB size is not 0) but in [R1-081483] the first useful MCS index is 1. Hence to get the value as intended by the standard we need to subtract 1 from the index reported in [R1-081483].

The alternative model is based on the physical error model developed for this simulator and explained in the following subsections. This scheme is able to adapt the MCS selection to the actual PHY layer performance according to the specific CQI report. According to their definition, a CQI index is assigned when a single PDSCH TB with the modulation coding scheme and code rate correspondent to that CQI index in table 7.2.3-1 of [TS36213] can be received with an error probability less than 0.1. In case of wideband CQIs, the reference TB includes all the RBGs available in order to have a reference based on the whole available resources; while, for subband CQIs, the reference TB is sized as the RBGs.

### **Transport Block model**

The model of the MAC Transport Blocks (TBs) provided by the simulator is simplified with respect to the 3GPP specifications. In particular, a simulator-specific class (PacketBurst) is used to aggregate MAC SDUs in order to achieve the simulator's equivalent of a TB, without the corresponding implementation complexity. The multiplexing of different logical channels to and from the RLC layer is performed using a dedicated packet tag (LteRadioBearerTag), which performs a functionality which is partially equivalent to that of the MAC headers specified by 3GPP.

## The FemtoForum MAC Scheduler Interface

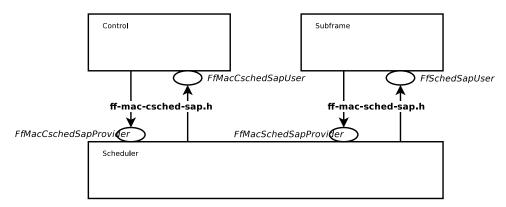
This section describes the ns-3 specific version of the LTE MAC Scheduler Interface Specification published by the FemtoForum [FFAPI].

We implemented the ns-3 specific version of the FemtoForum MAC Scheduler Interface [FFAPI] as a set of C++ abstract classes; in particular, each primitive is translated to a C++ method of a given class. The term *implemented* here is used with the same meaning adopted in [FFAPI], and hence refers to the process of translating the logical

interface specification to a particular programming language. The primitives in [FFAPI] are grouped in two groups: the CSCHED primitives, which deal with scheduler configuration, and the SCHED primitives, which deal with the execution of the scheduler. Furthermore, [FFAPI] defines primitives of two different kinds: those of type REQ go from the MAC to the Scheduler, and those of type IND/CNF go from the scheduler to the MAC. To translate these characteristics into C++, we define the following abstract classes that implement Service Access Points (SAPs) to be used to issue the primitives:

- the FfMacSchedSapProvider class defines all the C++ methods that correspond to SCHED primitives of type REQ;
- the FfMacSchedSapUser class defines all the C++ methods that correspond to SCHED primitives of type CNF/IND;
- the FfMacCschedSapProvider class defines all the C++ methods that correspond to CSCHED primitives
  of type REQ;
- the FfMacCschedSapUser class defines all the C++ methods that correspond to CSCHED primitives of type CNF/IND;

There are 3 blocks involved in the MAC Scheduler interface: Control block, Subframe block and Scheduler block. Each of these blocks provide one part of the MAC Scheduler interface. The figure below shows the relationship between the blocks and the SAPs defined in our implementation of the MAC Scheduler Interface.

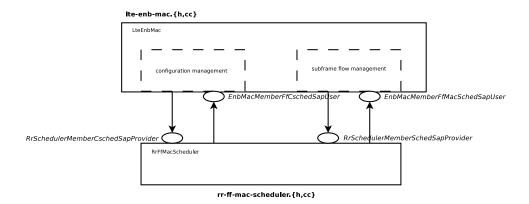


In addition to the above principles, the following design choices have been taken:

- The definition of the MAC Scheduler interface classes follows the naming conventions of the *ns-3* Coding Style. In particular, we follow the CamelCase convention for the primitive names. For example, the primitive CSCHED\_CELL\_CONFIG\_REQ is translated to CschedCellConfigReq in the *ns-3* code.
- The same naming conventions are followed for the primitive parameters. As the primitive parameters are member variables of classes, they are also prefixed with a m\_.
- regarding the use of vectors and lists in data structures, we note that [FFAPI] is a pretty much C-oriented API. However, considered that C++ is used in ns-3, and that the use of C arrays is discouraged, we used STL vectors (std::vector) for the implementation of the MAC Scheduler Interface, instead of using C arrays as implicitly suggested by the way [FFAPI] is written.
- In C++, members with constructors and destructors are not allow in unions. Hence all those data structures that are said to be unions in [FFAPI] have been defined as structs in our code.

The figure below shows how the MAC Scheduler Interface is used within the eNB.

The User side of both the CSCHED SAP and the SCHED SAP are implemented within the eNB MAC, i.e., in the file lte-enb-mac.cc. The eNB MAC can be used with different scheduler implementations without modifications. The same figure also shows, as an example, how the Round Robin Scheduler is implemented: to interact with the MAC



of the eNB, the Round Robin scheduler implements the Provider side of the SCHED SAP and CSCHED SAP interfaces. A similar approach can be used to implement other schedulers as well. A description of each of the scheduler implementations that we provide as part of our LTE simulation module is provided in the following subsections.

## Round Robin (RR) Scheduler

The Round Robin (RR) scheduler is probably the simplest scheduler found in the literature. It works by dividing the available resources among the active flows, i.e., those logical channels which have a non-empty RLC queue. If the number of RBGs is greater than the number of active flows, all the flows can be allocated in the same subframe. Otherwise, if the number of active flows is greater than the number of RBGs, not all the flows can be scheduled in a given subframe; then, in the next subframe the allocation will start from the last flow that was not allocated. The MCS to be adopted for each user is done according to the received wideband CQIs.

For what concern the HARQ, RR implements the non adaptive version, which implies that in allocating the retransmission attempts RR uses the same allocation configuration of the original block, which means maintaining the same RBGs and MCS. UEs that are allocated for HARQ retransmissions are not considered for the transmission of new data in case they have a transmission opportunity available in the same TTI. Finally, HARQ can be disabled with ns3 attribute system for maintaining backward compatibility with old test cases and code, in detail:

```
Config::SetDefault ("ns3::RrFfMacScheduler::HarqEnabled", BooleanValue (false));
```

The scheduler implements the filtering of the uplink CQIs according to their nature with UlCqiFilter attibute, in detail:

- SRS\_UL\_CQI: only SRS based CQI are stored in the internal attributes.
- PUSCH UL COI: only PUSCH based COI are stored in the internal attributes.
- ALL\_UL\_CQI: all CQIs are stored in the same internal attibute (i.e., the last CQI received is stored independently from its nature).

## Proportional Fair (PF) Scheduler

The Proportional Fair (PF) scheduler [Sesia2009] works by scheduling a user when its instantaneous channel quality is high relative to its own average channel condition over time. Let i,j denote generic users; let t be the subframe index, and k be the resource block index; let  $M_{i,k}(t)$  be MCS usable by user i on resource block k according to what reported by the AMC model (see Adaptive Modulation and Coding); finally, let S(M,B) be the TB size in bits as defined in [TS36213] for the case where a number B of resource blocks is used. The achievable rate  $R_i(k,t)$  in bit/s for user i on resource block group k at subframe t is defined as

$$R_i(k,t) = \frac{S\left(M_{i,k}(t),1\right)}{\tau}$$

where  $\tau$  is the TTI duration. At the start of each subframe t, each RBG is assigned to a certain user. In detail, the index  $\hat{i}_k(t)$  to which RBG k is assigned at time t is determined as

$$\hat{i}_k(t) = \underset{j=1,\dots,N}{\operatorname{argmax}} \left( \frac{R_j(k,t)}{T_j(t)} \right)$$

where  $T_j(t)$  is the past throughput performance perceived by the user j. According to the above scheduling algorithm, a user can be allocated to different RBGs, which can be either adjacent or not, depending on the current condition of the channel and the past throughput performance  $T_j(t)$ . The latter is determined at the end of the subframe t using the following exponential moving average approach:

$$T_{j}(t) = (1 - \frac{1}{\alpha})T_{j}(t - 1) + \frac{1}{\alpha}\widehat{T}_{j}(t)$$

where  $\alpha$  is the time constant (in number of subframes) of the exponential moving average, and  $\widehat{T}_j(t)$  is the actual throughput achieved by the user i in the subframe t.  $\widehat{T}_j(t)$  is measured according to the following procedure. First we determine the MCS  $\widehat{M}_j(t)$  actually used by user j:

$$\widehat{M}_{j}(t) = \min_{k:\widehat{i}_{k}(t)=j} M_{j,k}(t)$$

then we determine the total number  $\widehat{B}_{j}(t)$  of RBGs allocated to user j:

$$\widehat{B}_{j}(t) = \left| \left\{ k : \widehat{i}_{k}(t) = j \right\} \right|$$

where  $|\cdot|$  indicates the cardinality of the set; finally,

$$\widehat{T}_j(t) = \frac{S\left(\widehat{M}_j(t), \widehat{B}_j(t)\right)}{\tau}$$

For what concern the HARQ, PF implements the non adaptive version, which implies that in allocating the retransmission attempts the scheduler uses the same allocation configuration of the original block, which means maintaining the same RBGs and MCS. UEs that are allocated for HARQ retransmissions are not considered for the transmission of new data in case they have a transmission opportunity available in the same TTI. Finally, HARQ can be disabled with ns3 attribute system for maintaining backward compatibility with old test cases and code, in detail:

Config::SetDefault ("ns3::PfFfMacScheduler::HarqEnabled", BooleanValue (false));

## Maximum Throughput (MT) Scheduler

The Maximum Throughput (MT) scheduler [FCapo2012] aims to maximize the overall throughput of eNB. It allocates each RB to the user that can achieve the maximum achievable rate in the current TTI. Currently, MT scheduler in NS-3 has two versions: frequency domain (FDMT) and time domain (TDMT). In FDMT, every TTI, MAC scheduler allocates RBGs to the UE who has highest achievable rate calculated by subband CQI. In TDMT, every TTI, MAC scheduler selects one UE which has highest achievable rate calculated by wideband CQI. Then MAC scheduler allocates all RBGs to this UE in current TTI. The calculation of achievable rate in FDMT and TDMT is as same as the one in PF. Let i, j denote generic users; let t be the subframe index, and t be the resource block index; let t be MCS usable by user t on resource block t according to what reported by the AMC model (see Adaptive Modulation and Coding); finally, let t be the TB size in bits as defined in [TS36213] for the case where a number t of resource blocks is used. The achievable rate t is defined as

$$R_i(k,t) = \frac{S\left(M_{i,k}(t),1\right)}{\tau}$$

where  $\tau$  is the TTI duration. At the start of each subframe t, each RB is assigned to a certain user. In detail, the index  $\hat{i}_k(t)$  to which RB k is assigned at time t is determined as

$$\widehat{i}_k(t) = \underset{j=1,\dots,N}{\operatorname{argmax}} \left( R_j(k,t) \right)$$

When there are several UEs having the same achievable rate, current implementation always selects the first UE created in script. Although MT can maximize cell throughput, it cannot provide fairness to UEs in poor channel condition.

## Throughput to Average (TTA) Scheduler

The Throughput to Average (TTA) scheduler [FCapo2012] can be considered as an intermediate between MT and PF. The metric used in TTA is calculated as follows:

$$\hat{i}_k(t) = \underset{j=1,\dots,N}{\operatorname{argmax}} \left( \frac{R_j(k,t)}{R_j(t)} \right)$$

Here,  $R_i(k,t)$  in bit/s represents the achievable rate for user i on resource block k at subframe t. The calculation method already is shown in MT and PF. Meanwhile,  $R_i(t)$  in bit/s stands for the achievable rate for i at subframe t. The difference between those two achievable rates is how to get MCS. For  $R_i(k,t)$ , MCS is calculated by subband CQI while  $R_i(t)$  is calculated by wideband CQI. TTA scheduler can only be implemented in frequency domain (FD) because the achievable rate of particular RBG is only related to FD scheduling.

## **Blind Average Throughput Scheduler**

The Blind Average Throughput scheduler [FCapo2012] aims to provide equal throughput to all UEs under eNB. The metric used in TTA is calculated as follows:

$$\hat{i}_k(t) = \underset{j=1,\dots,N}{\operatorname{argmax}} \left( \frac{1}{T_j(t)} \right)$$

where  $T_j(t)$  is the past throughput performance perceived by the user j and can be calculated by the same method in PF scheduler. In the time domain blind average throughput (TD-BET), the scheduler selects the UE with largest priority metric and allocates all RBGs to this UE. On the other hand, in the frequency domain blind average throughput (FD-BET), every TTI, the scheduler first selects one UE with lowest pastAverageThroughput (largest priority metric). Then scheduler assigns one RBG to this UE, it calculates expected throughput of this UE and uses it to compare with past average throughput  $T_j(t)$  of other UEs. The scheduler continues to allocate RBG to this UE until its expected throughput is not the smallest one among past average throughput  $T_j(t)$  of all UE. Then the scheduler will use the same way to allocate RBG for a new UE which has the lowest past average throughput  $T_j(t)$  until all RBGs are allocated to UEs. The principle behind this is that, in every TTI, the scheduler tries the best to achieve the equal throughput among all UEs.

#### Token Bank Fair Queue Scheduler

Token Bank Fair Queue (TBFQ) is a QoS aware scheduler which derives from the leaky-bucket mechanism. In TBFQ, a traffic flow of user *i* is characterized by following parameters:

- $t_i$ : packet arrival rate (byte/sec)
- $r_i$ : token generation rate (byte/sec)
- $p_i$ : token pool size (byte)
- $E_i$ : counter that records the number of token borrowed from or given to the token bank by flow i;  $E_i$  can be smaller than zero

Each K bytes data consumes k tokens. Also, TBFQ maintains a shared token bank (B) so as to balance the traffic between different flows. If token generation rate  $r_i$  is bigger than packet arrival rate  $t_i$ , then tokens overflowing from token pool are added to the token bank, and  $E_i$  is increased by the same amount. Otherwise, flow i needs to withdraw tokens from token bank based on a priority metric  $fracE_ir_i$ , and  $E_i$  is decreased. Obviously, the user contributes more on token bank has higher priority to borrow tokens; on the other hand, the user borrows more tokens from bank has lower priority to continue to withdraw tokens. Therefore, in case of several users having the same token generation rate, traffic rate and token pool size, user suffers from higher interference has more opportunity to borrow tokens from bank. In addition, TBFQ can police the traffic by setting the token generation rate to limit the throughput. Additionally, TBFQ also maintains following three parameters for each flow:

- Debt limit  $d_i$ : if  $E_i$  belows this threshold, user i cannot further borrow tokens from bank. This is for preventing malicious UE to borrow too much tokens.
- Credit limit  $c_i$ : the maximum number of tokens UE i can borrow from the bank in one time.
- Credit threshold C: once  $E_i$  reaches debt limit, UE i must store C tokens to bank in order to further borrow token from bank.

LTE in NS-3 has two versions of TBFQ scheduler: frequency domain TBFQ (FD-TBFQ) and time domain TBFQ (TD-TBFQ). In FD-TBFQ, the scheduler always select UE with highest metric and allocates RBG with highest subband CQI until there are no packets within UE's RLC buffer or all RBGs are allocated [FABokhari2009]. In TD-TBFQ, after selecting UE with maximum metric, it allocates all RBGs to this UE by using wideband CQI [WKWong2004].

## **Priority Set Scheduler**

Priority set scheduler (PSS) is a QoS aware scheduler which combines time domain (TD) and frequency domain (FD) packet scheduling operations into one scheduler [GMonghal2008]. It controls the fairness among UEs by a specified Target Bit Rate (TBR).

In TD scheduler part, PSS first selects UEs with non-empty RLC buffer and then divide them into two sets based on the TBR:

• set 1: UE whose past average throughput is smaller than TBR; TD scheduler calculates their priority metric in Blind Equal Throughput (BET) style:

$$\hat{i}_k(t) = \underset{j=1,\dots,N}{\operatorname{argmax}} \left( \frac{1}{T_j(t)} \right)$$

• set 2: UE whose past average throughput is larger (or equal) than TBR; TD scheduler calculates their priority metric in Proportional Fair (PF) style:

$$\hat{i}_k(t) = \underset{j=1,\dots,N}{\operatorname{argmax}} \left( \frac{R_j(k,t)}{T_j(t)} \right)$$

UEs belonged to set 1 have higher priority than ones in set 2. Then PSS will select  $N_{mux}$  UEs with highest metric in two sets and forward those UE to FD scheduler. In PSS, FD scheduler allocates RBG k to UE n that maximums the chosen metric. Two PF schedulers are used in PF scheduler:

• Proportional Fair scheduled (PFsch)

$$\widehat{Msch}_k(t) = \underset{j=1,\dots,N}{\operatorname{argmax}} \left( \frac{R_j(k,t)}{Tsch_j(t)} \right)$$

• Carrier over Interference to Average (CoIta)

$$\widehat{Mcoi}_{k}(t) = \underset{j=1,\dots,N}{\operatorname{argmax}} \left( \frac{CoI[j,k]}{\sum_{k=0}^{N_{RBG}} CoI[j,k]} \right)$$

where  $Tsch_j(t)$  is similar past throughput performance perceived by the user j, with the difference that it is updated only when the i-th user is actually served. CoI[j,k] is an estimation of the SINR on the RBG k of UE j. Both PFsch and CoIta is for decoupling FD metric from TD scheduler. In addition, PSS FD scheduler also provide a weight metric W[n] for helping controlling fairness in case of low number of UEs.

$$W[n] = max(1, \frac{TBR}{T_j(t)})$$

where  $T_j(t)$  is the past throughput performance perceived by the user j. Therefore, on RBG k, the FD scheduler selects the UE j that maximizes the product of the frequency domain metric (Msch, MCoI) by weight W[n]. This strategy will guarantee the throughput of lower quality UE tend towards the TBR.

```
Config::SetDefault ("ns3::PfFfMacScheduler::HarqEnabled", BooleanValue (false));
```

The scheduler implements the filtering of the uplink CQIs according to their nature with UlCqiFilter attibute, in detail:

- SRS\_UL\_CQI: only SRS based CQI are stored in the internal attributes.
- PUSCH\_UL\_CQI: only PUSCH based CQI are stored in the internal attributes.
- ALL\_UL\_CQI: all CQIs are stored in the same internal attibute (i.e., the last CQI received is stored independently from its nature).

### **Channel and QoS Aware Scheduler**

The Channel and QoS Aware (CQA) Scheduler [Bbojovic2014] is an LTE MAC downlink scheduling algorithm that considers the head of line (HOL) delay, the GBR parameters and channel quality over different subbands. The CQA scheduler is based on joint TD and FD scheduling.

In the TD (at each TTI) the CQA scheduler groups users by priority. The purpose of grouping is to enforce the FD scheduling to consider first the flows with highest HOL delay. The grouping metric  $m_{td}$  for user j=1,...,N is defined in the following way:

$$m_{td}^{j}(t) = \lceil \frac{d_{hol}^{j}(t)}{q} \rceil$$
,

where  $d_{hol}^{j}(t)$  is the current value of HOL delay of flow j, and g is a grouping parameter that determines granularity of the groups, i.e. the number of the flows that will be considered in the FD scheduling iteration.

The groups of flows selected in the TD iteration are forwarded to the FD scheduling starting from the flows with the highest value of the  $m_{td}$  metric until all RBGs are assigned in the corresponding TTI. In the FD, for each RBG k=1,...,K, the CQA scheduler assigns the current RBG to the user j that has the maximum value of the FD metric which we define in the following way:

$$m_{fd}^{(k,j)}(t) = d_{HOL}^{j}(t) \cdot m_{GBB}^{j}(t) \cdot m_{ca}^{k,j}(t)$$
,

where  $m_{GBR}^{j}(t)$  is calculated as follows:

$$m^j_{GBR}(t) = \frac{GBR^j}{\overline{R^j}(t)} = \frac{GBR^j}{(1-\alpha) \cdot \overline{R^j}(t-1) + \alpha \cdot r^j(t)} \; ,$$

where  $GBR^j$  is the bit rate specified in EPS bearer of the flow j,  $\overline{R^j}(t)$  is the past averaged throughput that is calculated with a moving average,  $r^j(t)$  is the throughput achieved at the time t, and  $\alpha$  is a coefficient such that  $0 \le \alpha \le 1$ .

For  $m_{ca}^{(k,j)}(t)$  we consider two different metrics:  $m_{pf}^{(k,j)}(t)$  and  $m_{ff}^{(k,j)}(t)$ .  $m_{pf}$  is the Proportional Fair metric which is defined as follows:

$$m_{pf}^{(k,j)}(t) = \frac{R_e^{(k,j)}}{\overline{R^j}(t)}$$
,

where  $R_e^{(k,j)}(t)$  is the estimated achievable throughput of user j over RBG k calculated by the Adaptive Modulation and Coding (AMC) scheme that maps the channel quality indicator (CQI) value to the transport block size in bits.

The other channel awareness metric that we consider is  $m_{ff}$  which is proposed in [GMonghal2008] and it represents the frequency selective fading gains over RBG k for user j and is calculated in the following way:

$$m_{ff}^{(k,j)}(t) = \frac{CQI^{(k,j)}(t)}{\sum_{k=1}^{K} CQI(t)^{(k,j)}}$$
,

where  $CQI^{(k,j)}(t)$  is the last reported CQI value from user j for the k-th RBG.

The user can select whether  $m_{pf}$  or  $m_{ff}$  is used by setting the attribute ns3::CqaFfMacScheduler::CqaMetric respectively to "CqaPf" or "CqaFf".

### **Random Access**

The LTE model includes a model of the Random Access procedure based on some simplifying assumptions, which are detailed in the following for each of the messages and signals described in the specs [TS36321].

- Random Access (RA) preamble: in real LTE systems this corresponds to a Zadoff-Chu (ZC) sequence using one of several formats available and sent in the PRACH slots which could in principle overlap with PUSCH. The RA preamble is modeled using the LteControlMessage class, i.e., as an ideal message that does not consume any radio resources. The collision of preamble transmission by multiple UEs in the same cell are modeled using a protocol interference model, i.e., whenever two or more identical preambles are transmitted in same cell at the same TTI, no one of these identical preambles will be received by the eNB. Other than this collision model, no error model is associated with the reception of a RA preamble.
- Random Access Response (RAR): in real LTE systems, this is a special MAC PDU sent on the DL-SCH. Since MAC control elements are not accurately modeled in the simulator (only RLC and above PDUs are), the RAR is modeled as an LteControlMessage that does not consume any radio resources. Still, during the RA procedure, the LteEnbMac will request to the scheduler the allocation of resources for the RAR using the FF MAC Scheduler primitive SCHED\_DL\_RACH\_INFO\_REQ. Hence, an enhanced scheduler implementation (not available at the moment) could allocate radio resources for the RAR, thus modeling the consumption of Radio Resources for the transmission of the RAR.
- Message 3: in real LTE systems, this is an RLC TM SDU sent over resources specified in the UL Grant in the RAR. In the simulator, this is modeled as a real RLC TM RLC PDU whose UL resources are allocated by the scheduler upon call to SCHED\_DL\_RACH\_INFO\_REQ.
- Contention Resolution (CR): in real LTE system, the CR phase is needed to address the case where two or more UE sent the same RA preamble in the same TTI, and the eNB was able to detect this preamble in spite of the collision. Since this event does not occur due to the protocol interference model used for the reception of RA preambles, the CR phase is not modeled in the simulator, i.e., the CR MAC CE is never sent by the eNB and the UEs consider the RA to be successful upon reception of the RAR. As a consequence, the radio resources consumed for the transmission of the CR MAC CE are not modeled.

Figure Sequence diagram of the Contention-based MAC Random Access procedure and Sequence diagram of the Non-contention-based MAC Random Access procedure shows the sequence diagrams of respectively the contention-based and non-contention-based MAC random access procedure, highlighting the interactions between the MAC and the other entities.

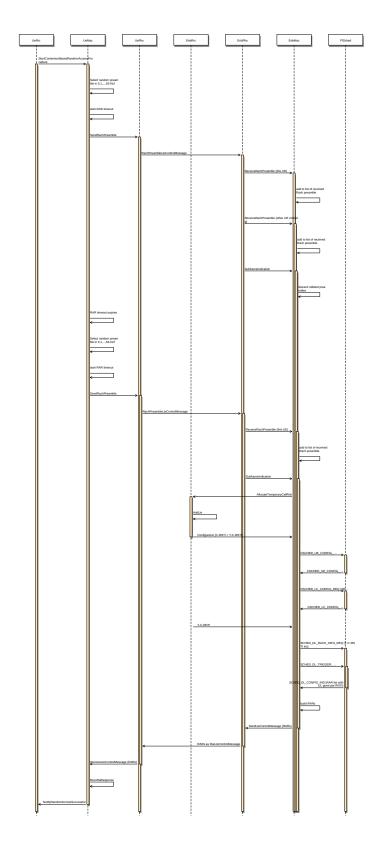


Figure 19.23: Sequence diagram of the Contention-based MAC Random Access procedure

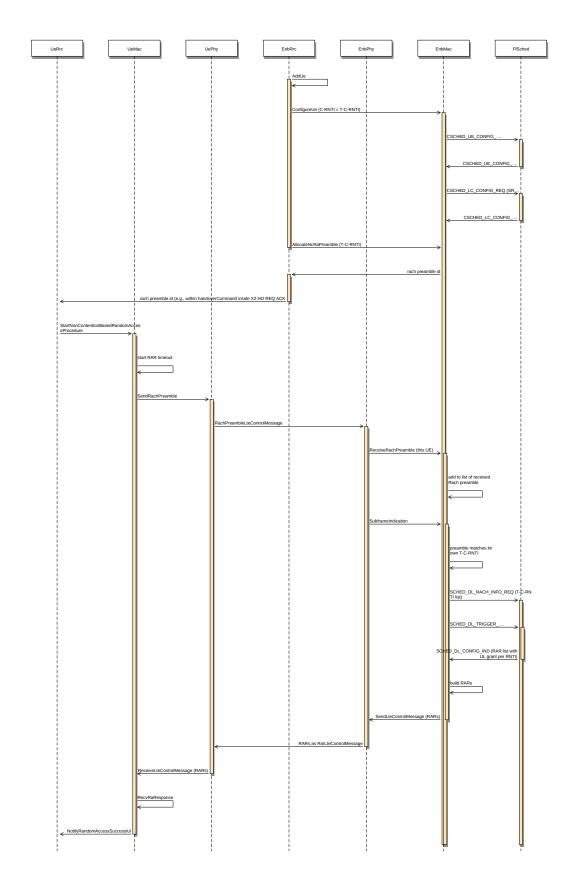


Figure 19.24: Sequence diagram of the Non-contention-based MAC Random Access procedure

# 19.1.8 RLC

### Overview

The RLC entity is specified in the 3GPP technical specification [TS36322], and comprises three different types of RLC: Transparent Mode (TM), Unacknowledge Mode (UM) and Acknowledged Mode (AM). The simulator includes one model for each of these entitities

The RLC entities provide the RLC service interface to the upper PDCP layer and the MAC service interface to the lower MAC layer. The RLC entities use the PDCP service interface from the upper PDCP layer and the MAC service interface from the lower MAC layer.

Figure *Implementation Model of PDCP, RLC and MAC entities and SAPs* shows the implementation model of the RLC entities and its relationship with all the other entities and services in the protocol stack.

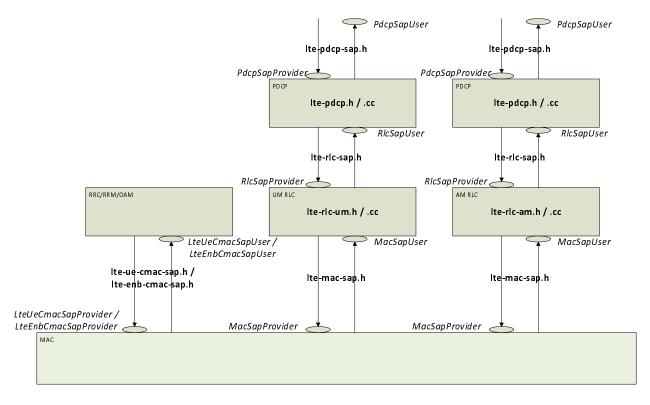


Figure 19.25: Implementation Model of PDCP, RLC and MAC entities and SAPs

## **Service Interfaces**

## **RLC Service Interface**

The RLC service interface is divided into two parts:

- the RlcSapProvider part is provided by the RLC layer and used by the upper PDCP layer and
- the RlcSapUser part is provided by the upper PDCP layer and used by the RLC layer.

Both the UM and the AM RLC entities provide the same RLC service interface to the upper PDCP layer.

**RLC Service Primitives** The following list specifies which service primitives are provided by the RLC service interfaces:

- RlcSapProvider::TransmitPdcpPdu
  - The PDCP entity uses this primitive to send a PDCP PDU to the lower RLC entity in the transmitter peer
- RlcSapUser::ReceivePdcpPdu
  - The RLC entity uses this primitive to send a PDCP PDU to the upper PDCP entity in the receiver peer

### **MAC Service Interface**

The MAC service interface is divided into two parts:

- the MacSapProvider part is provided by the MAC layer and used by the upper RLC layer and
- the MacSapUser part is provided by the upper RLC layer and used by the MAC layer.

**MAC Service Primitives** The following list specifies which service primitives are provided by the MAC service interfaces:

- MacSapProvider::TransmitPdu
  - The RLC entity uses this primitive to send a RLC PDU to the lower MAC entity in the transmitter peer
- MacSapProvider::ReportBufferStatus
  - The RLC entity uses this primitive to report the MAC entity the size of pending buffers in the transmitter peer
- MacSapUser::NotifyTxOpportunity
  - The MAC entity uses this primitive to nofify the RLC entity a transmission opportunity
- MacSapUser::ReceivePdu
  - The MAC entity uses this primitive to send an RLC PDU to the upper RLC entity in the receiver peer

## **AM RLC**

The processing of the data transfer in the Acknowledge Mode (AM) RLC entity is explained in section 5.1.3 of [TS36322]. In this section we describe some details of the implementation of the RLC entity.

# **Buffers for the transmit operations**

Our implementation of the AM RLC entity maintains 3 buffers for the transmit operations:

- Transmission Buffer: it is the RLC SDU queue. When the AM RLC entity receives a SDU in the TransmitPd-cpPdu service primitive from the upper PDCP entity, it enqueues it in the Transmission Buffer. We put a limit on the RLC buffer size and just silently drop SDUs when the buffer is full.
- **Transmitted PDUs Buffer**: it is the queue of transmitted RLC PDUs for which an ACK/NACK has not been received yet. When the AM RLC entity sends a PDU to the MAC entity, it also puts a copy of the transmitted PDU in the Transmitted PDUs Buffer.
- **Retransmission Buffer**: it is the queue of RLC PDUs which are considered for retransmission (i.e., they have been NACKed). The AM RLC entity moves this PDU to the Retransmission Buffer, when it retransmits a PDU from the Transmitted Buffer.

### Transmit operations in downlink

The following sequence diagram shows the interactions between the different entities (RRC, PDCP, AM RLC, MAC and MAC scheduler) of the eNB in the downlink to perform data communications.

Figure Sequence diagram of data PDU transmission in downlink shows how the upper layers send data PDUs and how the data flow is processed by the different entities/services of the LTE protocol stack.

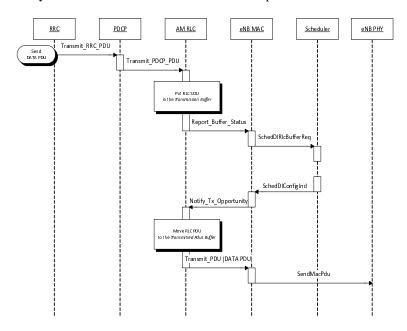


Figure 19.26: Sequence diagram of data PDU transmission in downlink

The PDCP entity calls the Transmit\_PDCP\_PDU service primitive in order to send a data PDU. The AM RLC entity processes this service primitive according to the AM data transfer procedures defined in section 5.1.3 of [TS36322].

When the Transmit\_PDCP\_PDU service primitive is called, the AM RLC entity performs the following operations:

- Put the data SDU in the Transmission Buffer.
- Compute the size of the buffers (how the size of buffers is computed will be explained afterwards).
- Call the Report\_Buffer\_Status service primitive of the eNB MAC entity in order to notify to the eNB MAC entity the sizes of the buffers of the AM RLC entity. Then, the eNB MAC entity updates the buffer status in the MAC scheduler using the SchedDlRlcBufferReq service primitive of the FF MAC Scheduler API.

Afterwards, when the MAC scheduler decides that some data can be sent, the MAC entity notifies it to the RLC entity, i.e. it calls the Notify\_Tx\_Opportunity service primitive, then the AM RLC entity does the following:

- Create a single data PDU by segmenting and/or concatenating the SDUs in the Transmission Buffer.
- Move the data PDU from the Transmission Buffer to the Transmitted PDUs Buffer.
- Update state variables according section 5.1.3.1.1 of [TS36322].
- Call the Transmit\_PDU primitive in order to send the data PDU to the MAC entity.

### Retransmission in downlink

The sequence diagram of Figure Sequence diagram of data PDU retransmission in downlink shows the interactions between the different entities (AM RLC, MAC and MAC scheduler) of the eNB in downlink when data PDUs must be retransmitted by the AM RLC entity.

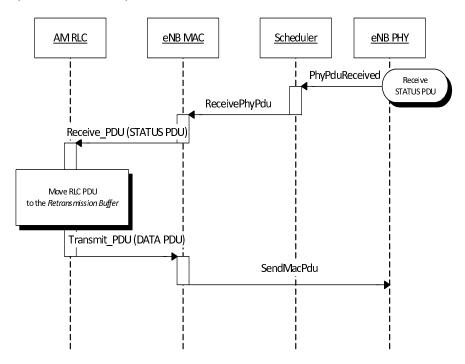


Figure 19.27: Sequence diagram of data PDU retransmission in downlink

The transmitting AM RLC entity can receive STATUS PDUs from the peer AM RLC entity. STATUS PDUs are sent according section 5.3.2 of [TS36322] and the processing of reception is made according section 5.2.1 of [TS36322].

When a data PDUs is retransmitted from the Transmitted PDUs Buffer, it is also moved to the Retransmission Buffer.

## Transmit operations in uplink

The sequence diagram of Figure Sequence diagram of data PDU transmission in uplink shows the interactions between the different entities of the UE (RRC, PDCP, RLC and MAC) and the eNB (MAC and Scheduler) in uplink when data PDUs are sent by the upper layers.

It is similar to the sequence diagram in downlink; the main difference is that in this case the Report\_Buffer\_Status is sent from the UE MAC to the MAC Scheduler in the eNB over the air using the control channel.

# Retransmission in uplink

The sequence diagram of Figure Sequence diagram of data PDU retransmission in uplink shows the interactions between the different entities of the UE (AM RLC and MAC) and the eNB (MAC) in uplink when data PDUs must be retransmitted by the AM RLC entity.

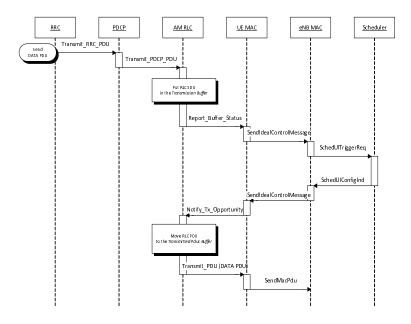


Figure 19.28: Sequence diagram of data PDU transmission in uplink

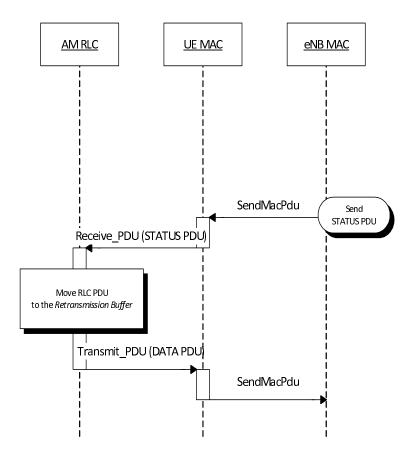


Figure 19.29: Sequence diagram of data PDU retransmission in uplink

### Calculation of the buffer size

The Transmission Buffer contains RLC SDUs. A RLC PDU is one or more SDU segments plus an RLC header. The size of the RLC header of one RLC PDU depends on the number of SDU segments the PDU contains.

The 3GPP standard (section 6.1.3.1 of [TS36321]) says clearly that, for the uplink, the RLC and MAC headers are not considered in the buffer size that is to be report as part of the Buffer Status Report. For the downlink, the behavior is not specified. Neither [FFAPI] specifies how to do it. Our RLC model works by assuming that the calculation of the buffer size in the downlink is done exactly as in the uplink, i.e., not considering the RLC and MAC header size.

We note that this choice affects the interoperation with the MAC scheduler, since, in response to the Notify\_Tx\_Opportunity service primitive, the RLC is expected to create a PDU of no more than the size requested by the MAC, including RLC overhead. Hence, unneeded fragmentation can occur if (for example) the MAC notifies a transmission exactly equal to the buffer size previously reported by the RLC. We assume that it is left to the Scheduler to implement smart strategies for the selection of the size of the transmission opportunity, in order to eventually avoid the inefficiency of unneeded fragmentation.

## **Concatenation and Segmentation**

The AM RLC entity generates and sends exactly one RLC PDU for each transmission opportunity even if it is smaller than the size reported by the transmission opportunity. So for instance, if a STATUS PDU is to be sent, then only this PDU will be sent in that transmission opportunity.

The segmentation and concatenation for the SDU queue of the AM RLC entity follows the same philosophy as the same procedures of the UM RLC entity but there are new state variables (see [TS36322] section 7.1) only present in the AM RLC entity.

It is noted that, according to the 3GPP specs, there is no concatenation for the Retransmission Buffer.

## Re-segmentation

The current model of the AM RLC entity does not support the re-segmentation of the retransmission buffer. Rather, the AM RLC entity just waits to receive a big enough transmission opportunity.

# **Unsupported features**

We do not support the following procedures of [TS36322]:

- "Send an indication of successful delivery of RLC SDU" (See section 5.1.3.1.1)
- "Indicate to upper layers that max retransmission has been reached" (See section 5.2.1)
- "SDU discard procedures" (See section 5.3)
- "Re-establishment procedure" (See section 5.4)

We do not support any of the additional primitives of RLC SAP for AM RLC entity. In particular:

- · no SDU discard notified by PDCP
- no notification of successful / failed delivery by AM RLC entity to PDCP entity

#### **UM RLC**

In this section we describe the implemnetation of the Unacknowledge Mode (UM) RLC entity.

### Transmit operations in downlink

The transmit operations of the UM RLC are similar to those of the AM RLC previously described in Section *Transmit operations in downlink*, with the difference that, following the specifications of [TS36322], retransmission are not performed, and there are no STATUS PDUs.

#### Transmit operations in uplink

The transmit operations in the uplink are similar to those of the downlink, with the main difference that the Report\_Buffer\_Status is sent from the UE MAC to the MAC Scheduler in the eNB over the air using the control channel.

#### Calculation of the buffer size

The calculation of the buffer size for the UM RLC is done using the same approach of the AM RLC, please refer to section *Calculation of the buffer size* for the corresponding description.

### **TM RLC**

In this section we describe the implementation of the Transparent Mode (TM) RLC entity.

## Transmit operations in downlink

In the simulator, the TM RLC still provides to the upper layers the same service interface provided by the AM and UM RLC entities to the PDCP layer; in practice, this interface is used by an RRC entity (not a PDCP entity) for the transmission of RLC SDUs. This choice is motivated by the fact that the services provided by the TM RLC to the upper layers, according to [TS36322], is a subset of those provided by the UM and AM RLC entities to the PDCP layer; hence, we reused the same interface for simplicity.

The transmit operations in the downlink are performed as follows. When the Transmit\_PDCP\_PDU service primitive is called by the upper layers, the TM RLC does the following:

- put the SDU in the Transmission Buffer
- compute the size of the Transmission Buffer
- call the Report\_Buffer\_Status service primitive of the eNB MAC entity

Afterwards, when the MAC scheduler decides that some data can be sent by the logical channel to which the TM RLC entity belongs, the MAC entity notifies it to the TM RLC entity by calling the Notify\_Tx\_Opportunity service primitive. Upon reception of this primitive, the TM RLC entity does the following:

- if the TX opportunity has a size that is greater than or equal to the size of the head-of-line SDU in the Transmission Buffer
  - dequeue the head-of-line SDU from the Transmission Buffer
  - create one RLC PDU that contains entirely that SDU, without any RLC header
  - Call the Transmit\_PDU primitive in order to send the RLC PDU to the MAC entity.

## Transmit operations in uplink

The transmit operations in the uplink are similar to those of the downlink, with the main difference that a transmission opportunity can also arise from the assignment of the UL GRANT as part of the Random Access procedure, without an explicit Buffer Status Report issued by the TM RLC entity.

### Calculation of the buffer size

As per the specifications [TS36322], the TM RLC does not add any RLC header to the PDUs being transmitted. Because of this, the buffer size reported to the MAC layer is calculated simply by summing the size of all packets in the transmission buffer, thus notifying to the MAC the exact buffer size.

### **SM RLC**

In addition to the AM, UM and TM implementations that are modeled after the 3GPP specifications, a simplified RLC model is provided, which is called Saturation Mode (SM) RLC. This RLC model does not accept PDUs from any above layer (such as PDCP); rather, the SM RLC takes care of the generation of RLC PDUs in response to the notification of transmission opportunities notified by the MAC. In other words, the SM RLC simulates saturation conditions, i.e., it assumes that the RLC buffer is always full and can generate a new PDU whenever notified by the scheduler.

The SM RLC is used for simplified simulation scenarios in which only the LTE Radio model is used, without the EPC and hence without any IP networking support. We note that, although the SM RLC is an unrealistic traffic model, it still allows for the correct simulation of scenarios with multiple flows belonging to different (non real-time) QoS classes, in order to test the QoS performance obtained by different schedulers. This can be done since it is the task of the Scheduler to assign transmission resources based on the characteristics (e.g., Guaranteed Bit Rate) of each Radio Bearer, which are specified upon the definition of each Bearer within the simulation program.

As for schedulers designed to work with real-time QoS traffic that has delay constraints, the SM RLC is probably not an appropriate choice. This is because the absence of actual RLC SDUs (replaced by the artificial generation of Buffer Status Reports) makes it not possible to provide the Scheduler with meaningful head-of-line-delay information, which is often the metric of choice for the implementation of scheduling policies for real-time traffic flows. For the simulation and testing of such schedulers, it is advisable to use either the UM or the AM RLC models instead.

## 19.1.9 PDCP

### **PDCP Model Overview**

The reference document for the specification of the PDCP entity is [TS36323]. With respect to this specification, the PDCP model implemented in the simulator supports only the following features:

- transfer of data (user plane or control plane);
- maintenance of PDCP SNs;
- transfer of SN status (for use upon handover);

The following features are currently not supported:

- header compression and decompression of IP data flows using the ROHC protocol;
- in-sequence delivery of upper layer PDUs at re-establishment of lower layers;
- duplicate elimination of lower layer SDUs at re-establishment of lower layers for radio bearers mapped on RLC AM;
- ciphering and deciphering of user plane data and control plane data;

- integrity protection and integrity verification of control plane data;
- · timer based discard;
- · duplicate discarding.

## **PDCP Service Interface**

The PDCP service interface is divided into two parts:

- the PdcpSapProvider part is provided by the PDCP layer and used by the upper layer and
- the PdcpSapUser part is provided by the upper layer and used by the PDCP layer.

## **PDCP Service Primitives**

The following list specifies which service primitives are provided by the PDCP service interfaces:

- PdcpSapProvider::TransmitPdcpSdu
  - The RRC entity uses this primitive to send an RRC PDU to the lower PDCP entity in the transmitter peer
- PdcpSapUser::ReceivePdcpSdu
  - The PDCP entity uses this primitive to send an RRC PDU to the upper RRC entity in the receiver peer

# 19.1.10 RRC

### **Features**

The RRC model implemented in the simulator provides the following functionality:

- generation (at the eNB) and interpretation (at the UE) of System Information (in particular the Master Information Block and, at the time of this writing, only System Information Block Type 1 and 2)
- · initial cell selection
- RRC connection establishment procedure
- RRC reconfiguration procedure, supporting the following use cases: + reconfiguration of the SRS configuration index + reconfiguration of the PHY TX mode (MIMO) + reconfiguration of UE measurements + data radio bearer setup + handover
- RRC connection re-establishment, supporting the following use cases: + handover

#### **Architecture**

The RRC model is divided into the following components:

- the RRC entities *LteUeRrc* and *LteEnbRrc*, which implement the state machines of the RRC entities respectively at the UE and the eNB;
- the RRC SAPs LteUeRrcSapProvider, LteUeRrcSapUser, LteEnbRrcSapProvider, LteEnbRrcSapUser, which allow the RRC entities to send and receive RRC messages and information elmenents;
- the RRC protocol classes *LteUeRrcProtocolIdeal*, *LteEnbRrcProtocolIdeal*, *LteUeRrcProtocolReal*, *LteEnbRrcProtocolReal*, *LteEnbRrcProtocolReal*, which implement two different models for the transmission of RRC messages.

Additionally, the RRC components use various other SAPs in order to interact with the rest of the protocol stack. A representation of all the SAPs that are used is provided in the figures *LTE radio protocol stack architecture for the UE* on the data plane, *LTE radio protocol stack architecture for the UE* on the control plane, *LTE radio protocol stack architecture for the eNB* on the data plane and *LTE radio protocol stack architecture for the eNB* on the control plane.

## **UE RRC State Machine**

In Figure UE RRC State Machine we represent the state machine as implemented in the RRC UE entity.

It is to be noted that most of the states are transient, i.e., once the UE goes into one of the CONNECTED states it will never switch back to any of the IDLE states. This choice is done for the following reasons:

- as discussed in the section Design Criteria, the focus of the LTE-EPC simulation model is on CONNECTED mode
- radio link failure is not currently modeled, as discussed in the section Radio Link Failure, so an UE cannot go IDLE because of radio link failure
- RRC connection release is currently never triggered neither by the EPC nor by the NAS

Still, we chose to model explicitly the IDLE states, because:

- a realistic UE RRC configuration is needed for handover, which is a required feature, and in order to have a cleaner implementation it makes sense to use the same UE RRC configuration also for the initial connection establishment
- it makes easier to implement idle mode cell selection in the future, which is a highly desirable feature

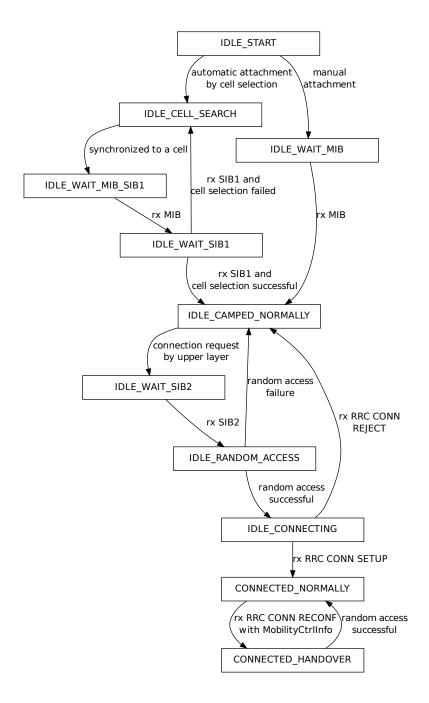


Figure 19.30: UE RRC State Machine

### **ENB RRC State Machine**

The eNB RRC maintains the state for each UE that is attached to the cell. From an implementation point of view, the state of each UE is contained in an instance of the UeManager class. The state machine is represented in Figure *ENB* RRC State Machine for each UE.

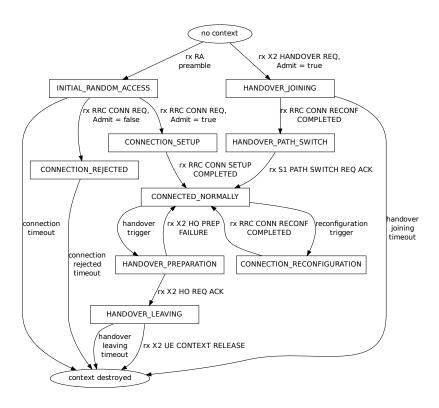


Figure 19.31: ENB RRC State Machine for each UE

## **Initial Cell Selection**

Initial cell selection is an IDLE mode procedure, performed by UE when it has not yet camped or attached to an eNodeB. The objective of the procedure is to find a suitable cell and attach to it to gain access to the cellular network.

It is typically done at the beginning of simulation, as depicted in Figure Sample runs of initial cell selection in UE and timing of related events below. The time diagram on the left side is illustrating the case where initial cell selection succeed on first try, while the diagram on the right side is for the case where it fails on the first try and succeed on the second try. The timing assumes the use of real RRC protocol model (see RRC protocol models) and no transmission error.

The functionality is based on 3GPP IDLE mode specifications, such as in [TS36300], [TS36304], and [TS36331]. However, a proper implementation of IDLE mode is still missing in the simulator, so we reserve several simplifying assumptions:

- multiple carrier frequency is not supported;
- multiple Public Land Mobile Network (PLMN) identities (i.e. multiple network operators) is not supported;
- RSRQ measurements are not utilized;

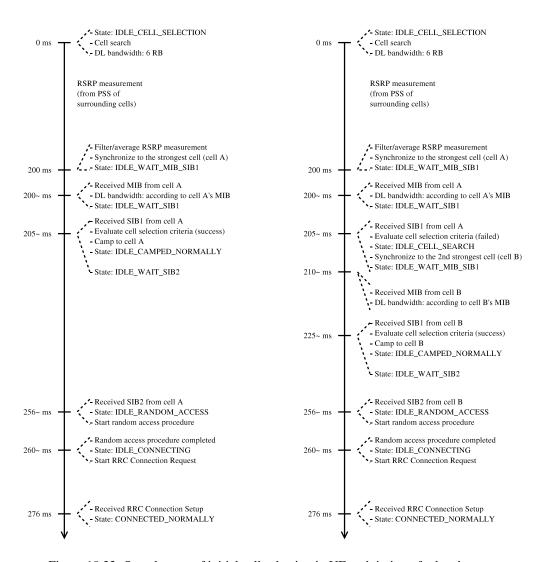


Figure 19.32: Sample runs of initial cell selection in UE and timing of related events

- stored information cell selection is not supported;
- "Any Cell Selection" state and camping to an acceptable cell is not supported;
- marking a cell as barred or reserved is not supported;
- cell reselection is not supported, hence it is not possible for UE to camp to a different cell after the initial camp has been placed; and
- UE's Closed Subscriber Group (CSG) white list contains only one CSG identity.

Also note that initial cell selection is only available for EPC-enabled simulations. LTE-only simulations must use the manual attachment method. See section *Network Attachment* of the User Documentation for more information on their differences in usage.

The next subsections cover different parts of initial cell selection, namely *cell search*, *broadcast of system information*, and *cell selection evaluation*.

#### **Cell Search**

Cell search aims to detect surrounding cells and measure the strength of received signal from each of these cells. One of these cells will become the UE's entry point to join the cellular network.

The measurements are based on the RSRP of the received PSS, averaged by Layer 1 filtering, and performed by the PHY layer, as previously described in more detail in section *UE PHY Measurements Model*. PSS is transmitted by eNodeB over the central 72 sub-carriers of the DL channel (Section 5.1.7.3 [TS36300]), hence we model cell search to operate using a DL bandwidth of 6 RBs. Note that measurements of RSRQ are not available at this point of time in simulation. As a consequence, the LteUePhy::RsrqUeMeasThreshold attribute does not apply during cell search.

By using the measured RSRP, the PHY entity is able to generate a list of detected cells, each with its corresponding cell ID and averaged RSRP. This list is periodically pushed via CPHY SAP to the RRC entity as a measurement report.

The RRC entity inspects the report and simply choose the cell with the strongest RSRP, as also indicated in Section 5.2.3.1 of [TS36304]. Then it instructs back the PHY entity to synchronize to this particular cell. The actual operating bandwidth of the cell is still unknown at this time, so the PHY entity listens only to the minimum bandwidth of 6 RBs. Nevertheless, the PHY entity will be able to receive system broadcast message from this particular eNodeB, which is the topic of the next subsection.

## **Broadcast of System Information**

System information blocks are broadcasted by eNodeB to UEs at predefined time intervals, adapted from Section 5.2.1.2 of [TS36331]. The supported system information blocks are:

- Master Information Block (MIB) Contains parameters related to the PHY layer, generated during cell configuration and broadcasted every 10 ms at the beginning of radio frame as a control message.
- System Information Block Type 1 (SIB1) Contains information regarding network access, broadcasted every 20 ms at the middle of radio frame as a control message. Not used in manual attachment method. UE must have decoded MIB before it can receive SIB1.
- System Information Block Type 2 (SIB2) Contains UL- and RACH-related settings, scheduled to transmit via RRC protocol at 16 ms after cell configuration, and then repeats every 80 ms (configurable through LteEnbRrc::SystemInformationPeriodicity attribute. UE must be camped to a cell in order to be able to receive its SIB2.

Reception of system information is fundamental for UE to advance in its lifecycle. MIB enables the UE to increase the initial DL bandwidth of 6 RBs to the actual operating bandwidth of the network. SIB1 provides information necessary

for cell selection evaluation (explained in the next section). And finally SIB2 is required before the UE is allowed to switch to CONNECTED state.

#### **Cell Selection Evaluation**

UE RRC reviews the measurement report produced in *Cell Search* and the cell access information provided by SIB1. Once both information is available for a specific cell, the UE triggers the evaluation process. The purpose of this process is to determine whether the cell is a suitable cell to camp to.

The evaluation process is a slightly simplified version of Section 5.2.3.2 of [TS36304]. It consists of the following criteria:

- · Rx level criterion; and
- closed subscriber group (CSG) criterion.

The first criterion, Rx level, is based on the cell's measured RSRP  $Q_{rxlevmeas}$ , which has to be higher than a required minimum  $Q_{rxlevmin}$  in order to pass the criterion:

$$Q_{rxlevmeas} - Q_{rxlevmin} > 0$$

where  $Q_{rxlevmin}$  is determined by each eNodeB and is obtainable by UE from SIB1.

The last criterion, CSG, is a combination of a true-or-false parameter called *CSG indication* and a simple number *CSG identity*. The basic rule is that UE shall not camp to eNodeB with a different CSG identity. But this rule is only enforced when CSG indication is valued as true. More details are provided in Section *Network Attachment* of the User Documentation.

When the cell passes all the above criteria, the cell is deemed as *suitable*. Then UE camps to it (IDLE\_CAMPED\_NORMALLY state).

After this, upper layer may request UE to enter CONNECTED mode. Please refer to section *RRC connection establishment* for details on this.

On the other hand, when the cell does not pass the CSG criterion, then the cell is labeled as *acceptable* (Section 10.1.1.1 [TS36300]). In this case, the RRC entity will tell the PHY entity to synchronize to the second strongest cell and repeat the initial cell selection procedure using that cell. As long as no suitable cell is found, the UE will repeat these steps while avoiding cells that have been identified as acceptable.

## **Radio Admission Control**

Radio Admission Control is supported by having the eNB RRC reply to an RRC CONNECTION REQUEST message sent by the UE with either an RRC CONNECTION SETUP message or an RRC CONNECTION REJECT message, depending on whether the new UE is to be admitted or not. In the current implementation, the behavior is determined by the boolean attribute ns3::LteEnbRrc::AdmitRrcConnectionRequest. There is currently no Radio Admission Control algorithm that dynamically decides whether a new connection shall be admitted or not.

## **Radio Bearer Configuration**

Some implementation choices have been made in the RRC regarding the setup of radio bearers:

- three Logical Channel Groups (out of four available) are configured for uplink buffer status report purposes, according to the following policy:
  - LCG 0 is for signaling radio bearers
  - LCG 1 is for GBR data radio bearers
  - LCG 2 is for Non-GBR data radio bearers

## **Radio Link Failure**

Since at this stage the RRC supports the CONNECTED mode only, Radio Link Failure (RLF) is not handled. The reason is that one of the possible outcomes of RLF (when RRC re-establishment is unsuccessful) is to leave RRC CONNECTED notifying the NAS of the RRC connection failure. In order to model RLF properly, RRC IDLE mode should be supported, including in particular idle mode cell (re-)selection.

With the current model, an UE that experiences bad link quality will just stay associated with the same eNB, and the scheduler will stop allocating resources to it for communications. This is also consistent with the fact that, at this stage, only handovers explicitly triggered within the simulation program are supported (network-driven handovers based on UE measurements are planned only at a later stage).

## **UE RRC Measurements Model**

### **UE RRC** measurements support

The UE RRC entity provides support for UE measurements; in particular, it implements the procedures described in Section 5.5 of [TS36331], with the following simplifying assumptions:

- only E-UTRA intra-frequency measurements are supported, which implies:
  - only one measurement object is used during the simulation;
  - measurement gaps are not needed to perform the measurements;
  - Event B1 and B2 are not implemented;
- only *reportStrongestCells* purpose is supported, while *reportCGI* and *reportStrongestCellsForSON* purposes are not supported;
- *s-Measure* is not supported;
- since carrier aggregation is not supported in by the LTE module, the following assumptions in UE measurements hold true:
  - no notion of secondary cell (SCell);
  - primary cell (*PCell*) simply means serving cell;
  - Event A6 is not implemented;
- speed dependant scaling of time-to-trigger (Section 5.5.6.2 of [TS36331]) is not supported.

## Overall design

The model is based on the concept of *UE measurements consumer*, which is an entity that may request an eNodeB RRC entity to provide UE measurement reports. Consumers are, for example, *Handover algorithm*, which compute handover decision based on UE measurement reports. Test cases and user's programs may also become consumers. Figure *Relationship between UE measurements and its consumers* depicts the relationship between these entities.

The whole UE measurements function at the RRC level is divided into 4 major parts:

- 1. Measurement configuration (handled by LteUeRrc::ApplyMeasConfig)
- 2. Performing measurements (handled by LteUeRrc::DoReportUeMeasurements)
- 3. Measurement report triggering (handled by LteUeRrc::MeasurementReportTriggering)
- 4. Measurement reporting (handled by LteUeRrc::SendMeasurementReport)

The following sections will describe each of the parts above.

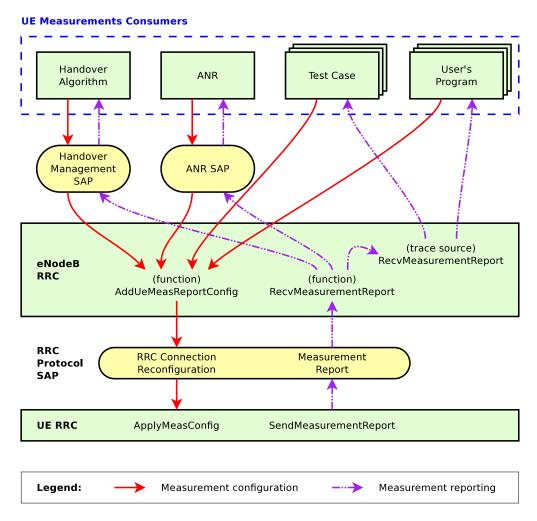


Figure 19.33: Relationship between UE measurements and its consumers

#### Measurement configuration

An eNodeB RRC entity configures UE measurements by sending the configuration parameters to the UE RRC entity. This set of parameters are defined within the MeasConfig Information Element (IE) of the RRC Connection Reconfiguration message (RRC connection reconfiguration).

The eNodeB RRC entity implements the configuration parameters and procedures described in Section 5.5.2 of [TS36331], with the following simplifying assumption:

- configuration (i.e. addition, modification, and removal) can only be done before the simulation begins;
- all UEs attached to the eNodeB will be configured the same way, i.e. there is no support for configuring specific measurement for specific UE; and
- it is assumed that there is a one-to-one mapping between the PCI and the E-UTRAN Global Cell Identifier (EGCI). This is consistent with the PCI modeling assumptions described in *UE PHY Measurements Model*.

The eNodeB RRC instance here acts as an intermediary between the consumers and the attached UEs. At the beginning of simulation, each consumer provides the eNodeB RRC instance with the UE measurements configuration that it requires. After that, the eNodeB RRC distributes the configuration to attached UEs.

Users may customize the measurement configuration using several methods. Please refer to Section *Configure UE measurements* of the User Documentation for the description of these methods.

## **Performing measurements**

UE RRC receives both RSRP and RSRQ measurements on periodical basis from UE PHY, as described in *UE PHY Measurements Model*. *Layer 3 filtering* will be applied to these received measurements. The implementation of the filtering follows Section 5.5.3.2 of [TS36331]:

$$F_n = (1-a) \times F_{n-1} + a \times M_n$$

where:

- $M_n$  is the latest received measurement result from the physical layer;
- $F_n$  is the updated filtered measurement result;
- $F_{n-1}$  is the old filtered measurement result, where  $F_0 = M_1$  (i.e. the first measurement is not filtered); and
- $a=(\frac{1}{2})^{\frac{k}{4}}$ , where k is the configurable filter Coefficent provided by the Quantity Config;

k=4 is the default value, but can be configured by setting the RsrpFilterCoefficient and RsrqFilterCoefficient attributes in LteEnbRrc.

Therefore k=0 will disable Layer 3 filtering. On the other hand, past measurements can be granted more influence on the filtering results by using larger value of k.

## Measurement reporting triggering

In this part, UE RRC will go through the list of active measurement configuration and check whether the triggering condition is fulfilled in accordance with Section 5.5.4 of [TS36331]. When at least one triggering condition from all the active measurement configuration is fulfilled, the measurement reporting procedure (described in the next subsection) will be initiated.

3GPP defines two kinds of *triggerType*: *periodical* and *event-based*. At the moment, only event-based criterion is supported. There are various events that can be selected, which are briefly described in the table below:

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Name	Description
Event A1	Serving cell becomes better than <i>threshold</i>
Event A2	Serving cell becomes worse than <i>threshold</i>
Event A3	Neighbour becomes offset dB better than serving cell
Event A4	Neighbour becomes better than threshold
Event A5	Serving becomes worse than <i>threshold1 AND</i> neighbour becomes better than <i>threshold2</i>

Two main conditions to be checked in an event-based trigger are the *entering condition* and the *leaving condition*. More details on these two can be found in Section 5.5.4 of [TS36331].

An event-based trigger can be further configured by introducing hysteresis and time-to-trigger. Hysteresis (Hys) defines the distance between the entering and leaving conditions in dB. Similarly, time-to-trigger introduces delay to both entering and leaving conditions, but as a unit of time.

The *periodical* type of reporting trigger is not supported, but its behaviour can be easily obtained by using an event-based trigger. This can be done by configuring the measurement in such a way that the entering condition is always fulfilled, for example, by setting the threshold of Event A1 to zero (the minimum level). As a result, the measurement reports will always be triggered at every certain interval, as determined by the *reportInterval* field within LteRrcSap::ReportConfigEutra, therefore producing the same behaviour as periodical reporting.

As a limitation with respect to 3GPP specifications, the current model does not support any cell-specific configuration. These configuration parameters are defined in measurement object. As a consequence, incorporating a list of black cells into the triggering process is not supported. Moreover, cell-specific offset (i.e.,  $O_{cn}$  and  $O_{cp}$  in Event A3, A4, and A5) are not supported as well. The value equal to zero is always assumed in place of them.

#### Measurement reporting

This part handles the submission of measurement report from the UE RRC entity to the serving eNodeB entity via RRC protocol. Several simplifying assumptions have been adopted:

- reportAmount is not applicable (i.e. always assumed to be infinite);
- in measurement reports, the *reportQuantity* is always assumed to be *BOTH*, i.e., both RSRP and RSRQ are always reported, regardless of the *triggerQuantity*.

## Handover

The RRC model supports UE mobility in CONNECTED mode by invoking the X2-based handover procedure. The model is intra-EUTRAN and intra-frequency, as based on Section 10.1.2.1 of [TS36300].

This section focuses on the process of triggering a handover. The handover execution procedure itself is covered in Section *X*2.

There are two ways to trigger the handover procedure:

- explicitly (or manually) triggered by the simulation program by scheduling an execution of the method LteEnbRrc::SendHandoverRequest; or
- *automatically* triggered by the eNodeB RRC entity based on UE measurements and according to the selected handover algorithm.

Section *X2-based handover* of the User Documentation provides some examples on using both explicit and automatic handover triggers in simulation. The next subsection will take a closer look on the automatic method, by describing the design aspects of the handover algorithm interface and the available handover algorithms.

#### Handover algorithm

Handover in 3GPP LTE has the following properties:

- **UE-assisted** The UE provides input to the network in the form of measurement reports. This is handled by the *UE RRC Measurements Model*.
- **Network-controlled** The network (i.e. the source eNodeB and the target eNodeB) decides when to trigger the handover and oversees its execution.

The handover algorithm operates at the source eNodeB and is responsible in making handover decisions in an "automatic" manner. It interacts with an eNodeB RRC instance via the Handover Management SAP interface. These relationships are illustrated in Figure Relationship between UE measurements and its consumers from the previous section.

The handover algorithm interface consists of the following methods:

- AddUeMeasReportConfigForHandover (Handover Algorithm -> eNodeB RRC) Used by the handover algorithm to request measurement reports from the eNodeB RRC entity, by passing the desired reporting configuration. The configuration will be applied to all future attached UEs.
- ReportUeMeas (eNodeB RRC -> Handover Algorithm) Based on the UE measurements configured earlier in AddUeMeasReportConfigForHandover, UE may submit measurement reports to the eNodeB. The eNodeB RRC entity uses the ReportUeMeas interface to forward these measurement reports to the handover algorithm.
- **TriggerHandover** (Handover Algorithm -> eNodeB RRC) After examining the measurement reports (but not necessarily), the handover algorithm may declare a handover. This method is used to notify the eNodeB RRC entity about this decision, which will then proceed to commence the handover procedure.

One note for the AddUeMeasReportConfigForHandover. The method will return the measId (measurement identity) of the newly created measurement configuration. Typically a handover algorithm would store this unique number. It may be useful in the ReportUeMeas method, for example when more than one configuration has been requested and the handover algorithm needs to differentiate incoming reports based on the configuration that triggered them.

A handover algorithm is implemented by writing a subclass of the LteHandoverAlgorithm abstract superclass and implementing each of the above mentioned SAP interface methods. Users may develop their own handover algorithm this way, and then use it in any simulation by following the steps outlined in Section *X2-based handover* of the User Documentation.

Alternatively, users may choose to use one of the 3 built-in handover algorithms provided by the LTE module: no-op, A2-A4-RSRQ, and strongest cell handover algorithm. They are ready to be used in simulations or can be taken as an example of implementing a handover algorithm. Each of these built-in algorithms is covered in each of the following subsections.

## No-op handover algorithm

The *no-op handover algorithm* (NoOpHandoverAlgorithm class) is the simplest possible implementation of handover algorithm. It basically does nothing, i.e., does not call any of the Handover Management SAP interface methods. Users may choose this handover algorithm if they wish to disable automatic handover trigger in their simulation.

#### A2-A4-RSRQ handover algorithm

The A2-A4-RSRQ handover algorithm provides the functionality of the default handover algorithm originally included in LENA M6 (ns-3.18), ported to the Handover Management SAP interface as the A2A4RsrqHandoverAlgorithm class.

As the name implies, the algorithm utilizes the Reference Signal Received Quality (RSRQ) measurements acquired from Event A2 and Event A4. Thus, the algorithm will add 2 measurement configuration to the corresponding eNodeB RRC instance. Their intended use are described as follows:

- Event A2 (serving cell's RSRQ becomes worse than threshold) is leveraged to indicate that the UE is experiencing poor signal quality and may benefit from a handover.
- Event A4 (neighbour cell's RSRQ becomes better than threshold) is used to detect neighbouring cells and acquire their corresponding RSRQ from every attached UE, which are then stored internally by the algorithm. By default, the algorithm configures Event A4 with a very low threshold, so that the trigger criteria are always true.

Figure A2-A4-RSRQ handover algorithm below summarizes this procedure.

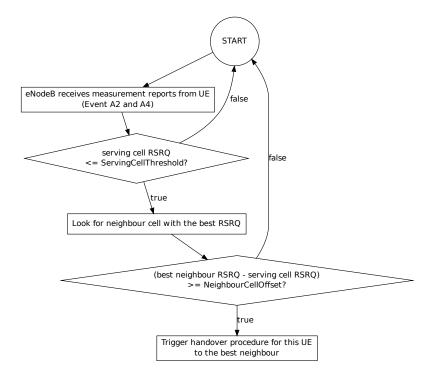


Figure 19.34: A2-A4-RSRQ handover algorithm

Two attributes can be set to tune the algorithm behaviour:

- **ServingCellThreshold** The *threshold* for Event A2, i.e. a UE must have an RSRQ lower than this threshold to be considered for a handover.
- **NeighbourCellOffset** The *offset* that aims to ensure that the UE would receive better signal quality after the handover. A neighbouring cell is considered as a target cell for the handover only if its RSRQ is higher than the serving cell's RSRQ by the amount of this *offset*.

The value of both attributes are expressed as RSRQ range (Section 9.1.7 of [TS36133]), which is an integer between 0 and 34, with 0 as the lowest RSRQ.

#### Strongest cell handover algorithm

The strongest cell handover algorithm, or also sometimes known as the traditional power budget (PBGT) algorithm, is developed using [Dimou2009] as reference. The idea is to provide each UE with the best possible Reference Signal Received Power (RSRP). This is done by performing a handover as soon as a better cell (i.e. with stronger RSRP) is detected.

Event A3 (neighbour cell's RSRP becomes better than serving cell's RSRP) is chosen to realize this concept. The A3RsrpHandoverAlgorithm class is the result of the implementation. Handover is triggered for the UE to the best cell in the measurement report.

A simulation which uses this algorithm is usually more vulnerable to ping-pong handover (consecutive handover to the previous source eNodeB within short period of time), especially when the *Fading Model* is enabled. This problem is typically tackled by introducing a certain delay to the handover. The algorithm does this by including hysteresis and time-to-trigger parameters (Section 6.3.5 of [TS36331]) to the UE measurements configuration.

*Hysteresis* (a.k.a. handover margin) delays the handover in regard of RSRP. The value is expressed in dB, ranges between 0 to 15 dB, and have a 0.5 dB accuracy, e.g., an input value of 2.7 dB is rounded to 2.5 dB.

On the other hand, *time-to-trigger* delays the handover in regard of time. 3GPP defines 16 valid values for time-to-trigger (all in milliseconds): 0, 40, 64, 80, 100, 128, 160, 256, 320, 480, 512, 640, 1024, 1280, 2560, and 5120.

The difference between hysteresis and time-to-trigger is illustrated in Figure *Effect of hysteresis and time-to-trigger in strongest cell handover algorithm* below, which is taken from the *lena-x2-handover-measures* example. It depicts the perceived RSRP of serving cell and a neighbouring cell by a UE which moves pass the border of the cells.

By default, the algorithm uses a hysteresis of 3.0 dB and time-to-trigger of 256 ms. These values can be tuned through the Hysteresis and TimeToTrigger attributes of the A3RsrpHandoverAlgorithm class.

## **Neighbour Relation**

LTE module supports a simplified *Automatic Neighbour Relation* (ANR) function. This is handled by the LteAnr class, which interacts with an eNodeB RRC instance through the ANR SAP interface.

### **Neighbour Relation Table**

The ANR holds a *Neighbour Relation Table* (NRT), similar to the description in Section 22.3.2a of [TS36300]. Each entry in the table is called a *Neighbour Relation* (NR) and represents a detected neighbouring cell, which contains the following boolean fields:

- *No Remove* Indicates that the NR shall *not* be removed from the NRT. This is *true* by default for user-provided NR and *false* otherwise.
- No X2 Indicates that the NR shall *not* use an X2 interface in order to initiate procedures towards the eNodeB parenting the target cell. This is *false* by default for user-provided NR, and *true* otherwise.
- *No HO* Indicates that the NR shall *not* be used by the eNodeB for handover reasons. This is *true* in most cases, except when the NR is both user-provided and network-detected.

Each NR entry may have at least one of the following properties:

- **User-provided** This type of NR is created as instructed by the simulation user. For example, a NR is created automatically upon a user-initiated establishment of X2 connection between 2 eNodeBs, e.g. as described in Section X2-based handover. Another way to create a user-provided NR is to call the AddNeighbourRelation function explicitly.
- **Network-detected** This type of NR is automatically created during the simulation as a result of the discovery of a nearby cell.

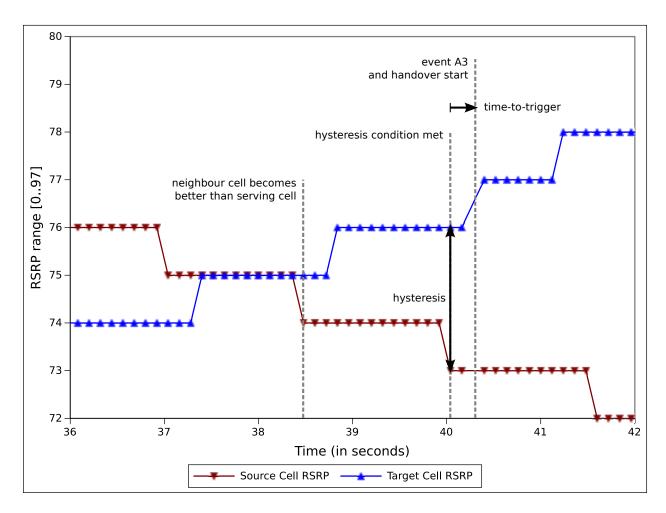


Figure 19.35: Effect of hysteresis and time-to-trigger in strongest cell handover algorithm

In order to automatically create network-detected NR, ANR utilizes UE measurements. In other words, ANR is a consumer of UE measurements, as depicted in Figure *Relationship between UE measurements and its consumers*. RSRQ and Event A4 (neighbour becomes better than *threshold*) are used for the reporting configuration. The default Event A4 *threshold* is set to the lowest possible, i.e., maximum detection capability, but can be changed by setting the Threshold attribute of LteAnr class. Note that the A2-A4-RSRQ handover algorithm also utilizes a similar reporting configuration. Despite the similarity, when both ANR and this handover algorithm are active in the eNodeB, they use separate reporting configuration.

Also note that automatic setup of X2 interface is not supported. This is the reason why the No X2 and No HO fields are true in a network-detected but not user-detected NR.

#### Role of ANR in Simulation

The ANR SAP interface provides the means of communication between ANR and eNodeB RRC. Some interface functions are used by eNodeB RRC to interact with the NRT, as shown below:

- AddNeighbourRelation (eNodeB RRC -> ANR) Add a new user-provided NR entry into the NRT.
- **GetNoRemove** (eNodeB RRC -> ANR) Get the value of *No Remove* field of an NR entry of the given cell ID.
- GetNoHo (eNodeB RRC -> ANR) Get the value of No HO field of an NR entry of the given cell ID.
- **GetNoX2** (eNodeB RRC -> ANR) Get the value of *No X2* field of an NR entry of the given cell ID.

Other interface functions exist to support the role of ANR as a UE measurements consumer, as listed below:

- AddUeMeasReportConfigForAnr (ANR -> eNodeB RRC) Used by the ANR to request measurement reports from the eNodeB RRC entity, by passing the desired reporting configuration. The configuration will be applied to all future attached UEs.
- ReportUeMeas (eNodeB RRC -> ANR) Based on the UE measurements configured earlier in AddUeMeasReportConfigForAnr, UE may submit measurement reports to the eNodeB. The eNodeB RRC entity uses the ReportUeMeas interface to forward these measurement reports to the ANR.

Please refer to the corresponding API documentation for LteAnrSap class for more details on the usage and the required parameters.

The ANR is utilized by the eNodeB RRC instance as a data structure to keep track of the situation of nearby neighbouring cells. The ANR also helps the eNodeB RRC instance to determine whether it is possible to execute a handover procedure to a neighbouring cell. This is realized by the fact that eNodeB RRC will only allow a handover procedure to happen if the NR entry of the target cell has both *No HO* and *No X2* fields set to *false*.

ANR is enabled by default in every eNodeB instance in the simulation. It can be disabled by setting the AnrEnabled attribute in LteHelper class to *false*.

#### **RRC** sequence diagrams

In this section we provide some sequence diagrams that explain the most important RRC procedures being modeled.

#### **RRC** connection establishment

Figure Sequence diagram of the RRC Connection Establishment procedure shows how the RRC Connection Establishment procedure is modeled, highlighting the role of the RRC layer at both the UE and the eNB, as well as the interaction with the other layers.

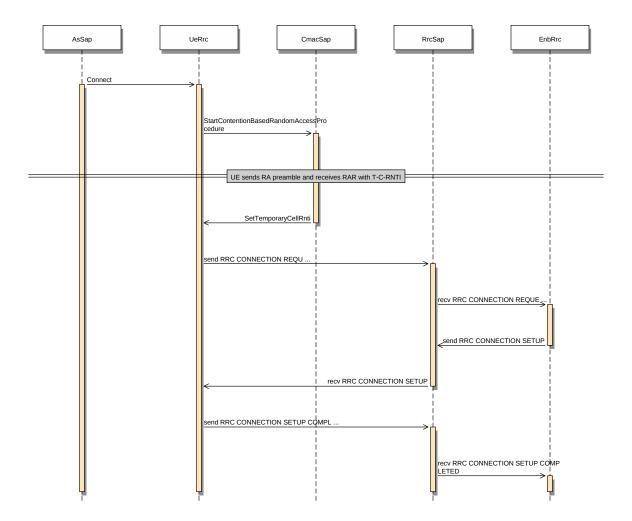


Figure 19.36: Sequence diagram of the RRC Connection Establishment procedure

#### **RRC** connection reconfiguration

Figure Sequence diagram of the RRC Connection Reconfiguration procedure shows how the RRC Connection Reconfiguration procedure is modeled for the case where MobilityControlInfo is not provided, i.e., handover is not performed.

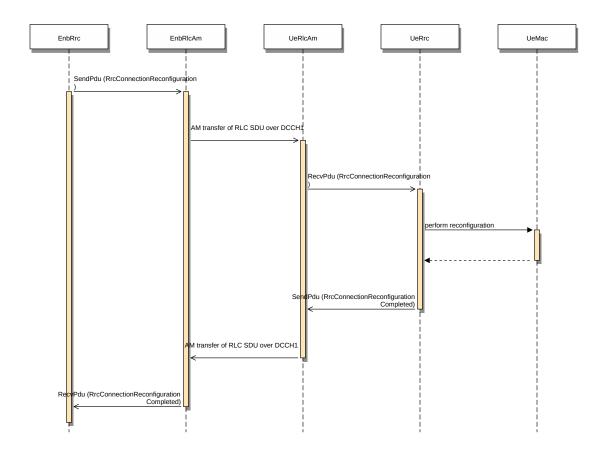
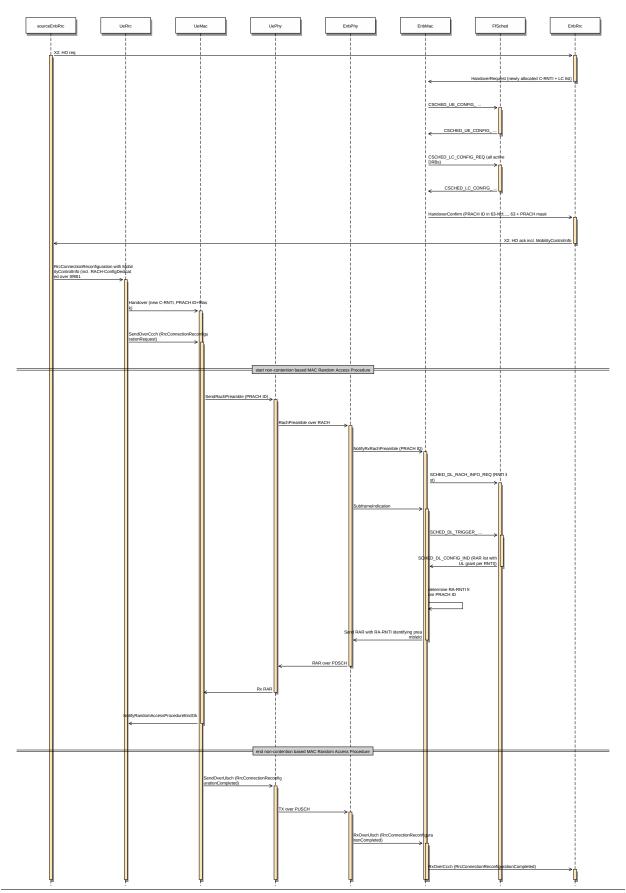


Figure 19.37: Sequence diagram of the RRC Connection Reconfiguration procedure

Figure Sequence diagram of the RRC Connection Reconfiguration procedure for the handover case shows how the RRC Connection Reconfiguration procedure is modeled for the case where MobilityControlInfo is provided, i.e., handover is to be performed. As specified in [TS36331], After receiving the handover message, the UE attempts to access the target cell at the first available RACH occasion according to Random Access resource selection defined in [TS36321]\_, i.e. the handover is asynchronous. Consequently, when allocating a dedicated preamble for the random access in the target cell, E-UTRA shall ensure it is available from the first RACH occasion the UE may use. Upon successful completion of the handover, the UE sends a message used to confirm the handover. Note that the random access procedure in this case is non-contention based, hence in a real LTE system it differs slightly from the one used in RRC connection established. Also note that the RA Preamble ID is signalled via the Handover Command included in the X2 Handover Request ACK message sent from the target eNB to the source eNB; in particular, the preamble is included in the RACH-ConfigDedicated IE which is part of MobilityControlInfo.



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## **RRC** protocol models

As previously anticipated, we provide two different models for the transmission and reception of RRC messages: *Ideal* and *Real*. Each of them is described in one of the following subsections.

#### Ideal RRC protocol model

According to this model, implemented in the classes and *LteUeRrcProtocolIdeal* and *LteEnbRrcProtocolIdeal*, all RRC messages and information elements are transmitted between the eNB and the UE in an ideal fashion, without consuming radio resources and without errors. From an implementation point of view, this is achieved by passing the RRC data structure directly between the UE and eNB RRC entities, without involving the lower layers (PDCP, RLC, MAC, scheduler).

## Real RRC protocol model

This model is implemented in the classes *LteUeRrcProtocolReal* and *LteEnbRrcProtocolReal* and aims at modeling the transmission of RRC PDUs as commonly performed in real LTE systems. In particular:

- for every RRC message being sent, a real RRC PDUs is created following the ASN.1 encoding of RRC PDUs and information elements (IEs) specified in [TS36331]. Some simplification are made with respect to the IEs included in the PDU, i.e., only those IEs that are useful for simulation purposes are included. For a detailed list, please see the IEs defined in *lte-rrc-sap.h* and compare with [TS36331].
- the encoded RRC PDUs are sent on Signaling Radio Bearers and are subject to the same transmission modeling used for data communications, thus including scheduling, radio resource consumption, channel errors, delays, retransmissions, etc.

**Signaling Radio Bearer model** We now describe the Signaling Radio Bearer model that is used for the *Real* RRC protocol model.

- SRB0 messages (over CCCH):
  - RrcConnectionRequest: in real LTE systems, this is an RLC TM SDU sent over resources specified in
    the UL Grant in the RAR (not in UL DCIs); the reason is that C-RNTI is not known yet at this stage. In
    the simulator, this is modeled as a real RLC TM RLC PDU whose UL resources are allocated by the sched
    upon call to SCHED\_DL\_RACH\_INFO\_REQ.
  - RrcConnectionSetup: in the simulator this is implemented as in real LTE systems, i.e., with an RLC TM SDU sent over resources indicated by a regular UL DCI, allocated with SCHED\_DL\_RLC\_BUFFER\_REQ triggered by the RLC TM instance that is mapped to LCID 0 (the CCCH).
- **SRB1** messages (over DCCH):
  - All the SRB1 messages modeled in the simulator (e.g., RrcConnectionCompleted) are implemented as in real LTE systems, i.e., with a real RLC SDU sent over RLC AM using DL resources allocated via Buffer Status Reports. See the RLC model documentation for details.
- SRB2 messages (over DCCH):
  - According to [TS36331], "SRB1 is for RRC messages (which may include a piggybacked NAS message) as well as for NAS messages prior to the establishment of SRB2, all using DCCH logical channel", whereas "SRB2 is for NAS messages, using DCCH logical channel" and "SRB2 has a lower-priority than SRB1 and is always configured by E-UTRAN after security activation". Modeling security-related aspects is not a requirement of the LTE simulation model, hence we always use SRB1 and never activate SRB2.

**ASN.1 encoding of RRC IE's** The messages defined in RRC SAP, common to all Ue/Enb SAP Users/Providers, are transported in a transparent container to/from a Ue/Enb. The encoding format for the different Information Elements are specified in [TS36331], using ASN.1 rules in the unaligned variant. The implementation in Ns3/Lte has been divided in the following classes:

- Asn1Header: Contains the encoding / decoding of basic ASN types
- RrcAsn1Header: Inherits Asn1Header and contains the encoding / decoding of common IE's defined in [TS36331]
- Rrc specific messages/IEs classes: A class for each of the messages defined in RRC SAP header

**Asn1Header class - Implementation of base ASN.1 types** This class implements the methods to Serialize / Deserialize the ASN.1 types being used in [TS36331], according to the packed encoding rules in ITU-T X.691. The types considered are:

- Boolean: a boolean value uses a single bit (1=true, 0=false).
- Integer: a constrained integer (with min and max values defined) uses the minimum amount of bits to encode its range (max-min+1).
- Bitstring: a bistring will be copied bit by bit to the serialization buffer.
- Octetstring: not being currently used.
- Sequence: the sequence generates a preamble indicating the presence of optional and default fields. It also adds a bit indicating the presence of extension marker.
- Sequence...Of: the sequence...of type encodes the number of elements of the sequence as an integer (the subsequent elements will need to be encoded afterwards).
- Choice: indicates which element among the ones in the choice set is being encoded.
- Enumeration: is serialized as an integer indicating which value is used, among the ones in the enumeration, with the number of elements in the enumeration as upper bound.
- Null: the null value is not encoded, although its serialization function is defined to provide a clearer map between specification and implementation.

The class inherits from ns-3 Header, but Deserialize() function is declared pure virtual, thus inherited classes having to implement it. The reason is that deserialization will retrieve the elements in RRC messages, each of them containing different information elements.

Additionally, it has to be noted that the resulting byte length of a specific type/message can vary, according to the presence of optional fields, and due to the optimized encoding. Hence, the serialized bits will be processed using PreSerialize() function, saving the result in m\_serializationResult Buffer. As the methods to read/write in a ns3 buffer are defined in a byte basis, the serialization bits are stored into m\_serializationPendingBits attribute, until the 8 bits are set and can be written to buffer iterator. Finally, when invoking Serialize(), the contents of the m\_serializationResult attribute will be copied to Buffer::Iterator parameter

**RrcAsn1Header:** Common IEs As some Information Elements are being used for several RRC messages, this class implements the following common IE's:

- · SrbToAddModList
- · DrbToAddModList
- LogicalChannelConfig
- · RadioResourceConfigDedicated
- · PhysicalConfigDedicated

- SystemInformationBlockType1
- SystemInformationBlockType2
- RadioResourceConfigCommonSIB

## **Rrc specific messages/IEs classes** The following RRC SAP have been implemented:

- RrcConnectionRequest
- RrcConnectionSetup
- RrcConnectionSetupCompleted
- RrcConnectionReconfiguration
- RrcConnectionReconfigurationCompleted
- HandoverPreparationInfo
- $\bullet \ Rrc Connection Reestablish ment Request$
- RrcConnectionReestablishment
- RrcConnectionReestablishmentComplete
- RrcConnectionReestablishmentReject
- RrcConnectionRelease

# 19.1.11 NAS

The focus of the LTE-EPC model is on the NAS Active state, which corresponds to EMM Registered, ECM connected, and RRC connected. Because of this, the following simplifications are made:

- EMM and ECM are not modeled explicitly; instead, the NAS entity at the UE will interact directy with the MME to perfom actions that are equivalent (with gross simplifications) to taking the UE to the states EMM Connected and ECM Connected;
- the NAS also takes care of multiplexing uplink data packets coming from the upper layers into the appropriate EPS bearer by using the Traffic Flow Template classifier (TftClassifier).
- the NAS does not support PLMN and CSG selection
- the NAS does not support any location update/paging procedure in idle mode

Figure Sequence diagram of the attach procedure shows how the simplified NAS model implements the attach procedure. Note that both the default and eventual dedicated EPS bearers are activated as part of this procedure.

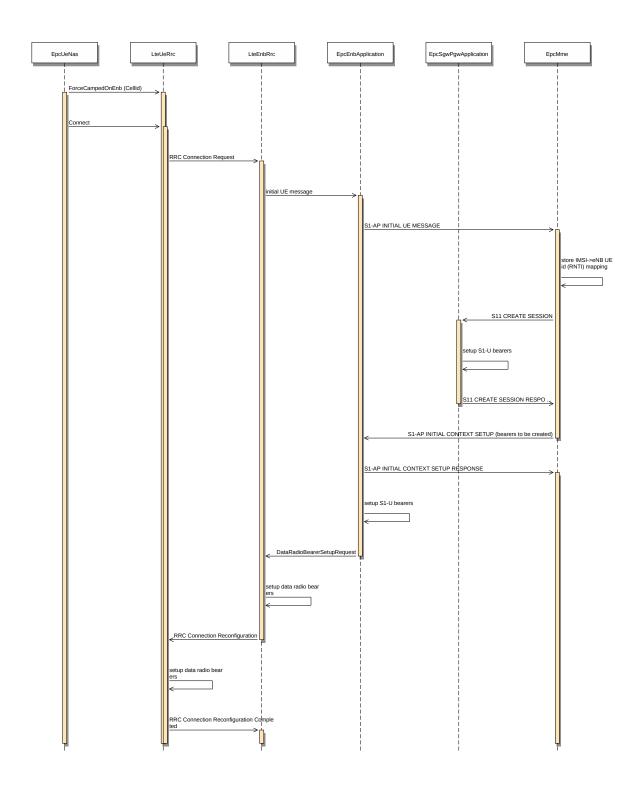


Figure 19.39: Sequence diagram of the attach procedure

## 19.1.12 S1

## **S1-U**

The S1-U interface is modeled in a realistic way by encapsulating data packets over GTP/UDP/IP, as done in real LTE-EPC systems. The corresponding protocol stack is shown in Figure *LTE-EPC data plane protocol stack*. As shown in the figure, there are two different layers of IP networking. The first one is the end-to-end layer, which provides end-to-end connectivity to the users; this layers involves the UEs, the PGW and the remote host (including eventual internet routers and hosts in between), but does not involve the eNB. By default, UEs are assigned a public IPv4 address in the 7.0.0.0/8 network, and the PGW gets the address 7.0.0.1, which is used by all UEs as the gateway to reach the internet.

The second layer of IP networking is the EPC local area network. This involves all eNB nodes and the SGW/PGW node. This network is implemented as a set of point-to-point links which connect each eNB with the SGW/PGW node; thus, the SGW/PGW has a set of point-to-point devices, each providing connectivity to a different eNB. By default, a 10.x.y.z/30 subnet is assigned to each point-to-point link (a /30 subnet is the smallest subnet that allows for two distinct host addresses).

As specified by 3GPP, the end-to-end IP communications is tunneled over the local EPC IP network using GTP/UDP/IP. In the following, we explain how this tunneling is implemented in the EPC model. The explanation is done by discussing the end-to-end flow of data packets.

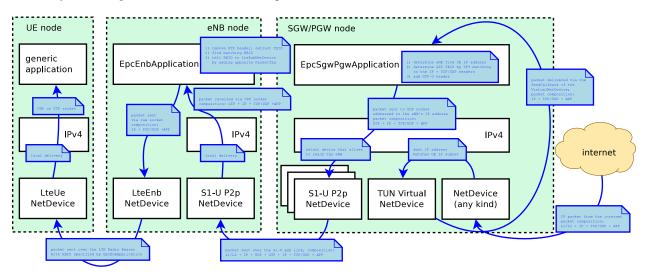


Figure 19.40: Data flow in the downlink between the internet and the UE

To begin with, we consider the case of the downlink, which is depicted in Figure *Data flow in the downlink between the internet and the UE*. Downlink Ipv4 packets are generated from a generic remote host, and addressed to one of the UE device. Internet routing will take care of forwarding the packet to the generic NetDevice of the SGW/PGW node which is connected to the internet (this is the Gi interface according to 3GPP terminology). The SGW/PGW has a VirtualNetDevice which is assigned the gateway IP address of the UE subnet; hence, static routing rules will cause the incoming packet from the internet to be routed through this VirtualNetDevice. Such device starts the GTP/UDP/IP tunneling procedure, by forwarding the packet to a dedicated application in the SGW/PGW node which is called EpcSgwPgwApplication. This application does the following operations:

- 1. it determines the eNB node to which the UE is attached, by looking at the IP destination address (which is the address of the UE);
- 2. it classifies the packet using Traffic Flow Templates (TFTs) to identify to which EPS Bearer it belongs. EPS bearers have a one-to-one mapping to S1-U Bearers, so this operation returns the GTP-U Tunnel Endpoint Identifier (TEID) to which the packet belongs;

- 3. it adds the corresponding GTP-U protocol header to the packet;
- 4. finally, it sends the packet over an UDP socket to the S1-U point-to-point NetDevice, addressed to the eNB to which the UE is attached.

As a consequence, the end-to-end IP packet with newly added IP, UDP and GTP headers is sent through one of the S1 links to the eNB, where it is received and delivered locally (as the destination address of the outmost IP header matches the eNB IP address). The local delivery process will forward the packet, via an UDP socket, to a dedicated application called EpcEnbApplication. This application then performs the following operations:

- 1. it removes the GTP header and retrieves the TEID which is contained in it;
- 2. leveraging on the one-to-one mapping between S1-U bearers and Radio Bearers (which is a 3GPP requirement), it determines the Bearer ID (BID) to which the packet belongs;
- 3. it records the BID in a dedicated tag called EpsBearerTag, which is added to the packet;
- 4. it forwards the packet to the LteEnbNetDevice of the eNB node via a raw packet socket

Note that, at this point, the outmost header of the packet is the end-to-end IP header, since the IP/UDP/GTP headers of the S1 protocol stack have already been stripped. Upon reception of the packet from the EpcEnbApplication, the LteEnbNetDevice will retrieve the BID from the EpsBearerTag, and based on the BID will determine the Radio Bearer instance (and the corresponding PDCP and RLC protocol instances) which are then used to forward the packet to the UE over the LTE radio interface. Finally, the LteUeNetDevice of the UE will receive the packet, and delivery it locally to the IP protocol stack, which will in turn delivery it to the application of the UE, which is the end point of the downlink communication.

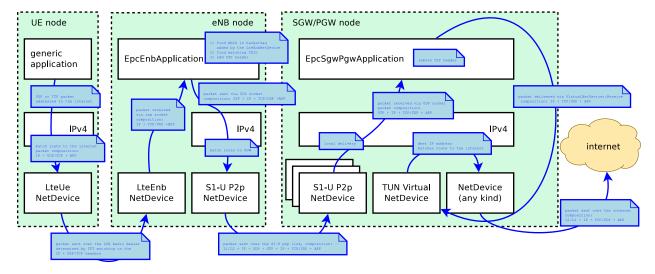


Figure 19.41: Data flow in the uplink between the UE and the internet

The case of the uplink is depicted in Figure *Data flow in the uplink between the UE and the internet*. Uplink IP packets are generated by a generic application inside the UE, and forwarded by the local TCP/IP stack to the LteUeNetDevice of the UE. The LteUeNetDevice then performs the following operations:

- it classifies the packet using TFTs and determines the Radio Bearer to which the packet belongs (and the corresponding RBID);
- 2. it identifies the corresponding PDCP protocol instance, which is the entry point of the LTE Radio Protocol stack for this packet;
- 3. it sends the packet to the eNB over the LTE Radio Protocol stack.

The eNB receives the packet via its LteEnbNetDevice. Since there is a single PDCP and RLC protocol instance for each Radio Bearer, the LteEnbNetDevice is able to determine the BID of the packet. This BID is then recorded onto an EpsBearerTag, which is added to the packet. The LteEnbNetDevice then forwards the packet to the EpcEnbApplication via a raw packet socket.

Upon receiving the packet, the EpcEnbApplication performs the following operations:

- 1. it retrieves the BID from the EpsBearerTag in the packet;
- 2. it determines the corresponding EPS Bearer instance and GTP-U TEID by leveraging on the one-to-one mapping between S1-U bearers and Radio Bearers;
- 3. it adds a GTP-U header on the packet, including the TEID determined previously;
- 4. it sends the packet to the SGW/PGW node via the UDP socket connected to the S1-U point-to-point net device.

At this point, the packet contains the S1-U IP, UDP and GTP headers in addition to the original end-to-end IP header. When the packet is received by the corresponding S1-U point-to-point NetDevice of the SGW/PGW node, it is delivered locally (as the destination address of the outmost IP header matches the address of the point-to-point net device). The local delivery process will forward the packet to the EpcSgwPgwApplication via the corresponding UDP socket. The EpcSgwPgwApplication then removes the GTP header and forwards the packet to the VirtualNetDevice. At this point, the outmost header of the packet is the end-to-end IP header. Hence, if the destination address within this header is a remote host on the internet, the packet is sent to the internet via the corresponding NetDevice of the SGW/PGW. In the event that the packet is addressed to another UE, the IP stack of the SGW/PGW will redirect the packet again to the VirtualNetDevice, and the packet will go through the dowlink delivery process in order to reach its destination UE.

Note that the EPS Bearer QoS is not enforced on the S1-U links, it is assumed that the overprovisioning of the link bandwidth is sufficient to meet the QoS requirements of all bearers.

#### S1AP

The S1-AP interface provides control plane interaction between the eNB and the MME. In the simulator, this interface is modeled in an ideal fashion, with direct interaction between the eNB and the MME objects, without actually implementing the encoding of S1AP messages and information elements specified in [TS36413] and without actually transmitting any PDU on any link.

The S1-AP primitives that are modeled are:

- INITIAL UE MESSAGE
- INITIAL CONTEXT SETUP REQUEST
- INITIAL CONTEXT SETUP RESPONSE
- PATH SWITCH REQUEST
- PATH SWITCH REQUEST ACKNOWLEDGE

# 19.1.13 X2

The X2 interface interconnects two eNBs [TS36420]. From a logical point of view, the X2 interface is a point-to-point interface between the two eNBs. In a real E-UTRAN, the logical point-to-point interface should be feasible even in the absence of a physical direct connection between the two eNBs. In the X2 model implemented in the simulator, the X2 interface is a point-to-point link between the two eNBs. A point-to-point device is created in both eNBs and the two point-to-point devices are attached to the point-to-point link.

For a representation of how the X2 interface fits in the overall architecture of the LENA simulation model, the reader is referred to the figure *Overview of the LTE-EPC simulation model*.

The X2 interface implemented in the simulator provides detailed implementation of the following elementary procedures of the Mobility Management functionality [TS36423]:

- Handover Request procedure
- Handover Request Acknowledgement procedure
- SN Status Transfer procedure
- UE Context Release procedure

These procedures are involved in the X2-based handover. You can find the detailed description of the handover in section 10.1.2.1 of [TS36300]. We note that the simulator model currently supports only the *seamless handover* as defined in Section 2.6.3.1 of [Sesia2009]; in particular, *lossless handover* as described in Section 2.6.3.2 of [Sesia2009] is not supported at the time of this writing.

Figure Sequence diagram of the X2-based handover below shows the interaction of the entities of the X2 model in the simulator. The shaded labels indicate the moments when the UE or eNodeB transition to another RRC state.

The figure also shows two timers within the handover procedure: the *handover leaving timer* is maintained by the source eNodeB, while the *handover joining timer* by the target eNodeB. The duration of the timers can be configured in the <code>HandoverLeavingTimeoutDuration</code> and <code>HandoverJoiningTimeoutDuration</code> attributes of the respective <code>LteEnbRrc</code> instances. When one of these timers expire, the handover procedure is considered as failed.

However, there is no proper handling of handover failure in the current version of LTE module. Users should tune the simulation properly in order to avoid handover failure, otherwise unexpected behaviour may occur. Please refer to Section *Tuning simulation with handover* of the User Documentation for some tips regarding this matter.

The X2 model is an entity that uses services from:

- the X2 interfaces,
  - They are implemented as Sockets on top of the point-to-point devices.
  - They are used to send/receive X2 messages through the X2-C and X2-U interfaces (i.e. the point-to-point device attached to the point-to-point link) towards the peer eNB.
- the S1 application.
  - Currently, it is the EpcEnbApplication.
  - It is used to get some information needed for the Elementary Procedures of the X2 messages.

and it provides services to:

- the RRC entity (X2 SAP)
  - to send/receive RRC messages. The X2 entity sends the RRC message as a transparent container in the X2 message. This RRC message is sent to the UE.

Figure *Implementation Model of X2 entity and SAPs* shows the implentation model of the X2 entity and its relationship with all the other entities and services in the protocol stack.

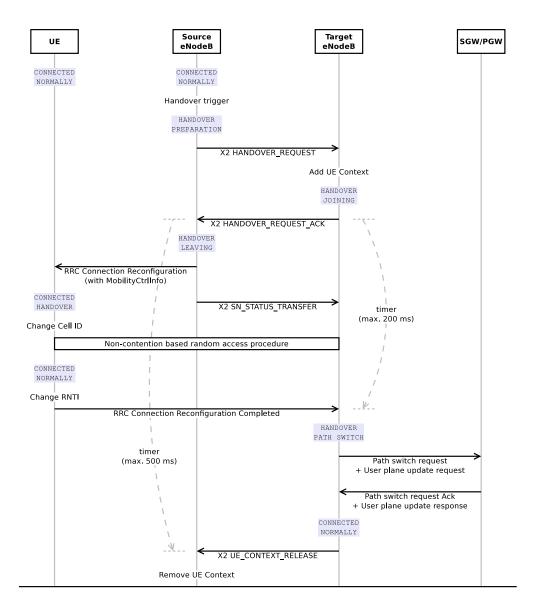


Figure 19.42: Sequence diagram of the X2-based handover

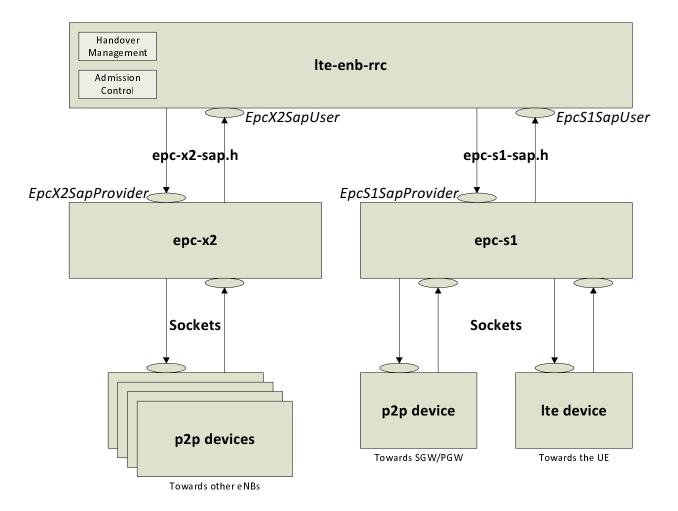


Figure 19.43: Implementation Model of X2 entity and SAPs

The RRC entity manages the initiation of the handover procedure. This is done in the Handover Management sub-module of the eNB RRC entity. The target eNB may perform some Admission Control procedures. This is done in the Admission Control submodule. Initially, this submodule will accept any handover request.

#### X2 interfaces

The X2 model contains two interfaces:

- the X2-C interface. It is the control interface and it is used to send the X2-AP PDUs (i.e. the elementary procedures).
- the X2-U interface. It is used to send the bearer data when there is *DL forwarding*.

Figure X2 interface protocol stacks shows the protocol stacks of the X2-U interface and X2-C interface modeled in the simulator.

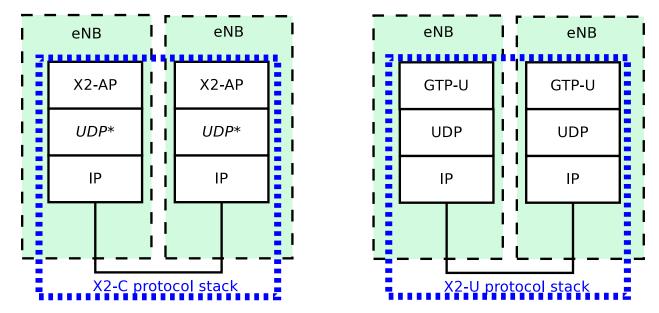


Figure 19.44: X2 interface protocol stacks

#### **X2-C**

The X2-C interface is the control part of the X2 interface and it is used to send the X2-AP PDUs (i.e. the elementary procedures).

In the original X2 interface control plane protocol stack, SCTP is used as the transport protocol but currently, the SCTP protocol is not modeled in the ns-3 simulator and its implementation is out-of-scope of the project. The UDP protocol is used as the datagram oriented protocol instead of the SCTP protocol.

## X2-U

The X2-U interface is used to send the bearer data when there is *DL forwarding* during the execution of the X2-based handover procedure. Similarly to what done for the S1-U interface, data packets are encapsulated over GTP/UDP/IP when being sent over this interface. Note that the EPS Bearer QoS is not enforced on the X2-U links, it is assumed that the overprovisioning of the link bandwidth is sufficient to meet the QoS requirements of all bearers.

## **X2 Service Interface**

The X2 service interface is used by the RRC entity to send and receive messages of the X2 procedures. It is divided into two parts:

- the EpcX2SapProvider part is provided by the X2 entity and used by the RRC entity and
- the EpcX2SapUser part is provided by the RRC entity and used by the RRC enity.

The primitives that are supported in our X2-C model are described in the following subsections.

#### X2-C primitives for handover execution

The following primitives are used for the X2-based handover:

- HANDOVER REQUEST
- HANDOVER REQUEST ACK
- HANDOVER PREPARATION FAILURE
- SN STATUS STRANSFER
- UE CONTEXT RELEASE

all the above primitives are used by the currently implemented RRC model during the preparation and execution of the handover procedure. Their usage interacts with the RRC state machine; therefore, they are not meant to be used for code customization, at least unless it is desired to modify the RRC state machine.

#### X2-C SON primitives

The following primitives can be used to implement Self-Organized Network (SON) functionalities:

- LOAD INFORMATION
- RESOURCE STATUS UPDATE

note that the current RRC model does not actually use these primitives, they are included in the model just to make it possible to develop SON algorithms included in the RRC logic that make use of them.

As a first example, we show here how the load information primitive can be used. We assume that the LteEnbRrc has been modified to include the following new member variables:

```
std::vector<EpcX2Sap::UlInterferenceOverloadIndicationItem>
    m_currentUlInterferenceOverloadIndicationList;
std::vector <EpcX2Sap::UlHighInterferenceInformationItem>
    m_currentUlHighInterferenceInformationList;
EpcX2Sap::RelativeNarrowbandTxBand m_currentRelativeNarrowbandTxBand;
```

for a detailed description of the type of these variables, we suggest to consult the file epc-x2-sap.h, the corresponding doxygen documentation, and the references therein to the relevant sections of 3GPP TS 36.423. Now, assume that at run time these variables have been set to meaningful values following the specifications just mentioned. Then, you can add the following code in the LteEnbRrc class implementation in order to send a load information primitive:

```
EpcX2Sap::CellInformationItem cii;
cii.sourceCellId = m_cellId;
cii.ulInterferenceOverloadIndicationList = m_currentUlInterferenceOverloadIndicationList;
cii.ulHighInterferenceInformationList = m_currentUlHighInterferenceInformationList;
cii.relativeNarrowbandTxBand = m_currentRelativeNarrowbandTxBand;
```

```
EpcX2Sap::LoadInformationParams params;
params.targetCellId = cellId;
params.cellInformationList.push_back (cii);
m_x2SapProvider->SendLoadInformation (params);
```

The above code allows the source eNB to send the message. The method LteEnbRrc::DoRecvLoadInformation will be called when the target eNB receives the message. The desired processing of the load information should therefore be implemented within that method.

In the following second example we show how the resource status update primitive is used. We assume that the LteEnbRrc has been modified to include the following new member variable:

```
EpcX2Sap::CellMeasurementResultItem m_cmri;
```

similarly to before, we refer to epc-x2-sap. h and the references therein for detailed information about this variable type. Again, we assume that the variable has been already set to a meaningful value. Then, you can add the following code in order to send a resource status update:

```
EpcX2Sap::ResourceStatusUpdateParams params;
params.targetCellId = cellId;
params.cellMeasurementResultList.push_back (m_cmri);
m_x2SapProvider->SendResourceStatusUpdate (params);
```

The method eEnbRrc::DoRecvResourceStatusUpdate will be called when the target eNB receives the resource status update message. The desired processing of this message should therefore be implemented within that method.

Finally, we note that the setting and processing of the appropriate values for the variable passed to the above described primitives is deemed to be specific of the SON algorithm being implemented, and hence is not covered by this documentation.

## **Unsupported primitives**

Mobility Robustness Optimization primitives such as Radio Link Failure indication and Handover Report are not supported at this stage.

# 19.1.14 S11

The S11 interface provides control plane interaction between the SGW and the MME using the GTPv2-C protocol specified in [TS29274]. In the simulator, this interface is modeled in an ideal fashion, with direct interaction between the SGW and the MME objects, without actually implementing the encoding of the messages and without actually transmitting any PDU on any link.

The S11 primitives that are modeled are:

- CREATE SESSION REQUEST
- CREATE SESSION RESPONSE
- MODIFY BEARER REQUEST
- MODIFY BEARER RESPONSE

Of these primitives, the first two are used upon initial UE attachment for the establishment of the S1-U bearers; the other two are used during handover to switch the S1-U bearers from the source eNB to the target eNB as a consequence of the reception by the MME of a PATH SWITCH REQUEST S1-AP message.

# 19.1.15 Helpers

Two helper objects are use to setup simulations and configure the various components. These objects are:

- LteHelper, which takes care of the configuration of the LTE radio access network, as well as of coordinating the setup and release of EPS bearers. The LteHelper class provides both the API definition and its implementation.
- EpcHelper, which takes care of the configuration of the Evolved Packet Core. The EpcHelper class is an abstract base class which only provides the API definition; the implementation is delegated to child classes in order to allow for different EPC network models.

It is possible to create a simple LTE-only simulations by using LteHelper alone, or to create complete LTE-EPC simulations by using both LteHelper and EpcHelper. When both helpers are used, they interact in a master-slave fashion, with LteHelper being the Master that interacts directly with the user program, and EpcHelper working "under the hood" to configure the EPC upon explicit methods called by LteHelper. The exact interactions are displayed in the Figure Sequence diagram of the interaction between LteHelper and EpcHelper.

# 19.2 User Documentation

# 19.2.1 Background

We assume the reader is already familiar with how to use the ns-3 simulator to run generic simulation programs. If this is not the case, we strongly recommend the reader to consult [ns3tutorial].

# 19.2.2 Usage Overview

The ns-3 LTE model is a software library that allows the simulation of LTE networks, optionally including the Evolved Packet Core (EPC). The process of performing such simulations typically involves the following steps:

- 1. Define the scenario to be simulated
- 2. Write a simulation program that recreates the desired scenario topology/architecture. This is done accessing the ns-3 LTE model library using the ns3::LteHelper API defined in src/lte/helper/lte-helper.h.
- 3. *Specify configuration parameters* of the objects that are being used for the simulation. This can be done using input files (via the ns3::ConfigStore) or directly within the simulation program.
- 4. Configure the desired output to be produced by the simulator
- 5. Run the simulation.

All these aspects will be explained in the following sections by means of practical examples.

## 19.2.3 Basic simulation program

Here is the minimal simulation program that is needed to do an LTE-only simulation (without EPC).

1. Initial boilerplate:

```
#include <ns3/core-module.h>
#include <ns3/network-module.h>
#include <ns3/mobility-module.h>
#include <ns3/lte-module.h>
using namespace ns3;
```

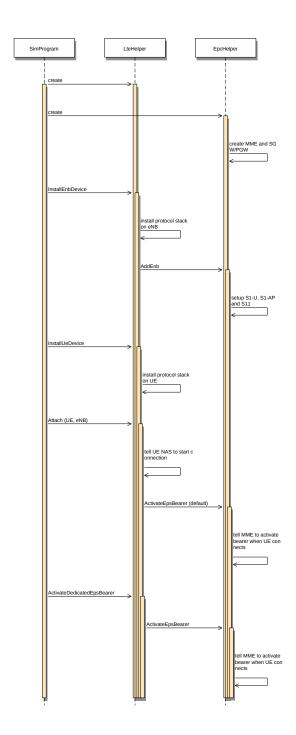


Figure 19.45: Sequence diagram of the interaction between LteHelper and EpcHelper

```
int main (int argc, char *argv[])
{
   // the rest of the simulation program follows
```

2. Create an LteHelper object:

```
Ptr<LteHelper> lteHelper = CreateObject<LteHelper> ();
```

This will instantiate some common objects (e.g., the Channel object) and provide the methods to add eNBs and UEs and configure them.

3. Create Node objects for the eNB(s) and the UEs:

```
NodeContainer enbNodes;
enbNodes.Create (1);
NodeContainer ueNodes;
ueNodes.Create (2);
```

Note that the above Node instances at this point still don't have an LTE protocol stack installed; they're just empty nodes.

4. Configure the Mobility model for all the nodes:

```
MobilityHelper mobility;
mobility.SetMobilityModel ("ns3::ConstantPositionMobilityModel");
mobility.Install (enbNodes);
mobility.SetMobilityModel ("ns3::ConstantPositionMobilityModel");
mobility.Install (ueNodes);
```

The above will place all nodes at the coordinates (0,0,0). Please refer to the documentation of the ns-3 mobility model for how to set your own position or configure node movement.

5. Install an LTE protocol stack on the eNB(s):

```
NetDeviceContainer enbDevs;
enbDevs = lteHelper->InstallEnbDevice (enbNodes);
```

6. Install an LTE protocol stack on the UEs:

```
NetDeviceContainer ueDevs;
ueDevs = lteHelper->InstallUeDevice (ueNodes);
```

7. Attach the UEs to an eNB. This will configure each UE according to the eNB configuration, and create an RRC connection between them:

```
lteHelper->Attach (ueDevs, enbDevs.Get (0));
```

8. Activate a data radio bearer between each UE and the eNB it is attached to:

```
enum EpsBearer::Qci q = EpsBearer::GBR_CONV_VOICE;
EpsBearer bearer (q);
lteHelper->ActivateDataRadioBearer (ueDevs, bearer);
```

this method will also activate two saturation traffic generators for that bearer, one in uplink and one in downlink.

9. Set the stop time:

```
Simulator::Stop (Seconds (0.005));
```

This is needed otherwise the simulation will last forever, because (among others) the start-of-subframe event is scheduled repeatedly, and the ns-3 simulator scheduler will hence never run out of events.

#### 10. Run the simulation:

```
Simulator::Run ();

11. Cleanup and exit:
    Simulator::Destroy ();
    return 0;
}
```

For how to compile and run simulation programs, please refer to [ns3tutorial].

# 19.2.4 Configuration of LTE model parameters

All the relevant LTE model parameters are managed through the ns-3 attribute system. Please refer to the [ns3tutorial] and [ns3manual] for detailed information on all the possible methods to do it (environmental variables, C++ API, GtkConfigStore...).

In the following, we just briefly summarize how to do it using input files together with the ns-3 ConfigStore. First of all, you need to put the following in your simulation program, right after main () starts:

```
CommandLine cmd;
cmd.Parse (argc, argv);
ConfigStore inputConfig;
inputConfig.ConfigureDefaults ();
// parse again so you can override default values from the command line
cmd.Parse (argc, argv);
```

for the above to work, make sure you also #include "ns3/config-store.h". Now create a text file named (for example) input-defaults.txt specifying the new default values that you want to use for some attributes:

```
default ns3::LteHelper::Scheduler "ns3::PfFfMacScheduler"
default ns3::LteHelper::PathlossModel "ns3::FriisSpectrumPropagationLossModel"
default ns3::LteEnbNetDevice::UlBandwidth "25"
default ns3::LteEnbNetDevice::DlEarfcn "100"
default ns3::LteEnbNetDevice::UlEarfcn "18100"
default ns3::LteUePhy::TxPower "10"
default ns3::LteUePhy::NoiseFigure "9"
default ns3::LteEnbPhy::TxPower "30"
default ns3::LteEnbPhy::NoiseFigure "5"
```

Supposing your simulation program is called src/lte/examples/lte-sim-with-input, you can now pass
these settings to the simulation program in the following way:

```
./waf --command-template="%s --ns3::ConfigStore::Filename=input-defaults.txt --ns3::ConfigStore::Mode
```

Furthermore, you can generate a template input file with the following command:

```
./waf --command-template="%s --ns3::ConfigStore::Filename=input-defaults.txt --ns3::ConfigStore::Mode
```

note that the above will put in the file input-defaults.txt *all* the default values that are registered in your particular build of the simulator, including lots of non-LTE attributes.

# 19.2.5 Configure LTE MAC Scheduler

There are several types of LTE MAC scheduler user can choose here. User can use following codes to define scheduler type:

```
Ptr<LteHelper> lteHelper = CreateObject<LteHelper> ();
lteHelper->SetSchedulerType ("ns3::FdMtFfMacScheduler");
                                                            // FD-MT scheduler
lteHelper->SetSchedulerType ("ns3::TdMtFfMacScheduler");
                                                            // TD-MT scheduler
lteHelper->SetSchedulerType ("ns3::TtaFfMacScheduler");
                                                            // TTA scheduler
lteHelper->SetSchedulerType ("ns3::FdBetFfMacScheduler");
                                                           // FD-BET scheduler
lteHelper->SetSchedulerType ("ns3::TdBetFfMacScheduler");
                                                           // TD-BET scheduler
lteHelper->SetSchedulerType ("ns3::FdTbfqFfMacScheduler");
                                                           // FD-TBFQ scheduler
lteHelper->SetSchedulerType ("ns3::TdTbfqFfMacScheduler");
                                                           // TD-TBFQ scheduler
lteHelper->SetSchedulerType ("ns3::PssFfMacScheduler");
                                                            //PSS scheduler
```

TBFQ and PSS have more parameters than other schedulers. Users can define those parameters in following way:

```
* TBFQ scheduler::

Ptr<LteHelper> lteHelper = CreateObject<LteHelper> ();
lteHelper->SetSchedulerAttribute("DebtLimit", IntegerValue(yourvalue)); // default value -625000 by lteHelper->SetSchedulerAttribute("CreditLimit", UintegerValue(yourvalue)); // default value 625000 lteHelper->SetSchedulerAttribute("TokenPoolSize", UintegerValue(yourvalue)); // default value 1 bytelteHelper->SetSchedulerAttribute("CreditableThreshold", UintegerValue(yourvalue)); // default value

* PSS scheduler::

Ptr<LteHelper> lteHelper = CreateObject<LteHelper> ();
lteHelper->SetSchedulerAttribute("nMux", UIntegerValue(yourvalue)); // the maximum number of UE selecteHelper->SetSchedulerAttribute("PssFdSchedulerType", StringValue("CoItA")); // PF scheduler type in the scheduler of the
```

In TBFQ, default values of debt limit and credit limit are set to -5Mb and 5Mb respectively based on paper [FABokhari2009]. Current implementation does not consider credit threshold (C = 0). In PSS, if user does not define nMux, PSS will set this value to half of total UE. The default FD scheduler is PFsch.

In addition, token generation rate in TBFQ and target bit rate in PSS need to be configured by Guarantee Bit Rate (GBR) or Maximum Bit Rate (MBR) in epc bearer QoS parameters. Users can use following codes to define GBR and MBR in both downlink and uplink:

```
Ptr<LteHelper> lteHelper = CreateObject<LteHelper> ();
enum EpsBearer::Qci q = EpsBearer::yourvalue; // define Qci type
GbrQosInformation qos;
qos.gbrDl = yourvalue; // Downlink GBR
qos.gbrUl = yourvalue; // Uplink GBR
qos.mbrDl = yourvalue; // Downlink MBR
qos.mbrUl = yourvalue; // Uplink MBR
EpsBearer bearer (q, qos);
lteHelper->ActivateEpsBearer (ueDevs, bearer, EpcTft::Default ());
```

In PSS, TBR is obtained from GBR in bearer level QoS parameters. In TBFQ, token generation rate is obtained from the MBR setting in bearer level QoS parameters, which therefore needs to be configured consistently. For constant bit rate (CBR) traffic, it is suggested to set MBR to GBR. For variance bit rate (VBR) traffic, it is suggested to set MBR k times larger than GBR in order to cover the peak traffic rate. In current implementation, k is set to three based on paper [FABokhari2009]. In addition, current version of TBFQ does not consider RLC header and PDCP header length in MBR and GBR. Another parameter in TBFQ is packet arrival rate. This parameter is calculated within scheduler and equals to the past average throughput which is used in PF scheduler.

Many useful attributes of the LTE-EPC model will be described in the following subsections. Still, there are many attributes which are not explicitly mentioned in the design or user documentation, but which are clearly documented using the ns-3 attribute system. You can easily print a list of the attributes of a given object together with their description and default value passing --PrintAttributes= to a simulation program, like this:

```
./waf --run lena-simple --command-template="%s --PrintAttributes=ns3::LteHelper"
```

You can try also with other LTE and EPC objects, like this:

```
./waf --run lena-simple --command-template="%s --PrintAttributes=ns3::LteEnbNetDevice"
./waf --run lena-simple --command-template="%s --PrintAttributes=ns3::LteEnbMac"
./waf --run lena-simple --command-template="%s --PrintAttributes=ns3::LteEnbPhy"
./waf --run lena-simple --command-template="%s --PrintAttributes=ns3::LteUePhy"
./waf --run lena-simple --command-template="%s --PrintAttributes=ns3::PointToPointEpcHelper"
```

# 19.2.6 Simulation Output

The ns-3 LTE model currently supports the output to file of PHY, MAC, RLC and PDCP level Key Performance Indicators (KPIs). You can enable it in the following way:

```
Ptr<LteHelper> lteHelper = CreateObject<LteHelper> ();

// configure all the simulation scenario here...

lteHelper->EnablePhyTraces ();
lteHelper->EnableMacTraces ();
lteHelper->EnableRlcTraces ();
lteHelper->EnablePdcpTraces ();
Simulator::Run ();
```

RLC and PDCP KPIs are calculated over a time interval and stored on ASCII files, two for RLC KPIs and two for PDCP KPIs, in each case one for uplink and one for downlink. The time interval duration can be controlled using the attribute ns3::RadioBearerStatsCalculator::EpochDuration.

The columns of the RLC KPI files is the following (the same for uplink and downlink):

- 1. start time of measurement interval in seconds since the start of simulation
- 2. end time of measurement interval in seconds since the start of simulation
- 3. Cell ID
- 4. unique UE ID (IMSI)
- 5. cell-specific UE ID (RNTI)
- 6. Logical Channel ID
- 7. Number of transmitted RLC PDUs
- 8. Total bytes transmitted.
- 9. Number of received RLC PDUs
- 10. Total bytes received
- 11. Average RLC PDU delay in seconds
- 12. Standard deviation of the RLC PDU delay
- 13. Minimum value of the RLC PDU delay
- 14. Maximum value of the RLC PDU delay
- 15. Average RLC PDU size, in bytes
- 16. Standard deviation of the RLC PDU size

- 17. Minimum RLC PDU size
- 18. Maximum RLC PDU size

Similarly, the columns of the PDCP KPI files is the following (again, the same for uplink and downlink):

- 1. start time of measurement interval in seconds since the start of simulation
- 2. end time of measurement interval in seconds since the start of simulation
- 3. Cell ID
- 4. unique UE ID (IMSI)
- 5. cell-specific UE ID (RNTI)
- 6. Logical Channel ID
- 7. Number of transmitted PDCP PDUs
- 8. Total bytes transmitted.
- 9. Number of received PDCP PDUs
- 10. Total bytes received
- 11. Average PDCP PDU delay in seconds
- 12. Standard deviation of the PDCP PDU delay
- 13. Minimum value of the PDCP PDU delay
- 14. Maximum value of the PDCP PDU delay
- 15. Average PDCP PDU size, in bytes
- 16. Standard deviation of the PDCP PDU size
- 17. Minimum PDCP PDU size
- 18. Maximum PDCP PDU size

MAC KPIs are basically a trace of the resource allocation reported by the scheduler upon the start of every subframe. They are stored in ASCII files. For downlink MAC KPIs the format is the following:

- 1. Simulation time in seconds at which the allocation is indicated by the scheduler
- 2. Cell ID
- 3. unique UE ID (IMSI)
- 4. Frame number
- 5. Subframe number
- 6. cell-specific UE ID (RNTI)
- 7. MCS of TB 1
- 8. size of TB 1
- 9. MCS of TB 2 (0 if not present)
- 10. size of TB 2 (0 if not present)

while for uplink MAC KPIs the format is:

- 1. Simulation time in seconds at which the allocation is indicated by the scheduler
- 2. Cell ID

- 3. unique UE ID (IMSI)
- 4. Frame number
- 5. Subframe number
- 6. cell-specific UE ID (RNTI)
- 7. MCS of TB
- 8. size of TB

The **KPI** names of the files used for MAC output customized via the ns-3 attributes ns3::MacStatsCalculator::DlOutputFilename and ns3::MacStatsCalculator::UlOutputFilename.

## PHY KPIs are distributed in seven different files, configurable through the attributes

- 1. ns3::PhyStatsCalculator::DlRsrpSinrFilename
- 2. ns3::PhyStatsCalculator::UeSinrFilename
- 3. ns3::PhyStatsCalculator::InterferenceFilename
- 4. ns3::PhyStatsCalculator::DlTxOutputFilename
- 5. ns3::PhyStatsCalculator::UlTxOutputFilename
- 6. ns3::PhyStatsCalculator::DlRxOutputFilename
- 7. ns3::PhyStatsCalculator::UlRxOutputFilename

#### In the RSRP/SINR file, the following content is available:

- 1. Simulation time in seconds at which the allocation is indicated by the scheduler
- 2. Cell ID
- 3. unique UE ID (IMSI)
- 4. RSRP
- 5. Linear average over all RBs of the downlink SINR in linear units

# The contents in the UE SINR file are:

- 1. Simulation time in seconds at which the allocation is indicated by the scheduler
- 2. Cell ID
- 3. unique UE ID (IMSI)
- 4. uplink SINR in linear units for the UE

### In the interference filename the content is:

- 1. Simulation time in seconds at which the allocation is indicated by the scheduler
- 2. Cell ID
- 3. List of interference values per RB

#### In UL and DL transmission files the parameters included are:

- 1. Simulation time in milliseconds
- 2. Cell ID
- 3. unique UE ID (IMSI)
- 4. RNTI

- 5. Layer of transmission
- 6. MCS
- 7. size of the TB
- 8. Redundancy version
- 9. New Data Indicator flag

And finally, in UL and DL reception files the parameters included are:

- 1. Simulation time in milliseconds
- 2. Cell ID
- 3. unique UE ID (IMSI)
- 4. RNTI
- 5. Transmission Mode
- 6. Layer of transmission
- 7. MCS
- 8. size of the TB
- 9. Redundancy version
- 10. New Data Indicator flag
- 11. Correctness in the reception of the TB

# 19.2.7 Fading Trace Usage

In this section we will describe how to use fading traces within LTE simulations.

## **Fading Traces Generation**

It is possible to generate fading traces by using a dedicated matlab script provided with the code (/lte/model/fading-traces/fading-trace-generator.m). This script already includes the typical taps configurations for three 3GPP scenarios (i.e., pedestrian, vehicular and urban as defined in Annex B.2 of [TS36104]); however users can also introduce their specific configurations. The list of the configurable parameters is provided in the following:

- fc: the frequency in use (it affects the computation of the doppler speed).
- v\_km\_h: the speed of the users
- traceDuration: the duration in seconds of the total length of the trace.
- numRBs: the number of the resource block to be evaluated.
- tag: the tag to be applied to the file generated.

The file generated contains ASCII-formatted real values organized in a matrix fashion: every row corresponds to a different RB, and every column correspond to a different temporal fading trace sample.

It has to be noted that the ns-3 LTE module is able to work with any fading trace file that complies with the above described ASCII format. Hence, other external tools can be used to generate custom fading traces, such as for example other simulators or experimental devices.

## **Fading Traces Usage**

When using a fading trace, it is of paramount importance to specify correctly the trace parameters in the simulation, so that the fading model can load and use it correctly. The parameters to be configured are:

- TraceFilename: the name of the trace to be loaded (absolute path, or relative path w.r.t. the path from where the simulation program is executed);
- TraceLength: the trace duration in seconds;
- SamplesNum: the number of samples;
- WindowSize: the size of the fading sampling window in seconds;

It is important to highlight that the sampling interval of the fading trace has to be 1 ms or greater, and in the latter case it has to be an integer multiple of 1 ms in order to be correctly processed by the fading module.

The default configuration of the matlab script provides a trace 10 seconds long, made of 10,000 samples (i.e., 1 sample per TTI=1ms) and used with a windows size of 0.5 seconds amplitude. These are also the default values of the parameters above used in the simulator; therefore their settage can be avoided in case the fading trace respects them.

In order to activate the fading module (which is not active by default) the following code should be included in the simulation program:

```
Ptr<LteHelper> lteHelper = CreateObject<LteHelper> ();
lteHelper->SetFadingModel("ns3::TraceFadingLossModel");
```

## And for setting the parameters:

```
lteHelper->SetFadingModelAttribute ("TraceFilename", StringValue ("src/lte/model/fading-traces/fadingletHelper->SetFadingModelAttribute ("TraceLength", TimeValue (Seconds (10.0)));
lteHelper->SetFadingModelAttribute ("SamplesNum", UintegerValue (10000));
lteHelper->SetFadingModelAttribute ("WindowSize", TimeValue (Seconds (0.5)));
lteHelper->SetFadingModelAttribute ("RbNum", UintegerValue (100));
```

It has to be noted that, TraceFilename does not have a default value, therefore is has to be always set explicitly.

The simulator provide natively three fading traces generated according to the configurations defined in in Annex B.2 of [TS36104]. These traces are available in the folder src/lte/model/fading-traces/). An excerpt from these traces is represented in the following figures.

# 19.2.8 Mobility Model with Buildings

We now explain by examples how to use the buildings model (in particular, the MobilityBuildingInfo and the BuildingPropagationModel classes) in an ns-3 simulation program to setup an LTE simulation scenario that includes buildings and indoor nodes.

1. Header files to be included:

```
#include <ns3/mobility-building-info.h>
#include <ns3/buildings-propagation-loss-model.h>
#include <ns3/building.h>
```

2. Pathloss model selection:

```
Ptr<LteHelper> lteHelper = CreateObject<LteHelper> ();
lteHelper->SetAttribute ("PathlossModel", StringValue ("ns3::BuildingsPropagationLossModel"));
```

3. EUTRA Band Selection

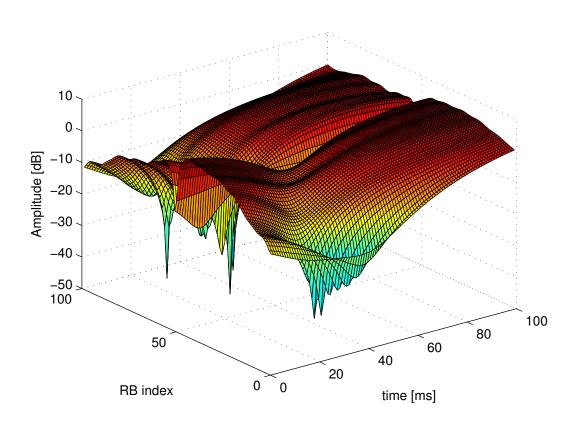


Figure 19.46: Excerpt of the fading trace included in the simulator for a pedestrian scenario (speed of 3 kmph).

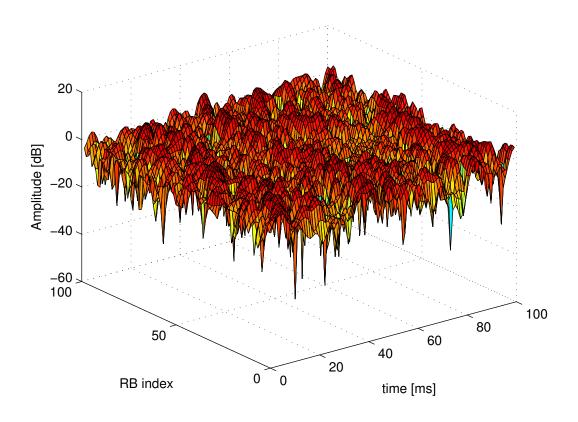


Figure 19.47: Excerpt of the fading trace included in the simulator for a vehicular scenario (speed of 60 kmph).

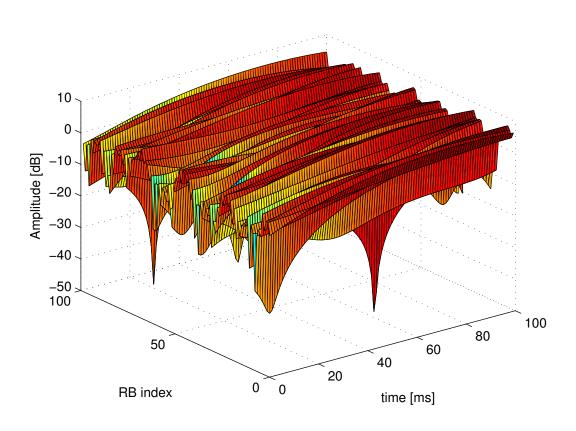


Figure 19.48: Excerpt of the fading trace included in the simulator for an urban scenario (speed of 3 kmph).

The selection of the working frequency of the propagation model has to be done with the standard ns-3 attribute system as described in the correspond section ("Configuration of LTE model parameters") by means of the DlEarfcn and UlEarfcn parameters, for instance:

```
lteHelper->SetEnbDeviceAttribute ("DlEarfon", UintegerValue (100));
lteHelper->SetEnbDeviceAttribute ("UlEarfon", UintegerValue (18100));
```

It is to be noted that using other means to configure the frequency used by the propagation model (i.e., configuring the corresponding BuildingsPropagationLossModel attributes directly) might generates conflicts in the frequencies definition in the modules during the simulation, and is therefore not advised.

1. Mobility model selection:

```
MobilityHelper mobility; mobility.SetMobilityModel ("ns3::ConstantPositionMobilityModel");

It is to be noted that any mobility model can be used.
```

2. Building creation:

```
double x_min = 0.0;
double x_max = 10.0;
double y_min = 0.0;
double y_max = 20.0;
double z_min = 0.0;
double z_min = 0.0;
Ptr<Building> b = CreateObject <Building> ();
b->SetBoundaries (Box (x_min, x_max, y_min, y_max, z_min, z_max));
b->SetBuildingType (Building::Residential);
b->SetExtWallsType (Building::ConcreteWithWindows);
b->SetNFloors (3);
b->SetNRoomsX (3);
b->SetNRoomsY (2);
```

This will instantiate a residential building with base of 10 x 20 meters and height of 10 meters whose external walls are of concrete with windows; the building has three floors and has an internal 3 x 2 grid of rooms of equal size.

3. Node creation and positioning:

```
ueNodes.Create (2);
mobility.Install (ueNodes);
BuildingsHelper::Install (ueNodes);
NetDeviceContainer ueDevs;
ueDevs = lteHelper->InstallUeDevice (ueNodes);
Ptr<ConstantPositionMobilityModel> mm0 = enbNodes.Get (0)->GetObject<ConstantPositionMobilityModel> mm1 = enbNodes.Get (1)->GetObject<ConstantPositionMobilityModel> mm0->SetPosition (Vector (5.0, 5.0, 1.5));
mm1->SetPosition (Vector (30.0, 40.0, 1.5));
```

4. Finalize the building and mobility model configuration:

```
BuildingsHelper::MakeMobilityModelConsistent ();
```

See the documentation of the *buildings* module for more detailed information.

# 19.2.9 PHY Error Model

The Physical error model consists of the data error model and the downlink control error model, both of them active by default. It is possible to deactivate them with the ns3 attribute system, in detail:

```
Config::SetDefault ("ns3::LteSpectrumPhy::CtrlErrorModelEnabled", BooleanValue (false)); Config::SetDefault ("ns3::LteSpectrumPhy::DataErrorModelEnabled", BooleanValue (false));
```

# 19.2.10 MIMO Model

Is this subsection we illustrate how to configure the MIMO parameters. LTE defines 7 types of transmission modes:

- Transmission Mode 1: SISO.
- Transmission Mode 2: MIMO Tx Diversity.
- Transmission Mode 3: MIMO Spatial Multiplexity Open Loop.
- Transmission Mode 4: MIMO Spatial Multiplexity Closed Loop.
- Transmission Mode 5: MIMO Multi-User.
- Transmission Mode 6: Closer loop single layer precoding.
- Transmission Mode 7: Single antenna port 5.

According to model implemented, the simulator includes the first three transmission modes types. The default one is the Transmission Mode 1 (SISO). In order to change the default Transmission Mode to be used, the attribute DefaultTransmissionMode of the LteEnbRrc can be used, as shown in the following:

```
Config::SetDefault ("ns3::LteEnbRrc::DefaultTransmissionMode", UintegerValue (0)); // SISO Config::SetDefault ("ns3::LteEnbRrc::DefaultTransmissionMode", UintegerValue (1)); // MIMO Tx divers. Config::SetDefault ("ns3::LteEnbRrc::DefaultTransmissionMode", UintegerValue (2)); // MIMO Spatial Minus ("ns3::LteEnbRrc::DefaultTransmissionMode", UintegerValue ("ns3::LteEnbRrc::DefaultTransmissionMode", UintegerValue ("ns3::LteEnbRrc::DefaultTransmissionMode", UintegerValue ("ns3::LteEnbRrc::DefaultTransmissionMode", UintegerValue ("ns3::LteEnbRrc::DefaultTransmissionMode", UintegerVa
```

For changing the transmission mode of a certain user during the simulation a specific interface has been implemented in both standard schedulers:

```
void TransmissionModeConfigurationUpdate (uint16_t rnti, uint8_t txMode);
```

This method can be used both for developing transmission mode decision engine (i.e., for optimizing the transmission mode according to channel condition and/or user's requirements) and for manual switching from simulation script. In the latter case, the switching can be done as shown in the following:

```
Ptr<LteEnbNetDevice> lteEnbDev = enbDevs.Get (0)->GetObject<LteEnbNetDevice> ();
PointerValue ptrval;
enbNetDev->GetAttribute ("FfMacScheduler", ptrval);
Ptr<RrFfMacScheduler> rrsched = ptrval.Get<RrFfMacScheduler> ();
Simulator::Schedule (Seconds (0.2), &RrFfMacScheduler::TransmissionModeConfigurationUpdate, rrsched,
```

Finally, the model implemented can be reconfigured according to different MIMO models by updating the gain values (the only constraints is that the gain has to be constant during simulation run-time and common for the layers). The gain of each Transmission Mode can be changed according to the standard ns3 attribute system, where the attributes are: TxModelGain, TxMode2Gain, TxMode3Gain, TxMode5Gain, TxMode5Gain, TxMode6Gain and TxMode7Gain. By default only TxMode1Gain, TxMode2Gain and TxMode3Gain have a meaningful value, that are the ones derived by \_[CatreuxMIMO] (i.e., respectively 0.0, 4.2 and -2.8 dB).

# 19.2.11 Use of AntennaModel

We now show how associate a particular AntennaModel with an eNB device in order to model a sector of a macro eNB. For this purpose, it is convenient to use the CosineAntennaModel provided by the ns-3 antenna module. The configuration of the eNB is to be done via the LteHelper instance right before the creation of the EnbNetDevice, as shown in the following:

```
lteHelper->SetEnbAntennaModelType ("ns3::CosineAntennaModel");
lteHelper->SetEnbAntennaModelAttribute ("Orientation", DoubleValue (0));
lteHelper->SetEnbAntennaModelAttribute ("Beamwidth", DoubleValue (60);
lteHelper->SetEnbAntennaModelAttribute ("MaxGain", DoubleValue (0.0));
```

the above code will generate an antenna model with a 60 degrees beamwidth pointing along the X axis. The orientation is measured in degrees from the X axis, e.g., an orientation of 90 would point along the Y axis, and an orientation of -90 would point in the negative direction along the Y axis. The beamwidth is the -3 dB beamwidth, e.g, for a 60 degree beamwidth the antenna gain at an angle of  $\pm 30$  degrees from the direction of orientation is -3 dB.

To create a multi-sector site, you need to create different ns-3 nodes placed at the same position, and to configure separate EnbNetDevice with different antenna orientations to be installed on each node.

# 19.2.12 Radio Environment Maps

By using the class RadioEnvironmentMapHelper it is possible to output to a file a Radio Environment Map (REM), i.e., a uniform 2D grid of values that represent the Signal-to-noise ratio in the downlink with respect to the eNB that has the strongest signal at each point.

To do this, you just need to add the following code to your simulation program towards the end, right before the call to Simulator::Run ():

```
Ptr<RadioEnvironmentMapHelper> remHelper = CreateObject<RadioEnvironmentMapHelper> ();
remHelper->SetAttribute ("ChannelPath", StringValue ("/ChannelList/0"));
remHelper->SetAttribute ("OutputFile", StringValue ("rem.out"));
remHelper->SetAttribute ("XMin", DoubleValue (-400.0));
remHelper->SetAttribute ("XMax", DoubleValue (400.0));
remHelper->SetAttribute ("XRes", UintegerValue (100));
remHelper->SetAttribute ("YMin", DoubleValue (-300.0));
remHelper->SetAttribute ("YMax", DoubleValue (300.0));
remHelper->SetAttribute ("YRes", UintegerValue (75));
remHelper->SetAttribute ("Z", DoubleValue (0.0));
remHelper->Install ();
```

By configuring the attributes of the RadioEnvironmentMapHelper object as shown above, you can tune the parameters of the REM to be generated. Note that each RadioEnvironmentMapHelper instance can generate only one REM; if you want to generate more REMs, you need to create one separate instance for each REM.

Note that the REM generation is very demanding, in particular:

- the run-time memory consumption is approximately 5KB per pixel. For example, a REM with a resolution of 500x500 would need about 1.25 GB of memory, and a resolution of 1000x1000 would need needs about 5 GB (too much for a regular PC at the time of this writing). To overcome this issue, the REM is generated at successive steps, with each step evaluating at most a number of pixels determined by the value of the the attribute RadioEnvironmentMapHelper::MaxPointsPerIteration.
- if you generate a REM at the beginning of a simulation, it will slow down the execution of the rest of the simulation. If you want to generate a REM for a program and also use the same program to get simulation result, it is recommended to add a command-line switch that allows to either generate the REM or run the complete simulation. For this purpose, note that there is an attribute RadioEnvironmentMapHelper::StopWhenDone (default: true) that will force the simulation to stop right after the REM has been generated.

The REM is stored in an ASCII file in the following format:

- column 1 is the x coordinate
- column 2 is the y coordinate
- column 3 is the z coordinate
- column 4 is the SINR in linear units

A minimal gnuplot script that allows you to plot the REM is given below:

```
set view map;
set xlabel "X"
set ylabel "Y"
set cblabel "SINR (dB)"
unset key
plot "rem.out" using ($1):($2):(10*log10($4)) with image
```

As an example, here is the REM that can be obtained with the example program lena-dual-stripe, which shows a three-sector LTE macrocell in a co-channel deployment with some residential femtocells randomly deployed in two blocks of apartments.

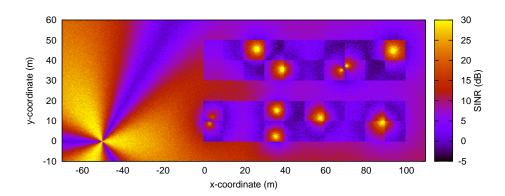


Figure 19.49: REM obtained from the lena-dual-stripe example

Note that the lena-dual-stripe example program also generate gnuplot-compatible output files containing information about the positions of the UE and eNB nodes as well as of the buildings, respectively in the files ues.txt, enbs.txt and buildings.txt. These can be easily included when using gnuplot. For example, assuming that your gnuplot script (e.g., the minimal gnuplot script described above) is saved in a file named my\_plot\_script, running the following command would plot the location of UEs, eNBs and buildings on top of the REM:

```
gnuplot -p enbs.txt ues.txt buildings.txt my_plot_script
```

# 19.2.13 AMC Model and CQI Calculation

The simulator provides two possible schemes for what concerns the selection of the MCSs and correspondly the generation of the CQIs. The first one is based on the GSoC module [Piro2011] and works per RB basis. This model can be activated with the ns3 attribute system, as presented in the following:

```
Config::SetDefault ("ns3::LteAmc::AmcModel", EnumValue (LteAmc::PiroEW2010));
```

While, the solution based on the physical error model can be controlled with:

```
Config::SetDefault ("ns3::LteAmc::AmcModel", EnumValue (LteAmc::MiErrorModel));
```

Finally, the required efficiency of the PiroEW2010 AMC module can be tuned thanks to the Ber attribute (), for instance:

```
Config::SetDefault ("ns3::LteAmc::Ber", DoubleValue (0.00005));
```

# 19.2.14 Evolved Packet Core (EPC)

We now explain how to write a simulation program that allows to simulate the EPC in addition to the LTE radio access network. The use of EPC allows to use IPv4 networking with LTE devices. In other words, you will be able to use the regular ns-3 applications and sockets over IPv4 over LTE, and also to connect an LTE network to any other IPv4 network you might have in your simulation.

First of all, in addition to LteHelper that we already introduced in *Basic simulation program*, you need to use an additional EpcHelper class, which will take care of creating the EPC entities and network topology. Note that you can't use EpcHelper directly, as it is an abstract base class; instead, you need to use one of its child classes, which provide different EPC topology implementations. In this example we will consider PointToPointEpcHelper, which implements an EPC based on point-to-point links. To use it, you need first to insert this code in your simulation program:

```
Ptr<LteHelper> lteHelper = CreateObject<LteHelper> ();
Ptr<PointToPointEpcHelper> epcHelper = CreateObject<PointToPointEpcHelper> ();
```

Then, you need to tell the LTE helper that the EPC will be used:

```
lteHelper->SetEpcHelper (epcHelper);
```

the above step is necessary so that the LTE helper will trigger the appropriate EPC configuration in correspondance with some important configuration, such as when a new eNB or UE is added to the simulation, or an EPS bearer is created. The EPC helper will automatically take care of the necessary setup, such as S1 link creation and S1 bearer setup. All this will be done without the intervention of the user.

Calling lteHelper->SetEpcHelper (epcHelper) enables the use of EPC, and has the side effect that any new LteEnbRrc that is created will have the EpsBearerToRlcMapping attribute set to RLC\_UM\_ALWAYS instead of RLC\_SM\_ALWAYS if the latter was the default; otherwise, the attribute won't be changed (e.g., if you changed the default to RLC AM ALWAYS, it won't be touched).

It is to be noted that the EpcHelper will also automatically create the PGW node and configure it so that it can properly handle traffic from/to the LTE radio access network. Still, you need to add some explicit code to connect the PGW to other IPv4 networks (e.g., the internet). Here is a very simple example about how to connect a single remote host to the PGW via a point-to-point link:

```
Ptr<Node> pgw = epcHelper->GetPgwNode ();

// Create a single RemoteHost
NodeContainer remoteHostContainer;
remoteHostContainer.Create (1);
```

```
Ptr<Node> remoteHost = remoteHostContainer.Get (0);
InternetStackHelper internet;
internet.Install (remoteHostContainer);

// Create the internet
PointToPointHelper p2ph;
p2ph.SetDeviceAttribute ("DataRate", DataRateValue (DataRate ("100Gb/s")));
p2ph.SetDeviceAttribute ("Mtu", UintegerValue (1500));
p2ph.SetChannelAttribute ("Delay", TimeValue (Seconds (0.010)));
NetDeviceContainer internetDevices = p2ph.Install (pgw, remoteHost);
Ipv4AddressHelper ipv4h;
ipv4h.SetBase ("1.0.0.0", "255.0.0.0");
Ipv4InterfaceContainer internetIpIfaces = ipv4h.Assign (internetDevices);
// interface 0 is localhost, 1 is the p2p device
Ipv4Address remoteHostAddr = internetIpIfaces.GetAddress (1);
```

It's important to specify routes so that the remote host can reach LTE UEs. One way of doing this is by exploiting the fact that the PointToPointEpcHelper will by default assign to LTE UEs an IP address in the 7.0.0.0 network. With this in mind, it suffices to do:

```
Ipv4StaticRoutingHelper ipv4RoutingHelper;
Ptr<Ipv4StaticRouting> remoteHostStaticRouting = ipv4RoutingHelper.GetStaticRouting (remoteHost->GetComputeHostStaticRouting->AddNetworkRouteTo (Ipv4Address ("7.0.0.0"), Ipv4Mask ("255.0.0.0"), 1);
```

Now, you should go on and create LTE eNBs and UEs as explained in the previous sections. You can of course configure other LTE aspects such as pathloss and fading models. Right after you created the UEs, you should also configure them for IP networking. This is done as follows. We assume you have a container for UE and eNodeB nodes like this:

```
NodeContainer ueNodes;
NodeContainer enbNodes;
```

to configure an LTE-only simulation, you would then normally do something like this:

```
NetDeviceContainer ueLteDevs = lteHelper->InstallUeDevice (ueNodes);
lteHelper->Attach (ueLteDevs, enbLteDevs.Get (0));
```

in order to configure the UEs for IP networking, you just need to additionally do like this:

The activation of bearers is done in a slightly different way with respect to what done for an LTE-only simulation. First, the method ActivateDataRadioBearer is not to be used when the EPC is used. Second, when EPC is used, the default EPS bearer will be activated automatically when you call LteHelper::Attach (). Third, if you want to setup dedicated EPS bearer, you can do so using the method LteHelper::ActivateDedicatedEpsBearer (). This method takes as a parameter the Traffic Flow Template (TFT), which is a struct that identifies the type of traffic that will be mapped

to the dedicated EPS bearer. Here is an example for how to setup a dedicated bearer for an application at the UE communicating on port 1234:

```
Ptr<EpcTft> tft = Create<EpcTft> ();
EpcTft::PacketFilter pf;
pf.localPortStart = 1234;
pf.localPortEnd = 1234;
tft->Add (pf);
lteHelper->ActivateEpsBearer (ueLteDevs, EpsBearer (EpsBearer::NGBR_VIDEO_TCP_DEFAULT), pf);
```

you can of course use custom EpsBearer and EpcTft configurations, please refer to the doxygen documentation for how to do it.

Finally, you can install applications on the LTE UE nodes that communicate with remote applications over the internet. This is done following the usual ns-3 procedures. Following our simple example with a single remoteHost, here is how to setup downlink communication, with an UdpClient application on the remote host, and a PacketSink on the LTE UE (using the same variable names of the previous code snippets)

That's all! You can now start your simulation as usual:

```
Simulator::Stop (Seconds (10.0));
Simulator::Run ();
```

# 19.2.15 Network Attachment

As shown in the basic example in section *Basic simulation program*, attaching a UE to an eNodeB is done by calling LteHelper::Attach function.

There are 2 possible ways of network attachment. The first method is the "manual" one, while the second one has a more "automatic" sense on it. Each of them will be covered in this section.

# **Manual attachment**

This method uses the LteHelper::Attach function mentioned above. It has been the only available network attachment method in earlier versions of LTE module. It is typically invoked before the simulation begins:

```
lteHelper->Attach (ueDevs, enbDev); // attach one or more UEs to a single eNodeB
```

LteHelper::InstallEnbDevice and LteHelper::InstallUeDevice functions must have been called before attaching. In an EPC-enabled simulation, it is also required to have IPv4 properly pre-installed in the UE.

This method is very simple, but requires you to know exactly which UE belongs to to which eNodeB before the simulation begins. This can be difficult when the UE initial position is randomly determined by the simulation script.

One may choose the distance between the UE and the eNodeB as a criterion for selecting the appropriate cell. It is quite simple (at least from the simulator's point of view) and sometimes practical. But it is important to note that sometimes distance does not make a single correct criterion. For instance, the eNodeB antenna directivity should be considered as well. Besides that, one should also take into account the channel condition, which might be fluctuating

if there is fading or shadowing in effect. In these kind of cases, network attachment should not be based on distance alone.

In real life, UE will automatically evaluate certain criteria and select the best cell to attach to, without manual intervention from the user. Obviously this is not the case in this LteHelper::Attach function. The other network attachment method uses more "automatic" approach to network attachment, as will be described next.

# Automatic attachment using Idle mode cell selection procedure

The strength of the received signal is the standard criterion used for selecting the best cell to attach to. The use of this criterion is implemented in the *initial cell selection* process, which can be invoked by calling another version of the LteHelper::Attach function, as shown below:

```
lteHelper->Attach (ueDevs); // attach one or more UEs to a strongest cell
```

The difference with the manual method is that the destination eNodeB is not specified. The procedure will find the best cell for the UEs, based on several criteria, including the strength of the received signal (RSRP).

After the method is called, the UE will spend some time to measure the neighbouring cells, and then attempt to attach to the best one. More details can be found in section *Initial Cell Selection* of the Design Documentation.

It is important to note that this method only works in EPC-enabled simulations. LTE-only simulations must resort to manual attachment method.

# **Closed Subscriber Group**

An interesting use case of the initial cell selection process is to setup a simulation environment with Closed Subscriber Group (CSG).

For example, a certain eNodeB, typically a smaller version such as femtocell, might belong to a private owner (e.g. a household or business), allowing access only to some UEs which have been previously registered by the owner. The eNodeB and the registered UEs altogether form a CSG.

The access restriction can be simulated by "labeling" the CSG members with the same CSG ID. This is done through the attributes in both eNodeB and UE, for example using the following LteHelper functions:

```
// label the following eNodeBs with CSG identity of 1 and CSG indication enabled
lteHelper->SetEnbDeviceAttribute ("CsgId", UintegerValue (1));
lteHelper->SetEnbDeviceAttribute ("CsgIndication", BooleanValue (true));

// label one or more UEs with CSG identity of 1
lteHelper->SetUeDeviceAttribute ("CsgId", UintegerValue (1));

// install the eNodeBs and UEs
NetDeviceContainer csgEnbDevs = lteHelper->InstallEnbDevice (csgEnbNodes);
NetDeviceContainer csgUeDevs = lteHelper->InstallUeDevice (csgUeNodes);
```

Then enable the initial cell selection procedure on the UEs:

```
lteHelper->Attach (csgUeDevs);
```

This is necessary because the CSG restriction only works with automatic method of network attachment, but not in the manual method.

Note that setting the CSG indication of an eNodeB as false (the default value) will disable the restriction, i.e., any UEs can connect to this eNodeB.

# 19.2.16 Configure UE measurements

The active UE measurement configuration in a simulation is dictated by the selected so called "consumers", such as handover algorithm. Users may add their own configuration into action, and there are several ways to do so:

- 1. direct configuration in eNodeB RRC entity;
- 2. configuring existing handover algorithm; and
- 3. developing a new handover algorithm.

This section will cover the first method only. The second method is covered in *Automatic handover trigger*, while the third method is explained in length in Section *Handover algorithm* of the Design Documentation.

Direct configuration in eNodeB RRC works as follows. User begins by creating a new LteRrcSap::ReportConfigEutra instance and pass it to the LteEnbRrc::AddUeMeasReportConfig function. The function will return the measId (measurement identity) which is a unique reference of the configuration in the eNodeB instance. This function must be called before the simulation begins. The measurement configuration will be active in all UEs attached to the eNodeB throughout the duration of the simulation. During the simulation, user can capture the measurement reports produced by the UEs by listening to the existing LteEnbRrc::RecvMeasurementReport trace source.

The structure *ReportConfigEutra* is in accord with 3GPP specification. Definition of the structure and each member field can be found in Section 6.3.5 of [TS36331].

The code sample below configures Event A1 RSRP measurement to every eNodeB within the container devs:

```
LteRrcSap::ReportConfigEutra config;
config.eventId = LteRrcSap::ReportConfigEutra::EVENT_A1;
config.threshold1.choice = LteRrcSap::ThresholdEutra::THRESHOLD_RSRP;
config.threshold1.range = 41;
config.triggerQuantity = LteRrcSap::ReportConfigEutra::RSRP;
config.reportInterval = LteRrcSap::ReportConfigEutra::MS480;
std::vector<uint8_t> measIdList;
NetDeviceContainer::Iterator it;
for (it = devs.Begin (); it != devs.End (); it++)
 Ptr<NetDevice> dev = *it;
 Ptr<LteEnbNetDevice> enbDev = dev->GetObject<LteEnbNetDevice> ();
 Ptr<LteEnbRrc> enbRrc = enbDev->GetRrc ();
 uint8_t measId = enbRrc->AddUeMeasReportConfig (config);
 measIdList.push_back (measId); // remember the measId created
 enbRrc->TraceConnect ("RecvMeasurementReport",
                        "context",
                        MakeCallback (&RecvMeasurementReportCallback));
}
```

Note that thresholds are expressed as range. In the example above, the range 41 for RSRP corresponds to -100 dBm. The conversion from and to the range format is due to Section 9.1.4 and 9.1.7 of [TS36133]. The EutranMeasurementMapping class has several static functions that can be used for this purpose.

The corresponding callback function would have a definition similar as below:

```
uint16_t rnti,
LteRrcSap::MeasurementReport measReport);
```

This method will register the callback function as a consumer of UE measurements. In the case where there are more than one consumers in the simulation (e.g. handover algorithm), the measurements intended for other consumers will also be captured by this callback function. Users may utilize the the measId field, contained within the LteRrcSap::MeasurementReport argument of the callback function, to tell which measurement configuration has triggered the report.

In general, this mechanism prevents one consumer to unknowingly intervene with another consumer's reporting configuration.

Note that only the reporting configuration part (i.e. LteRrcSap::ReportConfigEutra) of the UE measurements parameter is open for consumers to configure, while the other parts are kept hidden. The intra-frequency limitation is the main motivation behind this API implementation decision:

- there is only one, unambiguous and definitive measurement object, thus there is no need to configure it;
- measurement identities are kept hidden because of the fact that there is one-to-one mapping between reporting
  configuration and measurement identity, thus a new measurement identity is set up automatically when a new
  reporting configuration is created;
- quantity configuration is configured elsewhere, see Performing measurements; and
- measurement gaps are not supported, because it is only applicable for inter-frequency settings;

# 19.2.17 X2-based handover

As defined by 3GPP, handover is a procedure for changing the serving cell of a UE in CONNECTED mode. The two eNodeBs involved in the process are typically called the *source eNodeB* and the *target eNodeB*.

In order to enable the execution of X2-based handover in simulation, there are two requirements that must be met. Firstly, EPC must be enabled in the simulation (see *Evolved Packet Core (EPC)*).

Secondly, an X2 interface must be configured between the two eNodeBs, which needs to be done explicitly within the simulation program:

```
lteHelper->AddX2Interface (enbNodes);
```

where enbNodes is a NodeContainer that contains the two eNodeBs between which the X2 interface is to be configured. If the container has more than two eNodeBs, the function will create an X2 interface between every pair of eNodeBs in the container.

Lastly, the target eNodeB must be configured as "open" to X2 HANDOVER REQUEST. Every eNodeB is open by default, so no extra instruction is needed in most cases. However, users may set the eNodeB to "closed" by setting the boolean attribute LteEnbRrc::AdmitHandoverRequest to false. As an example, you can run the lena-x2-handover program and setting the attribute in this way:

```
NS_LOG=EpcX2:LteEnbRrc ./waf --run lena-x2-handover --command="%s --ns3::LteEnbRrc::AdmitHandoverReq
```

After the above three requirements are fulfilled, the handover procedure can be triggered manually or automatically. Each will be presented in the following subsections.

# Manual handover trigger

Handover event can be triggered "manually" within the simulation program by scheduling an explicit handover event. The LteHelper object provides a convenient method for the scheduling of a handover event. As an example, let us assume that ueLteDevs is a NetDeviceContainer that contains the UE that is to be handed over, and that

enbLteDevs is another NetDeviceContainer that contains the source and the target eNB. Then, a handover at 0.1s can be scheduled like this:

Note that the UE needs to be already connected to the source eNB, otherwise the simulation will terminate with an error message.

For an example with full source code, please refer to the lena-x2-handover example program.

# Automatic handover trigger

Handover procedure can also be triggered "automatically" by the serving eNodeB of the UE. The logic behind the trigger depends on the handover algorithm currently active in the eNodeB RRC entity. Users may select and configure the handover algorithm that will be used in the simulation, which will be explained shortly in this section. Users may also opt to write their own implementation of handover algorithm, as described in Section *Handover algorithm* of the Design Documentation.

Selecting a handover algorithm is done via the LteHelper object and its SetHandoverAlgorithmType method as shown below:

```
Ptr<LteHelper> lteHelper = CreateObject<LteHelper> ();
lteHelper->SetHandoverAlgorithmType ("ns3::A2A4RsrqHandoverAlgorithm");
```

The selected handover algorithm may also provide several configurable attributes, which can be set as follows:

Three options of handover algorithm are included in the LTE module. The *A2-A4-RSRQ* handover algorithm (named as ns3::A2A4RsrqHandoverAlgorithm) is the default option, and the usage has already been shown above.

Another option is the *strongest cell* handover algorithm (named as ns3::A3RsrpHandoverAlgorithm), which can be selected and configured by the following code:

The last option is a special one, called the *no-op* handover algorithm, which basically disables automatic handover trigger. This is useful for example in cases where manual handover trigger need an exclusive control of all handover decision. It does not have any configurable attributes. The usage is as follows:

```
lteHelper->SetHandoverAlgorithmType ("ns3::NoOpHandoverAlgorithm");
```

For more information on each handover algorithm's decision policy and their attributes, please refer to their respective subsections in Section *Handover algorithm* of the Design Documentation.

Finally, the InstallEnbDevice function of LteHelper will instantiate one instance of the selected handover algorithm for each eNodeB device. In other words, make sure to select the right handover algorithm before finalizing it in the following line of code:

```
NetDeviceContainer enbLteDevs = lteHelper->InstallEnbDevice (enbNodes);
```

Example with full source code of using automatic handover trigger can be found in the lena-x2-handover-measures example program.

# **Tuning simulation with handover**

As mentioned in the Design Documentation, the current implementation of handover model may produce unpredicted behaviour when handover failure occurs. This subsection will focus on the steps that should be taken into account by users if they plan to use handover in their simulations.

The major cause of handover failure that we will tackle is the error in transmitting handover-related signaling messages during the execution of a handover procedure. As apparent from the Figure Sequence diagram of the X2-based handover from the Design Documentation, there are many of them and they use different interfaces and protocols. For the sake of simplicity, we can safely assume that the X2 interface (between the source eNodeB and the target eNodeB) and the S1 interface (between the target eNodeB and the SGW/PGW) are quite stable. Therefore we will focus our attention to the RRC protocol (between the UE and the eNodeBs) and the Random Access procedure, which are normally transmitted through the air and susceptible to degradation of channel condition.

A general tips to reduce transmission error is to *ensure high enough SINR* level in every UE. This can be done by a proper planning of the network topology that *minimizes network coverage hole*. If the topology has a known coverage hole, then the UE should be configured not to venture to that area.

Another approach to keep in mind is to *avoid too-late handovers*. In other words, handover should happen before the UE's SINR becomes too low, otherwise the UE may fail to receive the handover command from the source eNodeB. Handover algorithms have the means to control how early or late a handover decision is made. For example, A2-A4-RSRQ handover algorithm can be configured with a higher threshold to make it decide a handover earlier. Similarly, smaller hysteresis and/or shorter time-to-trigger in the strongest cell handover algorithm typically results in earlier handovers. In order to find the right values for these parameters, one of the factors that should be considered is the UE movement speed. Generally, a faster moving UE requires the handover to be executed earlier. Some research work have suggested recommended values, such as in [Lee2010].

The above tips should be enough in normal simulation uses, but in the case some special needs arise then an extreme measure can be taken into consideration. For instance, users may consider *disabling the channel error models*. This will ensure that all handover-related signaling messages will be transmitted successfully, regardless of distance and channel condition. However, it will also affect all other data or control packets not related to handover, which may be an unwanted side effect. Otherwise, it can be done as follows:

```
Config::SetDefault ("ns3::LteSpectrumPhy::CtrlErrorModelEnabled");
Config::SetDefault ("ns3::LteSpectrumPhy::DataErrorModelEnabled");
```

By using the above code, we disable the error model in both control and data channels and in both directions (downlink and uplink). This is necessary because handover-related signaling messages are transmitted using these channels. An exception is when the simulation uses the ideal RRC protocol. In this case, only the Random Access procedure is left to be considered. The procedure consists of control messages, therefore we only need to disable the control channel's error model.

## **Handover traces**

The RRC model, in particular the LteEnbRrc and LteUeRrc objects, provide some useful traces which can be hooked up to some custom functions so that they are called upon start and end of the handover execution phase at both the UE and eNB side. As an example, in your simulation program you can declare the following methods:

```
void
NotifyHandoverStartUe (std::string context,
```

```
uint64_t imsi,
                        uint16_t cellId,
                        uint16_t rnti,
                        uint16_t targetCellId)
  std::cout << Simulator::Now ().GetSeconds () << " " << context
            << " UE IMSI " << imsi
            << ": previously connected to CellId " << cellId
            << " with RNTI " << rnti
            << ", doing handover to CellId " << targetCellId
            << std::endl;
}
void
NotifyHandoverEndOkUe (std::string context,
                       uint64_t imsi,
                        uint16_t cellId,
                        uint16_t rnti)
{
  std::cout << Simulator::Now ().GetSeconds () << " " << context</pre>
            << " UE IMSI " << imsi
            << ": successful handover to CellId " << cellId
            << " with RNTI " << rnti
            << std::endl;
}
void
NotifyHandoverStartEnb (std::string context,
                        uint64_t imsi,
                         uint16_t cellId,
                         uint16_t rnti,
                         uint16_t targetCellId)
  \verb|std::cout| << Simulator::Now ().GetSeconds () << " " << context|
            << " eNB CellId " << cellId
            << ": start handover of UE with IMSI " << imsi
            << " RNTI " << rnti
            << " to CellId " << targetCellId
            << std::endl;
}
troid
NotifyHandoverEndOkEnb (std::string context,
                         uint64_t imsi,
                         uint16_t cellId,
                         uint16_t rnti)
{
  std::cout << Simulator::Now ().GetSeconds () << " " << context</pre>
            << " eNB CellId " << cellId
            << ": completed handover of UE with IMSI " << imsi
            << " RNTI " << rnti
            << std::endl;
Then, you can hook up these methods to the corresponding trace sources like this:
Config::Connect ("/NodeList/*/DeviceList/*/LteEnbRrc/HandoverStart",
                 MakeCallback (&NotifyHandoverStartEnb));
Config::Connect ("/NodeList/*/DeviceList/*/LteUeRrc/HandoverStart",
```

The example program src/lte/examples/lena-x2-handover.cc illustrates how the all above instructions can be integrated in a simulation program. You can run the program like this:

```
./waf --run lena-x2-handover
```

and it will output the messages printed by the custom handover trace hooks. In order additionally visualize some meaningful logging information, you can run the program like this:

```
NS_LOG=LteEnbRrc:LteUeRrc:EpcX2 ./waf --run lena-x2-handover
```

# 19.2.18 Examples Programs

The directory src/lte/examples/ contains some example simulation programs that show how to simulate different LTE scenarios.

# 19.2.19 Reference scenarios

There is a vast amount of reference LTE simulation scenarios which can be found in the literature. Here we list some of them:

- The system simulation scenarios mentioned in section A.2 of [TR36814].
- The dual stripe model [R4-092042], which is partially implemented in the example program src/lte/examples/lena-dual-stripe.cc. This example program features a lot of configurable parameters which can be customized by changing the corresponding global variables. To get a list of all these global variables, you can run this command:

```
./waf --run lena-dual-stripe --command-template="%s --PrintGlobals"
```

The following subsection presents an example of running a simulation campaign using this example program.

# Handover simulation campaign

In this subsection, we will demonstrate an example of running a simulation campaign using the LTE module of *ns-3*. The objective of the campaign is to compare the effect of each built-in handover algorithm of the LTE module.

The campaign will use the lena-dual-stripe example program. First, we have to modify the example program to produce the output that we need. In this occassion, we want to produce the number of handovers, user average throughput, and average SINR.

The number of handovers can be obtained by counting the number of times the *HandoverEndOk Handover traces* is fired. Then the user average throughput can be obtained by enabling the RLC *Simulation Output*. Finally, SINR can be obtained by enabling the PHY simulation output. The following sample code snippet shows one possible way to obtain the above:

Then we have to configure the parameters of the program to suit our simulation needs. We are looking for the following assumptions in our simulation:

- 7 sites of tri-sectored macro eNodeBs (i.e. 21 macrocells) deployed in hexagonal layout with 500 m inter-site distance.
- Although lena-dual-stripe is originally intended for a two-tier (macrocell and femtocell) simulation, we will simplify our simulation to one-tier (macrocell) simulation only.
- UEs are randomly distributed around the sites and attach to the network automatically using Idle mode cell selection. After that, UE will roam the simulation environment with 60 kmph movement speed.
- 50 seconds simulation duration, so UEs would have traveled far enough to trigger some handovers.
- 46 dBm macrocell Tx power and 10 dBm UE Tx power.
- EPC mode will be used because the X2 handover procedure requires it to be enabled.
- Full-buffer downlink and uplink traffic, both in 5 MHz bandwidth, using TCP protocol and Proportional Fair scheduler.
- Ideal RRC protocol.

Table *lena-dual-stripe parameter configuration for handover campaign* below shows how we configure the parameters of lena-dual-stripe to achieve the above assumptions.

Table 19.2: lena-dual-stripe parameter configuration for handover campaign			
Parameter name	Value	Description	
simTime	50	50 seconds simulation duration	
nBlocks	0	Disabling apartment buildings and femtocells	
nMacroEnbSites	7	Number of macrocell sites (each site has 3 cells)	
nMacroEnbSitesX	2	The macrocell sites will be positioned in a 2-3-2 formation	
interSiteDistance	500	500 m distance between adjacent macrocell sites	
macroEnbTxPowerDbm	46	46 dBm Tx power for each macrocell	
epc	1	Enable EPC mode	
epcDl	1	Enable full-buffer DL traffic	
epcUl	1	Enable full-buffer UL traffic	
useUdp	0	Disable UDP traffic and enable TCP instead	
macroUeDensity	0.00002	Determines number of UEs (translates to 48 UEs in our simulation)	
outdoorUeMinSpeed	16.6667	Minimum UE movement speed in m/s (60 kmph)	
outdoorUeMaxSpeed	16.6667	Maximum UE movement speed in m/s (60 kmph)	
macroEnbBandwidth	25	5 MHz DL and UL bandwidth	
generateRem	1	(Optional) For plotting the Radio Environment Map	

Table 19.2: lena-dual-stripe parameter configuration for handover campaign

Some of the required assumptions are not available as parameters of lena-dual-stripe. In this case, we override the default attributes, as shown in Table *Overriding default attributes for handover campaign* below.

Table 19.3: Overriding default attributes for handover campaign

Default value name	Value	Description
ns3::LteHelper::HandoverAlgo	rithm:NoOpHandoverAlgorithm,	Choice of handover
	ns3::A3RsrpHandoverAlgorithm, or	algorithm
	ns3::A2A4RsrqHandoverAlgorithm	
ns3::LteHelper::Scheduler	ns3::PfFfMacScheduler	Proportional Fair
		scheduler
ns3::LteHelper::UseIdealRrc	1	Ideal RRC protocol
ns3::RadioBearerStatsCalculat	or≲ <i>I</i> DHRIe <b>DiRpufFäls∴nx</b> me	File name for DL RLC
		trace output
ns3::RadioBearerStatsCalculat	or≈ <i>kill</i> Rle <b>OlRpuSFäls.nx</b> me	File name for UL RLC
		trace output
ns3::PhyStatsCalculator::DlRs	rp&inrFileDkRnepSinrStats.txt	File name for DL PHY
		RSRP/SINR trace output
ns3::PhyStatsCalculator::UlSin	nrFü <b>lena</b> mElSinrStats.txt	File name for UL PHY
		SINR trace output

*ns-3* provides many ways for passing configuration values into a simulation. In this example, we will use the command line arguments. It is basically done by appending the parameters and their values to the waf call when starting each individual simulation. So the waf calls for invoking our 3 simulations would look as below:

```
--simTime=50 --nBlocks=0 --nMacroEnbSites=7 --nMacroEnbSitesX=2
 --epc=1 --useUdp=0 --outdoorUeMinSpeed=16.6667 --outdoorUeMaxSpeed=16.6667
 --ns3::LteHelper::HandoverAlgorithm=ns3::A3RsrpHandoverAlgorithm
 --ns3::RadioBearerStatsCalculator::DlRlcOutputFilename=a3-rsrp-DlRlcStats.txt
 --ns3::RadioBearerStatsCalculator::UlRlcOutputFilename=a3-rsrp-UlRlcStats.txt
 --ns3::PhyStatsCalculator::DlRsrpSinrFilename=a3-rsrp-DlRsrpSinrStats.txt
  --ns3::PhyStatsCalculator::UlSinrFilename=a3-rsrp-UlSinrStats.txt
  --RngRun=1" > a3-rsrp.txt
$ ./waf --run="lena-dual-stripe
  --simTime=50 --nBlocks=0 --nMacroEnbSites=7 --nMacroEnbSitesX=2
 --epc=1 --useUdp=0 --outdoorUeMinSpeed=16.6667 --outdoorUeMaxSpeed=16.6667
 --ns3::LteHelper::HandoverAlgorithm=ns3::A2A4RsrqHandoverAlgorithm
 --ns3::RadioBearerStatsCalculator::DlRlcOutputFilename=a2-a4-rsrq-DlRlcStats.txt
 --ns3:: Radio Bearer Stats Calculator:: UlRlc Output Filename = a 2-a 4-rsrq-UlRlc Stats.txt
 --ns3::PhyStatsCalculator::DlRsrpSinrFilename=a2-a4-rsrq-DlRsrpSinrStats.txt
 --ns3::PhyStatsCalculator::UlSinrFilename=a2-a4-rsrq-UlSinrStats.txt
 --RngRun=1" > a2-a4-rsrq.txt
```

#### Some notes on the execution:

- Notice that some arguments are not specified because they are already the same as the default values. We also keep the handover algorithms on each own default settings.
- Note the file names of simulation output, e.g. RLC traces and PHY traces, because we have to make sure that
  they are not overwritten by the next simulation run. In this example, we specify the names one by one using the
  command line arguments.
- The --RngRun=1 argument at the end is used for setting the run number used by the random number generator used in the simulation. We re-run the same simulations with different *RngRun* values, hence creating several independent replications of the same simulations. Then we average the results obtained from these replications to achieve some statistical confidence.
- We can add a --generateRem=1 argument to generate the files necessary for generating the Radio Environment Map (REM) of the simulation. The result is Figure *REM obtained from a simulation in handover campaign* below, which can be produced by following the steps described in Section *Radio Environment Maps*. This figure also shows the position of eNodeBs and UEs at the beginning of a simulation using RngRun = 1. Other values of *RngRun* may produce different UE position.

After hours of running, the simulation campaign will eventually end. Next we will perform some post-processing on the produced simulation output to obtain meaningful information out of it.

In this example, we use GNU Octave to assist the processing of throughput and SINR data, as demonstrated in a sample GNU Octave script below:

```
% RxBytes is the 10th column
DlRxBytes = load ("no-op-DlRlcStats.txt") (:,10);
DlAverageThroughputKbps = sum (DlRxBytes) * 8 / 1000 / 50
% RxBytes is the 10th column
UlRxBytes = load ("no-op-UlRlcStats.txt") (:,10);
UlAverageThroughputKbps = sum (UlRxBytes) * 8 / 1000 / 50
% Sinr is the 6th column
DlSinr = load ("no-op-DlRsrpSinrStats.txt") (:,6);
% eliminate NaN values
idx = isnan (DlSinr);
DlSinr (idx) = 0;
DlAverageSinrDb = 10 * log10 (mean (DlSinr)) % convert to dB
```

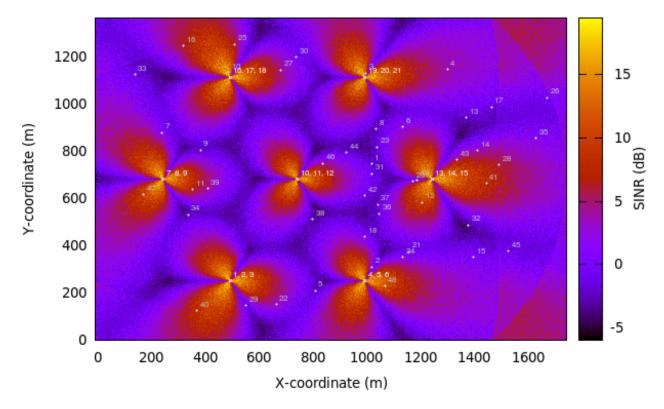


Figure 19.50: REM obtained from a simulation in handover campaign

```
% Sinr is the 5th column
UlSinr = load ("no-op-UlSinrStats.txt") (:,5);
% eliminate NaN values
idx = isnan (UlSinr);
UlSinr (idx) = 0;
UlAverageSinrDb = 10 * log10 (mean (UlSinr)) % convert to dB
```

As for the number of handovers, we can use simple shell scripting to count the number of occurrences of string "Handover" in the log file:

```
$ grep "Handover" no-op.txt | wc -1
```

Table *Results of handover campaign* below shows the complete statistics after we are done with post-processing on every individual simulation run. The values shown are the average of the results obtained from RngRun of 1, 2, 3, and 4.

Table	19.4. IXCSUITS	or mandover campai	ıgıı
Statistics	No-op	A2-A4-RSRQ	Strongest cell
Average DL system throughput	6 615 kbps	20 509 kbps	19 709 kbps
Average UL system throughput	4 095 kbps	5 705 kbps	6 627 kbps
Average DL SINR	-0.10 dB	5.19 dB	5.24 dB
Average UL SINR	9.54 dB	81.57 dB	79.65 dB
Number of handovers per UE per second	0	0.05694	0.04771

Table 19.4: Results of handover campaign

The results show that having a handover algorithm in a mobility simulation improves both user throughput and SINR significantly. There is little difference between the two handover algorithms in this campaign scenario. It would be interesting to see their performance in different scenarios, such as scenarios with home eNodeBs deployment.

# 19.3 Testing Documentation

# 19.3.1 Overview

To test and validate the ns-3 LTE module, several test suites are provided which are integrated with the ns-3 test framework. To run them, you need to have configured the build of the simulator in this way:

```
$ ./waf configure --enable-tests --enable-modules=lte --enable-examples
$ ./test.py
```

The above will run not only the test suites belonging to the LTE module, but also those belonging to all the other ns-3 modules on which the LTE module depends. See the ns-3 manual for generic information on the testing framework.

You can get a more detailed report in HTML format in this way:

```
$ ./test.py -w results.html
```

After the above command has run, you can view the detailed result for each test by opening the file results.html with a web browser.

You can run each test suite separately using this command:

```
$ ./test.py -s test-suite-name
```

For more details about test.py and the ns-3 testing framework, please refer to the ns-3 manual.

# 19.3.2 Description of the test suites

#### **Unit Tests**

### SINR calculation in the Downlink

The test suite <code>lte-downlink-sinr</code> checks that the SINR calculation in downlink is performed correctly. The SINR in the downlink is calculated for each RB assigned to data transmissions by dividing the power of the intended signal from the considered eNB by the sum of the noise power plus all the transmissions on the same RB coming from other eNBs (the interference signals):

$$\gamma = \frac{P_{\text{signal}}}{P_{\text{noise}} + \sum P_{\text{interference}}}$$

In general, different signals can be active during different periods of time. We define a *chunk* as the time interval between any two events of type either start or end of a waveform. In other words, a chunk identifies a time interval during which the set of active waveforms does not change. Let i be the generic chunk,  $T_i$  its duration and  $SINR_i$  its SINR, calculated with the above equation. The calculation of the average SINR  $\overline{\gamma}$  to be used for CQI feedback reporting uses the following formula:

$$\overline{\gamma} = \frac{\sum_{i} \gamma_i T_i}{\sum_{i} T_i}$$

The test suite checks that the above calculation is performed correctly in the simulator. The test vectors are obtained offline by an Octave script that implements the above equation, and that recreates a number of random transmitted signals and interference signals that mimic a scenario where an UE is trying to decode a signal from an eNB while facing interference from other eNBs. The test passes if the calculated values are equal to the test vector within a tolerance of  $10^{-7}$ . The tolerance is meant to account for the approximation errors typical of floating point arithmetic.

### SINR calculation in the Uplink

The test suite <code>lte-uplink-sinr</code> checks that the SINR calculation in uplink is performed correctly. This test suite is identical to <code>lte-downlink-sinr</code> described in the previous section, with the difference than both the signal and the interference now refer to transmissions by the UEs, and reception is performed by the eNB. This test suite recreates a number of random transmitted signals and interference signals to mimic a scenario where an eNB is trying to decode the signal from several UEs simultaneously (the ones in the cell of the eNB) while facing interference from other UEs (the ones belonging to other cells).

The test vectors are obtained by a dedicated Octave script. The test passes if the calculated values are equal to the test vector within a tolerance of  $10^{-7}$  which, as for the downlink SINR test, deals with floating point arithmetic approximation issues.

## E-UTRA Absolute Radio Frequency Channel Number (EARFCN)

The test suite lte-earfon checks that the carrier frequency used by the LteSpectrumValueHelper class (which implements the LTE spectrum model) is done in compliance with [TS36101], where the E-UTRA Absolute Radio Frequency Channel Number (EARFCN) is defined. The test vector for this test suite comprises a set of EARFCN values and the corresponding carrier frequency calculated by hand following the specification of [TS36101]. The test passes if the carrier frequency returned by LteSpectrumValueHelper is the same as the known value for each element in the test vector.

## **System Tests**

### **Adaptive Modulation and Coding Tests**

The test suite <code>lte-link-adaptation</code> provides system tests recreating a scenario with a single eNB and a single UE. Different test cases are created corresponding to different SNR values perceived by the UE. The aim of the test is to check that in each test case the chosen MCS corresponds to some known reference values. These reference values are obtained by re-implementing in Octave (see <code>src/lte/test/reference/lte\_amc.m</code>) the model described in Section <code>Adaptive Modulation</code> and <code>Coding</code> for the calculation of the spectral efficiency, and determining the corresponding MCS index by manually looking up the tables in <code>[R1-081483]</code>. The resulting test vector is represented in Figure <code>Test vector for Adaptive Modulation and Coding</code>.

The MCS which is used by the simulator is measured by obtaining the tracing output produced by the scheduler after 4ms (this is needed to account for the initial delay in CQI reporting). The SINR which is calcualted by the simulator is also obtained using the LteSinrChunkProcessor interface. The test passes if both the following conditions are satisfied:

- 1. the SINR calculated by the simulator correspond to the SNR of the test vector within an absolute tolerance of  $10^{-7}$ ;
- 2. the MCS index used by the simulator exactly corresponds to the one in the test vector.

## **Inter-cell Interference Tests**

The test suite *lte-interference* provides system tests recreating an inter-cell interference scenario with two eNBs, each having a single UE attached to it and employing Adaptive Modulation and Coding both in the downlink and in the uplink. The topology of the scenario is depicted in Figure *Topology for the inter-cell interference test*. The  $d_1$  parameter represents the distance of each UE to the eNB it is attached to, whereas the  $d_2$  parameter represent the interferer distance. We note that the scenario topology is such that the interferer distance is the same for uplink and downlink; still, the actual interference power perceived will be different, because of the different propagation loss in the uplink and downlink bands. Different test cases are obtained by varying the  $d_1$  and  $d_2$  parameters.

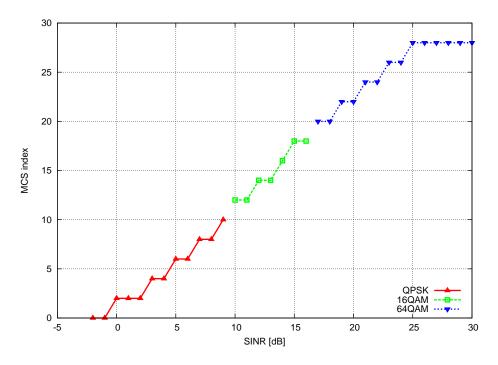


Figure 19.51: Test vector for Adaptive Modulation and Coding

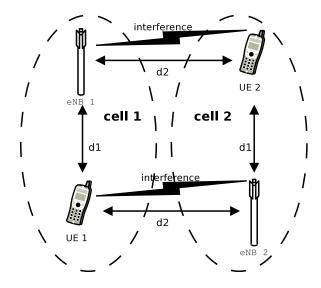


Figure 19.52: Topology for the inter-cell interference test

The test vectors are obtained by use of a dedicated octave script (available in src/lte/test/reference/lte\_link\_budget\_interference.m), which does the link budget calculations (including interference) corresponding to the topology of each test case, and outputs the resulting SINR and spectral efficiency. The latter is then used to determine (using the same procedure adopted for Adaptive Modulation and Coding Tests. We note that the test vector contains separate values for uplink and downlink.

#### **UE Measurements Tests**

The test suite *lte-ue-measurements* provides system tests recreating an inter-cell interference scenario identical of the one defined for *lte-interference* test-suite. However, in this test the quantities to be tested are represented by RSRP and RSRQ measurements performed by the UE in two different points of the stack: the source, which is UE PHY layer, and the destination, that is the eNB RRC.

The test vectors are obtained by the use of a dedicated octave script (available in *src/lte/test/reference/lte-ue-measurements.m*), which does the link budget calculations (including interference) corresponding to the topology of each test case, and outputs the resulting RSRP and RSRQ. The obtained values are then used for checking the correctness of the UE Measurements at PHY layer. After that, they have to be converted according to 3GPP formatting for the purpose of checking their correctness at eNB RRC level.

## **UE** measurement configuration tests

Besides the previously mentioned test suite, there are 3 other test suites for testing UE measurements: *lte-ue-measurements-piecewise-1*, *lte-ue-measurements-piecewise-2*, and *lte-ue-measurements-handover*. These test suites are more focused on the reporting trigger procedure, i.e. the correctness of the implementation of the event-based triggering criteria is verified here.

In more specific, the tests verify the *timing* and the *content* of each measurement reports received by eNodeB. Each test case is an stand-alone LTE simulation and the test case will pass if measurement report(s) only occurs at the prescribed time and shows the correct level of RSRP (RSRQ is not verified at the moment).

**Piecewise configuration** The piecewise configuration aims to test a particular UE measurements configuration. The simulation script will setup the corresponding measurements configuration to the UE, which will be active throughout the simulation.

Since the reference values are precalculated by hands, several assumptions are made to simplify the simulation. Firstly, the channel is only affected by path loss model (in this case, Friis model is used). Secondly, the ideal RRC protocol is used, and layer 3 filtering is disabled. Finally, the UE moves in a predefined motion pattern between 4 distinct spots, as depicted in Figure *UE movement trace throughout the simulation in piecewise configuration* below. Therefore the fluctuation of the measured RSRP can be determined more easily.

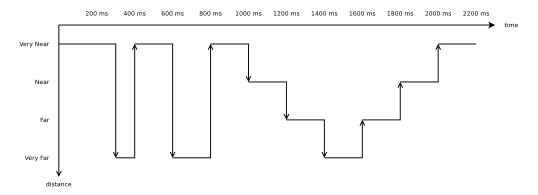


Figure 19.53: UE movement trace throughout the simulation in piecewise configuration

The motivation behind the "teleport" between the predefined spots is to introduce drastic change of RSRP level, which will guarantee the triggering of entering or leaving condition of the tested event. By performing drastic changes, the test can be run within shorter amount of time.

Figure Measured RSRP trace of an example Event A1 test case in piecewise configuration below shows the measured RSRP after layer 1 filtering by the PHY layer during the simulation with a piecewise configuration. Because layer 3 filtering is disabled, these are the exact values used by the UE RRC instance to evaluate reporting trigger procedure. Notice that the values are refreshed every 200 ms, which is the default filtering period of PHY layer measurements report. The figure also shows the time when entering and leaving conditions of an example instance of Event A1 (serving cell becomes better than threshold) occur during the simulation.

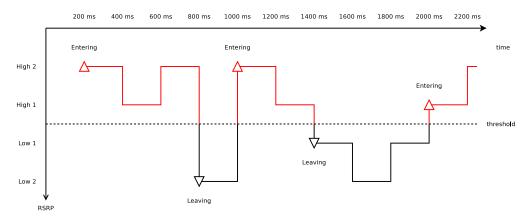


Figure 19.54: Measured RSRP trace of an example Event A1 test case in piecewise configuration

Each reporting criterion is tested several times with different threshold/offset parameters. Some test scenarios also take hysteresis and time-to-trigger into account. Figure *Measured RSRP trace of an example Event A1 with hysteresis test case in piecewise configuration* depicts the effect of hysteresis in another example of Event A1 test.

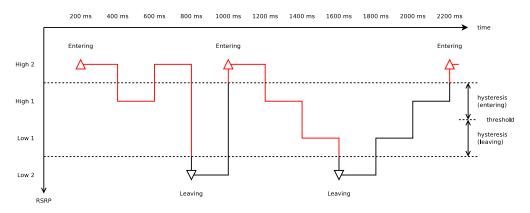


Figure 19.55: Measured RSRP trace of an example Event A1 with hysteresis test case in piecewise configuration

Piecewise configuration is used in two test suites of UE measurements. The first one is *lte-ue-measurements-piecewise-1*, henceforth Piecewise test #1, which simulates 1 UE and 1 eNodeB. The other one is *lte-ue-measurements-piecewise-2*, which has 1 UE and 2 eNodeBs in the simulation.

Piecewise test #1 is intended to test the event-based criteria which are not dependent on the existence of a neighbouring cell. These criteria include Event A1 and A2. The other events are also briefly tested to verify that they are still working correctly (albeit not reporting anything) in the absence of any neighbouring cell. Table *UE measurements test scenarios using piecewise configuration #1* below lists the scenarios tested in piecewise test #1.

Table 19.5: UE measurements test scenarios using piecewise configuration #1

Test #	Reporting Criteria	Threshold/Offset	Hysteresis	Time-to-Trigger
1	Event A1	Low	No	No
2	Event A1	Normal	No	No
3	Event A1	Normal	No	Short
4	Event A1	Normal	No	Long
5	Event A1	Normal	No	Super
6	Event A1	Normal	Yes	No
7	Event A1	High	No	No
8	Event A2	Low	No	No
9	Event A2	Normal	No	No
10	Event A2	Normal	No	Short
11	Event A2	Normal	No	Long
12	Event A2	Normal	No	Super
13	Event A2	Normal	Yes	No
14	Event A2	High	No	No
15	Event A3	Zero	No	No
16	Event A4	Normal	No	No
17	Event A5	Normal-Normal	No	No

Other events such as Event A3, A4, and A5 depend on measurements of neighbouring cell, so they are more thoroughly tested in Piecewise test #2. The simulation places the nodes on a straight line and instruct the UE to "jump" in a similar manner as in Piecewise test #1. Handover is disabled in the simulation, so the role of serving and neighbouring cells do not switch during the simulation. Table *UE measurements test scenarios using piecewise configuration #2* below lists the scenarios tested in Piecewise test #2.

Table 19.6: UE measurements test scenarios using piecewise configuration #2

Test #	Reporting Criteria	Threshold/Offset	Hysteresis	Time-to-Trigger
1	Event A1	Low	No	No
2	Event A1	Normal	No	No
3	Event A1	Normal	Yes	No
4	Event A1	High	No	No
5	Event A2	Low	No	No
6	Event A2	Normal	No	No
7	Event A2	Normal	Yes	No
8	Event A2	High	No	No
9	Event A3	Positive	No	No
10	Event A3	Zero	No	No
11	Event A3	Zero	No	Short
12	Event A3	Zero	No	Super
13	Event A3	Zero	Yes	No
14	Event A3	Negative	No	No
15	Event A4	Low	No	No
16	Event A4	Normal	No	No
17	Event A4	Normal	No	Short
18	Event A4	Normal	No	Super
19	Event A4	Normal	Yes	No
20	Event A4	High	No	No
21	Event A5	Low-Low	No	No
			Con	tinued on next page

Table 19.6 – continued from previous page

Test #	Reporting Criteria	Threshold/Offset	Hysteresis	Time-to-Trigger
22	Event A5	Low-Normal	No	No
23	Event A5	Low-High	No	No
24	Event A5	Normal-Low	No	No
25	Event A5	Normal-Normal	No	No
26	Event A5	Normal-Normal	No	Short
27	Event A5	Normal-Normal	No	Super
28	Event A5	Normal-Normal	Yes	No
29	Event A5	Normal-High	No	No
30	Event A5	High-Low	No	No
31	Event A5	High-Normal	No	No
32	Event A5	High-High	No	No

One note about the tests with time-to-trigger, they are tested using 3 different values of time-to-trigger: *short* (shorter than report interval), *long* (shorter than the filter measurement period of 200 ms), and *super* (longer than 200 ms). The first two ensure that time-to-trigger evaluation always use the latest measurement reports received from PHY layer. While the last one is responsible for verifying time-to-trigger cancellation, for example when a measurement report from PHY shows that the entering/leaving condition is no longer true before the first trigger is fired.

**Handover configuration** The purpose of the handover configuration is to verify whether UE measurement configuration is updated properly after a successful handover takes place. For this purpose, the simulation will construct 2 eNodeBs with different UE measurement configuration, and the UE will perform handover from one cell to another. The UE will be located on a straight line between the 2 eNodeBs, and the handover will be invoked manually. The duration of each simulation is 2 seconds (except the last test case) and the handover is triggered exactly at halfway of simulation.

The *lte-ue-measurements-handover* test suite covers various types of configuration differences. The first one is the difference in report interval, e.g. the first eNodeB is configured with 480 ms report interval, while the second eNodeB is configured with 240 ms report interval. Therefore, when the UE performed handover to the second cell, the new report interval must take effect. As in piecewise configuration, the timing and the content of each measurement report received by the eNodeB will be verified.

Other types of differences covered by the test suite are differences in event and differences in threshold/offset. Table *UE measurements test scenarios using handover configuration* below lists the tested scenarios.

Test #	Test Subject	Initial Configuration	Post-Handover Configuration
1	Report interval	480 ms	240 ms
2	Report interval	120 ms	640 ms
3	Event	Event A1	Event A2
4	Event	Event A2	Event A1
5	Event	Event A3	Event A4
6	Event	Event A4	Event A3
7	Event	Event A2	Event A3
8	Event	Event A3	Event A2
9	Event	Event A4	Event A5
10	Event	Event A5	Event A4
11	Threshold/offset	RSRP range 52 (Event A1)	RSRP range 56 (Event A1)
12	Threshold/offset	RSRP range 52 (Event A2)	RSRP range 56 (Event A2)
13	Threshold/offset	A3 offset -30 (Event A3)	A3 offset +30 (Event A3)
14	Threshold/offset	RSRP range 52 (Event A4)	RSRP range 56 (Event A4)
15	Threshold/offset	RSRP range 52-52 (Event A5)	RSRP range 56-56 (Event A5)
16	Time-to-trigger	1024 ms	100 ms
17	Time-to-trigger	1024 ms	640 ms

Table 19.7: UE measurements test scenarios using handover configuration

### Round Robin scheduler performance

The test suite lte-rr-ff-mac-scheduler creates different test cases with a single eNB and several UEs, all having the same Radio Bearer specification. In each test case, the UEs see the same SINR from the eNB; different test cases are implemented by using different distance among UEs and the eNB (i.e., therefore having different SINR values) and different numbers of UEs. The test consists on checking that the obtained throughput performance is equal among users and matches a reference throughput value obtained according to the SINR perceived within a given tolerance.

The test vector is obtained according to the values of transport block size reported in table 7.1.7.2.1-1 of [TS36213], considering an equal distribution of the physical resource block among the users using Resource Allocation Type 0 as defined in Section 7.1.6.1 of [TS36213]. Let  $\tau$  be the TTI duration, N be the number of UEs, B the transmission bandwidth configuration in number of RBs, G the RBG size, M the modulation and coding scheme in use at the given SINR and S(M,B) be the transport block size in bits as defined by 3GPP TS 36.213. We first calculate the number L of RBGs allocated to each user as

$$L = \left\lfloor \frac{B}{NG} \right\rfloor$$

The reference throughput T in bit/s achieved by each UE is then calculated as

$$T = \frac{S(M, LG)}{8 \tau}$$

The test passes if the measured throughput matches with the reference throughput within a relative tolerance of 0.1. This tolerance is needed to account for the transient behavior at the beginning of the simulation (e.g., CQI feedback is only available after a few subframes) as well as for the accuracy of the estimator of the average throughput performance over the chosen simulation time (0.4s). This choice of the simulation time is justified by the need to follow the ns-3 guidelines of keeping the total execution time of the test suite low, in spite of the high number of test cases. In any case, we note that a lower value of the tolerance can be used when longer simulations are run.

In Figure fig-lenaThrTestCase1, the curves labeled "RR" represent the test values calculated for the RR scheduler test, as a function of the number of UEs and of the MCS index being used in each test case.

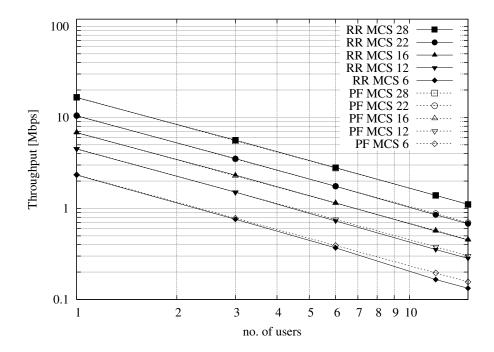


Figure 19.56: Test vectors for the RR and PF Scheduler in the downlink in a scenario where all UEs use the same MCS.

## **Proportional Fair scheduler performance**

The test suite <code>lte-pf-ff-mac-scheduler</code> creates different test cases with a single eNB, using the Proportional Fair (PF) scheduler, and several UEs, all having the same Radio Bearer specification. The test cases are grouped in two categories in order to evaluate the performance both in terms of the adaptation to the channel condition and from a fairness perspective.

In the first category of test cases, the UEs are all placed at the same distance from the eNB, and hence all placed in order to have the same SINR. Different test cases are implemented by using a different SINR value and a different number of UEs. The test consists on checking that the obtained throughput performance matches with the known reference throughput up to a given tolerance. The expected behavior of the PF scheduler when all UEs have the same SNR is that each UE should get an equal fraction of the throughput obtainable by a single UE when using all the resources. We calculate the reference throughput value by dividing the throughput achievable by a single UE at the given SNR by the total number of UEs. Let  $\tau$  be the TTI duration, B the transmission bandwidth configuration in number of RBs, M the modulation and coding scheme in use at the given SINR and S(M,B) be the transport block size as defined in [TS36213]. The reference throughput T in bit/s achieved by each UE is calculated as

$$T = \frac{S(M, B)}{\tau N}$$

The curves labeled "PF" in Figure fig-lenaThrTestCase1 represent the test values calculated for the PF scheduler tests of the first category, that we just described.

The second category of tests aims at verifying the fairness of the PF scheduler in a more realistic simulation scenario where the UEs have a different SINR (constant for the whole simulation). In these conditions, the PF scheduler will give to each user a share of the system bandwidth that is proportional to the capacity achievable by a single user alone considered its SINR. In detail, let  $M_i$  be the modulation and coding scheme being used by each UE (which is a deterministic function of the SINR of the UE, and is hence known in this scenario). Based on the MCS, we determine the achievable rate  $R_i$  for each user i using the procedure described in Section~ref{sec:pfs}. We then define the

achievable rate ratio  $\rho_{R,i}$  of each user i as

$$\rho_{R,i} = \frac{R_i}{\sum_{j=1}^{N} R_j}$$

Let now  $T_i$  be the throughput actually achieved by the UE i, which is obtained as part of the simulation output. We define the obtained throughput ratio  $\rho_{T,i}$  of UE i as

$$\rho_{T,i} = \frac{T_i}{\sum_{j=1}^{N} T_j}$$

The test consists of checking that the following condition is verified:

$$\rho_{R,i} = \rho_{T,i}$$

if so, it means that the throughput obtained by each UE over the whole simulation matches with the steady-state throughput expected by the PF scheduler according to the theory. This test can be derived from [Holtzman2000] as follows. From Section 3 of [Holtzman2000], we know that

$$\frac{T_i}{R_i} = c, \, \forall i$$

where c is a constant. By substituting the above into the definition of  $\rho_{T,i}$  given previously, we get

$$\begin{split} \frac{T_i}{\sum_{j=1}^{N} T_j} &= \frac{cR_i}{\sum_{j=1}^{N} cR_j} \\ &= \frac{cR_i}{c\sum_{j=1}^{N} R_j} \\ &= \frac{R_i}{\sum_{j=1}^{N} R_j} \end{split}$$

which is exactly the expression being used in the test.

Figure Throughput ratio evaluation for the PF scheduler in a scenario where the UEs have MCS index presents the results obtained in a test case with UEs  $i=1,\ldots,5$  that are located at a distance from the base station such that they will use respectively the MCS index 28,24,16,12,6. From the figure, we note that, as expected, the obtained throughput is proportional to the achievable rate. In other words, the PF scheduler assign more resources to the users that use a higher MCS index.

## Maximum Throughput scheduler performance

Test suites <code>lte-fdmt-ff-mac-scheduler</code> and <code>lte-tdmt-ff-mac-scheduler</code> create different test cases with a single eNB and several UEs, all having the same Radio Bearer specification, using the Frequency Domain Maximum Throughput (FDMT) scheduler and Time Domain Maximum Throughput (TDMT) scheduler respectively. In other words, UEs are all placed at the same distance from the eNB, and hence all placed in order to have the same SNR. Different test cases are implemented by using a different SNR values and a different number of UEs. The test consists on checking that the obtained throughput performance matches with the known reference throughput up to a given tolerance. The expected behavior of both FDMT and TDMT scheduler when all UEs have the same SNR is

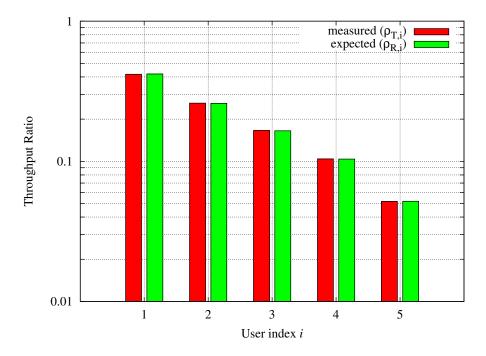


Figure 19.57: Throughput ratio evaluation for the PF scheduler in a scenario where the UEs have MCS index 28, 24, 16, 12, 6

that scheduler allocates all RBGs to the first UE defined in script. This is because the current FDMT and TDMT implementation always select the first UE to serve when there are multiple UEs having the same SNR value. We calculate the reference throughput value for first UE by the throughput achievable of a single UE at the given SNR, while reference throughput value for other UEs by zero. Let  $\tau$  be the TTI duration, B the transmission bandwidth configuration in number of RBs, M the modulation and coding scheme in use at the given SNR and S(M,B) be the transport block size as defined in [TS36213]. The reference throughput T in bit/s achieved by each UE is calculated as

$$T = \frac{S(M, B)}{\tau}$$

## Throughput to Average scheduler performance

Test suites lte-tta-ff-mac-scheduler create different test cases with a single eNB and several UEs, all having the same Radio Bearer specification using TTA scheduler. Network topology and configurations in TTA test case are as the same as the test for MT scheduler. More complex test case needs to be developed to show the fairness feature of TTA scheduler.

# Blind Average Throughput scheduler performance

Test suites lte-tdbet-ff-mac-scheduler and lte-fdbet-ff-mac-scheduler create different test cases with a single eNB and several UEs, all having the same Radio Bearer specification.

In the first test case of lte-tdbet-ff-mac-scheduler and lte-fdbet-ff-mac-scheduler, the UEs are all placed at the same distance from the eNB, and hence all placed in order to have the same SNR. Different test cases are implemented by using a different SNR value and a different number of UEs. The test consists on checking that the obtained throughput performance matches with the known reference throughput up to a given tolerance. In long term,

the expected behavior of both TD-BET and FD-BET when all UEs have the same SNR is that each UE should get an equal throughput. However, the exact throughput value of TD-BET and FD-BET in this test case is not the same.

When all UEs have the same SNR, TD-BET can be seen as a specific case of PF where achievable rate equals to 1. Therefore, the throughput obtained by TD-BET is equal to that of PF. On the other hand, FD-BET performs very similar to the round robin (RR) scheduler in case of that all UEs have the same SNR and the number of UE( or RBG) is an integer multiple of the number of RBG( or UE). In this case, FD-BET always allocate the same number of RBGs to each UE. For example, if eNB has 12 RBGs and there are 6 UEs, then each UE will get 2 RBGs in each TTI. Or if eNB has 12 RBGs and there are 24 UEs, then each UE will get 2 RBGs per two TTIs. When the number of UE (RBG) is not an integer multiple of the number of RBG (UE), FD-BET will not follow the RR behavior because it will assigned different number of RBGs to some UEs, while the throughput of each UE is still the same.

The second category of tests aims at verifying the fairness of the both TD-BET and FD-BET schedulers in a more realistic simulation scenario where the UEs have a different SNR (constant for the whole simulation). In this case, both scheduler should give the same amount of averaged throughput to each user.

Specifically, for TD-BET, let  $F_i$  be the fraction of time allocated to user i in total simulation time,  $R_i^{fb}$  be the the full bandwidth achievable rate for user i and  $T_i$  be the achieved throughput of user i. Then we have:

$$T_i = F_i R_i^{fb}$$

In TD-BET, the sum of  $F_i$  for all user equals one. In long term, all UE has the same  $T_i$  so that we replace  $T_i$  by T. Then we have:

$$T = \frac{1}{\sum_{i=1}^{N} \frac{1}{R_i^{fb}}}$$

### **Token Band Fair Queue scheduler performance**

Test suites <code>lte-fdtbfq-ff-mac-scheduler</code> and <code>lte-tdtbfq-ff-mac-scheduler</code> create different test cases for testing three key features of TBFQ scheduler: traffic policing, fairness and traffic balance. Constant Bit Rate UDP traffic is used in both downlink and uplink in all test cases. The packet interval is set to 1ms to keep the RLC buffer non-empty. Different traffic rate is achieved by setting different packet size. Specifically, two classes of flows are created in the testsuites:

- Homogeneous flow: flows with the same token generation rate and packet arrival rate
- Heterogeneous flow: flows with different packet arrival rate, but with the same token generation rate

In test case 1 verifies traffic policing and fairness features for the scenario that all UEs are placed at the same distance from the eNB. In this case, all Ues have the same SNR value. Different test cases are implemented by using a different SNR value and a different number of UEs. Because each flow have the same traffic rate and token generation rate, TBFQ scheduler will guarantee the same throughput among UEs without the constraint of token generation rate. In addition, the exact value of UE throughput is depended on the total traffic rate:

- If total traffic rate <= maximum throughput, UE throughput = traffic rate
- If total traffic rate > maximum throughput, UE throughput = maximum throughput / N

Here, N is the number of UE connected to eNodeB. The maximum throughput in this case equals to the rate that all RBGs are assigned to one UE(e.g., when distance equals 0, maximum throughput is 2196000 byte/sec). When the traffic rate is smaller than max bandwidth, TBFQ can police the traffic by token generation rate so that the UE throughput equals its actual traffic rate (token generation rate is set to traffic generation rate); On the other hand, when total traffic rate is bigger than the max throughput, eNodeB cannot forward all traffic to UEs. Therefore, in each TTI, TBFQ will allocate all RBGs to one UE due to the large packets buffered in RLC buffer. When a UE is scheduled in current TTI, its token counter is decreased so that it will not be scheduled in the next TTI. Because each UE has the same traffic generation rate, TBFQ will serve each UE in turn and only serve one UE in each TTI (both in TD

TBFQ and FD TBFQ). Therefore, the UE throughput in the second condition equals to the evenly share of maximum throughput.

Test case 2 verifies traffic policing and fairness features for the scenario that each UE is placed at the different distance from the eNB. In this case, each UE has the different SNR value. Similar to test case 1, UE throughput in test case 2 is also depended on the total traffic rate but with a different maximum throughput. Suppose all UEs have a high traffic load. Then the traffic will saturate the RLC buffer in eNodeB. In each TTI, after selecting one UE with highest metric, TBFQ will allocate all RBGs to this UE due to the large RLC buffer size. On the other hand, once RLC buffer is saturated, the total throughput of all UEs cannot increase any more. In addition, as we discussed in test case 1, for homogeneous flows which have the same t\_i and r\_i, each UE will achieve the same throughput in long term. Therefore, we can use the same method in TD BET to calculate the maximum throughput:

$$T = \frac{N}{\sum_{i=1}^{N} \frac{1}{R_i^{fb}}}$$

Here, T is the maximum throughput.  $R_i^{fb}$  be the full bandwidth achievable rate for user i. N is the number of UE.

When the total traffic rate is bigger than T, the UE throughput equals to  $\frac{T}{N}$ . Otherwise, UE throughput equals to its traffic generation rate.

In test case 3, three flows with different traffic rate are created. Token generation rate for each flow is the same and equals to the average traffic rate of three flows. Because TBFQ use a shared token bank, tokens contributed by UE with lower traffic load can be utilized by UE with higher traffic load. In this way, TBFQ can guarantee the traffic rate for each flow. Although we use heterogeneous flow here, the calculation of maximum throughput is as same as that in test case 2. In calculation max throughput of test case 2, we assume that all UEs suffer high traffic load so that scheduler always assign all RBGs to one UE in each TTI. This assumes is also true in heterogeneous flow case. In other words, whether those flows have the same traffic rate and token generation rate, if their traffic rate is bigger enough, TBFQ performs as same as it in test case 2. Therefore, the maximum bandwidth in test case 3 is as same as it in test case 2.

In test case 3, in some flows, token generate rate does not equal to MBR, although all flows are CBR traffic. This is not accorded with our parameter setting rules. Actually, the traffic balance feature is used in VBR traffic. Because different UE's peak rate may occur in different time, TBFQ use shared token bank to balance the traffic among those VBR traffics. Test case 3 use CBR traffic to verify this feature. But in the real simulation, it is recommended to set token generation rate to MBR.

### **Priority Set scheduler performance**

Test suites lte-pss-ff-mac-scheduler create different test cases with a single eNB and several UEs. In all test cases, we select PFsch in FD scheduler. Same testing results can also be obtained by using CoItA scheduler. In addition, all test cases do not define nMux so that TD scheduler in PSS will always select half of total UE.

In the first class test case of lte-pss-ff-mac-scheduler, the UEs are all placed at the same distance from the eNB, and hence all placed in order to have the same SNR. Different test cases are implemented by using a different TBR for each UEs. In each test cases, all UEs have the same Target Bit Rate configured by GBR in EPS bear setting. The expected behavior of PSS is to guarantee that each UE's throughput at least equals its TBR if the total flow rate is blow maximum throughput. Similar to TBFQ, the maximum throughput in this case equals to the rate that all RBGs are assigned to one UE. When the traffic rate is smaller than max bandwidth, the UE throughput equals its actual traffic rate; On the other hand, UE throughput equals to the evenly share of the maximum throughput.

In the first class of test cases, each UE has the same SNR. Therefore, the priority metric in PF scheduler will be determined by past average throughput  $T_j(t)$  because each UE has the same achievable throughput  $R_j(k,t)$  in PFsch or same CoI[k,n] in CoItA. This means that PSS will performs like a TD-BET which allocates all RBGs to one UE in each TTI. Then the maximum value of UE throughput equals to the achievable rate that all RBGs are allocated to this UE.

In the second class of test case of lte-pss-ff-mac-scheduler, the UEs are all placed at the same distance from the eNB, and hence all placed in order to have the same SNR. Different TBR values are assigned to each UE.

There also exist an maximum throughput in this case. Once total traffic rate is bigger than this threshold, there will be some UEs that cannot achieve their TBR. Because there is no fading, subband CQIs for each RBGs frequency are the same. Therefore, in FD scheduler, in each TTI, priority metrics of UE for all RBGs are the same. This means that FD scheduler will always allocate all RBGs to one user. Therefore, in the maximum throughput case, PSS performs like a TD-BET. Then we have:

$$T = \frac{N}{\sum_{i=1}^{N} \frac{1}{R_i^{fb}}}$$

Here, T is the maximum throughput.  $R_i^{fb}$  be the full bandwidth achievable rate for user i. N is the number of UE.

### Channel and QoS aware scheduler performance

The performance of the Channel and QoS aware scheduler can be tested in the similar way to performance of Priority Set scheduler when GBR flows are not delay sensitive by measuring if the achieved throughput at RLC layer is close to the TBR. Having this in mind, the performance of the CQA scheduler is tested by using the same test cases as the lte-pss-ff-mac-scheduler. Additionally, in [Bbojovic2014] can be found performance evaluation of CQA scheduler when the GBR flows are delay sensitive by considering different QoE metrics.

## **Building Propagation Loss Model**

The aim of the system test is to verify the integration of the BuildingPathlossModel with the lte module. The test exploits a set of three pre calculated losses for generating the expected SINR at the receiver counting the transmission and the noise powers. These SINR values are compared with the results obtained from a LTE simulation that uses the BuildingPathlossModel. The reference loss values are calculated off-line with an Octave script (/test/reference/lte\_pathloss.m). Each test case passes if the reference loss value is equal to the value calculated by the simulator within a tolerance of 0.001 dB, which accouns for numerical errors in the calculations.

## **Physical Error Model**

The test suite lte-phy-error-model generates different test cases for evaluating both data and control error models. For what concern the data, the test consists of six test cases with single eNB and a various number of UEs, all having the same Radio Bearer specification. Each test is designed for evaluating the error rate perceived by a specific TB size in order to verify that it corresponds to the expected values according to the BLER generated for CB size analog to the TB size. This means that, for instance, the test will check that the performance of a TB of N bits is analogous to the one of a CB size of N bits by collecting the performance of a user which has been forced the generation of a such TB size according to the distance to eNB. In order to significantly test the BLER at MAC level, we configured the Adaptive Modulation and Coding (AMC) module, the lteAmc class, for making it less robust to channel conditions by using the PiroEW2010 AMC model and configuring it to select the MCS considering a target BER of 0.03 (instead of the default value of 0.00005). We note that these values do not reflect the actual BER, since they come from an analytical bound which does not consider all the transmission chain aspects; therefore the BER and BLER actually experienced at the reception of a TB is in general different.

The parameters of the six test cases are reported in the following:

- 1. 4 UEs placed 1800 meters far from the eNB, which implies the use of MCS 2 (SINR of -5.51 dB) and a TB of 256 bits, that in turns produce a BLER of 0.33 (see point A in figure *BLER for tests 1, 2, 3.*).
- 2. 2 UEs placed 1800 meters far from the eNB, which implies the use of MCS 2 (SINR of -5.51 dB) and a TB of 528 bits, that in turns produce a BLER of 0.11 (see point B in figure *BLER for tests 1, 2, 3.*).
- 3. 1 UE placed 1800 meters far from the eNB, which implies the use of MCS 2 (SINR of -5.51 dB) and a TB of 1088 bits, that in turns produce a BLER of 0.02 (see point C in figure *BLER for tests 1, 2, 3.*).

- 4. 1 UE placed 600 meters far from the eNB, which implies the use of MCS 12 (SINR of 4.43 dB) and a TB of 4800 bits, that in turns produce a BLER of 0.3 (see point D in figure *BLER for tests 4, 5.*).
- 5. 3 UEs placed 600 meters far from the eNB, which implies the use of MCS 12 (SINR of 4.43 dB) and a TB of 1632 bits, that in turns produce a BLER of 0.55 (see point E in figure *BLER for tests 4, 5.*).
- 6. 1 UE placed 470 meters far from the eNB, which implies the use of MCS 16 (SINR of 8.48 dB) and a TB of 7272 bits (segmented in 2 CBs of 3648 and 3584 bits), that in turns produce a BLER of 0.14, since each CB has CBLER equal to 0.075 (see point F in figure *BLER for test 6.*).

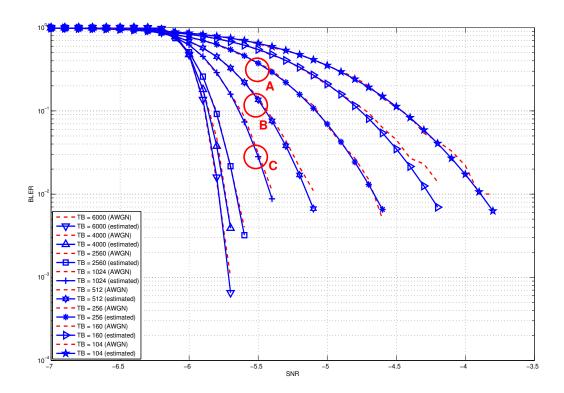


Figure 19.58: BLER for tests 1, 2, 3.

The test condition verifies that in each test case the expected number of packets received correctly corresponds to a Bernoulli distribution with a confidence interval of 99%, where the probability of success in each trail is p = 1 - BER and n is the total number of packets sent.

The error model of PCFICH-PDCCH channels consists of 4 test cases with a single UE and several eNBs, where the UE is connected to only one eNB in order to have the remaining acting as interfering ones. The errors on data are disabled in order to verify only the ones due to erroneous decodification of PCFICH-PDCCH. As before, the system has been forced on working in a less conservative fashion in the AMC module for appreciating the results in border situations. The parameters of the 4 tests cases are reported in the following:

- 1. 2 eNBs placed 1078 meters far from the UE, which implies a SINR of -2.00 dB and a TB of 217 bits, that in turns produce a BLER of 0.007.
- 2. 3 eNBs placed 1040 meters far from the UE, which implies a SINR of -4.00 dB and a TB of 217 bits, that in turns produce a BLER of 0.045.
- 3. 4 eNBs placed 1250 meters far from the UE, which implies a SINR of -6.00 dB and a TB of 133 bits, that in turns produce a BLER of 0.206.

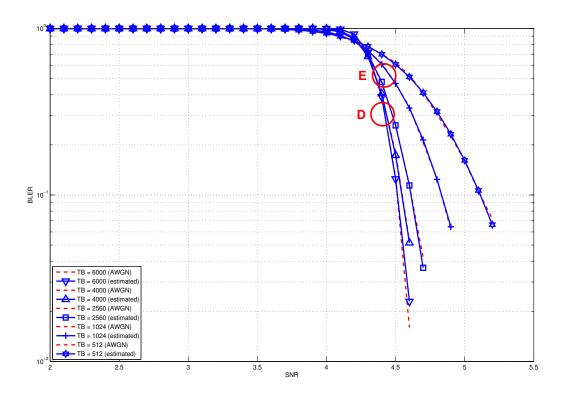


Figure 19.59: BLER for tests 4, 5.

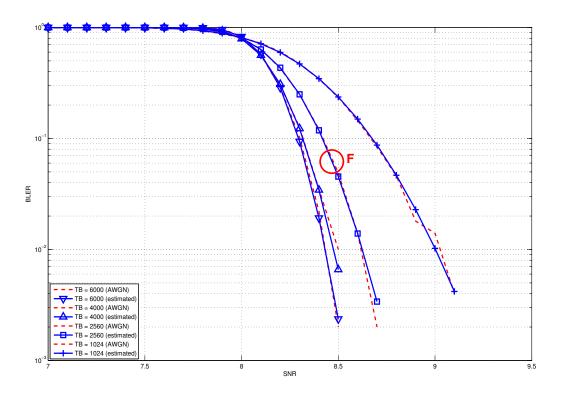


Figure 19.60: BLER for test 6.

4. 5 eNBs placed 1260 meters far from the UE, which implies a SINR of -7.00 dB and a TB of 81 bits, that in turns produce a BLER of 0.343.

The test condition verifies that in each test case the expected number of packets received correct corresponds to a Bernoulli distribution with a confidence interval of 99.8%, where the probability of success in each trail is p = 1 - BER and n is the total number of packet sent. The larger confidence interval is due to the errors that might be produced in quantizing the MI and the error curve.

#### **HARQ Model**

The test suite lte-harq includes two tests for evaluating the HARQ model and the related extension in the error model. The test consists on checking whether the amount of bytes received during the simulation corresponds to the expected ones according to the values of transport block and the HARQ dynamics. In detail, the test checks whether the throughput obtained after one HARQ retransmission is the expected one. For evaluating the expected throughput the expected TB delivering time has been evaluated according to the following formula:

$$T = P_s^1 \times 1 + P_s^2 \times 2 + (1 - P_s^2) \times 3$$

where  $P_s^i$  is the probability of receiving with success the HARQ block at the attempt i (i.e., the RV with 3GPP naming). According to the scenarios, in the test we always have  $P_s^1$  equal to 0.0, while  $P_s^2$  varies in the two tests, in detail:

$$T_{test-1} = 0.0 \times 1 + 0.926 \times 2 + 0.074 \times 3 = 2.074$$
 
$$T_{test-2} = 0.0 \times 1 + 0.752 \times 2 + 0.248 \times 3 = 2.248$$

The expected throughput is calculted by counting the number of transmission slots available during the simulation (e.g., the number of TTIs) and the size of the TB in the simulation, in detail:

$${\rm Thr_{test-i}} = \frac{TTI_{NUM}}{T_{test-i}}TB_{size} = \begin{cases} &\frac{1000}{2.074}66 = 31822 \ {\rm bps} & {\rm for \ test-1} \\ &\frac{1000}{2.248}472 = 209964 \ {\rm bps} & {\rm for \ test-2} \end{cases}$$

where  $TTI_{NUM}$  is the total number of TTIs in 1 second. The test is performed both for Round Robin scheduler. The test passes if the measured throughput matches with the reference throughput within a relative tolerance of 0.1. This tolerance is needed to account for the transient behavior at the beginning of the simulation and the on-fly blocks at the end of the simulation.

## **MIMO Model**

The test suite lte-mimo aims at verifying both the effect of the gain considered for each Transmission Mode on the system performance and the Transmission Mode switching through the scheduler interface. The test consists on checking whether the amount of bytes received during a certain window of time (0.1 seconds in our case) corresponds to the expected ones according to the values of transport block size reported in table 7.1.7.2.1-1 of [TS36213], similarly to what done for the tests of the schedulers.

The test is performed both for Round Robin and Proportional Fair schedulers. The test passes if the measured throughput matches with the reference throughput within a relative tolerance of 0.1. This tolerance is needed to account for the transient behavior at the beginning of the simulation and the transition phase between the Transmission Modes.

## **Antenna Model integration**

The test suite *lte-antenna* checks that the AntennaModel integrated with the LTE model works correctly. This test suite recreates a simulation scenario with one eNB node at coordinates (0,0,0) and one UE node at coordinates (x,y,0).

The eNB node is configured with an CosineAntennaModel having given orientation and beamwidth. The UE instead uses the default IsotropicAntennaModel. The test checks that the received power both in uplink and downlink account for the correct value of the antenna gain, which is determined offline; this is implemented by comparing the uplink and downlink SINR and checking that both match with the reference value up to a tolerance of  $10^{-6}$  which accounts for numerical errors. Different test cases are provided by varying the x and y coordinates of the UE, and the beamwidth and the orientation of the antenna of the eNB.

#### **RLC**

Two test suites <code>lte-rlc-um-transmitter</code> and <code>lte-rlc-am-transmitter</code> check that the UM RLC and the AM RLC implementation work correctly. Both these suites work by testing RLC instances connected to special test entities that play the role of the MAC and of the PDCP, implementing respectively the LteMacSapProvider and LteRlcSapUser interfaces. Different test cases (i.e., input test vector consisting of series of primitive calls by the MAC and the PDCP) are provided that check the behavior in the following cases:

- one SDU, one PDU: the MAC notifies a TX opportunity causes the creation of a PDU which exactly contains a whole SDU
- 2. segmentation: the MAC notifies multiple TX opportunities that are smaller than the SDU size stored in the transmission buffer, which is then to be fragmented and hence multiple PDUs are generated;
- 3. concatenation: the MAC notifies a TX opportunity that is bigger than the SDU, hence multiple SDUs are concatenated in the same PDU
- 4. buffer status report: a series of new SDUs notifications by the PDCP is inteleaved with a series of TX opportunity notification in order to verify that the buffer status report procedure is correct.

In all these cases, an output test vector is determine manually from knowledge of the input test vector and knowledge of the expected behavior. These test vector are specialized for UM RLC and AM RLC due to their different behavior. Each test case passes if the sequence of primitives triggered by the RLC instance being tested is exacly equal to the output test vector. In particular, for each PDU transmitted by the RLC instance, both the size and the content of the PDU are verified to check for an exact match with the test vector.

## **RRC**

The test suite lte-rrc tests the correct functionality of the following aspects:

- 1. MAC Random Access
- 2. RRC System Information Acquisition
- 3. RRC Connection Establishment
- 4. RRC Reconfiguration

The test suite considers a type of scenario with a single eNB and multiple UEs that are instructed to connect to the eNB. Each test case implement an instance of this scenario with specific values of the following parameters:

- · number of UEs
- number of Data Radio Bearers to be activated for each UE
- time  $t_0^c$  at which the first UE is instructed to start connecting to the eNB
- time interval  $d^i$  between the start of connection of UE n and UE n+1; the time at which user n connects is thus determined as  $t_n^c = t_0^c + nd^i$  sdf
- a boolean flag indicating whether the ideal or the real RRC protocol model is used

Each test cases passes if a number of test conditions are positively evaluated for each UE after a delay  $d^e$  from the time it started connecting to the eNB. The delay  $d^e$  is determined as

$$d^e = d^{si} + d^{ra} + d^{ce} + d^{cr}$$

where:

- $d^{si}$  is the max delay necessary for the acquisition of System Information. We set it to 90ms accounting for 10ms for the MIB acquisition and 80ms for the subsequent SIB2 acquisition
- $d^{ra}$  is the delay for the MAC Random Access (RA) procedure. This depends on preamble collisions as well as on the availability of resources for the UL grant allocation. The total amount of necessary RA attempts depends on preamble collisions and failures to allocate the UL grant because of lack of resources. The number of collisions depends on the number of UEs that try to access simultaneously; we estimated that for a 0.99 RA success probability, 5 attempts are sufficient for up to 20 UEs, and 10 attempts for up to 50 UEs. For the UL grant, considered the system bandwidth and the default MCS used for the UL grant (MCS 0), at most 4 UL grants can be assigned in a TTI; so for n UEs trying to do RA simultaneously the max number of attempts due to the UL grant issue is  $\lceil n/4 \rceil$ . The time for a RA attempt is determined by 3ms + the value of LteEnbMac::RaResponseWindowSize, which defaults to 3ms, plus 1ms for the scheduling of the new transmission.
- $d^{ce}$  is the delay required for the transmission of RRC CONNECTION SETUP + RRC CONNECTION SETUP COMPLETED. We consider a round trip delay of 10ms plus  $\lceil 2n/4 \rceil$  considering that 2 RRC packets have to be transmitted and that at most 4 such packets can be transmitted per TTI.
- $d^{cr}$  is the delay required for eventually needed RRC CONNECTION RECONFIGURATION transactions. The number of transactions needed is 1 for each bearer activation. Similarly to what done for  $d^{ce}$ , for each transaction we consider a round trip delay of 10ms plus  $\lceil 2n/4 \rceil$ . delay of 20ms.

The conditions that are evaluated for a test case to pass are, for each UE:

- the eNB has the context of the UE (identified by the RNTI value retrieved from the UE RRC)
- the RRC state of the UE at the eNB is CONNECTED\_NORMALLY
- the RRC state at the UE is CONNECTED NORMALLY
- the UE is configured with the CellId, DlBandwidth, UlBandwidth, DlEarfcn and UlEarfcn of the eNB
- the IMSI of the UE stored at the eNB is correct
- the number of active Data Radio Bearers is the expected one, both at the eNB and at the UE
- for each Data Radio Bearer, the following identifiers match between the UE and the eNB: EPS bearer id, DRB id, LCID

#### Initial cell selection

The test suite *lte-cell-selection* is responsible for verifying the *Initial Cell Selection* procedure. The test is a simulation of a small network of 2 non-CSG cells and 2 non-CSG cells. Several static UEs are then placed at predefined locations. The UEs enter the simulation without being attached to any cell. Initial cell selection is enabled for these UEs, so each UE will find the best cell and attach to it by themselves.

At predefined check points time during the simulation, the test verifies that every UE is attached to the right cell. Moreover, the test also ensures that the UE is properly connected, i.e., its final state is *CONNECTED\_NORMALLY*. Figure *Sample result of cell selection test* depicts the network layout and the expected result. When a UE is depicted as having 2 successful cell selections (e.g., UE #3 and #4), any of them is accepted by the test case.

The figure shows that CSG members may attach to either CSG or non-CSG cells, and simply choose the stronger one. On the other hand, non-members can only attach to non-CSG cells, even when they are actually receiving stronger signal from a CSG cell.

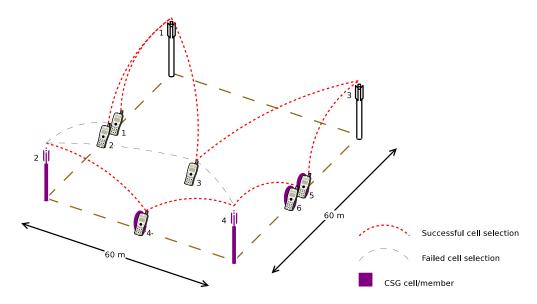


Figure 19.61: Sample result of cell selection test

For reference purpose, Table *UE error rate in Initial Cell Selection test* shows the error rate of each UE when receiving transmission from the control channel. Based on this information, the check point time for UE #3 is done at a later time than the others to compensate for its higher risk of failure.

Table 19.8: UE error rate in Initial Cell Selection test

UE#	Error rate
1	0.00%
2	1.44%
3	12.39%
4	0.33%
5	0.00%
6	0.00%

The test uses the default Friis path loss model and without any channel fading model.

#### **GTP-U** protocol

The unit test suite epc-gtpu checks that the encoding and decoding of the GTP-U header is done correctly. The test fills in a header with a set of known values, adds the header to a packet, and then removes the header from the packet. The test fails if, upon removing, any of the fields in the GTP-U header is not decoded correctly. This is detected by comparing the decoded value from the known value.

## S1-U interface

Two test suites (epc-slu-uplink and epc-slu-downlink) make sure that the S1-U interface implementation works correctly in isolation. This is achieved by creating a set of simulation scenarios where the EPC model alone is used, without the LTE model (i.e., without the LTE radio protocol stack, which is replaced by simple CSMA devices). This checks that the interoperation between multiple EpcEnbApplication instances in multiple eNBs and the EpcSgwPgwApplication instance in the SGW/PGW node works correctly in a variety of scenarios, with varying numbers of end users (nodes with a CSMA device installed), eNBs, and different traffic patterns (packet sizes and number of total packets). Each test case works by injecting the chosen traffic pattern in the network (at the considered

UE or at the remote host for in the uplink or the downlink test suite respectively) and checking that at the receiver (the remote host or each considered UE, respectively) that exactly the same traffic patterns is received. If any mismatch in the transmitted and received traffic pattern is detected for any UE, the test fails.

#### **TFT** classifier

The test suite epc-tft-classifier checks in isolation that the behavior of the EpcTftClassifier class is correct. This is performed by creating different classifier instances where different TFT instances are activated, and testing for each classifier that an heterogeneous set of packets (including IP and TCP/UDP headers) is classified correctly. Several test cases are provided that check the different matching aspects of a TFT (e.g. local/remote IP address, local/remote port) both for uplink and downlink traffic. Each test case corresponds to a specific packet and a specific classifier instance with a given set of TFTs. The test case passes if the bearer identifier returned by the classifier exactly matches with the one that is expected for the considered packet.

## **End-to-end LTE-EPC data plane functionality**

The test suite lte-epc-e2e-data ensures the correct end-to-end functionality of the LTE-EPC data plane. For each test case in this suite, a complete LTE-EPC simulation scenario is created with the following characteristics:

- a given number of eNBs
- for each eNB, a given number of UEs
- for each UE, a given number of active EPS bearers
- for each active EPS bearer, a given traffic pattern (number of UDP packets to be transmitted and packet size)

Each test is executed by transmitting the given traffic pattern both in the uplink and in the downlink, at subsequent time intervals. The test passes if all the following conditions are satisfied:

- for each active EPS bearer, the transmitted and received traffic pattern (respectively at the UE and the remote host for uplink, and vice versa for downlink) is exactly the same
- for each active EPS bearer and each direction (uplink or downlink), exactly the expected number of packet flows over the corresponding RadioBearer instance

#### X2 handover

The test suite lte-x2-handover checks the correct functionality of the X2 handover procedure. The scenario being tested is a topology with two eNBs connected by an X2 interface. Each test case is a particular instance of this scenario defined by the following parameters:

- the number of UEs that are initially attached to the first eNB
- the number of EPS bearers activated for each UE
- a list of handover events to be triggered, where each event is defined by: + the start time of the handover trigger + the index of the UE doing the handover + the index of the source eNB + the index of the target eNB
- a boolean flag indicating whether the target eNB admits the handover or not
- a boolean flag indicating whether the ideal RRC protocol is to be used instead of the real RRC protocol
- the type of scheduler to be used (RR or PF)

Each test case passes if the following conditions are true:

• at time 0.06s, the test CheckConnected verifies that each UE is connected to the first eNB

- for each event in the handover list:
  - at the indicated event start time, the indicated UE is connected to the indicated source eNB
  - 0.1s after the start time, the indicated UE is connected to the indicated target eNB
  - 0.6s after the start time, for each active EPS bearer, the uplink and downlink sink applications of the indicated UE have achieved a number of bytes which is at least half the number of bytes transmitted by the corresponding source applications

The condition "UE is connected to eNB" is evaluated positively if and only if all the following conditions are met:

- the eNB has the context of the UE (identified by the RNTI value retrieved from the UE RRC)
- the RRC state of the UE at the eNB is CONNECTED\_NORMALLY
- the RRC state at the UE is CONNECTED\_NORMALLY
- the UE is configured with the CellId, DlBandwidth, UlBandwidth, DlEarfcn and UlEarfcn of the eNB
- the IMSI of the UE stored at the eNB is correct
- the number of active Data Radio Bearers is the expected one, both at the eNB and at the UE
- for each Data Radio Bearer, the following identifiers match between the UE and the eNB: EPS bearer id, DRB id. LCID

#### **Automatic X2 handover**

The test suite lte-x2-handover-measures checks the correct functionality of the handover algorithm. The scenario being tested is a topology with two, three or four eNBs connected by an X2 interface. The eNBs are located in a straight line in the X-axes. A UE moves along the X-axes going from the neighbourhood of one eNB to the next eNB. Each test case is a particular instance of this scenario defined by the following parameters:

- the number of eNBs in the X-axes
- the number of UEs
- the number of EPS bearers activated for the UE
- a list of check point events to be triggered, where each event is defined by: + the time of the first check point event + the time of the last check point event + interval time between two check point events + the index of the UE doing the handover + the index of the eNB where the UE must be connected
- a boolean flag indicating whether UDP traffic is to be used instead of TCP traffic
- the type of scheduler to be used
- the type of handover algorithm to be used
- a boolean flag indicating whether handover is admitted by default
- a boolean flag indicating whether the ideal RRC protocol is to be used instead of the real RRC protocol

The test suite consists of many test cases. In fact, it has been one of the most time-consuming test suite in ns-3. The test cases run with *some* combination of the following variable parameters:

- number of eNBs: 2, 3, 4;
- number of EPS bearers: 0, 1, 2;
- RRC: ideal, real (see RRC protocol models);
- MAC scheduler: round robin, proportional fair (see The FemtoForum MAC Scheduler Interface); and
- handover algorithm: A2-A4-RSRQ, strongest cell (see *Handover algorithm*).

Each test case passes if the following conditions are true:

- at time 0.08s, the test CheckConnected verifies that each UE is connected to the first eNB
- for each event in the check point list:
  - at the indicated check point time, the indicated UE is connected to the indicated eNB
  - 0.5s after the check point, for each active EPS bearer, the uplink and downlink sink applications of the UE
    have achieved a number of bytes which is at least half the number of bytes transmitted by the corresponding
    source applications

The condition "UE is connected to eNB" is evaluated positively if and only if all the following conditions are met:

- the eNB has the context of the UE (identified by the RNTI value retrieved from the UE RRC)
- the RRC state of the UE at the eNB is CONNECTED\_NORMALLY
- the RRC state at the UE is CONNECTED\_NORMALLY
- the UE is configured with the CellId, DlBandwidth, UlBandwidth, DlEarfcn and UlEarfcn of the eNB
- the IMSI of the UE stored at the eNB is correct
- the number of active Data Radio Bearers is the expected one, both at the eNB and at the UE
- for each Data Radio Bearer, the following identifiers match between the UE and the eNB: EPS bearer id, DRB id, LCID

#### Handover delays

Handover procedure consists of several message exchanges between UE, source eNodeB, and target eNodeB over both RRC protocol and X2 interface. Test suite lte-handover-delay verifies that this procedure consistently spends the same amount of time.

The test suite will run several handover test cases. Eact test case is an individual simulation featuring a handover at a specified time in simulation. For example, the handover in the first test case is invoked at time +0.100s, while in the second test case it is at +0.101s. There are 10 test cases, each testing a different subframe in LTE. Thus the last test case has the handover at +0.109s.

The simulation scenario in the test cases is as follow:

- · EPC is enabled
- 2 eNodeBs with circular (isotropic) antenna, separated by 1000 meters
- 1 static UE positioned exactly in the center between the eNodeBs
- · no application installed
- · no channel fading
- default path loss model (Friis)
- 0.300s simulation duration

The test case runs as follow. At the beginning of the simulation, the UE is attached to the first eNodeB. Then at the time specified by the test case input argument, a handover request will be explicitly issued to the second eNodeB. The test case will then record the starting time, wait until the handover is completed, and then record the completion time. If the difference between the completion time and starting time is less than a predefined threshold, then the test passes.

A typical handover in the current ns-3 implementation takes 4.2141 ms when using Ideal RRC protocol model, and 19.9283 ms when using Real RRC protocol model. Accordingly, the test cases use 5 ms and 20 ms as the maximum

threshold values. The test suite runs 10 test cases with Ideal RRC protocol model and 10 test cases with Real RRC protocol model. More information regarding these models can be found in Section RRC protocol models.

The motivation behind using subframes as the main test parameters is the fact that subframe index is one of the factors for calculating RA-RNTI, which is used by Random Access during the handover procedure. The test cases verify this computation, utilizing the fact that the handover will be delayed when this computation is broken. In the default simulation configuration, the handover delay observed because of a broken RA-RNTI computation is typically 6 ms.

## Selection of target cell in handover algorithm

eNodeB may utilize *Handover algorithm* to automatically create handover decisions during simulation. The decision includes the UE which should do the handover and the target cell where the UE should perform handover to.

The test suite lte-handover-target verifies that the handover algorithm is making the right decision, in particular, in choosing the right target cell. It consists of several short test cases for different network topology (2×2 grid and 3×2 grid) and types of handover algorithm (the A2-A4-RSRQ handover algorithm and the strongest cell handover algorithm).

Each test case is a simulation of a micro-cell environment with the following parameter:

- · EPC is enabled
- several circular (isotropic antenna) micro-cell eNodeBs in a rectangular grid layout, with 130 m distance between each adjacent point
- 1 static UE, positioned close to and attached to the source cell
- · no control channel error model
- no application installed
- · no channel fading
- default path loss model (Friis)
- 1s simulation duration

To trigger a handover, the test case "shutdowns" the source cell at +0.5s simulation time. Figure *lte-handover-target test scenario in a*  $2 \times 2$  *grid* below illustrates the process. This is done by setting the source cell's Tx power to a very low value. As a result, the handover algorithm notices that the UE deserves a handover and several neighbouring cells become candidates of target cell at the same time.

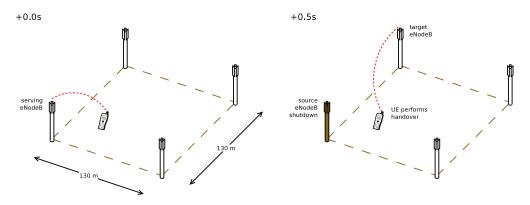


Figure 19.62: lte-handover-target test scenario in a 2x2 grid

The test case then verifies that the handover algorithm, when faced with more than one options of target cells, is able to choose the right one.

## 19.4 Profiling Documentation

## 19.4.1 Overview and objectives

The main objective of the profiling carried out is to assess the simulator performance on a broad set of scenarios. This evaluation provides reference values for simulation running times and memory consumption figures. It also helps to identify potential performance improvements and to check for scalability problems when increasing the number of eNodeB and UEs attached to those.

In the following sections, a detailed description of the general profiling framework employed to perform the study is introduced. It also includes details on the main performed tests and its results evaluation.

## 19.4.2 Framework description

## **Simulation scripts**

The simulation script used for all the E-UTRAN results showed in this documentation is located at src/lte/examples/lena-profiling.cc. It uses the complete PHY and MAC UE/eNodeB implementation with a simplified RLC implementation on top. This script generates a squared grid topology, placing a eNodeB at the centre of each square. UEs attached to this node are scattered randomly across the square (using a random uniform distribution along X and Y axis). If BuildingPropagationModel is used, the squares are replaced by rooms. To generate the UL and DL traffic, the RLC implementation always report data to be transfered.

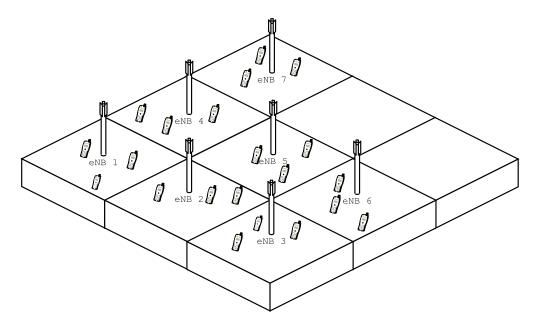


Figure 19.63: E-UTRAN

For the EPC results, the script is src/lte/examples/lena-simple-epc.cc. It uses a complete E-UTRAN implementation (PHY+MAC+RLC/UM+PDCP) and the most relevant EPC user plane entities the PGW and SGW, including GTP-U tunneling. This script generates a given number of eNodeBs, distributed across a line and attaches a single UE to every eNodeB. It also creates an EPC network and an external host connected to it through the Internet. Each UE sends and receives data to and from the remote host. In addition, each UE is also sending data to the UE camped in the adjacent eNodeB.

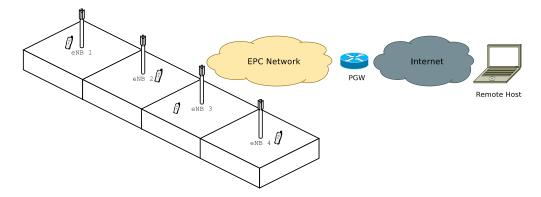


Figure 19.64: Propagation Model

RLC and MAC traces are enabled for all UEs and all eNodeBs and those traces are written to disk directly. The MAC scheduler used is *round robin*.

## Simulation input parameters

The lena-profiling simulation script accepts the following input parameters:

- simTime: time to simulate (in seconds)
- nUe: number of UEs attached to each eNodeB
- nEnb: number of eNodeB composing the grid per floor
- nFloors: number of floors, 0 for *Friis propagation model* (no walls), 1 or greater for *Building propagation model* generating a nFloors-storey building.
- traceDirectory: destination directory where simulation traces will be stored

The lena-simple-epc script accepts those other parameters:

- simTime: time to simulate (in seconds)
- numberOfNodes: number of eNodeB + UE pairs created

#### Time measurement

Running time is measured using default Linux shell command time. This command counts how much user time the execution of a program takes.

#### Perl script

To simplify the process of running the profiling script for a wide range of values and collecting its timing data, a simple Perl script to automate the complete process is provided. It is placed in src/lte/test/lte-test-run-time.pl for *lena-profiling* and in src/lte/epc-test-run-time.pl for *lena-simple-epc*. It simply runs a batch of simulations with a range of parameters and stores the timing results in a CSV file called *lteTimes.csv* and *epcTimes.csv* respectively. The range of values each parameter sweeps can be modified editing the corresponding script.

#### Requirements

The following Perl modules are required to use the provided script, all of them available from CPAN:

- IO::CaptureOutput
- Statistics::Descriptive

For installing the modules, simply use the follwing command:

```
perl -MCPAN -e 'install moduleName'
```

## **Plotting results**

To plot the results obtained from running the Perl scripts, two gnuplot scripts are provided, in src/lte/test/lte-test-run-plot and src/lte/test/epc-test-run-plot. Most of the plots available in this documentation can be reproduced with those, typing the commands gnuplot < src/lte/test/lte-test-run-plot and gnuplot < src/lte/test/epc-test-run-plot.

## Reference software and equipment

All timing tests had been run in a Intel Pentium IV 3.00 GHz machine with 512 Mb of RAM memory running Fedora Core 10 with a 2.6.27.41-170.2.117 kernel, storing the traces directly to the hard disk.

Also, as a reference configuration, the build has been configured static and optimized. The exact waf command issued is:

```
CXXFLAGS="-03 -w" ./waf -d optimized configure --enable-static --enable-examples --enable-modules=lte
```

## **19.4.3 Results**

## **E-UTRAN**

The following results and figures had been obtained with LENA changeset 2c5b0d697717.

## **Running time**

This scenario, evaluates the running time for a fixed simulation time (10s) and Friis propagation mode increasing the number of UEs attached to each eNodeB and the number of planted eNodeBs in the scenario.

The figure shows the expected behaviour, since it increases linearly respect the number of UEs per eNodeB and quadratically respect the total number of eNodeBs.

## **Propagation model**

The objective of this scenario is to evaluate the impact of the propagation model complexity in the overall run time figures. Therefore, the same scenario is simulated twice: once using the more simple Friis model, once with the more complex Building model. The rest of the parameters (e.g. number of eNodeB and of UE attached per eNodeB) were mantained. The timing results for both models are compared in the following figure.

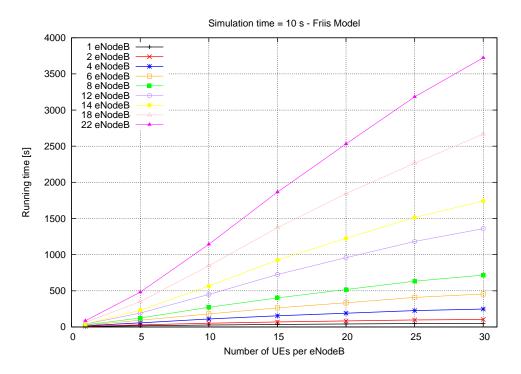


Figure 19.65: Running time

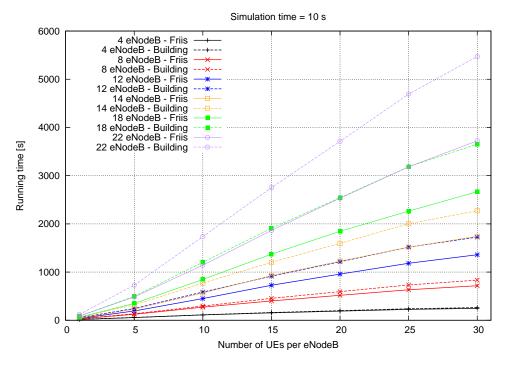


Figure 19.66: Propagation Model

In this situation, results are also coherent with what is expected. The more complex the model, the higher the running time. Moreover, as the number of computed path losses increases (i.e. more UEs per eNodeB or more eNodeBs) the extra complexity of the propagation model drives the running time figures further apart.

#### Simulation time

In this scenario, for a fixed set of UEs per eNodeB, different simulation times had been run. As the simulation time increases, running time should also increase linearly, i.e. for a given scenario, simulate four seconds should take twice times what it takes to simulate two seconds. The slope of this line is a function of the complexity of the scenario: the more eNodeB / UEs placed, the higher the slope of the line.

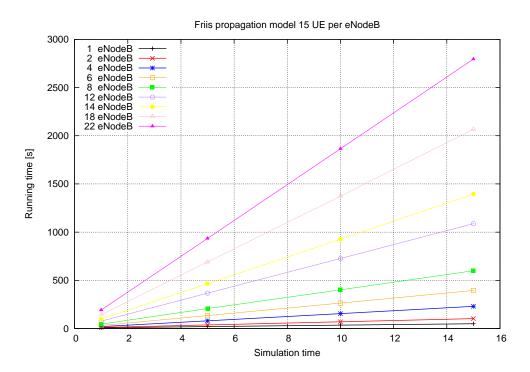


Figure 19.67: Simulation time

## Memory usage

Massif tool to profile memory consumption

#### **EPC**

The following results and figures had been obtained with LENA **changeset e8b3ccdf6673**. The rationale behind the two scenarios profiled on this section is the same than for the E-UTRA part.

## **Running time**

Running time evolution is quadratic since we increase at the same time the number of eNodeB and the number of UEs.

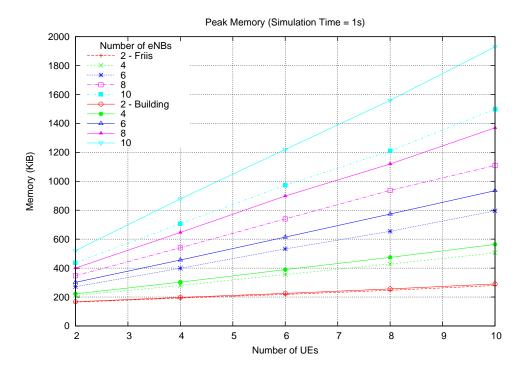


Figure 19.68: Memory profile

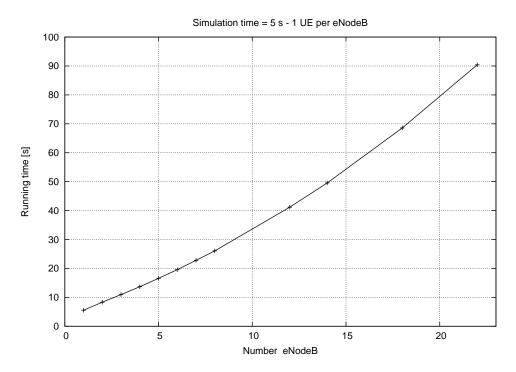


Figure 19.69: Running time

To estimate the additional complexity of the upper LTE Radio Protocol Stack model and the EPC model, we compare two scenarios using the simplified E-UTRAN version (using only PHY, MAC and the simplified RLC/SM, with no EPC and no ns-3 applications) against the complete E-UTRAN + EPC (with UM RLC, PDCP, end-to-end IP networking and regular ns-3 applications). Both configuration have been tested with the same number of UEs per eNodeB, the same number of eNodeBs, and approximately the same volume of transmitted data (an exact match was not possible due to the different ways in which packets are generated in the two configurations).

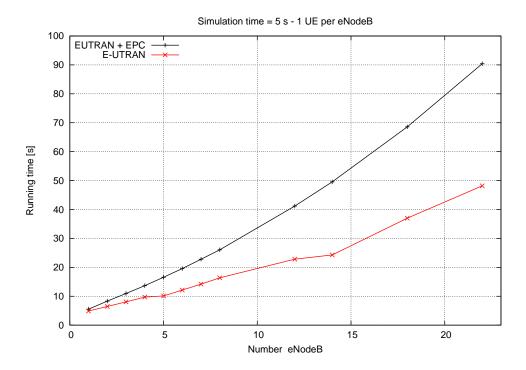


Figure 19.70: EPC E-UTRAN running time

From the figure, it is evident that the additional complexity of using the upper LTE stack plus the EPC model translates approximately into a doubling of the execution time of the simulations. We believe that, considered all the new features that have been added, this figure is acceptable.

#### Simulation time

Finally, again the linearity of the running time as the simulation time increases gets validated through a set of experiments, as the following figure shows.

## 19.5 References

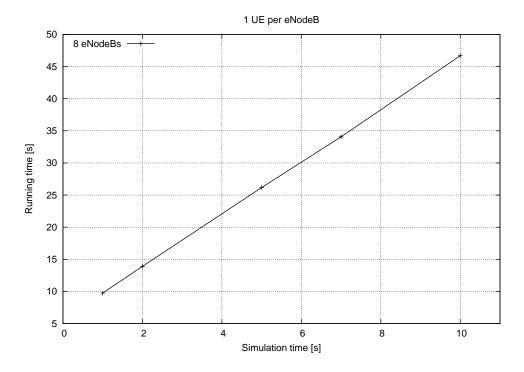


Figure 19.71: Simulation time

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**CHAPTER** 

**TWENTY** 

# **MESH NETDEVICE**

## Placeholder chapter

The Mesh NetDevice based on 802.11s was added in *ns-3.6*. An overview presentation by Kirill Andreev was published at the wns-3 workshop in 2009: http://www.nsnam.org/wiki/Wns3-2009.

# MPI FOR DISTRIBUTED SIMULATION

Parallel and distributed discrete event simulation allows the execution of a single simulation program on multiple processors. By splitting up the simulation into logical processes, LPs, each LP can be executed by a different processor. This simulation methodology enables very large-scale simulations by leveraging increased processing power and memory availability. In order to ensure proper execution of a distributed simulation, message passing between LPs is required. To support distributed simulation in *ns-3*, the standard Message Passing Interface (MPI) is used, along with a new distributed simulator class. Currently, dividing a simulation for distributed purposes in *ns-3* can only occur across point-to-point links.

# 21.1 Current Implementation Details

During the course of a distributed simulation, many packets must cross simulator boundaries. In other words, a packet that originated on one LP is destined for a different LP, and in order to make this transition, a message containing the packet contents must be sent to the remote LP. Upon receiving this message, the remote LP can rebuild the packet and proceed as normal. The process of sending an receiving messages between LPs is handled easily by the new MPI interface in *ns-3*.

Along with simple message passing between LPs, a distributed simulator is used on each LP to determine which events to process. It is important to process events in time-stamped order to ensure proper simulation execution. If a LP receives a message containing an event from the past, clearly this is an issue, since this event could change other events which have already been executed. To address this problem, two conservative synchronization algorithm with lookahead are used in *ns-3*. For more information on different synchronization approaches and parallel and distributed simulation in general, please refer to "Parallel and Distributed Simulation Systems" by Richard Fujimoto.

The default parallel synchronization strategy implemented in the DistributedSimulatorImpl class is based on a globally synchronized algorithm using an MPI collective operation to synchronize simulation time across all LPs. A second synchronization strategy based on local communication and null messages is implemented in the NullMessageSimulatorImpl class, For the null message strategy the global all to all gather is not required; LPs only need to communication with LPs that have shared point-to-point links. The algorithm to use is controlled by which the *ns-3* global value SimulatorImplementationType.

The best algorithm to use is dependent on the communication and event scheduling pattern for the application. In general, null message synchronization algorithms will scale better due to local communication scaling better than a global all-to-all gather that is required by DistributedSimulatorImpl. There are two known cases where the global synchronization performs better. The first is when most LPs have point-to-point link with most other LPs, in other words the LPs are nearly fully connected. In this case the null message algorithm will generate more message passing traffic than the all-to-all gather. A second case where the global all-to-all gather is more efficient is when there are long periods of simulation time when no events are occurring. The all-to-all gather algorithm is able to quickly determine then next event time globally. The nearest neighbor behavior of the null message algorithm will require more communications to propagate that knowledge; each LP is only aware of neighbor next event times.

## 21.1.1 Remote point-to-point links

As described in the introduction, dividing a simulation for distributed purposes in *ns-3* currently can only occur across point-to-point links; therefore, the idea of remote point-to-point links is very important for distributed simulation in *ns-3*. When a point-to-point link is installed, connecting two nodes, the point-to-point helper checks the system id, or rank, of both nodes. The rank should be assigned during node creation for distributed simulation and is intended to signify on which LP a node belongs. If the two nodes are on the same rank, a regular point-to-point link is created. If, however, the two nodes are on different ranks, then these nodes are intended for different LPs, and a remote point-to-point link is used. If a packet is to be sent across a remote point-to-point link, MPI is used to send the message to the remote LP.

## 21.1.2 Distributing the topology

Currently, the full topology is created on each rank, regardless of the individual node system ids. Only the applications are specific to a rank. For example, consider node 1 on LP 1 and node 2 on LP 2, with a traffic generator on node 1. Both node 1 and node 2 will be created on both LP1 and LP2; however, the traffic generator will only be installed on LP1. While this is not optimal for memory efficiency, it does simplify routing, since all current routing implementations in *ns-3* will work with distributed simulation.

## 21.2 Running Distributed Simulations

## 21.2.1 Prerequisites

Ensure that MPI is installed, as well as mpic++. In Ubuntu repositories, these are openmpi-bin, openmpi-common, openmpi-doc, libopenmpi-dev. In Fedora, these are openmpi and openmpi-devel.

Note:

There is a conflict on some Fedora systems between libotf and openmpi. A possible "quick-fix" is to yum remove libotf before installing openmpi. This will remove conflict, but it will also remove emacs. Alternatively, these steps could be followed to resolve the conflict:

1. Rename the tiny offdump which emacs says it needs:

```
$ mv /usr/bin/otfdump /usr/bin/otfdump.emacs-version
```

2. Manually resolve openmpi dependencies:

```
$ sudo yum install libgfortran libtorque numactl
```

3. Download rpm packages:

```
openmpi-1.3.1-1.fc11.i586.rpm
openmpi-devel-1.3.1-1.fc11.i586.rpm
openmpi-libs-1.3.1-1.fc11.i586.rpm
openmpi-vt-1.3.1-1.fc11.i586.rpm
```

from http://mirrors.kernel.org/fedora/releases/11/Everything/i386/os/Packages/

4. Force the packages in:

```
$ sudo rpm -ivh --force \
openmpi-1.3.1-1.fc11.i586.rpm \
openmpi-libs-1.3.1-1.fc11.i586.rpm \
openmpi-devel-1.3.1-1.fc11.i586.rpm \
openmpi-vt-1.3.1-1.fc11.i586.rpm
```

Also, it may be necessary to add the openmpi bin directory to PATH in order to execute mpic++ and mpirun from the command line. Alternatively, the full path to these executables can be used. Finally, if openmpi complains about the inability to open shared libraries, such as libmpi\_cxx.so.0, it may be necessary to add the openmpi lib directory to LD\_LIBRARY\_PATH.

Here is an example of setting up PATH and LD\_LIBRARY\_PATH using a bash shell:

• For a 32-bit Linux distribution:

```
$ export PATH=$PATH:/usr/lib/openmpi/bin
$ export LD_LIBRARY_PATH=$LD_LIBRARY_PATH:/usr/lib/openmpi/lib
```

For a 64-bit Linux distribution:

```
$ export PATH=$PATH:/usr/lib64/openmpi/bin
$ export LD_LIBRARY_PATH=$LD_LIBRARY_PATH:/usr/lib64/openmpi/lib
```

These lines can be added into ~/.bash\_profile or ~/.bashrc to avoid having to retype them when a new shell is opened.

## 21.2.2 Building and Running Examples

If you already built *ns-3* without MPI enabled, you must re-build:

```
$ ./waf distclean
```

Configure *ns-3* with the –enable-mpi option:

```
$ ./waf -d debug configure --enable-examples --enable-tests --enable-mpi
```

Ensure that MPI is enabled by checking the optional features shown from the output of configure.

Next, build ns-3:

```
$ ./waf
```

After building *ns-3* with mpi enabled, the example programs are now ready to run with mpirun. Here are a few examples (from the root *ns-3* directory):

```
$ mpirun -np 2 ./waf --run simple-distributed
$ mpirun -np 4 -machinefile mpihosts ./waf --run 'nms-udp-nix --LAN=2 --CN=4 --nix=1'
```

An examle using the null message synchronization algorithm:

```
$ mpirun -np 2 ./waf --run simple-distributed --nullmsq
```

The np switch is the number of logical processors to use. The machinefile switch is which machines to use. In order to use machinefile, the target file must exist (in this case mpihosts). This can simply contain something like:

```
localhost
localhost
```

Or if you have a cluster of machines, you can name them.

NOTE: Some users have experienced issues using mpirun and waf together. An alternative way to run distributed examples is shown below:

```
$ ./waf shell
$ cd build/debug
$ mpirun -np 2 src/mpi/examples/simple-distributed
```

## 21.2.3 Setting synchronization algorithm to use

The global value SimulatorImplementationType is used to set the synchronization algorithm to use. This value must be set before the MpiInterface::Enable method is invoked if the default DistributedSimulatorImpl is not used. Here is an example code snippet showing how to add a command line argument to control the synchronization algorithm choice::

## 21.2.4 Creating custom topologies

The example programs in src/mpi/examples give a good idea of how to create different topologies for distributed simulation. The main points are assigning system ids to individual nodes, creating point-to-point links where the simulation should be divided, and installing applications only on the LP associated with the target node.

Assigning system ids to nodes is simple and can be handled two different ways. First, a NodeContainer can be used to create the nodes and assign system ids:

```
NodeContainer nodes; nodes.Create (5, 1); // Creates 5 nodes with system id 1.
```

Alternatively, nodes can be created individually, assigned system ids, and added to a NodeContainer. This is useful if a NodeContainer holds nodes with different system ids:

```
NodeContainer nodes;
Ptr<Node> node1 = CreateObject<Node> (0); // Create node1 with system id 0
Ptr<Node> node2 = CreateObject<Node> (1); // Create node2 with system id 1
nodes.Add (node1);
nodes.Add (node2);
```

Next, where the simulation is divided is determined by the placement of point-to-point links. If a point-to-point link is created between two nodes with different system ids, a remote point-to-point link is created, as described in *Current Implementation Details*.

Finally, installing applications only on the LP associated with the target node is very important. For example, if a traffic generator is to be placed on node 0, which is on LP0, only LP0 should install this application. This is easily accomplished by first checking the simulator system id, and ensuring that it matches the system id of the target node before installing the application.

# 21.3 Tracing During Distributed Simulations

Depending on the system id (rank) of the simulator, the information traced will be different, since traffic originating on one simulator is not seen by another simulator until it reaches nodes specific to that simulator. The easiest way to keep track of different traces is to just name the trace files or pcaps differently, based on the system id of the simulator. For example, something like this should work well, assuming all of these local variables were previously defined:

# **MOBILITY**

The mobility support in *ns-3* includes:

- a set of mobility models which are used to track and maintain the *current* cartesian position and speed of an object.
- a "course change notifier" trace source which can be used to register listeners to the course changes of a mobility model
- a number of helper classes which are used to place nodes and setup mobility models (including parsers for some mobility definition formats).

## 22.1 Model Description

The source code for mobility lives in the directory src/mobility.

## **22.1.1 Design**

The design includes mobility models, position allocators, and helper functions.

In *ns-3*, special MobilityModel objects track the evolution of position with respect to a (cartesian) coordinate system. The mobility model is typically aggregated to an ns3::Node object and queried using GetObject<MobilityModel> (). The base class ns3::MobilityModel is subclassed for different motion behaviors.

The initial position of objects is typically set with a PositionAllocator. These types of objects will lay out the position on a notional canvas. Once the simulation starts, the position allocator may no longer be used, or it may be used to pick future mobility "waypoints" for such mobility models.

Most users interact with the mobility system using mobility helper classes. The MobilityHelper combines a mobility model and position allocator, and can be used with a node container to install mobility capability on a set of nodes.

We first describe the coordinate system and issues surrounding multiple coordinate systems.

## Coordinate system

There are many possible coordinate systems and possible translations between them. *ns-3* uses the Cartesian coordinate system only, at present.

The question has arisen as to how to use the mobility models (supporting Cartesian coordinates) with different coordinate systems. This is possible if the user performs conversion between the *ns-3* Cartesian and the other coordinate

system. One possible library to assist is the proj4 http://trac.osgeo.org/proj/ library for projections and reverse projections.

If we support converting between coordinate systems, we must adopt a reference. It has been suggested to use the geocentric Cartesian coordinate system as a reference. Contributions are welcome in this regard.

The question has arisen about adding a new mobility model whose motion is natively implemented in a different coordinate system (such as an orbital mobility model implemented using spherical coordinate system). We advise to create a subclass with the APIs desired (such as Get/SetSphericalPosition), and new position allocators, and implement the motion however desired, but must also support the conversion to cartesian (by supporting the cartesian Get/SetPosition).

#### Coordinates

The base class for a coordinate is called ns3::Vector. While positions are normally described as coordinates and not vectors in the literature, it is possible to reuse the same data structure to represent position (x,y,z) and velocity (magnitude and direction from the current position). ns-3 uses class Vector for both.

There are also some additional related structures used to support mobility models.

- Rectangle
- Box
- Waypoint

## **MobilityModel**

Describe base class

- GetPosition ()
- · Position and Velocity attributes
- GetDistanceFrom ()
- CourseChangeNotification

## MobilityModel Subclasses

- · ConstantPosition
- ConstantVelocity
- ConstantAcceleration
- · GaussMarkov
- · Hierarchical
- · RandomDirection2D
- RandomWalk2D
- · RandomWaypoint
- SteadyStateRandomWaypoint
- Waypoint

## **PositionAllocator**

Position allocators usually used only at beginning, to lay out the nodes initial position. However, some mobility models (e.g. RandomWaypoint) will use a position allocator to pick new waypoints.

- ListPositionAllocator
- GridPositionAllocator
- RandomRectanglePositionAllocator
- · RandomBoxPositionAllocator
- · RandomDiscPositionAllocator
- UniformDiscPositionAllocator

## Helper

A special mobility helper is provided that is mainly aimed at supporting the installation of mobility to a Node container (when using containers at the helper API level). The MobilityHelper class encapsulates a MobilityModel factory object and a PositionAllocator used for initial node layout.

## ns-2 MobilityHelper

The *ns*-2 mobility format is a widely used mobility trace format. The documentation is available at: http://www.isi.edu/nsnam/ns/doc/node172.html

Valid trace files use the following ns2 statements:

```
$node set X_ x1
$node set Y_ y1
$node set Z_ z1
$ns at $time $node setdest x2 y2 speed
$ns at $time $node set X_ x1
$ns at $time $node set Y_ Y1
$ns at $time $node set Z_ Z1
```

In the above, the initial positions are set using the set statements. Also, this set can be specified for a future time, such as in the last three statements above.

The command setdest instructs the simulation to start moving the specified node towards the coordinate (x2, y2) at the specified time. Note that the node may never get to the destination, but will proceed towards the destination at the specified speed until it either reaches the destination (where it will pause), is set to a new position (via set), or sent on another course change (via setdest).

Note that in *ns-3*, movement along the Z dimension is not supported.

Some examples of external tools that can export in this format include:

- BonnMotion
  - Installation instructions and
  - Documentation for using BonnMotion with ns-3
- SUMO
- TraNS
- ns-2 setdest utility

A special Ns2MobilityHelper object can be used to parse these files and convert the statements into *ns-3* mobility events. The underlying ConstantVelocityMobilityModel is used to model these movements.

See below for additional usage instructions on this helper.

## 22.1.2 Scope and Limitations

• only cartesian coordinates are presently supported

## 22.1.3 References

**TBD** 

## 22.2 Usage

Most *ns-3* program authors typically interact with the mobility system only at configuration time. However, various *ns-3* objects interact with mobility objects repeatedly during runtime, such as a propagation model trying to determine the path loss between two mobile nodes.

## **22.2.1** Helper

A typical usage pattern can be found in the third.cc program in the tutorial.

First, the user instantiates a MobilityHelper object and sets some Attributes controlling the "position allocator" functionality.

```
MobilityHelper mobility;

mobility.SetPositionAllocator ("ns3::GridPositionAllocator",
    "MinX", DoubleValue (0.0),
    "MinY", DoubleValue (0.0),
    "DeltaX", DoubleValue (5.0),
    "DeltaY", DoubleValue (10.0),
    "GridWidth", UintegerValue (3),
    "LayoutType", StringValue ("RowFirst"));
```

This code tells the mobility helper to use a two-dimensional grid to initially place the nodes. The first argument is an *ns-3* TypeId specifying the type of mobility model; the remaining attribute/value pairs configure this position allocator.

Next, the user typically sets the MobilityModel subclass; e.g.:

```
mobility.SetMobilityModel ("ns3::RandomWalk2dMobilityModel",
    "Bounds", RectangleValue (Rectangle (-50, 50, -50, 50)));
```

Once the helper is configured, it is typically passed a container, such as:

```
mobility.Install (wifiStaNodes);
```

A MobilityHelper object may be reconfigured and reused for different NodeContainers during the configuration of an *ns-3* scenario.

## 22.2.2 Ns2MobilityHelper

Two example programs are provided demonstrating the use of the ns-2 mobility helper:

- ns2-mobility-trace.cc
- bonnmotion-ns2-example.cc

## ns2-mobility-trace

The ns2-mobility-trace.cc program is an example of loading an *ns*-2 trace file that specifies the movements of two nodes over 100 seconds of simulation time. It is paired with the file default.ns\_movements.

The program behaves as follows:

- a Ns2MobilityHelper object is created, with the specified trace file.
- A log file is created, using the log file name argument.
- A node container is created with the number of nodes specified in the command line. For this particular trace file, specify the value 2 for this argument.
- the Install() method of Ns2MobilityHelper to set mobility to nodes. At this moment, the file is read line by line, and the movement is scheduled in the simulator.
- A callback is configured, so each time a node changes its course a log message is printed.

The example prints out messages generated by each read line from the ns2 movement trace file. For each line, it shows if the line is correct, or of it has errors and in this case it will be ignored.

## Example usage:

```
$ ./waf --run "ns2-mobility-trace \
--traceFile=src/mobility/examples/default.ns_movements \
--nodeNum=2 \
--duration=100.0 \
--logFile=ns2-mob.log"
```

## Sample log file output:

```
+0.0ns POS: x=150, y=93.986, z=0; VEL:0, y=50.4038, z=0
+0.0ns POS: x=195.418, y=150, z=0; VEL:50.1186, y=0, z=0
+104727357.0ns POS: x=200.667, y=150, z=0; VEL:50.1239, y=0, z=0
+204480076.0ns POS: x=205.667, y=150, z=0; VEL:0, y=0, z=0
```

## bonnmotion-ns2-example

The bonnmotion-ns2-example.cc program, which models the movement of a single mobile node for 1000 seconds of simulation time, has a few associated files:

- bonnmotion.ns\_movements is the ns-2-formatted mobility trace
- bonnmotion.params is a BonnMotion-generated file with some metadata about the mobility trace
- bonnmotion.ns\_params is another BonnMotion-generated file with ns-2-related metadata.

Neither of the latter two files is used by *ns-3*, although they are generated as part of the BonnMotion process to output ns-2-compatible traces.

The program bonnmotion-ns2-example.cc will output the following to stdout:

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```
At 0.00 node 0: Position(329.82, 66.06, 0.00);
                                                 Speed (0.53, -0.22, 0.00)
At 100.00 node 0: Position(378.38, 45.59, 0.00);
                                                   Speed(0.00, 0.00, 0.00)
At 200.00 node 0: Position(304.52, 123.66, 0.00);
                                                     Speed (-0.92, 0.97, 0.00)
At 300.00 node 0: Position(274.16, 131.67, 0.00);
                                                     Speed (-0.53, -0.46, 0.00)
                                                     Speed (-0.98, 0.35, 0.00)
At 400.00 node 0: Position(202.11, 123.60, 0.00);
At 500.00 node 0: Position(104.60, 158.95, 0.00);
                                                     Speed (-0.98, 0.35, 0.00)
At 600.00 node 0: Position(31.92, 183.87, 0.00);
                                                   Speed (0.76, -0.51, 0.00)
At 700.00 node 0: Position(107.99, 132.43, 0.00);
                                                   Speed(0.76, -0.51, 0.00)
At 800.00 node 0: Position(184.06, 80.98, 0.00);
                                                    Speed(0.76, -0.51, 0.00)
At 900.00 node 0: Position(250.08, 41.76, 0.00);
                                                    Speed (0.60, -0.05, 0.00)
```

The motion of the mobile node is sampled every 100 seconds, and its position and speed are printed out. This output may be compared to the output of a similar ns-2 program (found in the ns-2 tcl/ex/ directory of ns-2) running from the same mobility trace.

The next file is generated from ns-2 (users will have to download and install ns-2 and run this Tcl program to see this output). The output of the ns-2 bonnmotion-example.tcl program is shown below for comparison (file bonnmotion-example.tr):

```
 \begin{array}{c} \texttt{M} \ 0.00000 \ 0 \ (329.82,\ 66.06,\ 0.00),\ (378.38,\ 45.59),\ 0.57 \\ \texttt{M} \ 100.00000 \ 0 \ (378.38,\ 45.59,\ 0.00),\ (378.38,\ 45.59),\ 0.57 \\ \texttt{M} \ 119.37150 \ 0 \ (378.38,\ 45.59,\ 0.00),\ (286.69,\ 142.52),\ 1.33 \\ \texttt{M} \ 200.00000 \ 0 \ (304.52,\ 123.66,\ 0.00),\ (286.69,\ 142.52),\ 1.33 \\ \texttt{M} \ 276.35353 \ 0 \ (286.69,\ 142.52,\ 0.00),\ (246.32,\ 107.57),\ 0.70 \\ \texttt{M} \ 300.00000 \ 0 \ (274.16,\ 131.67,\ 0.00),\ (246.32,\ 107.57),\ 0.70 \\ \texttt{M} \ 354.65589 \ 0 \ (246.32,\ 107.57,\ 0.00),\ (27.38,\ 186.94),\ 1.04 \\ \texttt{M} \ 400.00000 \ 0 \ (202.11,\ 123.60,\ 0.00),\ (27.38,\ 186.94),\ 1.04 \\ \texttt{M} \ 500.00000 \ 0 \ (104.60,\ 158.95,\ 0.00),\ (27.38,\ 186.94),\ 1.04 \\ \texttt{M} \ 594.03719 \ 0 \ (27.38,\ 186.94,\ 0.00),\ (241.02,\ 42.45),\ 0.92 \\ \texttt{M} \ 600.00000 \ 0 \ (107.99,\ 132.43,\ 0.00),\ (241.02,\ 42.45),\ 0.92 \\ \texttt{M} \ 800.00000 \ 0 \ (184.06,\ 80.98,\ 0.00),\ (241.02,\ 42.45),\ 0.92 \\ \texttt{M} \ 884.77399 \ 0 \ (241.02,\ 42.45,\ 0.00),\ (309.59,\ 37.22),\ 0.60 \\ \texttt{M} \ 900.00000 \ 0 \ (250.08,\ 41.76,\ 0.00),\ (309.59,\ 37.22),\ 0.60 \\ \end{array}
```

The output formatting is slightly different, and the course change times are additionally plotted, but it can be seen that the position vectors are the same between the two traces at intervals of 100 seconds.

The mobility computations performed on the *ns*-2 trace file are slightly different in *ns*-2 and *ns*-3, and floating-point arithmetic is used, so there is a chance that the position in *ns*-2 may be slightly different than the respective position when using the trace file in *ns*-3.

#### 22.2.3 Use of Random Variables

A typical use case is to evaluate protocols on a mobile topology that involves some randomness in the motion or initial position allocation. To obtain random motion and positioning that is not affected by the configuration of the rest of the scenario, it is recommended to use the "AssignStreams" facility of the random number system.

Class MobilityModel and class PositionAllocator both have public API to assign streams to underlying random variables:

```
/**
 * Assign a fixed random variable stream number to the random variables
 * used by this model. Return the number of streams (possibly zero) that
 * have been assigned.
 *
 * \param stream first stream index to use
 * \return the number of stream indices assigned by this model
```

```
*/
int64_t AssignStreams (int64_t stream);
```

The class MobilityHelper also provides this API. The typical usage pattern when using the helper is:

```
int64_t streamIndex = /*some positive integer */
MobilityHelper mobility;
... (configure mobility)
mobility.Install (wifiStaNodes);
int64_t streamsUsed = mobility.AssignStreams (wifiStaNodes, streamIndex);
```

If AssignStreams is called before Install, it will not have any effect.

## 22.2.4 Advanced Usage

A number of external tools can be used to generate traces read by the Ns2MobilityHelper.

## ns-2 scengen

**TBD** 

#### **BonnMotion**

http://net.cs.uni-bonn.de/wg/cs/applications/bonnmotion/

## **SUMO**

http://sourceforge.net/apps/mediawiki/sumo/index.php?title=Main\_Page

#### **TraNS**

http://trans.epfl.ch/

## 22.2.5 Examples

- · main-random-topology.cc
- · main-random-walk.cc
- main-grid-topology.cc
- ns2-mobility-trace.cc
- ns2-bonnmotion.cc

## 22.3 Validation

**TBD** 

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# **NETWORK MODULE**

## 23.1 Packets

The design of the Packet framework of *ns* was heavily guided by a few important use-cases:

- avoid changing the core of the simulator to introduce new types of packet headers or trailers
- maximize the ease of integration with real-world code and systems
- make it easy to support fragmentation, defragmentation, and, concatenation which are important, especially in wireless systems.
- make memory management of this object efficient
- allow actual application data or dummy application bytes for emulated applications

Each network packet contains a byte buffer, a set of byte tags, a set of packet tags, and metadata.

The byte buffer stores the serialized content of the headers and trailers added to a packet. The serialized representation of these headers is expected to match that of real network packets bit for bit (although nothing forces you to do this) which means that the content of a packet buffer is expected to be that of a real packet.

Fragmentation and defragmentation are quite natural to implement within this context: since we have a buffer of real bytes, we can split it in multiple fragments and re-assemble these fragments. We expect that this choice will make it really easy to wrap our Packet data structure within Linux-style skb or BSD-style mbuf to integrate real-world kernel code in the simulator. We also expect that performing a real-time plug of the simulator to a real-world network will be easy.

One problem that this design choice raises is that it is difficult to pretty-print the packet headers without context. The packet metadata describes the type of the headers and trailers which were serialized in the byte buffer. The maintenance of metadata is optional and disabled by default. To enable it, you must call Packet::EnableMetadata() and this will allow you to get non-empty output from Packet::Print and Packet::Print.

Also, developers often want to store data in packet objects that is not found in the real packets (such as timestamps or flow-ids). The Packet class deals with this requirement by storing a set of tags (class Tag). We have found two classes of use cases for these tags, which leads to two different types of tags. So-called 'byte' tags are used to tag a subset of the bytes in the packet byte buffer while 'packet' tags are used to tag the packet itself. The main difference between these two kinds of tags is what happens when packets are copied, fragmented, and reassembled: 'byte' tags follow bytes while 'packet' tags follow packets. Another important difference between these two kinds of tags is that byte tags cannot be removed and are expected to be written once, and read many times, while packet tags are expected to be written once, read many times, and removed exactly once. An example of a 'byte' tag is a FlowIdTag which contains a flow id and is set by the application generating traffic. An example of a 'packet' tag is a cross-layer QoS class id set by an application and processed by a lower-level MAC layer.

Memory management of Packet objects is entirely automatic and extremely efficient: memory for the application-level payload can be modeled by a virtual buffer of zero-filled bytes for which memory is never allocated unless explicitly

requested by the user or unless the packet is fragmented or serialized out to a real network device. Furthermore, copying, adding, and, removing headers or trailers to a packet has been optimized to be virtually free through a technique known as Copy On Write.

Packets (messages) are fundamental objects in the simulator and their design is important from a performance and resource management perspective. There are various ways to design the simulation packet, and tradeoffs among the different approaches. In particular, there is a tension between ease-of-use, performance, and safe interface design.

## 23.1.1 Packet design overview

Unlike *ns-2*, in which Packet objects contain a buffer of C++ structures corresponding to protocol headers, each network packet in *ns-3* contains a byte Buffer, a list of byte Tags, a list of packet Tags, and a PacketMetadata object:

- The byte buffer stores the serialized content of the chunks added to a packet. The serialized representation of these chunks is expected to match that of real network packets bit for bit (although nothing forces you to do this) which means that the content of a packet buffer is expected to be that of a real packet. Packets can also be created with an arbitrary zero-filled payload for which no real memory is allocated.
- Each list of tags stores an arbitrarily large set of arbitrary user-provided data structures in the packet. Each Tag is uniquely identified by its type; only one instance of each type of data structure is allowed in a list of tags. These tags typically contain per-packet cross-layer information or flow identifiers (i.e., things that you wouldn't find in the bits on the wire).

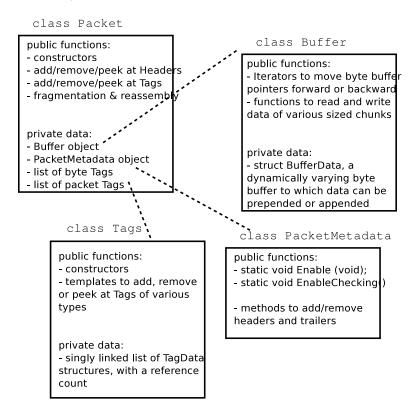


Figure 23.1: Implementation overview of Packet class.

Figure Implementation overview of Packet class. is a high-level overview of the Packet implementation; more detail on the byte Buffer implementation is provided later in Figure Implementation overview of a packet's byte Buffer. In ns-3, the Packet byte buffer is analogous to a Linux skbuff or BSD mbuf; it is a serialized representation of the actual data in the packet. The tag lists are containers for extra items useful for simulation convenience; if a Packet is converted to

an emulated packet and put over an actual network, the tags are stripped off and the byte buffer is copied directly into a real packet.

Packets are reference counted objects. They are handled with smart pointer (Ptr) objects like many of the objects in the *ns-3* system. One small difference you will see is that class Packet does not inherit from class Object or class RefCountBase, and implements the Ref() and Unref() methods directly. This was designed to avoid the overhead of a vtable in class Packet.

The Packet class is designed to be copied cheaply; the overall design is based on Copy on Write (COW). When there are multiple references to a packet object, and there is an operation on one of them, only so-called "dirty" operations will trigger a deep copy of the packet:

```
ns3::Packet::AddHeader()
ns3::Packet::AddTrailer()
both versions of ns3::Packet::AddAtEnd()
Packet::RemovePacketTag()
```

The fundamental classes for adding to and removing from the byte buffer are class Header and class Trailer. Headers are more common but the below discussion also largely applies to protocols using trailers. Every protocol header that needs to be inserted and removed from a Packet instance should derive from the abstract Header base class and implement the private pure virtual methods listed below:

```
ns3::Header::SerializeTo()
ns3::Header::DeserializeFrom()
ns3::Header::GetSerializedSize()
ns3::Header::PrintTo()
```

Basically, the first three functions are used to serialize and deserialize protocol control information to/from a Buffer. For example, one may define class TCPHeader: public Header. The TCPHeader object will typically consist of some private data (like a sequence number) and public interface access functions (such as checking the bounds of an input). But the underlying representation of the TCPHeader in a Packet Buffer is 20 serialized bytes (plus TCP options). The TCPHeader::SerializeTo() function would therefore be designed to write these 20 bytes properly into the packet, in network byte order. The last function is used to define how the Header object prints itself onto an output stream.

Similarly, user-defined Tags can be appended to the packet. Unlike Headers, Tags are not serialized into a contiguous buffer but are stored in lists. Tags can be flexibly defined to be any type, but there can only be one instance of any particular object type in the Tags buffer at any time.

## 23.1.2 Using the packet interface

This section describes how to create and use the ns3::Packet object.

#### Creating a new packet

The following command will create a new packet with a new unique Id.:

```
Ptr<Packet> pkt = Create<Packet> ();
```

What is the Uid (unique Id)? It is an internal id that the system uses to identify packets. It can be fetched via the following method:

```
uint32_t uid = pkt->GetUid ();
```

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But please note the following. This uid is an internal uid and cannot be counted on to provide an accurate counter of how many "simulated packets" of a particular protocol are in the system. It is not trivial to make this uid into such a counter, because of questions such as what should the uid be when the packet is sent over broadcast media, or when fragmentation occurs. If a user wants to trace actual packet counts, he or she should look at e.g. the IP ID field or transport sequence numbers, or other packet or frame counters at other protocol layers.

We mentioned above that it is possible to create packets with zero-filled payloads that do not actually require a memory allocation (i.e., the packet may behave, when delays such as serialization or transmission delays are computed, to have a certain number of payload bytes, but the bytes will only be allocated on-demand when needed). The command to do this is, when the packet is created:

```
Ptr<Packet> pkt = Create<Packet> (N);
```

where N is a positive integer.

The packet now has a size of N bytes, which can be verified by the GetSize() method:

```
/**
  * \returns the size in bytes of the packet (including the zero-filled
  * initial payload)
  */
uint32_t GetSize (void) const;
```

You can also initialize a packet with a character buffer. The input data is copied and the input buffer is untouched. The constructor applied is:

```
Packet (uint8_t const *buffer, uint32_t size);
Here is an example:
Ptr<Packet> pkt1 = Create<Packet> (reinterpret_cast<const uint8_t*> ("hello"), 5);
```

Packets are freed when there are no more references to them, as with all ns-3 objects referenced by the Ptr class.

## Adding and removing Buffer data

After the initial packet creation (which may possibly create some fake initial bytes of payload), all subsequent buffer data is added by adding objects of class Header or class Trailer. Note that, even if you are in the application layer, handling packets, and want to write application data, you write it as an ns3::Header or ns3::Trailer. If you add a Header, it is prepended to the packet, and if you add a Trailer, it is added to the end of the packet. If you have no data in the packet, then it makes no difference whether you add a Header or Trailer. Since the APIs and classes for header and trailer are pretty much identical, we'll just look at class Header here.

The first step is to create a new header class. All new Header classes must inherit from class Header, and implement the following methods:

```
Serialize ()Deserialize ()GetSerializedSize ()Print ()
```

To see a simple example of how these are done, look at the UdpHeader class headers src/internet/model/udp-header.cc. There are many other examples within the source code.

Once you have a header (or you have a preexisting header), the following Packet API can be used to add or remove such headers.:

```
* Add header to this packet. This method invokes the
 * Header::GetSerializedSize and Header::Serialize
 * methods to reserve space in the buffer and request the
 * header to serialize itself in the packet buffer.
 * \param header a reference to the header to add to this packet.
void AddHeader (const Header & header);
 * Deservalize and remove the header from the internal buffer.
 * This method invokes Header::Deserialize.
 * \param header a reference to the header to remove from the internal buffer.
 * \returns the number of bytes removed from the packet.
uint32_t RemoveHeader (Header &header);
 * Deserialize but does _not_ remove the header from the internal buffer.
 * This method invokes Header::Deserialize.
 * \param header a reference to the header to read from the internal buffer.
 * \returns the number of bytes read from the packet.
uint32_t PeekHeader (Header &header) const;
```

For instance, here are the typical operations to add and remove a UDP header.:

```
// add header
Ptr<Packet> packet = Create<Packet> ();
UdpHeader udpHeader;
// Fill out udpHeader fields appropriately
packet->AddHeader (udpHeader);
...
// remove header
UdpHeader udpHeader;
packet->RemoveHeader (udpHeader);
// Read udpHeader fields as needed
```

#### **Adding and removing Tags**

There is a single base class of Tag that all packet tags must derive from. They are used in two different tag lists in the packet; the lists have different semantics and different expected use cases.

As the names imply, ByteTags follow bytes and PacketTags follow packets. What this means is that when operations are done on packets, such as fragmentation, concatenation, and appending or removing headers, the byte tags keep track of which packet bytes they cover. For instance, if a user creates a TCP segment, and applies a ByteTag to the segment, each byte of the TCP segment will be tagged. However, if the next layer down inserts an IPv4 header, this ByteTag will not cover those bytes. The converse is true for the PacketTag; it covers a packet despite the operations on it.

PacketTags are limited in size to 20 bytes. This is a modifiable compile-time constant in src/network/model/packet-tag-list.h. ByteTags have no such restriction.

Each tag type must subclass ns3::Tag, and only one instance of each Tag type may be in each tag list. Here are a few differences in the behavior of packet tags and byte tags.

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- **Fragmentation:** As mentioned above, when a packet is fragmented, each packet fragment (which is a new packet) will get a copy of all packet tags, and byte tags will follow the new packet boundaries (i.e. if the fragmented packets fragment across a buffer region covered by the byte tag, both packet fragments will still have the appropriate buffer regions byte tagged).
- Concatenation: When packets are combined, two different buffer regions will become one. For byte tags, the byte tags simply follow the respective buffer regions. For packet tags, only the tags on the first packet survive the merge.
- Finding and Printing: Both classes allow you to iterate over all of the tags and print them.
- **Removal:** Users can add and remove the same packet tag multiple times on a single packet (AddPacketTag () and RemovePacketTag ()). The packet However, once a byte tag is added, it can only be removed by stripping all byte tags from the packet. Removing one of possibly multiple byte tags is not supported by the current API.

As of *ns-3.5* and later, Tags are not serialized and descrialized to a buffer when Packet::Serialize () and Packet::Descrialize () are called; this is an open bug.

If a user wants to take an existing packet object and reuse it as a new packet, he or she should remove all byte tags and packet tags before doing so. An example is the UdpEchoServer class, which takes the received packet and "turns it around" to send back to the echo client.

The Packet API for byte tags is given below.:

```
* \param tag the new tag to add to this packet
 * Tag each byte included in this packet with the
 * new tag.
 * Note that adding a tag is a const operation which is pretty
 * un-intuitive. The rationale is that the content and behavior of
 * a packet is _not_ changed when a tag is added to a packet: any
 * code which was not aware of the new tag is going to work just
 * the same if the new tag is added. The real reason why adding a
 * tag was made a const operation is to allow a trace sink which gets
 * a packet to tag the packet, even if the packet is const (and most
 * trace sources should use const packets because it would be
 * totally evil to allow a trace sink to modify the content of a
 * packet).
void AddByteTag (const Tag &tag) const;
 * \returns an iterator over the set of byte tags included in this packet.
ByteTagIterator GetByteTagIterator (void) const;
 * \param tag the tag to search in this packet
 * \returns true if the requested tag type was found, false otherwise.
 * If the requested tag type is found, it is copied in the user's
 * provided tag instance.
bool FindFirstMatchingByteTag (Tag &tag) const;
 * Remove all the tags stored in this packet.
void RemoveAllByteTags (void);
```

```
* \param os output stream in which the data should be printed.
 * Iterate over the tags present in this packet, and
 * invoke the Print method of each tag stored in the packet.
void PrintByteTags (std::ostream &os) const;
The Packet API for packet tags is given below.:
* \param tag the tag to store in this packet
 * Add a tag to this packet. This method calls the
 * Tag::GetSerializedSize and, then, Tag::Serialize.
 * Note that this method is const, that is, it does not
 * modify the state of this packet, which is fairly
 * un-intuitive.
void AddPacketTag (const Tag &tag) const;
* \param tag the tag to remove from this packet
 * \returns true if the requested tag is found, false
           otherwise.
 * Remove a tag from this packet. This method calls
 * Tag::Deserialize if the tag is found.
 */
bool RemovePacketTag (Tag &tag);
 * \param tag the tag to search in this packet
 * \returns true if the requested tag is found, false
           otherwise.
 * Search a matching tag and call Tag::Deserialize if it is found.
bool PeekPacketTag (Tag &tag) const;
* Remove all packet tags.
void RemoveAllPacketTags (void);
* \param os the stream in which we want to print data.
 * Print the list of 'packet' tags.
 * \sa Packet::AddPacketTag, Packet::RemovePacketTag, Packet::PeekPacketTag,
 * Packet::RemoveAllPacketTags
void PrintPacketTags (std::ostream &os) const;
* \returns an object which can be used to iterate over the list of
 * packet tags.
PacketTagIterator GetPacketTagIterator (void) const;
```

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Here is a simple example illustrating the use of tags from the code in src/internet/model/udp-socket-impl.cc:

```
Ptr<Packet> p;  // pointer to a pre-existing packet
SocketIpTtlTag tag
tag.SetTtl (m_ipMulticastTtl); // Convey the TTL from UDP layer to IP layer
p->AddPacketTag (tag);
```

This tag is read at the IP layer, then stripped (src/internet/model/ipv4-13-protocol.cc):

```
uint8_t ttl = m_defaultTtl;
SocketIpTtlTag tag;
bool found = packet->RemovePacketTag (tag);
if (found)
    {
      ttl = tag.GetTtl ();
}
```

#### Fragmentation and concatenation

Packets may be fragmented or merged together. For example, to fragment a packet p of 90 bytes into two packets, one containing the first 10 bytes and the other containing the remaining 80, one may call the following code:

```
Ptr<Packet> frag0 = p->CreateFragment (0, 10);
Ptr<Packet> frag1 = p->CreateFragment (10, 90);
```

As discussed above, the packet tags from p will follow to both packet fragments, and the byte tags will follow the byte ranges as needed.

Now, to put them back together:

```
frag0->AddAtEnd (frag1);
```

Now frag0 should be equivalent to the original packet p. If, however, there were operations on the fragments before being reassembled (such as tag operations or header operations), the new packet will not be the same.

#### **Enabling metadata**

We mentioned above that packets, being on-the-wire representations of byte buffers, present a problem to print out in a structured way unless the printing function has access to the context of the header. For instance, consider a tcpdump-like printer that wants to pretty-print the contents of a packet.

To enable this usage, packets may have metadata enabled (disabled by default for performance reasons). This class is used by the Packet class to record every operation performed on the packet's buffer, and provides an implementation of Packet::Print () method that uses the metadata to analyze the content of the packet's buffer.

The metadata is also used to perform extensive sanity checks at runtime when performing operations on a Packet. For example, this metadata is used to verify that when you remove a header from a packet, this same header was actually present at the front of the packet. These errors will be detected and will abort the program.

To enable this operation, users will typically insert one or both of these statements at the beginning of their programs:

```
Packet::EnablePrinting ();
Packet::EnableChecking ();
```

## 23.1.3 Sample programs

See src/network/examples/main-packet-header.cc and src/network/examples/main-packet-tag.cc.

## 23.1.4 Implementation details

#### Private member variables

A Packet object's interface provides access to some private data:

```
Buffer m_buffer;
ByteTagList m_byteTagList;
PacketTagList m_packetTagList;
PacketMetadata m_metadata;
mutable uint32_t m_refCount;
static uint32_t m_globalUid;
```

Each Packet has a Buffer and two Tags lists, a PacketMetadata object, and a ref count. A static member variable keeps track of the UIDs allocated. The actual uid of the packet is stored in the PacketMetadata.

Note: that real network packets do not have a UID; the UID is therefore an instance of data that normally would be stored as a Tag in the packet. However, it was felt that a UID is a special case that is so often used in simulations that it would be more convenient to store it in a member variable.

#### **Buffer implementation**

Class Buffer represents a buffer of bytes. Its size is automatically adjusted to hold any data prepended or appended by the user. Its implementation is optimized to ensure that the number of buffer resizes is minimized, by creating new Buffers of the maximum size ever used. The correct maximum size is learned at runtime during use by recording the maximum size of each packet.

Authors of new Header or Trailer classes need to know the public API of the Buffer class. (add summary here)

The byte buffer is implemented as follows:

```
struct BufferData {
    uint32_t m_count;
    uint32_t m_size;
    uint32_t m_initialStart;
    uint32_t m_dirtyStart;
    uint32_t m_dirtySize;
    uint8_t m_data[1];
};
struct BufferData *m_data;
uint32_t m_zeroAreaSize;
uint32_t m_start;
uint32_t m_size;
```

- BufferData::m count: reference count for BufferData structure
- BufferData::m\_size: size of data buffer stored in BufferData structure
- BufferData::m\_initialStart: offset from start of data buffer where data was first inserted
- BufferData::m\_dirtyStart: offset from start of buffer where every Buffer which holds a reference to this BufferData instance have written data so far
- BufferData::m\_dirtySize: size of area where data has been written so far

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- BufferData::m\_data: pointer to data buffer
- Buffer::m\_zeroAreaSize: size of zero area which extends before m\_initialStart
- Buffer::m\_start: offset from start of buffer to area used by this buffer
- Buffer::m\_size: size of area used by this Buffer in its BufferData structure

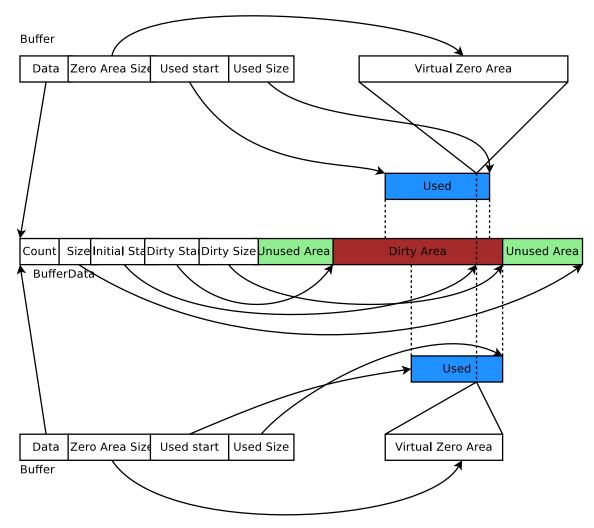


Figure 23.2: Implementation overview of a packet's byte Buffer.

This data structure is summarized in Figure Implementation overview of a packet's byte Buffer. Each Buffer holds a pointer to an instance of a BufferData. Most Buffers should be able to share the same underlying BufferData and thus simply increase the BufferData's reference count. If they have to change the content of a BufferData inside the Dirty Area, and if the reference count is not one, they first create a copy of the BufferData and then complete their state-changing operation.

#### Tags implementation

#### (XXX revise me)

Tags are implemented by a single pointer which points to the start of a linked list of TagData data structures. Each TagData structure points to the next TagData in the list (its next pointer contains zero to indicate the end of the linked list). Each TagData contains an integer unique id which identifies the type of the tag stored in the TagData.:

```
struct TagData {
    struct TagData *m_next;
    uint32_t m_id;
    uint32_t m_count;
    uint8_t m_data[Tags::SIZE];
};
class Tags {
    struct TagData *m_next;
};
```

Adding a tag is a matter of inserting a new TagData at the head of the linked list. Looking at a tag requires you to find the relevant TagData in the linked list and copy its data into the user data structure. Removing a tag and updating the content of a tag requires a deep copy of the linked list before performing this operation. On the other hand, copying a Packet and its tags is a matter of copying the TagData head pointer and incrementing its reference count.

Tags are found by the unique mapping between the Tag type and its underlying id. This is why at most one instance of any Tag can be stored in a packet. The mapping between Tag type and underlying id is performed by a registration as follows:

```
/* A sample Tag implementation
  */
struct MyTag {
    uint16_t m_streamId;
};
```

#### **Memory management**

Describe dataless vs. data-full packets.

#### Copy-on-write semantics

The current implementation of the byte buffers and tag list is based on COW (Copy On Write). An introduction to COW can be found in Scott Meyer's "More Effective C++", items 17 and 29). This design feature and aspects of the public interface borrows from the packet design of the Georgia Tech Network Simulator. This implementation of COW uses a customized reference counting smart pointer class.

What COW means is that copying packets without modifying them is very cheap (in terms of CPU and memory usage) and modifying them can be also very cheap. What is key for proper COW implementations is being able to detect when a given modification of the state of a packet triggers a full copy of the data prior to the modification: COW systems need to detect when an operation is "dirty" and must therefore invoke a true copy.

Dirty operations:

• ns3::Packet::AddHeader

ns3::Packet::AddTrailer

• both versions of ns3::Packet::AddAtEnd

• ns3::Packet::RemovePacketTag

Non-dirty operations:

ns3::Packet::AddPacketTag

ns3::Packet::PeekPacketTag

ns3::Packet::RemoveAllPacketTags

• ns3::Packet::AddByteTag

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ns3::Packet::FindFirstMatchingByteTag

• ns3::Packet::RemoveAllByteTags

• ns3::Packet::RemoveHeader

• ns3::Packet::RemoveTrailer

• ns3::Packet::CreateFragment

ns3::Packet::RemoveAtStart

• ns3::Packet::RemoveAtEnd

ns3::Packet::CopyData

Dirty operations will always be slower than non-dirty operations, sometimes by several orders of magnitude. However, even the dirty operations have been optimized for common use-cases which means that most of the time, these operations will not trigger data copies and will thus be still very fast.

## 23.2 Error Model

This section documents a few error model objects, typically associated with NetDevice models, that are maintained as part of the network module:

- RateErrorModel
- · ListErrorModel
- ReceiveListErrorModel
- BurstErrorModel

Error models are used to indicate that a packet should be considered to be errored, according to the underlying (possibly stochastic or empirical) error model.

## 23.2.1 Model Description

The source code for error models live in the directory src/packet/utils.

Two types of error models are generally provided. The first are stochastic models. In this case, packets are errored according to underlying random variable distributions. An example of this is the RateErrorModel. The other type of model is a deterministic or empirical model, in which packets are errored according to a particular prescribed pattern. An example is the ListErrorModel that allows users to specify the list of packets to be errored, by listing the specific packet UIDs.

The ns3::RateErrorModel errors packets according to an underlying random variable distribution, which is by default a UniformRandomVariable distributed between 0.0 and 1.0. The error rate and error units (bit, byte, or packet) are set by the user. For instance, by setting ErrorRate to 0.1 and ErrorUnit to "Packet", in the long run, around 10% of the packets will be lost.

#### Design

Error models are *ns-3* objects and can be created using the typical pattern of CreateObject<>(). They have configuration attributes.

An ErrorModel can be applied anywhere, but are commonly deployed on NetDevice models so that artificial losses (mimicing channel losses) can be induced.

#### **Scope and Limitations**

No known limitations. There are no existing models that try to modify the packet contents (e.g. apply bit or byte errors to the byte buffers). This type of operation will likely be performance-expensive, and existing Packet APIs may not easily support it.

The ns-3 spectrum model and devices that derive from it (e.g. LTE) have their own error model base class, found in

#### References

The initial *ns-3* error models were ported from ns-2 (queue/errmodel.{cc,h})

## 23.2.2 Usage

The base class API is as follows:

- bool ErrorModel::IsCorrupt (Ptr<Packet> pkt): Evaluate the packet and return true or false whether the packet should be considered errored or not. Some models could potentially alter the contents of the packet bit buffer.
- void ErrorModel::Reset (void): Reset any state.
- void ErrorModel::Enable (void): Enable the model
- void ErrorModel::Disble (void): Disable the model; IsCorrupt() will always return false.
- bool ErrorModel::IsEnabled (void) const: Return the enabled state

Many *ns-3* NetDevices contain attributes holding pointers to error models. The error model is applied in the notional physical layer processing chain of the device, and drops should show up on the PhyRxDrop trace source of the device. The following are known to include an attribute with a pointer available to hold this type of error model:

- SimpleNetDevice
- PointToPointNetDevice
- CsmaNetDevice
- VirtualNetDevice

However, the ErrorModel could be used anywhere where packets are used

#### **Helpers**

This model is typically not used with helpers.

#### **Attributes**

The RateErrorModel contains the following attributes:

#### **Output**

What kind of data does the model generate? What are the key trace sources? What kind of logging output can be enabled?

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#### **Examples**

Error models are used in the tutorial fifth and sixth programs.

The directory examples/error-model/ contains an example simple-error-model.cc that exercises the Rate and List error models.

The TCP example examples/tcp/tcp-nsc-lfn.cc uses the Rate error model.

#### **Troubleshooting**

No known issues.

#### 23.2.3 Validation

The error-model unit test suite provides a single test case of of a particular combination of ErrorRate and ErrorUnit for the RateErrorModel applied to a SimpleNetDevice.

## 23.2.4 Acknowledgements

The basic ErrorModel, RateErrorModel, and ListErrorModel classes were ported from *ns-2* to *ns-3* in 2007. The ReceiveListErrorModel was added at that time.

The burst error model is due to Truc Anh N. Nguyen at the University of Kansas (James P.G. Sterbenz <jpgs@ittc.ku.edu>, director, ResiliNets Research Group (http://wiki.ittc.ku.edu/resilinets), Information and Telecommunication Technology Center (ITTC) and Department of Electrical Engineering and Computer Science, The University of Kansas Lawrence, KS USA). Work supported in part by NSF FIND (Future Internet Design) Program under grant CNS-0626918 (Postmodern Internet Architecture), NSF grant CNS-1050226 (Multilayer Network Resilience Analysis and Experimentation on GENI), US Department of Defense (DoD), and ITTC at The University of Kansas.

## 23.3 Node and NetDevices Overview

This chapter describes how *ns-3* nodes are put together, and provides a walk-through of how packets traverse an internet-based Node.

In *ns-3*, nodes are instances of ns3::Node. This class may be subclassed, but instead, the conceptual model is that we *aggregate* or insert objects to it rather than define subclasses.

One might think of a bare *ns-3* node as a shell of a computer, to which one may add NetDevices (cards) and other innards including the protocols and applications. *High-level node architecture* illustrates that ns3::Node objects contain a list of ns3::Application instances (initially, the list is empty), a list of ns3::NetDevice instances (initially, the list is empty), a list of ns3::Node::ProtocolHandler instances, a unique integer ID, and a system ID (for distributed simulation).

The design tries to avoid putting too many dependencies on the class ns3::Node, ns3::Application, or ns3::NetDevice for the following:

- IP version, or whether IP is at all even used in the ns3::Node.
- implementation details of the IP stack.

From a software perspective, the lower interface of applications corresponds to the C-based sockets API. The upper interface of ns3::NetDevice objects corresponds to the device independent sublayer of the Linux stack. Everything in between can be aggregated and plumbed together as needed.

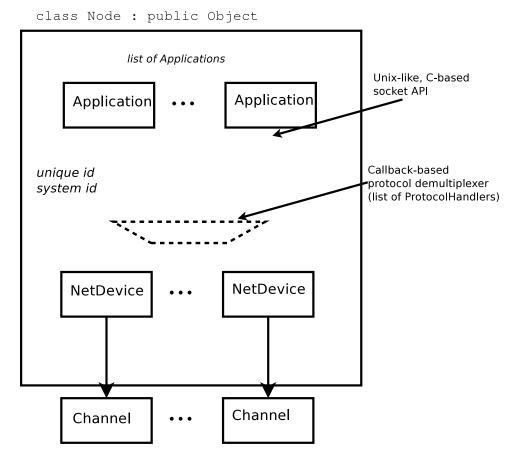


Figure 23.3: High-level node architecture

Let's look more closely at the protocol demultiplexer. We want incoming frames at layer-2 to be delivered to the right layer-3 protocol such as IPv4. The function of this demultiplexer is to register callbacks for receiving packets. The callbacks are indexed based on the EtherType in the layer-2 frame.

Many different types of higher-layer protocols may be connected to the NetDevice, such as IPv4, IPv6, ARP, MPLS, IEEE 802.1x, and packet sockets. Therefore, the use of a callback-based demultiplexer avoids the need to use a common base class for all of these protocols, which is problematic because of the different types of objects (including packet sockets) expected to be registered there.

## 23.4 Sockets APIs

The sockets API is a long-standing API used by user-space applications to access network services in the kernel. A *socket* is an abstraction, like a Unix file handle, that allows applications to connect to other Internet hosts and exchange reliable byte streams and unreliable datagrams, among other services.

ns-3 provides two types of sockets APIs, and it is important to understand the differences between them. The first is a native ns-3 API, while the second uses the services of the native API to provide a POSIX-like API as part of an overall application process. Both APIs strive to be close to the typical sockets API that application writers on Unix systems are accustomed to, but the POSIX variant is much closer to a real system's sockets API.

## 23.4.1 ns-3 sockets API

The native sockets API for ns-3 provides an interface to various types of transport protocols (TCP, UDP) as well as to packet sockets and, in the future, Netlink-like sockets. However, users are cautioned to understand that the semantics are *not* the exact same as one finds in a real system (for an API which is very much aligned to real systems, see the next section).

ns3::Socket is defined in src/network/model/socket.h. Readers will note that many public member functions are aligned with real sockets function calls, and all other things being equal, we have tried to align with a Posix sockets API. However, note that:

- ns-3 applications handle a smart pointer to a Socket object, not a file descriptor;
- there is no notion of synchronous API or a *blocking* API; in fact, the model for interaction between application and socket is one of asynchronous I/O, which is not typically found in real systems (more on this below);
- the C-style socket address structures are not used;
- the API is not a complete sockets API, such as supporting all socket options or all function variants;
- many calls use ns3::Packet class to transfer data between application and socket. This may seem peculiar to pass *Packets* across a stream socket API, but think of these packets as just fancy byte buffers at this level (more on this also below).

## **Basic operation and calls**

#### **Creating sockets**

An application that wants to use sockets must first create one. On real systems using a C-based API, this is accomplished by calling socket ()

```
int socket(int domain, int type, int protocol);
```

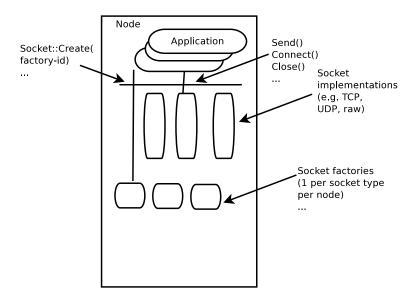


Figure 23.4: Implementation overview of native sockets API

which creates a socket in the system and returns an integer descriptor.

In ns-3, we have no equivalent of a system call at the lower layers, so we adopt the following model. There are certain *factory* objects that can create sockets. Each factory is capable of creating one type of socket, and if sockets of a particular type are able to be created on a given node, then a factory that can create such sockets must be aggregated to the Node:

```
static Ptr<Socket> CreateSocket (Ptr<Node> node, TypeId tid);
```

Examples of TypeIds to pass to this method are ns3::TcpSocketFactory, ns3::PacketSocketFactory, and ns3::UdpSocketFactory.

This method returns a smart pointer to a Socket object. Here is an example:

```
Ptr<Node> n0;
// Do some stuff to build up the Node's internet stack
Ptr<Socket> localSocket =
    Socket::CreateSocket (n0, TcpSocketFactory::GetTypeId ());
```

In some ns-3 code, sockets will not be explicitly created by user's main programs, if an ns-3 application does it. For instance, for ns3::OnOffApplication, the function ns3::OnOffApplication::StartApplication() performs the socket creation, and the application holds the socket pointer.

#### **Using sockets**

Below is a typical sequence of socket calls for a TCP client in a real implementation:

```
sock = socket(PF_INET, SOCK_STREAM, IPPROTO_TCP);
bind(sock, ...);
connect(sock, ...);
send(sock, ...);
recv(sock, ...);
close(sock);
```

There are analogs to all of these calls in ns-3, but we will focus on two aspects here. First, most usage of sockets in real systems requires a way to manage I/O between the application and kernel. These models include *blocking sockets*,

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signal-based I/O, and non-blocking sockets with polling. In ns-3, we make use of the callback mechanisms to support a fourth mode, which is analogous to POSIX asynchronous I/O.

In this model, on the sending side, if the <code>send()</code> call were to fail because of insufficient buffers, the application suspends the sending of more data until a function registered at the <code>ns3::Socket::SetSendCallback()</code> callback is invoked. An application can also ask the socket how much space is available by calling <code>ns3::Socket::GetTxAvailable()</code>. A typical sequence of events for sending data (ignoring connection setup) might be:

```
SetSendCallback (MakeCallback(&HandleSendCallback));
Send ();
Send ();
...
// Send fails because buffer is full
// Wait until HandleSendCallback is called
// HandleSendCallback is called by socket, since space now available
Send (); // Start sending again
```

Similarly, on the receive side, the socket user does not block on a call to recv(). Instead, the application sets a callback with ns3::Socket::SetRecvCallback() in which the socket will notify the application when (and how much) there is data to be read, and the application then calls ns3::Socket::Recv() to read the data until no more can be read.

#### 23.4.2 Packet vs. buffer variants

There are two basic variants of Send () and Recv () supported:

```
virtual int Send (Ptr<Packet> p) = 0;
int Send (const uint8_t* buf, uint32_t size);
Ptr<Packet> Recv (void);
int Recv (uint8_t* buf, uint32_t size);
```

The non-Packet variants are provided for legacy API reasons. When calling the raw buffer variant of ns3::Socket::Send(), the buffer is immediately written into a Packet and the packet variant is invoked.

Users may find it semantically odd to pass a Packet to a stream socket such as TCP. However, do not let the name bother you; think of ns3::Packet to be a fancy byte buffer. There are a few reasons why the Packet variants are more likely to be preferred in ns-3:

- Users can use the Tags facility of packets to, for example, encode a flow ID or other helper data at the application layer.
- Users can exploit the copy-on-write implementation to avoid memory copies (on the receive side, the conversion back to a uint8\_t\* buf may sometimes incur an additional copy).
- Use of Packet is more aligned with the rest of the ns-3 API

## 23.4.3 Sending dummy data

Sometimes, users want the simulator to just pretend that there is an actual data payload in the packet (e.g. to calculate transmission delay) but do not want to actually produce or consume the data. This is straightforward to support in ns-3; have applications call Create<Packet> (size); instead of Create<Packet> (buffer, size);. Similarly, passing in a zero to the pointer argument in the raw buffer variants has the same effect. Note that, if some subsequent code tries to read the Packet data buffer, the fake buffer will be converted to a real (zeroed) buffer on the spot, and the efficiency will be lost there.

## 23.4.4 Socket options

to be completed

#### 23.4.5 Socket errno

to be completed

## 23.4.6 Example programs

to be completed

#### 23.4.7 POSIX-like sockets API

## 23.5 Simple NetDevice

Placeholder chapter

## 23.6 Queues

This section documents a few queue objects, typically associated with NetDevice models, that are maintained as part of the network module:

- DropTail
- Random Early Detection

## 23.6.1 Model Description

The source code for the new module lives in the directory src/network/utils.

ns-3 provides a couple of classic queue models and the ability to trace certain queue operations such as enqueuing, dequeuing, and dropping. These may be added to certain NetDevice objects that take a Ptr<Queue> pointer.

Note that not all device models use these queue models. In particular, WiFi, WiMax, and LTE use specialized device queues. The queue models described here are more often used with simpler ns-3 device models such as PointToPoint and Csma.

#### Design

An abstract base class, class Queue, is typically used and subclassed for specific scheduling and drop policies. Common operations include:

- bool Enqueue (Ptr<Packet> p): Enqueue a packet
- Ptr<Packet> Dequeue (void): Dequeue a packet
- uint32\_t GetNPackets (void): Get the queue depth, in packets
- uint32\_t GetNBytes (void): Get the queue depth, in packets

as well as tracking some statistics on queue operations.

There are three trace sources that may be hooked:

- Enqueue
- Dequeue
- Drop

#### **DropTail**

This is a basic first-in-first-out (FIFO) queue that performs a tail drop when the queue is full.

#### **Random Early Detection**

Random Early Detection (RED) is a queue variant that aims to provide early signals to transport protocol congestion control (e.g. TCP) that congestion is imminent, so that they back off their rate gracefully rather than with a bunch of tail-drop losses (possibly incurring TCP timeout). The model in ns-3 is a port of Sally Floyd's ns-2 RED model.

#### **Scope and Limitations**

The RED model just supports default RED. Adaptive RED is not supported.

#### References

The RED queue aims to be close to the results cited in: S.Floyd, K.Fall http://icir.org/floyd/papers/redsims.ps

#### 23.6.2 Usage

#### **Helpers**

A typical usage pattern is to create a device helper and to configure the queue type and attributes from the helper, such as this example from src/network/examples/red-tests.cc:

```
PointToPointHelper p2p;

p2p.SetQueue ("ns3::DropTailQueue");
p2p.SetDeviceAttribute ("DataRate", StringValue ("10Mbps"));
p2p.SetChannelAttribute ("Delay", StringValue ("2ms"));
NetDeviceContainer devn0n2 = p2p.Install (n0n2);

p2p.SetQueue ("ns3::DropTailQueue");
p2p.SetDeviceAttribute ("DataRate", StringValue ("10Mbps"));
p2p.SetChannelAttribute ("Delay", StringValue ("3ms"));
NetDeviceContainer devn1n2 = p2p.Install (n1n2);

p2p.SetQueue ("ns3::RedQueue", // only backbone link has RED queue "LinkBandwidth", StringValue (redLinkDataRate), "LinkDelay", StringValue (redLinkDelay));
p2p.SetDeviceAttribute ("DataRate", StringValue (redLinkDataRate));
p2p.SetChannelAttribute ("DataRate", StringValue (redLinkDelay));
NetDeviceContainer devn2n3 = p2p.Install (n2n3);
```

#### **Attributes**

The RED queue contains a number of attributes that control the RED policies:

- Mode (bytes or packets)
- MeanPktSize
- IdlePktSize
- Wait (time)
- · Gentle mode
- MinTh, MaxTh
- QueueLimit
- · Queue weight
- LInterm
- · LinkBandwidth
- · LinkDelay

Consult the ns-3 documentation for explanation of these attributes.

#### Output

The ns-3 ascii trace helpers used by many of the NetDevices will hook the Enqueue, Dequeue, and Drop traces of these queues and print out trace statements, such as the following from examples/udp-echo.cc:

```
+ 2 /NodeList/0/DeviceList/1/$ns3::CsmaNetDevice/TxQueue/Enqueue ns3::EthernetHeader (length/type=0x806, source=00:00:00:00:00:01, destination=ff:ff:ff:ff:ff:ff:ff:ns3::ArpHeader (request source mac: 00-06-00:00:00:00:00:00:01 source ipv4: 10.1.1.1 dest ipv4: 10.1.1.2) Payload (size=18) ns3::EthernetTrailer (fcs=0) - 2 /NodeList/0/DeviceList/1/$ns3::CsmaNetDevice/TxQueue/Dequeue ns3::EthernetHeader (length/type=0x806, source=00:00:00:00:01, destination=ff:ff:ff:ff:ff:ff:ns3::ArpHeader (request source mac: 00-06-00:00:00:00:00:01 source ipv4: 10.1.1.1 dest ipv4: 10.1.1.2) Payload (size=18) ns3::EthernetTrailer (fcs=0)
```

which shows an enqueue "+" and dequeue "-" event at time 2 seconds.

Users are, of course, free to define and hook their own trace sinks to these trace sources.

#### **Examples**

The drop-tail queue is used in several examples, such as examples/udp/udp-echo.cc. The RED queue example is found at src/network/examples/red-tests.cc.

#### 23.6.3 Validation

The RED model has been validated and the report is currently stored at: https://github.com/downloads/talau/ns-3-tcp-red/report-red-ns3.pdf

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# OPTIMIZED LINK STATE ROUTING (OLSR)

This model implements the base specification of the Optimized Link State Routing (OLSR) protocol, which is a dynamic mobile ad hoc unicast routing protocol. It has been developed at the University of Murcia (Spain) by Francisco J. Ros for NS-2, and was ported to NS-3 by Gustavo Carneiro at INESC Porto (Portugal).

## 24.1 Model Description

The source code for the OLSR model lives in the directory *src/olsr*.

## 24.1.1 **Design**

## 24.1.2 Scope and Limitations

The model is for IPv4 only.

- Mostly compliant with OLSR as documented in RFC 3626,
- The use of multiple interfaces was not supported by the NS-2 version, but is supported in NS-3;
- OLSR does not the respond to routing event notifications corresponding namic interface down (ns3::RoutingProtocol::NotifyInterfaceUp and ns3::RoutingProtocol::NotifyInterfaceDown) address inns3::RoutingProtocol::NotifyAddAddress and ns3::RoutingProtocol::NotifyRemoveAddress).
- Unlike the NS-2 version, does not yet support MAC layer feedback as described in RFC 3626;

Host Network Association (HNA) is supported in this implementation of OLSR. Refer to examples/olsr-hna.cc to see how the API is used.

## 24.1.3 References

# 24.2 Usage

## 24.2.1 Examples

## 24.2.2 Helpers

A helper class for OLSR has been written. After an IPv4 topology has been created and unique IP addresses assigned to each node, the simulation script writer can call one of three overloaded functions with different scope to enable OLSR: ns3::OlsrHelper::Install (NodeContainer container); ns3::OlsrHelper::Install (Ptr<Node> node);orns3::OlsrHelper::InstallAll (void)

#### 24.2.3 Attributes

In addition, the behavior of OLSR can be modified by changing certain attributes. The method ns3::OlsrHelper::Set () can be used to set OLSR attributes. These include HelloInterval, TcInterval, Mid-Interval, Willingness. Other parameters are defined as macros in olsr-routing-protocol.cc.

- **24.2.4 Tracing**
- 24.2.5 Logging
- 24.2.6 Caveats
- 24.3 Validation
- 24.3.1 Unit tests
- 24.3.2 Larger-scale performance tests

# OPENFLOW SWITCH SUPPORT

ns-3 simulations can use OpenFlow switches (McKeown et al. <sup>1</sup>), widely used in research. OpenFlow switches are configurable via the OpenFlow API, and also have an MPLS extension for quality-of-service and service-level-agreement support. By extending these capabilities to ns-3 for a simulated OpenFlow switch that is both configurable and can use the MPLS extension, ns-3 simulations can accurately simulate many different switches.

The OpenFlow software implementation distribution is hereby referred to as the OFSID. This is a demonstration of running OpenFlow in software that the OpenFlow research group has made available. There is also an OFSID that Ericsson researchers created to add MPLS capabilities; this is the OFSID currently used with ns-3. The design will allow the users to, with minimal effort, switch in a different OFSID that may include more efficient code than a previous OFSID.

## 25.1 Model Description

The model relies on building an external OpenFlow switch library (OFSID), and then building some ns-3 wrappers that call out to the library. The source code for the ns-3 wrappers lives in the directory src/openflow/model.

## 25.1.1 **Design**

The OpenFlow module presents a OpenFlowSwitchNetDevice and a OpenFlowSwitchHelper for installing it on nodes. Like the Bridge module, it takes a collection of NetDevices to set up as ports, and it acts as the intermediary between them, receiving a packet on one port and forwarding it on another, or all but the received port when flooding. Like an OpenFlow switch, it maintains a configurable flow table that can match packets by their headers and do different actions with the packet based on how it matches. The module's understanding of OpenFlow configuration messages are kept the same format as a real OpenFlow-compatible switch, so users testing Controllers via ns-3 won't have to rewrite their Controller to work on real OpenFlow-compatible switches.

The ns-3 OpenFlow switch device models an OpenFlow-enabled switch. It is designed to express basic use of the OpenFlow protocol, with the maintaining of a virtual Flow Table and TCAM to provide OpenFlow-like results.

The functionality comes down to the Controllers, which send messages to the switch that configure its flows, producing different effects. Controllers can be added by the user, under the ofi namespace extending ofi::Controller. To demonstrate this, a DropController, which creates flows for ignoring every single packet, and LearningController, which effectively makes the switch a more complicated BridgeNetDevice. A user versed in a standard OFSID, and/or OF protocol, can write virtual controllers to create switches of all kinds of types.

<sup>&</sup>lt;sup>1</sup> McKeown, N.; Anderson, T.; Balakrishan, H.; Parulkar, G.; Peterson, L.; Rexford, J.; Shenker, S.; Turner, J.; OpenFlow: enabling innovation in campus networks, ACM SIGCOMM Computer Communication Review, Vol. 38, Issue 2, April 2008.

#### **OpenFlow switch Model**

The OpenFlow switch device behaves somewhat according to the diagram setup as a classical OFSID switch, with a few modifications made for a proper simulation environment.

#### Normal OF-enabled Switch:

In essence, there are two differences:

- 1) No SSL, Embedded Controller: Instead of a secure channel and connecting to an outside location for the Controller program/machine, we currently only allow a Controller extended from ofi::Controller, an extension of an ns3::Object. This means ns-3 programmers cannot model the SSL part of the interface or possibility of network failure. The connection to the OpenFlowSwitch is local and there aren't any reasons for the channel/connection to break down. <<This difference may be an option in the future. Using EmuNetDevices, it should be possible to engage an external Controller program/machine, and thus work with controllers designed outside of the ns-3 environment, that simply use the proper OF protocol when communicating messages to the switch through a tap device.>>
- 2) Virtual Flow Table, TCAM: Typical OF-enabled switches are implemented on a hardware TCAM. The OFSID we turn into a library includes a modelled software TCAM, that produces the same results as a hardware TCAM. We include an attribute FlowTableLookupDelay, which allows a simple delay of using the TCAM to be modelled. We don't endeavor to make this delay more complicated, based on the tasks we are running on the TCAM, that is a possible future improvement.

The OpenFlowSwitch network device is aimed to model an OpenFlow switch, with a TCAM and a connection to a controller program. With some tweaking, it can model every switch type, per OpenFlow's extensibility. It outsources the complexity of the switch ports to NetDevices of the user's choosing. It should be noted that these NetDevices must behave like practical switch ports, i.e. a Mac Address is assigned, and nothing more. It also must support a SendFrom function so that the OpenFlowSwitch can forward across that port.

## 25.1.2 Scope and Limitations

All MPLS capabilities are implemented on the OFSID side in the OpenFlowSwitchNetDevice, but ns-3-mpls hasn't been integrated, so ns-3 has no way to pass in proper MPLS packets to the OpenFlowSwitch. If it did, one would only need to make BufferFromPacket pick up the MplsLabelStack or whatever the MPLS header is called on the Packet, and build the MPLS header into the ofpbuf.

## 25.1.3 Future Work

#### 25.1.4 References

## **25.2 Usage**

The OFSID requires libxml2 (for MPLS FIB xml file parsing), libdl (for address fault checking), and boost (for assert) libraries to be installed.

## 25.2.1 Building OFSID

In order to use the OpenFlowSwitch module, you must create and link the OFSID (OpenFlow Software Implementation Distribution) to ns-3. To do this:

1. Obtain the OFSID code. An ns-3 specific OFSID branch is provided to ensure operation with ns-3. Use mercurial to download this branch and waf to build the library:

```
$ hg clone http://code.nsnam.org/openflow
$ cd openflow
```

From the "openflow" directory, run:

```
$ ./waf configure
$ ./waf build
```

2. Your OFSID is now built into a libopenflow.a library! To link to an ns-3 build with this OpenFlow switch module, run from the ns-3-dev (or whatever you have named your distribution):

```
$ ./waf configure --enable-examples --enable-tests --with-openflow=path/to/openflow
```

3. Under ---- Summary of optional NS-3 features: you should see:

```
"NS-3 OpenFlow Integration : enabled"
```

indicating the library has been linked to ns-3. Run:

```
$ ./waf build
```

to build ns-3 and activate the OpenFlowSwitch module in ns-3.

## 25.2.2 Examples

For an example demonstrating its use in a simple learning controller/switch, run:

```
$ ./waf --run openflow-switch
```

To see it in detailed logging, run:

```
$ ./waf --run "openflow-switch -v"
```

## 25.2.3 Helpers

#### 25.2.4 Attributes

The SwitchNetDevice provides following Attributes:

- FlowTableLookUpDelay: This time gets run off the clock when making a lookup in our Flow Table.
- Flags: OpenFlow specific configuration flags. They are defined in the ofp\_config\_flags enum. Choices include:

  OFPC\_SEND\_FLOW\_EXP (Switch notifies controller when a flow has expired),

  OFPC\_FRAG\_NORMAL (Match fragment against Flow table), OFPC\_FRAG\_DROP (Drop fragments),

  OFPC\_FRAG\_REASM (Reassemble only if OFPC\_IP\_REASM set, which is currently impossible,

  because switch implementation does not support IP reassembly) OFPC\_FRAG\_MASK (Mask Fragments)
- FlowTableMissSendLength: When the packet doesn't match in our Flow Table, and we forward to the controller, this sets # of bytes forwarded (packet is not forwarded in its entirety, unless specified).

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Note: TODO

## **25.2.5 Tracing**

Note: TODO

## 25.2.6 Logging

Note: TODO

## **25.2.7 Caveats**

Note: TODO

# 25.3 Validation

This model has one test suite which can be run as follows:

\$ ./test.py --suite=openflow

# POINTTOPOINT NETDEVICE

This is the introduction to PointToPoint NetDevice chapter, to complement the PointToPoint model doxygen.

## 26.1 Overview of the PointToPoint model

The *ns-3* point-to-point model is of a very simple point to point data link connecting exactly two PointToPointNetDevice devices over an PointToPointChannel. This can be viewed as equivalent to a full duplex RS-232 or RS-422 link with null modem and no handshaking.

Data is encapsulated in the Point-to-Point Protocol (PPP – RFC 1661), however the Link Control Protocol (LCP) and associated state machine is not implemented. The PPP link is assumed to be established and authenticated at all times.

Data is not framed, therefore Address and Control fields will not be found. Since the data is not framed, there is no need to provide Flag Sequence and Control Escape octets, nor is a Frame Check Sequence appended. All that is required to implement non-framed PPP is to prepend the PPP protocol number for IP Version 4 which is the sixteen-bit number 0x21 (see http://www.iana.org/assignments/ppp-numbers).

The PointToPointNetDevice provides following Attributes:

- Address: The ns3::Mac48Address of the device (if desired);
- DataRate: The data rate (ns3::DataRate) of the device;
- TxQueue: The transmit queue (ns3::Queue) used by the device;
- InterframeGap: The optional ns3::Time to wait between "frames";
- Rx: A trace source for received packets;
- Drop: A trace source for dropped packets.

The PointToPointNetDevice models a transmitter section that puts bits on a corresponding channel "wire." The DataRate attribute specifies the number of bits per second that the device will simulate sending over the channel. In reality no bits are sent, but an event is scheduled for an elapsed time consistent with the number of bits in each packet and the specified DataRate. The implication here is that the receiving device models a receiver section that can receive any any data rate. Therefore there is no need, nor way to set a receive data rate in this model. By setting the DataRate on the transmitter of both devices connected to a given PointToPointChannel one can model a symmetric channel; or by setting different DataRates one can model an asymmetric channel (e.g., ADSL).

The PointToPointNetDevice supports the assignment of a "receive error model." This is an ErrorModel object that is used to simulate data corruption on the link.

## 26.2 Point-to-Point Channel Model

The point to point net devices are connected via an PointToPointChannel. This channel models two wires transmitting bits at the data rate specified by the source net device. There is no overhead beyond the eight bits per byte of the packet sent. That is, we do not model Flag Sequences, Frame Check Sequences nor do we "escape" any data.

The PointToPointChannel provides following Attributes:

• Delay: An ns3::Time specifying the speed of light transmission delay for the channel.

## 26.3 Using the PointToPointNetDevice

The PointToPoint net devices and channels are typically created and configured using the associated PointToPointHelper object. The various ns3 device helpers generally work in a similar way, and their use is seen in many of our example programs and is also covered in the *ns-3* tutorial.

The conceptual model of interest is that of a bare computer "husk" into which you plug net devices. The bare computers are created using a NodeContainer helper. You just ask this helper to create as many computers (we call them Nodes) as you need on your network:

```
NodeContainer nodes;
nodes.Create (2);
```

Once you have your nodes, you need to instantiate a PointToPointHelper and set any attributes you may want to change. Note that since this is a point-to-point (as compared to a point-to-multipoint) there may only be two nodes with associated net devices connected by a PointToPointChannel.:

```
PointToPointHelper pointToPoint;
pointToPoint.SetDeviceAttribute ("DataRate", StringValue ("5Mbps"));
pointToPoint.SetChannelAttribute ("Delay", StringValue ("2ms"));
```

Once the attributes are set, all that remains is to create the devices and install them on the required nodes, and to connect the devices together using a PointToPoint channel. When we create the net devices, we add them to a container to allow you to use them in the future. This all takes just one line of code.:

```
NetDeviceContainer devices = pointToPoint.Install (nodes);
```

## 26.4 PointToPoint Tracing

Like all *ns-3* devices, the Point-to-Point Model provides a number of trace sources. These trace sources can be hooked using your own custom trace code, or you can use our helper functions to arrange for tracing to be enabled on devices you specify.

## 26.4.1 Upper-Level (MAC) Hooks

From the point of view of tracing in the net device, there are several interesting points to insert trace hooks. A convention inherited from other simulators is that packets destined for transmission onto attached networks pass through a single "transmit queue" in the net device. We provide trace hooks at this point in packet flow, which corresponds (abstractly) only to a transition from the network to data link layer, and call them collectively the device MAC hooks.

When a packet is sent to the Point-to-Point net device for transmission it always passes through the transmit queue. The transmit queue in the PointToPointNetDevice inherits from Queue, and therefore inherits three trace sources:

- An Enqueue operation source (see ns3::Queue::m\_traceEnqueue);
- A Dequeue operation source (see ns3::Queue::m traceDequeue);
- A Drop operation source (see ns3::Queue::m\_traceDrop).

The upper-level (MAC) trace hooks for the PointToPointNetDevice are, in fact, exactly these three trace sources on the single transmit queue of the device.

The m\_traceEnqueue event is triggered when a packet is placed on the transmit queue. This happens at the time that ns3::PointtoPointNetDevice::Send or ns3::PointToPointNetDevice::SendFrom is called by a higher layer to queue a packet for transmission. An Enqueue trace event firing should be interpreted as only indicating that a higher level protocol has sent a packet to the device.

The m\_traceDequeue event is triggered when a packet is removed from the transmit queue. Dequeues from the transmit queue can happen in two situations: 1) If the underlying channel is idle when PointToPointNetDevice::Send is called, a packet is dequeued from the transmit queue and immediately transmitted; 2) a packet may be dequeued and immediately transmitted in an internal TransmitCompleteEvent that functions much like a transmit complete interrupt service routine. An Dequeue trace event firing may be viewed as indicating that the PointToPointNetDevice has begun transmitting a packet.

## 26.4.2 Lower-Level (PHY) Hooks

Similar to the upper level trace hooks, there are trace hooks available at the lower levels of the net device. We call these the PHY hooks. These events fire from the device methods that talk directly to the PointToPointChannel.

The trace source m\_dropTrace is called to indicate a packet that is dropped by the device. This happens when a packet is discarded as corrupt due to a receive error model indication (see ns3::ErrorModel and the associated attribute "ReceiveErrorModel").

The other low-level trace source fires on reception of a packet (see ns3::PointToPointNetDevice::m\_rxTrace) from the PointToPointChannel.

# **PROPAGATION**

The *ns-3* propagation module defines two generic interfaces, namely PropagationLossModel and PropagationDelayModel, for the modeling of respectively propagation loss and propagation delay.

## 27.1 PropagationLossModel

Each of the available propagation loss models of ns-3 is explained in one of the following subsections.

- 27.1.1 FriisPropagationLossModel
- 27.1.2 TwoRayGroundPropagationLossModel
- 27.1.3 LogDistancePropagationLossModel
- 27.1.4 ThreeLogDistancePropagationLossModel
- 27.1.5 JakesPropagationLossModel
- 27.1.6 PropagationLossModel
- 27.1.7 RandomPropagationLossModel
- 27.1.8 NakagamiPropagationLossModel
- 27.1.9 FixedRssLossModel
- 27.1.10 MatrixPropagationLossModel
- 27.1.11 RangePropagationLossModel

## 27.1.12 OkumuraHataPropagationLossModel

This model is used to model open area pathloss for long distance (i.e., > 1 Km). In order to include all the possible frequencies usable by LTE we need to consider several variants of the well known Okumura Hata model. In fact, the original Okumura Hata model [hata] is designed for frequencies ranging from 150 MHz to 1500 MHz, the COST231 [cost231] extends it for the frequency range from 1500 MHz to 2000 MHz. Another important aspect is the scenarios

considered by the models, in fact the all models are originally designed for urban scenario and then only the standard one and the COST231 are extended to suburban, while only the standard one has been extended to open areas. Therefore, the model cannot cover all scenarios at all frequencies. In the following we detail the models adopted.

The pathloss expression of the COST231 OH is:

$$L = 46.3 + 33.9 \log f - 13.82 \log h_b + (44.9 - 6.55 \log h_b) \log d - F(h_M) + C$$

where

$$F(h_{\mathrm{M}}) = \left\{ \begin{array}{ll} (1.1\log(f)) - 0.7 \times h_{\mathrm{M}} - (1.56 \times \log(f) - 0.8) & \text{for medium and small size cities} \\ 3.2 \times (\log{(11.75 \times h_{\mathrm{M}})})^2 & \text{for large cities} \end{array} \right.$$

$$C = \left\{ \begin{array}{ll} 0dB & \text{for medium-size cities and suburban areas} \\ 3dB & \text{for large cities} \end{array} \right.$$

and

f: frequency [MHz]

 $h_{\rm b}$ : eNB height above the ground [m]

 $h_{\mathrm{M}}$ : UE height above the ground [m]

d: distance [km]

log: is a logarithm in base 10 (this for the whole document)

This model is only for urban scenarios.

The pathloss expression of the standard OH in urban area is:

$$L = 69.55 + 26.16 \log f - 13.82 \log h_b + (44.9 - 6.55 \log h_b) \log d - C_H$$

where for small or medium sized city

$$C_{\rm H} = 0.8 + (1.1 \log f - 0.7) h_{\rm M} - 1.56 \log f$$

and for large cities

$$C_{\rm H} = \begin{cases} 8.29(\log(1.54h_M))^2 - 1.1 & \text{if } 150 \le f \le 200\\ 3.2(\log(11.75h_M))^2 - 4.97 & \text{if } 200 < f \le 1500 \end{cases}$$

There extension for the standard OH in suburban is

$$L_{\rm SU} = L_{\rm U} - 2\left(\log\frac{f}{28}\right)^2 - 5.4$$

where

 $L_{\rm U}$ : pathloss in urban areas

The extension for the standard OH in open area is

$$L_{\rm O} = L_{\rm U} - 4.70(\log f)^2 + 18.33\log f - 40.94$$

The literature lacks of extensions of the COST231 to open area (for suburban it seems that we can just impose C = 0); therefore we consider it a special case fo the suburban one.

## 27.1.13 ItuR1411LosPropagationLossModel

This model is designed for Line-of-Sight (LoS) short range outdoor communication in the frequency range 300 MHz to 100 GHz. This model provides an upper and lower bound respectively according to the following formulas

$$L_{\text{LoS},l} = L_{\text{bp}} + \begin{cases} 20 \log \frac{d}{R_{\text{bp}}} & \text{for } d \leq R_{\text{bp}} \\ 40 \log \frac{d}{R_{\text{bp}}} & \text{for } d > R_{\text{bp}} \end{cases}$$

$$L_{\rm LoS,u} = L_{\rm bp} + 20 + \begin{cases} 25 \log \frac{d}{R_{\rm bp}} & \text{for } d \le R_{\rm bp} \\ 40 \log \frac{d}{R_{\rm bp}} & \text{for } d > R_{\rm bp} \end{cases}$$

where the breakpoint distance is given by

$$R_{\rm bp} pprox rac{4h_{
m b}h_{
m m}}{\lambda}$$

and the above parameters are

 $\lambda$ : wavelength [m]

 $h_{\rm b}$  : eNB height above the ground [m]

 $h_{\rm m}$ : UE height above the ground [m]

d: distance [m]

and  $L_{bp}$  is the value for the basic transmission loss at the break point, defined as:

$$L_{bp} = \left| 20 \log \left( \frac{\lambda^2}{8\pi h_{\rm b} h_{\rm m}} \right) \right|$$

The value used by the simulator is the average one for modeling the median pathloss.

## 27.1.14 ItuR1411NlosOverRooftopPropagationLossModel

This model is designed for Non-Line-of-Sight (LoS) short range outdoor communication over rooftops in the frequency range 300 MHz to 100 GHz. This model includes several scenario-dependent parameters, such as average street width, orientation, etc. It is advised to set the values of these parameters manually (using the ns-3 attribute system) according to the desired scenario.

In detail, the model is based on [walfisch] and [ikegami], where the loss is expressed as the sum of free-space loss  $(L_{bf})$ , the diffraction loss from rooftop to street  $(L_{rts})$  and the reduction due to multiple screen diffraction past rows of building  $(L_{msd})$ . The formula is:

$$L_{NLOS1} = \left\{ \begin{array}{ll} L_{bf} + L_{rts} + L_{msd} & \text{for } L_{rts} + L_{msd} > 0 \\ L_{bf} & \text{for } L_{rts} + L_{msd} \leq 0 \end{array} \right.$$

The free-space loss is given by:

$$L_{bf} = 32.4 + 20\log(d/1000) + 20\log(f)$$

where:

f: frequency [MHz]

d: distance (where d > 1) [m]

The term  $L_{rts}$  takes into account the width of the street and its orientation, according to the formulas

$$L_{rts} = -8.2 - 10\log(w) + 10\log(f) + 20\log(\Delta h_m) + L_{ori}$$

$$L_{ori} = \begin{cases} -10 + 0.354\varphi & \text{for } 0^{\circ} \le \varphi < 35^{\circ} \\ 2.5 + 0.075(\varphi - 35) & \text{for } 35^{\circ} \le \varphi < 55^{\circ} \\ 4.0 - 0.114(\varphi - 55) & \text{for } 55^{\circ} \varphi \le 90^{\circ} \end{cases}$$

$$\Delta h_m = h_r - h_m$$

where:

 $h_r$ : is the height of the rooftop [m]

 $h_m$ : is the height of the mobile [m]

 $\varphi$ : is the street orientation with respect to the direct path (degrees)

The multiple screen diffraction loss depends on the BS antenna height relative to the building height and on the incidence angle. The former is selected as the higher antenna in the communication link. Regarding the latter, the "settled field distance" is used for select the proper model; its value is given by

$$d_s = \frac{\lambda d^2}{\Delta h_b^2}$$

with

$$\Delta h_b = h_b - h_m$$

Therefore, in case of  $l > d_s$  (where l is the distance over which the building extend), it can be evaluated according to

$$L_{msd} = L_{bsh} + k_a + k_d \log \left( d/1000 \right) + k_f \log \left( f \right) - 9 \log \left( b \right)$$
 
$$L_{bsh} = \begin{cases} -18 \log \left( 1 + \Delta h_b \right) & \text{for } h_b > h_r \\ 0 & \text{for } h_b \leq h_{hr} \end{cases}$$
 
$$k_a = \begin{cases} 71.4 & \text{for } h_b > h_r \text{ and } f > 2000 \text{ MHz} \\ 54 & \text{for } h_b > h_r \text{ and } f \leq 2000 \text{ MHz} \\ 54 - 0.8 \Delta h_b & \text{for } h_b \leq h_r \text{ and } d \geq 500 \text{ m} \\ 54 - 1.6 \Delta h_b & \text{for } h_b \leq h_r \text{ and } d < 500 \text{ m} \end{cases}$$
 
$$k_d = \begin{cases} 18 & \text{for } h_b > h_r \\ 18 - 15 \frac{\Delta h_b}{h_r} & \text{for } h_b \leq h_r \end{cases}$$
 
$$k_f = \begin{cases} -8 & \text{for } f > 2000 \text{ MHz} \\ -4 + 0.7 (f/925 - 1) & \text{for medium city and suburban centres and } f \leq 2000 \text{ MHz} \end{cases}$$
 
$$-4 + 1.5 (f/925 - 1) & \text{for metropolitan centres and } f \leq 2000 \text{ MHz} \end{cases}$$

Alternatively, in case of  $l < d_s$ , the formula is:

$$L_{msd} = -10\log\left(Q_M^2\right)$$

where

$$Q_{M} = \begin{cases} 2.35 \left(\frac{\Delta h_{b}}{d} \sqrt{\frac{b}{\lambda}}\right)^{0.9} & \text{for } h_{b} > h_{r} \\ \frac{b}{d} & \text{for } h_{b} \approx h_{r} \\ \frac{b}{2\pi d} \sqrt{\frac{\lambda}{\rho}} \left(\frac{1}{\theta} - \frac{1}{2\pi + \theta}\right) & \text{for } h_{b} < h_{r} \end{cases}$$

where:

$$\theta = \arctan\left(\frac{\Delta h_b}{b}\right)$$
$$\rho = \sqrt{\Delta h_b^2 + b^2}$$

## 27.1.15 Kun2600MhzPropagationLossModel

This is the empirical model for the pathloss at 2600 MHz for urban areas which is described in [kun2600mhz]. The model is as follows. Let d be the distance between the transmitter and the receiver in meters; the pathloss L in dB is calculated as:

$$L = 36 + 26 \log d$$

## 27.2 PropagationDelayModel

The following propagation delay models are implemented:

- 27.2.1 PropagationDelayModel
- 27.2.2 RandomPropagationDelayModel
- 27.2.3 ConstantSpeedPropagationDelayModel

# **SPECTRUM MODULE**

The Spectrum module aims at providing support for modeling the frequency-dependent aspects of communications in *ns-3*. The model was first introduced in [Baldo2009], and has been enhanced and refined over the years.

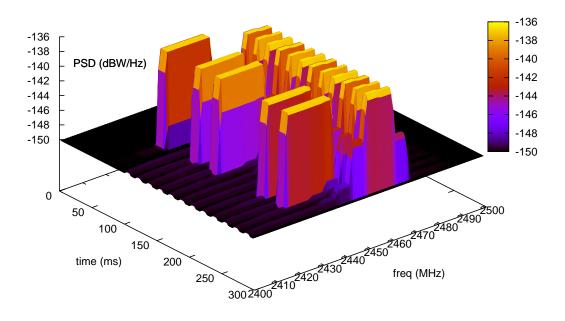


Figure 28.1: Spectrogram produced by a spectrum analyzer in a scenario involving wifi signals interfered by a microwave oven, as simulated by the example adhoc-aloha-ideal-phy-with-microwave-oven.

# 28.1 Model Description

The module provides:

- a set of classes for modeling signals and
- a Channel/PHY interface based on a power spectral density signal representation that is technology-independent

- two technology-independent Channel implementations based on the Channel/PHY interface
- a set of basic PHY model implementations based on the Channel/PHY interface

The source code for the spectrum module is located at src/spectrum.

# 28.1.1 **Design**

#### Signal model

The signal model is implemented by the SpectrumSignalParameters class. This class provides the following information for a signal being transmitted/received by PHY devices:

- a reference to the transmitting PHY device
- a reference to the antenna model used by the transmitting PHY device to transmit this signal
- the duration of the signal
- its Power Spectral Density (PSD) of the signal, which is assumed to be constant for the duration of the signal.

The PSD is represented as a set of discrete scalar values each corresponding to a certain subband in frequency. The set of frequency subbands to which the PSD refers to is defined by an instance of the SpectrumModel class. The PSD itself is implemented as an instance of the SpectrumValue class which contains a reference to the associated SpectrumModel class instance. The SpectrumValue class provides several arithmetic operators to allow to perform calculations with PSD instances. Additionally, the SpectrumConverter class provides means for the conversion of SpectrumValue instances from one SpectrumModel to another.

For a more formal mathematical description of the signal model just described, the reader is referred to [Baldo2009].

The SpectrumSignalParameters class is meant to include only information that is valid for all signals; as such, it is not meant to be modified to add technology-specific information (such as type of modulation and coding schemes used, info on preambles and reference signals, etc). Instead, such information shall be put in a new class that inherits from SpectrumSignalParameters and extends it with any technology-specific information that is needed. This design is intended to model the fact that in the real world we have signals of different technologies being simultaneously transmitted and received over the air.

#### Channel/PHY interface

The spectrum Channel/PHY interface is defined by the base classes SpectrumChannel and SpectrumPhy. Their interaction simulates the transmission and reception of signals over the medium. The way this interaction works is depicted in Sequence diagram showing the interaction between SpectrumPhy and SpectrumChannel:

### **Spectrum Channel implementations**

The module provides two SpectrumChannel implementations: SingleModelSpectrumChannel and MultiModelSpectrumChannel. They both provide this functionality:

- Propagation loss modeling, in two forms:
  - you can plug models based on PropagationLossModel on these channels. Only linear models (where the loss value does not depend on the transmission power) can be used. These models are single-frequency in the sense that the loss value is applied equally to all components of the power spectral density.
  - you can plug models based on SpectrumPropagationLossModel on these channels. These models
    can have frequency-dependent loss, i.e., a separate loss value is calculated and applied to each component
    of the power spectral density.

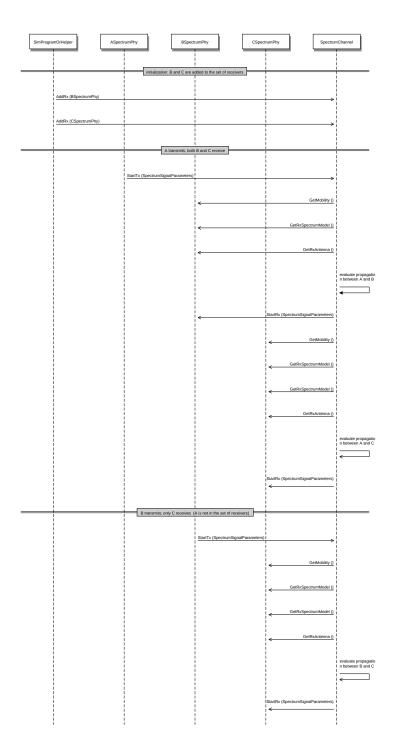


Figure 28.2: Sequence diagram showing the interaction between SpectrumPhy and SpectrumChannel

• Propagation delay modeling, by plugging a model based on PropagationDelayModel. The delay is independent of frequency and applied to the signal as a whole. Delay modeling is implemented by scheduling the StartRx event with a delay respect to the StartTx event.

SingleModelSpectrumChannel and MultiModelSpectrumChannel are quite similar, the main difference is that MultiModelSpectrumChannel allows to use different SpectrumModel instances with the same channel instance, by automatically taking care of the conversion of PSDs among the different models.

#### **Example model implementations**

The spectrum module provides some basic implementation of several components that are mainly intended as a proofof-concept and as an example for building custom models with the spectrum module. Here is a brief list of the available implementations:

- SpectrumModel300Khz300GhzLog and SpectrumModelIsm2400MhzRes1Mhz are two example SpectrumModel implementations
- HalfDuplexIdealPhy: a basic PHY model using a gaussian interference model (implemented in SpectrumInterference) together with an error model based on Shannon capacity (described in [Baldo2009] and implemented in SpectrumErrorModel. This PHY uses the GenericPhy interface. Its addditional custom signal parameters are defined in HalfDuplexIdealPhySignalParameters.
- WifiSpectrumValueHelper is an helper object that makes it easy to create SpectrumValues representing PSDs and RF filters for the wifi technology.
- AlohaNoackNetDevice: a minimal NetDevice that allows to send packets over HalfDuplexIdealPhy (or other PHY model based on the GenericPhy interface).
- SpectrumAnalyzer, WaveformGenerator and MicrowaveOven are examples of PHY models other than communication devices the names should be self-explaining.

#### 28.1.2 References

# 28.2 Usage

The main use case of the spectrum model is for developers who want to develop a new model for the PHY layer of some wireless technology to be used within ns-3. Here are some notes on how the spectrum module is expected to be used

- SpectrumPhy and SpectrumChannel are abstract base classes. Real code will use classes that inherit from these classes.
- If you are implementing a new model for some wireless technology of your interest, and want to use the spectrum module, you'll typically create your own module and make it depend on the spectrum module. Then you typically have to implement:
  - a child class of SpectrumModel which defines the (sets of) frequency subbands used by the considered wireless technology. Note: instances of SpectrumModel are typically statically allocated, in order to allow several SpectrumValue instances to reference the same SpectrumModel instance.
  - a child class of SpectrumPhy which will handle transmission and reception of signals (including, if appropriate, interference and error modeling).
  - a child class of SpectrumSignalParameters which will contain all the information needed to
    model the signals for the wireless technology being considered that is not already provided by the base
    SpectrumSignalParameters class. Examples of such information are the type of modulation and
    coding schemes used, the PHY preamble format, info on the pilot/reference signals, etc.

- The available SpectrumChannel implementations (SingleModelSpectrumChannel and MultiModelSpectrumChannel, are quite generic. Chances are you can use them as-is. Whether you prefer one or the other it is just a matter of whether you will have a single SpectrumModel or multiple ones in your simulations.
- Typically, there will be a single SpectrumChannel instance to which several SpectrumPhy instances are plugged. The rule of thumb is that all PHYs that are interfering with each other shall be plugged on the same channel. Multiple SpectrumChannel instances are expected to be used mainly when simulating completely orthogonal channels; for example, when simulating the uplink and downlink of a Frequency Division Duplex system, it is a good choice to use two SpectrumChannel instances in order to reduce computational complexity.
- Different types of SpectrumPhy (i.e., instances of different child classes) can be plugged on the same SpectrumChannel instance. This is one of the main features of the spectrum module, to support inter-technology interference. For example, if you implement a WifiSpectrumPhy and a BluetoohSpectrumPhy, and plug both on a SpectrumChannel, then you'll be able to simulate interference between wifi and bluetooth and vice versa.
- Different child classes of SpectrumSignalParameters can coexist in the same simulation, and be transmitted over the same channel object. Again, this is part of the support for inter-technology interference. A PHY device model is expected to use the DynamicCast<> operator to determine if a signal is of a certain type it can attempt to receive. If not, the signal is normally expected to be considered as interference.

# 28.2.1 Helpers

The helpers provided in src/spectrum/helpers are mainly intended for the example implementations described in *Example model implementations*. If you are developing your custom model based on the spectrum framework, you will probably prefer to define your own helpers.

#### 28.2.2 Attributes

- Both SingleModelSpectrumChannel and MultiModelSpectrumChannel have an attribute MaxLossDb which can use to avoid propagating signals affected by very high propagation loss. You can use this to reduce the complexity of interference calculations. Just be careful to choose a value that does not make the interference calculations inaccurate.
- The example implementations described in *Example model implementations* also have several attributes.

# 28.2.3 **Output**

- Both SingleModelSpectrumChannel and MultiModelSpectrumChannel provide a trace source called PathLoss which is fired whenever a new path loss value is calculated. **Note**: only single-frequency path loss is accounted for, see the attribute description.
- The example implementations described in *Example model implementations* also provide some trace sources.
- The helper class SpectrumAnalyzerHelper can be conveniently used to generate an output text file containing the spectrogram produced by a SpectrumAnalyzer instance. The format is designed to be easily plotted with gnuplot. For example, if your run the example adhoc-aloha-ideal-phy-with-microwave-oven you will get an output file called spectrum-analyzer-output-3-0.tr. From this output file, you can generate a figure similar to Spectrogram produced by a spectrum analyzer in a scenario involving wifi signals interfered by a microwave oven, as simulated by the example adhoc-aloha-ideal-phy-with-microwave-oven. by executing the following gnuplot commands:

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```
unset surface
set pm3d at s
set palette
set key off
set view 50,50
set xlabel "time (ms)"
set ylabel "freq (MHz)"
set zlabel "PSD (dBW/Hz)" offset 15,0,0
splot "./spectrum-analyzer-output-3-0.tr" using ($1*1000.0):($2/1e6):(10*log10($3))
```

# 28.2.4 Examples

The example programs in src/spectrum/examples/ allow to see the example implementations described in *Example model implementations* in action.

# 28.2.5 Troubleshooting

• Disclaimer on inter-technology interference: the spectrum model makes it very easy to implement an inter-technology interference model, but this does not guarantee that the resulting model is accurate. For example, the gaussian interference model implemented in the SpectrumInterference class can be used to calculate inter-technology interference, however the results might not be valid in some scenarios, depending on the actual waveforms involved, the number of interferers, etc. Moreover, it is very important to use error models that are consistent with the interference model. The responsibility of ensuring that the models being used are correct is left to the user.

# 28.3 Testing

In this section we describe the test suites that are provided within the spectrum module.

# 28.3.1 SpectrumValue test

The test suite spectrum-value verifies the correct functionality of the arithmetic operators implemented by the SpectrumValue class. Each test case corresponds to a different operator. The test passes if the result provided by the operator implementation is equal to the reference values which were calculated offline by hand. Equality is verified within a tolerance of  $10^{-6}$  which is to account for numerical errors.

# 28.3.2 SpectrumConverter test

The test suite spectrum-converter verifies the correct functionality of the SpectrumConverter class. Different test cases correspond to the conversion of different SpectrumValue instances to different SpectrumModel instances. Each test passes if the SpectrumValue instance resulting from the conversion is equal to the reference values which were calculated offline by hand. Equality is verified within a tolerance of  $10^{-6}$  which is to account for numerical errors.

Describe how the model has been tested/validated. What tests run in the test suite? How much API and code is covered by the tests? Again, references to outside published work may help here.

### 28.3.3 Interference test

The test suite <code>spectrum-interference</code> verifies the correct functionality of the <code>SpectrumInterference</code> and <code>ShannonSpectrumErrorModel</code> in a scenario involving four signals (an intended signal plus three interferers). Different test cases are created corresponding to different PSDs of the intended signal and different amount of transmitted bytes. The test passes if the output of the error model (successful or failed) coincides with the expected one which was determine offline by manually calculating the achievable rate using Shannon's formula.

# 28.3.4 IdealPhy test

The test verifies that AlohaNoackNetDevice and HalfDuplexIdealPhy work properly when installed in a node. The test recreates a scenario with two nodes (a TX and a RX) affected by a path loss such that a certain SNR is obtained. The TX node transmits with a pre-determined PHY rate and with an application layer rate which is larger than the PHY rate, so as to saturate the channel. PacketSocket is used in order to avoid protocol overhead. Different test cases correspond to different PHY rate and SNR values. For each test case, we calculated offline (using Shannon's formula) whether the PHY rate is achievable or not. Each test case passes if the following conditions are satisfied:

- if the PHY rate is achievable, the application throughput shall be within 1% of the PHY rate;
- if the PHY rate is not achievable, the application throughput shall be zero.

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# 6LOWPAN: TRANSMISSION OF IPV6 PACKETS OVER IEEE 802.15.4 NETWORKS

This chapter describes the implementation of *ns-3* model for the compression of IPv6 packets over IEEE 802.15.4-Based Networks as specified by RFC 4944 and RFC 6282.

# 29.1 Model Description

The source code for the sixlowpan module lives in the directory src/sixlowpan.

# 29.1.1 Design

The model design does not follow strictly the standard from an architectural standpoint, as it does extend it beyond the original scope by supporting also other kinds of networks.

Other than that, the module strictly follows RFC 4944 and RFC 6282, with the following exceptions:

- MESH and LOWPAN\_BC0 dispatch types are not supported
- HC2 encoding is not supported
- IPHC's SAC and DAC are not supported

The MESH and LOWPAN\_BC0 are not supported as they do apply only to mesh-under architecture, which is not one of the goals of the module development.

The HC2 encoding is not supported, as it has been superseded by IPHC and NHC compression type (RFC 6282).

IPHC SAC and DAC are not yet supported, as they do require RFC 6775 for full compliance. It is planned to support them in the future.

#### **NetDevice**

The whole module is developed as a transparent NetDevice, which can act as a proxy between IPv6 and any NetDevice (the module has been successfully tested with PointToPointNedevice, CsmaNetDevice and LrWpanNetDevice).

For this reason, the module implements a virtual NetDevice, and all the calls are passed without modifications to the underlying NetDevice. The only important difference is in GetMtu behaviour. It will always return *at least* 1280 bytes, as is the minumum IPv6 MTU.

The module does provide some attributes and some tracesources. The attributes are:

- Rfc6282 (boolean, default true), used to activate HC1 (RFC 4944) or IPHC (RFC 6282) compression.
- OmitUdpChecksum (boolean, default true), used to activate UDP checksum compression in IPHC.
- FragmentReassemblyListSize (integer, default 0), indicating the number of packets that can be reassembled at the same time. If the limit is reached, the oldest packet is discarded. Zero means infinite.
- FragmentExpirationTimeout (Time, default 60 seconds), being the timeout to wait for further fragments before discarding a partial packet.
- CompressionThreshold (unsigned 32 bits integer, default 0), minimum compressed payload size.
- ForceEtherType (boolean, default false), and
- EtherType (unsigned 16 bits integer, default 0xFFFF), to force a particular L2 EtherType.

The CompressionThreshold attribute is similar to Contiki's SICSLOWPAN\_CONF\_MIN\_MAC\_PAYLOAD option. If a compressed packet size is less than the threshold, the uncompressed version is used (plus one byte for the correct dispatch header). This option is useful only when a MAC with specific requirement for minimum frame size is used (e.g., ContikiMAC).

The last two attributes are needed to use the module with a NetDevice other than 802.15.4, as neither IANA or IEEE did reserve an EtherType for 6LoWPAN. As a consequence there might be a conflict with the L2 multiplexer/demultiplexer which is based on EtherType. The default value is 0xFFFF, which is reserved by IEEE (see [IANA802] and [Ethertype]). The default module behaviour is to not change the EtherType, however this would not work with any NetDevice actually understanding and using the EtherType.

Note that the *ForceEtherType* parameter have also a direct effect on the MAC address kind the module is expecting to handle: \* ForceEtherType true: Mac48Address (Ethernet, WiFi, etc.). \* ForceEtherType false: Mac16Address or Mac64Address (IEEE 802.15.4).

Note that using 6LoWPAN over any NetDevice other than 802.15.4 will produce valid .pcap files, but they will not be correctly dissected by Wireshark. The reason lies on the fact that 6LoWPAN was really meant to be used only over 802.15.4, so Wireshark dissectors will not even try to decode 6LoWPAN headers on top of protocols other than 802.15.4.

The Trace sources are:

- Tx exposing packet (including 6LoWPAN header), SixLoWPanNetDevice Ptr, interface index.
- Rx exposing packet (including 6LoWPAN header), SixLoWPanNetDevice Ptr, interface index.
- Drop exposing DropReason, packet (including 6LoWPAN header), SixLoWPanNetDevice Ptr, interface index.

The Tx and Rx traces are called as soon as a packet is received or sent. The Drop trace is invoked when a packet (or a fragment) is discarded.

### 29.1.2 Scope and Limitations

Future versions of this module will support RFC 6775, however no timeframe is guaranteed.

#### Using 6LoWPAN with IPv4 (or other L3 protocols)

As the name implies, 6LoWPAN can handle only IPv6 packets. Any other protocol will be discarded. Moreover, 6LoWPAN assumes that the network is uniform, as is all the devices connected by the same same channel are using 6LoWPAN. Mixed environments are not supported by the standard. The reason is simple: 802.15.4 frame doesn't have a "protocol" field. As a consequence, there is no demultiplexing at MAC layer and the protocol carried by L2 frames must be known in advance.

In the *ns-3* implementation it is possible, but not advisable, to violate this requirement if the underlying NetDevice is capable of discriminating different protocols. As an example, CsmaNetDevice can carry IPv4 and 6LoWPAN at the same time. However, this configuration has not been tested.

#### 29.1.3 References

# 29.2 Usage

# 29.2.1 Enabling sixlowpan

Add sixlowpan to the list of modules built with ns-3.

# 29.2.2 Helper

The helper is patterned after other device helpers.

# 29.2.3 Examples

The following example can be found in src/sixlowpan/examples/:

• example-sixlowpan.cc: A simple example showing end-to-end data transfer.

In particular, the example enables a very simplified end-to-end data transfer scenario, with a CSMA network forced to carry 6LoWPAN compressed packets.

#### 29.2.4 Tests

The test provided checks the connection between two UDP clients and the correctness of the received packets.

### 29.3 Validation

The model has been validated against WireShark, checking whatever the packets are correctly interpreted and validated.

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# **TOPOLOGY INPUT READERS**

The topology modules aim at reading a topology file generated by an automatic topology generator.

The process is divided in two steps:

- running a topology generator to build a topology file
- reading the topology file and build a ns-3 simulation

Hence, model is focused on being able to read correctly the various topology formats.

Currently there are three models:

- ns3::OrbisTopologyReader for Orbis 0.7 traces
- ns3::InetTopologyReader for Inet 3.0 traces
- ns3::RocketfuelTopologyReader for Rocketfuel traces

An helper ns3::TopologyReaderHelper is provided to assist on trivial tasks.

A good source for topology data is also Archipelago.

The current Archipelago Measurements, monthly updated, are stored in the CAIDA website using a complete notation and triple data source, one for each working group.

A different and more compact notation reporting only the AS-relationships (a sort of more Orbis-like format) is here: as-relationships.

The compact notation can be easily stripped down to a pure Orbis format, just removing the double relationships (the compact format use one-way links, while Orbis use two-way links) and pruning the 3rd parameter. Note that with the compact data Orbis can then be used create a rescaled version of the topology, thus being the most effective way (to my best knowledge) to make an internet-like topology.

Examples can be found in the directory src/topology-read/examples/

# **UAN FRAMEWORK**

The main goal of the UAN Framework is to enable researchers to model a variety of underwater network scenarios. The UAN model is broken into four main parts: The channel, PHY, MAC and Autonomous Underwater Vehicle (AUV) models.

The need for underwater wireless communications exists in applications such as remote control in offshore oil industry <sup>1</sup>, pollution monitoring in environmental systems, speech transmission between divers, mapping of the ocean floor, mine counter measures <sup>2</sup>, seismic monitoring of ocean faults as well as climate changes monitoring. Unfortunately, making on-field measurements is very expensive and there are no commonly accepted standard to base on. Hence, the priority to make research work going on, it is to realize a complete simulation framework that researchers can use to experiment, make tests and make performance evaluation and comparison.

The NS-3 UAN module is a first step in this direction, trying to offer a reliable and realistic tool. In fact, the UAN module offers accurate modelling of the underwater acoustic channel, a model of the WHOI acoustic modem (one of the widely used acoustic modems)[6]\_ and its communications performance, and some MAC protocols.

# 31.1 Model Description

The source code for the UAN Framework lives in the directory src/uan and in src/energy for the contribution on the li-ion battery model.

The UAN Framework is composed of two main parts:

- the AUV mobility models, including Electric motor propelled AUV (REMUS class <sup>3 4</sup> ) and Seaglider <sup>4</sup> models
- the energy models, including AUV energy models, AUV energy sources (batteries) and an acoustic modem energy model

As enabling component for the energy models, a Li-Ion batteries energy source has been implemented basing on <sup>5</sup> <sup>6</sup>.

<sup>&</sup>lt;sup>1</sup> BINGHAM, D.; DRAKE, T.; HILL, A.; LOTT, R.; The Application of Autonomous Underwater Vehicle (AUV) Technology in the Oil Industry – Vision and Experiences, URL: http://www.fig.net/pub/fig\_2002/Ts4-4/TS4\_4\_bingham\_etal.pdf

<sup>&</sup>lt;sup>2</sup> WHOI, Autonomous Underwater Vehicle, REMUS; URL: http://www.whoi.edu/page.do?pid=29856

<sup>&</sup>lt;sup>3</sup> Hydroine Products; URL: http://www.hydroidinc.com/products.html

<sup>&</sup>lt;sup>4</sup> Eriksen, C.C., T.J. Osse, R.D. Light, T. Wen, T.W. Lehman, P.L. Sabin, J.W. Ballard, and A.M. Chiodi. Seaglider: A Long-Range Autonomous Underwater Vehicle for Oceanographic Research, IEEE Journal of Oceanic Engineering, 26, 4, October 2001. URL: http://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=972073&userType=inst

<sup>&</sup>lt;sup>5</sup> C. M. Shepherd, "Design of Primary and Secondary Cells - Part 3. Battery discharge equation," U.S. Naval Research Laboratory, 1963

<sup>&</sup>lt;sup>6</sup> Tremblay, O.; Dessaint, L.-A.; Dekkiche, A.-I., "A Generic Battery Model for the Dynamic Simulation of Hybrid Electric Vehicles," Ecole de Technologie Superieure, Universite du Quebec, 2007 URL: http://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=4544139

# 31.1.1 **Design**

#### **UAN Propagation Models**

Modelling of the underwater acoustic channel has been an active area of research for quite some time. Given the complications involved, surface and bottom interactions, varying speed of sound, etc..., the detailed models in use for ocean acoustics research are much too complex (in terms of runtime) for use in network level simulations. We have attempted to provide the often used models as well as make an attempt to bridge, in part, the gap between complicated ocean acoustic models and network level simulation. The three propagation models included are the ideal channel model, the Thorp propagation model and the Bellhop propagation model (Available as an addition).

All of the Propagation Models follow the same simple interface in ns3::UanPropModel. The propagation models provide a power delay profile (PDP) and pathloss information. The PDP is retrieved using the GetPdp method which returns type UanPdp. ns3::UanPdp utilises a tapped delay line model for the acoustic channel. The UanPdp class is a container class for Taps, each tap has a delay and amplitude member corresponding to the time of arrival (relative to the first tap arrival time) and amplitude. The propagation model also provides pathloss between the source and receiver in dB re 1uPa. The PDP and pathloss can then be used to find the received signal power over a duration of time (i.e. received signal power in a symbol duration and ISI which interferes with neighbouring signals). Both UanPropModelIdeal and UanPropModelThorp return a single impulse for a PDP.

1. Ideal Channel Model ns3::UanPropModelIdeal

The ideal channel model assumes 0 pathloss inside a cylindrical area with bounds set by attribute. The ideal channel model also assumes an impulse PDP.

2. Thorp Propagation Model ns3::UanPropModelThorp

The Thorp Propagation Model calculates pathloss using the well-known Thorp approximation. This model is similar to the underwater channel model implemented in ns2 as described here:

Harris, A. F. and Zorzi, M. 2007. Modeling the underwater acoustic channel in ns2. In Proceedings of the 2nd international Conference on Performance Evaluation Methodologies and Tools (Nantes, France, October 22 - 27, 2007). ValueTools, vol. 321. ICST (Institute for Computer Sciences Social-Informatics and Telecommunications Engineering), ICST, Brussels, Belgium, 1-8.

The frequency used in calculation however, is the center frequency of the modulation as found from ns3::UanTxMode. The Thorp Propagation Model also assumes an impulse channel response.

3. Bellhop Propagation Model ns3:: UanPropModelBh (Available as an addition)

The Bellhop propagation model reads propagation information from a database. A configuration file describing the location, and resolution of the archived information must be supplied via attributes. We have included a utility, createdat, which can create these data files using the Bellhop Acoustic Ray Tracing software (http://oalib.hlsresearch.com/).

The create-dat utility requires a Bellhop installation to run. Bellhop takes environment information about the channel, such as sound speed profile, surface height bottom type, water depth, and uses a gaussian ray tracing algorithm to determine propagation information. Arrivals from Bellhop are grouped together into equal length taps (the arrivals in a tap duration are coherently summed). The maximum taps are then aligned to take the same position in the PDP. The create-dat utility averages together several runs and then normalizes the average such that the sum of all taps is 1. The same configuration file used to create the data files using create-dat should be passed via attribute to the Bellhop Propagation Model.

The Bellhop propagation model is available as a patch. The link address will be made available here when it is posted online. Otherwise email lentracy@gmail.com for more information.

#### **UAN PHY Model Overview**

The PHY has been designed to allow for relatively easy extension to new networking scenarios. We feel this is important as, to date, there has been no commonly accepted network level simulation model for underwater networks.

The lack of commonly accepted network simulation tools has resulted in a wide array of simulators and models used to report results in literature. The lack of standardization makes comparing results nearly impossible.

The main component of the PHY Model is the generic PHY class, ns3::UanPhyGen. The PHY class's general responsibility is to handle packet acquisition, error determination, and forwarding of successful packets up to the MAC layer. The Generic PHY uses two models for determination of signal to noise ratio (SINR) and packet error rate (PER). The combination of the PER and SINR models determine successful reception of packets. The PHY model connects to the channel via a Transducer class. The Transducer class is responsible for tracking all arriving packets and departing packets over the duration of the events. How the PHY class and the PER and SINR models respond to packets is based on the "Mode" of the transmission as described by the ns3::UanTxMode class.

When a MAC layer sends down a packet to the PHY for transmission it specifies a "mode number" to be used for the transmission. The PHY class accepts, as an attribute, a list of supported modes. The mode number corresponds to an index in the supported modes. The UanTxMode contains simple modulation information and a unique string id. The generic PHY class will only acquire arriving packets which use a mode which is in the supported modes list of the PHY. The mode along with received signal power, and other pertinent attributes (e.g. possibly interfering packets and their modes) are passed to the SINR and PER models for calculation of SINR and probability of error.

Several simple example PER and SINR models have been created. a) The PER models - Default (simple) PER model (ns3::UanPhyPerGenDefault): The Default PER model tests the packet against a threshold and assumes error (with prob. 1) if the SINR is below the threshold or success if the SINR is above the threshold - Micromodem FH-FSK PER (ns3::UanPhyPerUmodem). The FH-FSK PER model calculates probability of error assuming a rate 1/2 convolutional code with constraint length 9 and a CRC check capable of correcting up to 1 bit error. This is similar to what is used in the receiver of the WHOI Micromodem.

b) SINR models - Default Model (ns3::UanPhyCalcSinrDefault), The default SINR model assumes that all transmitted energy is captured at the receiver and that there is no ISI. Any received signal power from interferes acts as additional ambient noise. - FH-FSK SINR Model (ns3::UanPhyCalcSinrFhFsk), The WHOI Micromodem operating in FH-FSK mode uses a predetermined hopping pattern that is shared by all nodes in the network. We model this by only including signal energy receiving within one symbol time (as given by ns3::UanTxMode) in calculating the received signal power. A channel clearing time is given to the FH-FSK SINR model via attribute. Any signal energy arriving in adjacent signals (after a symbol time and the clearing time) is considered ISI and is treated as additional ambient noise. Interfering signal arrivals inside a symbol time (any symbol time) is also counted as additional ambient noise - Frequency filtered SINR (ns3::UanPhyCalcSinrDual). This SINR model calculates SINR in the same manner as the default model. This model however only considers interference if there is an overlap in frequency of the arriving packets as determined by UanTxMode.

In addition to the generic PHY a dual phy layer is also included (ns3::UanPhyDual). This wraps two generic phy layers together to model a net device which includes two receivers. This was primarily developed for UanMacRc, described in the next section.

#### **UAN MAC Model Overview**

Over the last several years there have been a myriad of underwater MAC proposals in the literature. We have included three MAC protocols with this distribution: a) CW-MAC, a MAC protocol which uses a slotted contention window similar in nature to the IEEE 802.11 DCF. Nodes have a constant contention window measured in slot times (configured via attribute). If the channel is sensed busy, then nodes backoff by randomly (uniform distribution) choose a slot to transmit in. The slot time durations are also configured via attribute. This MAC was described in

Parrish N.; Tracy L.; Roy S. Arabshahi P.; and Fox, W., System Design Considerations for Undersea Networks: Link and Multiple Access Protocols, IEEE Journal on Selected Areas in Communications (JSAC), Special Issue on Underwater Wireless Communications and Networks, Dec. 2008.

b) RC-MAC (ns3::UanMacRc ns3::UanMacRcGw) a reservation channel protocol which dynamically divides the available bandwidth into a data channel and a control channel. This MAC protocol assumes there is a gateway node which all network traffic is destined for. The current implementation assumes a single gateway and a single network neighborhood (a single hop network). RTS/CTS handshaking is used and time is divided into cycles. Non-gateway

nodes transmit RTS packets on the control channel in parallel to data packet transmissions which were scheduled in the previous cycle at the start of a new cycle, the gateway responds on the data channel with a CTS packet which includes packet transmission times of data packets for received RTS packets in the previous cycle as well as bandwidth allocation information. At the end of a cycle ACK packets are transmitted for received data packets.

When a publication is available it will be cited here.

3. Simple ALOHA (ns3:: UanMacAloha) Nodes transmit at will.

#### **AUV** mobility models

The AUV mobility models have been designed as in the follows.

#### **Use cases**

The user will be able to:

- program the AUV to navigate over a path of waypoints
- control the velocity of the AUV
- control the depth of the AUV
- · control the direction of the AUV
- control the pitch of the AUV
- tell the AUV to emerge or submerge to a specified depth

#### AUV mobility models design

Implement a model of the navigation of AUV. This involves implementing two classes modelling the two major categories of AUVs: electric motor propelled (like REMUS class <sup>3 4</sup>) and "sea gliders" <sup>5</sup>. The classic AUVs are submarine-like devices, propelled by an electric motor linked with a propeller. Instead, the "sea glider" class exploits small changes in its buoyancy that, in conjunction with wings, can convert vertical motion to horizontal. So, a glider will reach a point into the water by describing a "saw-tooth" movement. Modelling the AUV navigation, involves in considering a real-world AUV class thus, taking into account maximum speed, directional capabilities, emerging and submerging times. Regarding the sea gliders, it is modelled the characteristic saw-tooth movement, with AUV's speed driven by buoyancy and glide angle.

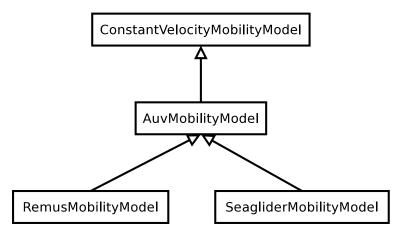


Figure 31.1: AUV's mobility model classes overview

An ns3::AuvMobilityModel interface has been designed to give users a generic interface to access AUV's navigation functions. The AuvMobilityModel interface is implemented by the RemusMobilityModel and the GliderMobilityModel classes. The AUV's mobility models organization it is shown in AUV's mobility model classes overview. Both models use a constant velocity movement, thus the AuvMobilityModel interface derives from the ConstantVelocityMobilityModel. The two classes hold the navigation parameters for the two different AUVs, like maximum pitch angles, maximum operating depth, maximum and minimum speed values. The Glider model holds also some extra parameters like maximum buoyancy values, and maximum and minimum glide slopes. Both classes, RemusMobilityModel and GliderMobilityModel, handle also the AUV power consumption, utilizing the relative power models. Has been modified the WaypointMobilityModel to let it use a generic underlying ConstantVelocityModel to validate the waypoints and, to keep trace of the node's position. The default model is the classic ConstantVelocityModel but, for example in case of REMUS mobility model, the user can install the AUV mobility model into the waypoint model and then validating the waypoints against REMUS navigation constraints.

# **Energy models**

The energy models have been designed as in the follows.

#### **Use cases**

The user will be able to:

- use a specific power profile for the acoustic modem
- use a specific energy model for the AUV
- trace the power consumption of AUV navigation, through AUV's energy model
- trace the power consumprion underwater acoustic communications, through acoustic modem power profile

We have integrated the Energy Model with the UAN module, to implement energy handling. We have implemented a specific energy model for the two AUV classes and, an energy source for Lithium batteries. This will be really useful for researchers to keep trace of the AUV operational life. We have implemented also an acoustic modem power profile, to keep trace of its power consumption. This can be used to compare protocols specific power performance. In order to use such power profile, the acoustic transducer physical layer has been modified to use the modem power profile. We have decoupled the physical layer from the transducer specific energy model, to let the users change the different energy models without changing the physical layer.

#### **AUV** energy models

Basing on the Device Energy Model interface, it has been implemented a specific energy model for the two AUV classes (REMUS and Seaglider). This models reproduce the AUV's specific power consumption to give users accurate information. This model can be naturally used to evaluates the AUV operating life, as well as mission-related power consumption, etc. Have been developed two AUV energy models:

- GliderEnergyModel, computes the power consumption of the vehicle based on the current buoyancy value and vertical speed <sup>5</sup>
- RemusEnergyModel, computes the power consumption of the vehicle based on the current speed, as it is propelled by a brush-less electric motor

Note: TODO extend a little bit

#### **AUV** energy sources

Note: [TODO]

#### Acoustic modem energy model

Basing on the Device Energy Model interface, has been implemented a generic energy model for acoustic modem. The model allows to trace four modem's power-states: Sleep, Idle, Receiving, Transmitting. The default parameters for the energy model are set to fit those of the WHOI  $\mu$ modem. The class follows pretty closely the RadioEnergyModel class as the transducer behaviour is pretty close to that of a wifi radio.

The default power consumption values implemented into the model are as follows [6]:

Modem State	Power Consumption
TX	50 W
RX	158 mW
Idle	158 mW
Sleep	5.8 mW

#### **UAN** module energy modifications

The UAN module has been modified in order to utilize the implemented energy classes. Specifically, it has been modified the physical layer of the UAN module. It has been implemented an UpdatePowerConsumption method that takes the modem's state as parameter. It checks if an energy source is installed into the node and, in case, it then use the AcousticModemEnergyModel to update the power consumption with the current modem's state. The modem power consumption's update takes place whenever the modem changes its state.

A user should take into account that, if the power consumption handling is enabled (if the node has an energy source installed), all the communications processes will terminate whether the node depletes all the energy source.

#### Li-lon batteries model

A generic Li-Ion battery model has been implemented based on [7][8]. The model can be fitted to any type of Li-Ion battery simply changing the model's parameters The default values are fitted for the Panasonic CGR18650DA Li-Ion Battery [9]. [TODO insert figure] As shown in figure the model approximates very well the Li-Ion cells. Regarding Seagliders, the batteries used into the AUV are Electrochem 3B36 Lithium / Sulfuryl Chloride cells [10]. Also with this cell type, the model seems to approximates the different discharge curves pretty well, as shown in the figure.

Note: should I insert the li-ion model deatils here? I think it is better to put them into an Energy-related chapter...

## 31.1.2 Scope and Limitations

The framework is designed to simulate AUV's behaviour. We have modeled the navigation and power consumption behaviour of REMUS class and Seaglider AUVs. The communications stack, associated with the AUV, can be modified depending on simulation needs. Usually, the default underwater stack is being used, composed of an half duplex acoustic modem, an Aloha MAC protocol and a generic physical layer.

Regarding the AUV energy consumption, the user should be aware that the level of accuracy differs for the two classes:

- Seaglider, high level of accuracy, thanks to the availability of detailed information on AUV's components and behaviour [5] [10]. Have been modeled both the navigation power consumption and the Li battery packs (according to [5]).
- REMUS, medium level of accuracy, due to the lack of publicly available information on AUV's components. We have approximated the power consumption of the AUV's motor with a linear behaviour and, the energy source uses an ideal model (BasicEnergySource) with a power capacity equal to that specified in [4].

#### 31.1.3 Future Work

Some ideas could be:

- · insert a data logging capability
- modify the framework to use sockets (enabling the possibility to use applications)
- introduce some more MAC protocols
- modify the physical layer to let it consider the doppler spread (problematic in underwater environments)
- introduce OFDM modulations

#### 31.1.4 References

# 31.2 Usage

The main way that users who write simulation scripts will typically interact with the UAN Framework is through the helper API and through the publicly visible attributes of the model.

The helper API is defined in src/uan/helper/acoustic-modem-energy-model-helper.{cc,h} and in /src/uan/helper/...{cc,h}.

The example folder src/uan/examples/ contain some basic code that shows how to set up and use the models. further examples can be found into the Unit tests in src/uan/test/...cc

# 31.2.1 Examples

Examples of the Framework's usage can be found into the examples folder. There are mobility related examples and uan related ones.

#### **Mobility Model Examples**

• auv-energy-model: In this example we show the basic usage of an AUV energy model. Specifically, we show how to create a generic node, adding to it a basic energy source and consuming energy from the energy source. In this example we show the basic usage of an AUV energy model.

The Seaglider AUV power consumption depends on buoyancy and vertical speed values, so we simulate a 20 seconds movement at 0.3 m/s of vertical speed and 138g of buoyancy. Then a 20 seconds movement at 0.2 m/s of vertical speed and 138g of buoyancy and then a stop of 5 seconds.

The required energy will be drained by the model basing on the given buoyancy/speed values, from the energy source installed onto the node. We finally register a callback to the TotalEnergyConsumption traced value.

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- auv-mobility: In this example we show how to use the AuvMobilityHelper to install an AUV mobility model into a (set of) node. Then we make the AUV to submerge to a depth of 1000 meters. We then set a callback function called on reaching of the target depth. The callback then makes the AUV to emerge to water surface (0 meters). We set also a callback function called on reaching of the target depth. The emerge callback then, stops the AUV.
  - During the whole navigation process, the AUV's position is tracked by the TracePos function and plotted into a Gnuplot graph.
- waypoint-mobility: We show how to use the WaypointMobilityModel with a non-standard ConstantVe-locityMobilityModel. We first create a waypoint model with an underlying RemusMobilityModel setting the mobility trace with two waypoints. We then create a waypoint model with an underlying GliderMobilityModel setting the waypoints separately with the AddWaypoint method. The AUV's position is printed out every seconds.

#### **UAN Examples**

- li-ion-energy-source In this simple example, we show how to create and drain energy from a LiIonEnergySource. We make a series of discharge calls to the energy source class, with different current drain and durations, until all the energy is depleted from the cell (i.e. the voltage of the cell goes below the threshold level). Every 20 seconds we print out the actual cell voltage to verify that it follows the discharge curve [9]. At the end of the example it is verified that after the energy depletion call, the cell voltage is below the threshold voltage.
- uan-energy-auv This is a comprehensive example where all the project's components are used. We setup two nodes, one fixed surface gateway equipped with an acoustic modem and a moving Seaglider AUV with an acoustic modem too. Using the waypoint mobility model with an underlying GliderMobilityModel, we make the glider descend to -1000 meters and then emerge to the water surface. The AUV sends a generic 17-bytes packet every 10 seconds during the navigation process. The gateway receives the packets and stores the total bytes amount. At the end of the simulation are shown the energy consumptions of the two nodes and the networking stats.

### 31.2.2 Helpers

In this section we give an overview of the available helpers and their behaviour.

#### AcousticModemEnergyModelHelper

This helper installs AcousticModemEnergyModel into UanNetDevice objects only. It requires an UanNetDevice and an EnergySource as input objects.

The helper creates an AcousticModemEnergyModel with default parameters and associate it with the given energy source. It configures an EnergyModelCallback and an EnergyDepletionCallback. The depletion callback can be configured as a parameter.

#### **AuvGliderHelper**

Installs into a node (or set of nodes) the Seaglider's features:

- waypoint model with underlying glider mobility model
- · glider energy model
- · glider energy source

· micro modem energy model

The glider mobility model is the GliderMobilityModel with default parameters. The glider energy model is the GliderEnergyModel with default parameters.

Regarding the energy source, the Seaglider features two battery packs, one for motor power and one for digital-analog power. Each pack is composed of 12 (10V) and 42 (24V) lithium chloride DD-cell batteries, respectively [5]. The total power capacity is around 17.5 MJ (3.9 MJ + 13.6 MJ). In the original version of the Seaglider there was 18 + 63 D-cell with a total power capacity of 10MJ.

The packs design is as follows:

- 10V 3 in-series string x 4 strings = 12 cells typical capacity ~100 Ah
- 24V 7 in-series-strings x 6 strings = 42 cells typical capacity ~150 Ah

Battery cells are Electrochem 3B36, with 3.6 V nominal voltage and 30.0 Ah nominal capacity. The 10V battery pack is associated with the electronic devices, while the 24V one is associated with the pump motor.

The micro modem energy model is the MicroModemEnergyModel with default parameters.

#### **AuvRemusHelper**

Install into a node (or set of nodes) the REMUS features:

- waypoint model with REMUS mobility model validation
- REMUS energy model
- · REMUS energy source
- micro modem energy model

The REMUS mobility model is the RemusMobilityModel with default parameters. The REMUS energy model is the RemusEnergyModel with default parameters.

Regarding the energy source, the REMUS features a rechargeable lithium ion battery pack rated 1.1 kWh @ 27 V (40 Ah) in operating conditions (specifications from [3] and Hydroinc European salesman). Since more detailed information about battery pack were not publicly available, the energy source used is a BasicEnergySource.

The micro modem energy model is the MicroModemEnergyModel with default parameters.

#### 31.2.3 Attributes

Note: TODO

31.2.4 Tracing

Note: TODO

# **31.2.5 Logging**

Note: TODO

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### **31.2.6 Caveats**

Note: TODO

# 31.3 Validation

This model has been tested with three UNIT test:

- · auv-energy-model
- auv-mobility
- · li-ion-energy-source

# 31.3.1 Auv Energy Model

Includes test cases for single packet energy consumption, energy depletion, Glider and REMUS energy consumption. The unit test can be found in src/uan/test/auv-energy-model-test.cc.

The single packet energy consumption test do the following:

- creates a two node network, one surface gateway and one fixed node at -500 m of depth
- install the acoustic communication stack with energy consuption support into the nodes
- a packet is sent from the underwater node to the gateway
- it is verified that both, the gateway and the fixed node, have consumed the expected amount of energy from their sources

The energy depletion test do the following steps:

- · create a node with an empty energy source
- · try to send a packet
- verify that the energy depletion callback has been invoked

The Glider energy consumption test do the following:

- create a node with glider capabilities
- make the vehicle to move to a predetermined waypoint
- · verify that the energy consumed for the navigation is correct, according to the glider specifications

The REMUS energy consumption test do the following:

- create a node with REMUS capabilities
- · make the vehicle to move to a predetermined waypoint
- verify that the energy consumed for the navigation is correct, according to the REMUS specifications

### 31.3.2 Auv Mobility

Includes test cases for glider and REMUS mobility models. The unit test can be found in src/uan/test/auv-mobility-test.cc.

- create a node with glider capabilities
- set a specified velocity vector and verify if the resulting buoyancy is the one that is supposed to be
- make the vehicle to submerge to a specified depth and verify if, at the end of the process the position is the one that is supposed to be
- make the vehicle to emerge to a specified depth and verify if, at the end of the process the position is the one that is supposed to be
- make the vehicle to navigate to a specified point, using direction, pitch and speed settings and, verify if at the end of the process the position is the one that is supposed to be
- make the vehicle to navigate to a specified point, using a velocity vector and, verify if at the end of the process the position is the one that is supposed to be

The REMUS mobility model test do the following: \* create a node with glider capabilities \* make the vehicle to submerge to a specified depth and verify if, at the end of the process the position is the one that is supposed to be \* make the vehicle to emerge to a specified depth and verify if, at the end of the process the position is the one that is supposed to be \* make the vehicle to navigate to a specified point, using direction, pitch and speed settings and, verify if at the end of the process the position is the one that is supposed to be \* make the vehicle to navigate to a specified point, using a velocity vector and, verify if at the end of the process the position is the one that is supposed to be

# 31.3.3 Li-Ion Energy Source

Includes test case for Li-Ion energy source. The unit test can be found in src/energy/test/li-ion-energy-source-test.cc.

The test case verify that after a well-known discharge time with constant current drain, the cell voltage has followed the datasheet discharge curve [9].

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# **WAVE MODELS**

WAVE is a system architecture for wireless-based vehicular communications, specified by the IEEE. This chapter documents available models for WAVE within *ns-3*. The focus is on the MAC layer and MAC extension layer defined by [ieee80211p].

# 32.1 Model Description

WAVE is an overall system architecture for vehicular communications. The standards for specifying WAVE include a set of extensions to the IEEE 802.11 standard, found in IEEE Std 802.11p-2010 [ieee80211p], and the IEEE 1609 standard set, consisting of four documents: resource manager: IEEE 1609.1 [ieee1609dot1], security services: IEEE 1609.2 [ieee1609dot2], network and transport layer services: IEEE 1609.3 [ieee1609dot3], and multi-channel coordination: IEEE 1609.4 [ieee1609dot4].

In *ns-3*, the focus of the wave module is on the MAC layer. The key design aspect of WAVE-compilant MACs is that they allow communications outside the context of a basic service set (BSS). The literature uses the acronym OCB to denote "outside the context of a BSS", and the class ns3::OcbWifiMac models this in *ns-3*. Many management frames will not be used, but when used, the BSSID field needs to be set to a wildcard BSSID value. Management information is transmitted by what is called a vendor specific action frame.

With these changes, the packet transmissions (for a moving vehicle) can be fast with small delay. At the physical layer, the biggest difference is to use the 5.9 GHz band with a channel bandwidth of 10 MHz. These physical layer changes can make the wireless signal relatively more stable, without degrading throughput too much (ranging from 3 Mbps to 27 Mbps), although 20 MHz channel bandwidth is still supported.

The source code for the WAVE MAC models lives in the directory src/wave.

The current code represents an interim capability to realize an 802.11p-compliant device, but without the WAVE extensions (which are planned for a later patch). In vehicular communications using WAVE, radios have the capability of switching between control and service channels, or using multiple radios. These aspects are not yet modelled. The WaveNetDevice modelled herein enforces that a WAVE-compliant physical layer (at 5.9 GHz) is selected, and does not require any association between devices (similar to an adhoc WiFi MAC), but is otherwise similar (at this time) to a WifiNetDevice.

# 32.1.1 **Design**

In *ns-3*, support for 802.11p involves the MAC and PHY layers. To use an 802.11p NetDevice, ns3::Wifi80211pHelper is suggested.

#### MAC layer

The classes used to model the MAC layer are ns3::OrganizationIdentifier, ns3::VendorSpecificActionHeader, ns3::HigherDataTxVectorTag, ns3::WaveMacLow, and ns3::OcbWifiMac.

The OrganizationIdentifier and VendorSpecificActionHeader are used to support the sending of a Vendor Specific Action frame. The HigherDataTxVectorTag and WaveMacLow are used to support higher control transmission parameters. These classes are all used in OcbWifiMac.

OcbWifiMac is very similar to AdhocWifiMac, with some modifications. (*ns-3* AdhocWifiMac class is implemented very close to the 802.11 p OCB mode rather than a real 802.11 ad-hoc mode. The AdhocWifiMac has no BSS context that is defined in 802.11 standard, so it will not take time to send beacon and authenticate.)

- SetBssid, GetBssid, SetSsid, GetSsid these methods are related to 802.11 BSS context which is unused in OCB context.
- SetLinkUpCallback, SetLinkDownCallback WAVE device can send packets directly, so the WiFi link is never down.
- 3. SendVsc, AddReceiveVscCallback WAVE management information shall be sent by vendor specific action frame, and it will be called by upper layer 1609.4 standard to send WSA (WAVE Service Advertisement) packets or other vendor specific information.
- 4. SendTimingAdvertisement (not implemented) Although Timing Advertisement is very important and specifically defined in 802.11p standard, it is not useful in a simulation environment. Every node in *ns-3* vehicular simulation is assumed to be already time synchronized (perhaps by GPS).
- 5. ConfigureEdca This method will allow the user to set EDCA parameters of WAVE channeles including CCH ans SCHs. And the OcbWifiMac itself also uses this method to configure default 802.11p EDCA parameters.
- 6. WILDCARD BSSID The WILDCARD BSSID is set to "ff:ff:ff:ff:ff:ff:ff:". As defined in 802.11-2007, a wildcard BSSID shall not be used in the BSSID field except for management frames of subtype probe request. But Adhoc mode of *ns-3* simplifies this mechanism: when stations receive packets, packets regardless of BSSID will be forwarded up to the higher layer. This process is very close to OCB mode as defined in 802.11p-2010, in which stations use the wildcard BSSID to allow the higher layer of other stations to hear directly.
- 7. Enqueue, Receive The most important methods are send and receive methods. According to the standard, we should filter the frames that are not permitted. However here we just identify the frames we care about; the other frames will be discarded.

#### **PHY layer**

Actually, no modification or extension happens in the *ns-3* PHY layer corresponding to this model. In the 802.11p standard, the PHY layer wireless technology is still 80211a OFDM with 10MHz channel width, so Wifi80211pHelper will only allow the user to set the standard to WIFI\_PHY\_STANDARD\_80211\_10MHZ or WIFI\_PHY\_STANDARD\_80211\_20MHZ (WIFI\_PHY\_STANDARD\_80211a with 20MHz is supported, but not recommended.) The maximum station transmit power and maximum permitted EIRP defined in 802.11p is larger than that of WiFi, so transmit range can normally become longer than usual WiFi. However, this feature will not be implemented. Users who want to obtain longer range should configure attributes "TxPowerStart", "TxPowerEnd" and "TxPowerLevels" of the YansWifiPhy class.

### 32.1.2 Scope and Limitations

1. Does the model involve vehicular mobility of some sort?

Vehicular networks involve not only communication protocols, but also a communication environment including vehicular mobility and propagation models. Because of specific features of the latter, the protocols need to change. The MAC layer model in this project just adapts MAC changes to vehicular environment. However this model does not involve any vehicular mobility with time limit. Users can use any mobility model in *ns-3*, but should understand that vehicular mobility is out of scope for the current WAVE module.

2. Is this model going to use different propagation models?

Referring to the first issue, some more realistic propagation models for vehicualr environment are suggested and welcome. And some existing propagation models in *ns-3* are also suitable. Normally, users can use Friis, Two-Ray Ground, and Nakagami models.

3. Are there any vehicular application models to drive the code?

About vehicular application models, SAE J2375 depends on WAVE architecture and is an application message set in US; CAM and DENM in Europe between network and application layer, but is very close to application model. The BSM in J2375 and CAM send alert messages that every vehicle node will sent periodicity about its status information to cooperate with others. Fow now, a vehicular application model is not within scope.

#### 32.1.3 References

# 32.2 Usage

# 32.2.1 Helpers

The helpers include ns3::NqosWaveMacHelper, ns3::QosWaveMacHelper, ns3::QosWaveMacHelper, ns3::Wifi80211pHelper and ns3::WaveHelper. Wifi80211pHelper is used to create 802.11p devices that follow the 802.11p-2010 standard. WaveHelper is used to create WAVE devices that follow the 802.11p-2010 and 1609.4-2010 standards which are the MAC and PHY layers of the WAVE architecture. The relation of them is described as below:

```
WifiHelper ------ WifiMacHelper
   inherit inherit
                               1
                QosWifiMacHelper NqosWifiMacHelper
                          inherit
                       inherit inherit
   Wifi80211pHelper
                 QosWaveMacHelper NgosWaveHelper
```

Although Wifi80211Helper can use any subclasses inheriting from WifiMacHelper, we force users to use subclasses inheriting from QosWaveMacHelper or NqosWaveHelper.

Although the functions of WiFi 802.11p device can be achieved by WaveNetDevice's ContinuousAccess assignment, Wifi80211pHelper is recommended if there is no need for multiple channel operation. Usage is as follows:

```
NodeContainer nodes;
NetDeviceContainer devices;
nodes.Create (2);
YansWifiPhyHelper wifiPhy = YansWifiPhyHelper::Default ();
YansWifiChannelHelper wifiChannel = YansWifiChannelHelper::Default ();
wifiPhy.SetChannel (wifiChannel.Create ());
NqosWave80211pMacHelper wifi80211pMac = NqosWaveMacHelper::Default();
```

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```
Wifi80211pHelper 80211pHelper = Wifi80211pHelper::Default ();
devices = 80211pHelper.Install (wifiPhy, wifi80211pMac, nodes);
```

#### 32.2.2 APIs

The 802.11p device can allow the upper layer to send different information over Vendor Specific Action management frames by using different OrganizationIdentifier fields to identify differences.

- 1. already create a Node object and WifiNetDevice object
- 2. define an OrganizationIdentifier

```
uint8_t oi_bytes[5] = {0x00, 0x50, 0xC2, 0x4A, 0x40};
OrganizationIdentifier oi(oi_bytes,5);
```

3. define a Callback for the defined OrganizationIdentifier

```
VscCallback vsccall = MakeCallback (&VsaExample::GetWsaAndOi, this);
```

4. OcbWifiMac of 802.11p device registers this identifier and function

```
Ptr<WifiNetDevice> device1 = DynamicCast<WifiNetDevice>(nodes.Get (i)->GetDevice (0));
Ptr<OcbWifiMac> ocb1 = DynamicCast<OcbWifiMac>(device->GetMac ());
ocb1->AddReceiveVscCallback (oi, vsccall);
```

5. now one can send management packets over VSA frames

```
Ptr<Packet> vsc = Create<Packet> ();
ocb2->SendVsc (vsc, Mac48Address::GetBroadcast (), m_16093oi);
```

6. then registered callbacks in other devices will be called.

#### 32.2.3 Attributes

The current classes do not provide any additional attributes beyond those in the WiFi module.

### 32.2.4 Output

The current classes provide output of the same type as WiFi devices; namely, ASCII and pcap traces, and logging output. The WAVE logging components can be enabled globally via the call to

```
Wifi80211pHelper::EnableLogComponents ();
```

#### 32.2.5 Advanced Usage

To be defined.

### 32.2.6 Examples

A basic example exists called wave-simple-80211p.cc. This example shows basic construction of an 802.11p node. Two nodes are constructed with 802.11p devices, and by default, one node sends a single packet to another node (the number of packets and interval between them can be configured by command-line arguments). The example shows typical usage of the helper classes for this mode of WiFi.

# 32.2.7 Troubleshooting

To be defined.

# 32.3 Validation

A single test suite named wifi-80211p-ocb is defined. This test case consists of a stationary node and a mobile node. The mobile node moves towards the stationary mode, and time points are checked at which time the physical layer starts to receive packets (and whether the MAC becomes associated, if applicable). The same physical experiment is repeated for normal WiFi NetDevices in AP/STA mode, in Adhoc mode, and the new OCB mode.

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# **THIRTYTHREE**

# WIFI

*ns-3* nodes can contain a collection of NetDevice objects, much like an actual computer contains separate interface cards for Ethernet, Wifi, Bluetooth, etc. This chapter describes the *ns-3* WifiNetDevice and related models. By adding WifiNetDevice objects to *ns-3* nodes, one can create models of 802.11-based infrastructure and ad hoc networks.

# 33.1 Overview of the model

The WifiNetDevice models a wireless network interface controller based on the IEEE 802.11 standard [ieee80211]. We will go into more detail below but in brief, *ns-3* provides models for these aspects of 802.11:

- basic 802.11 DCF with **infrastructure** and **adhoc** modes
- 802.11a, 802.11b and 802.11g physical layers
- QoS-based EDCA and queueing extensions of 802.11e
- the ability to use different propagation loss models and propagation delay models, please see the chapter on *Propagation* for more detail
- · various rate control algorithms including Aarf, Arf, Cara, Onoe, Rraa, ConstantRate, and Minstrel
- 802.11s (mesh), described in another chapter

The set of 802.11 models provided in *ns-3* attempts to provide an accurate MAC-level implementation of the 802.11 specification and to provide a not-so-slow PHY-level model of the 802.11a specification.

In *ns-3*, nodes can have multiple WifiNetDevices on separate channels, and the WifiNetDevice can coexist with other device types; this removes an architectural limitation found in *ns-2*. Presently, however, there is no model for cross-channel interference or coupling.

The source code for the WifiNetDevice lives in the directory src/wifi.

The implementation is modular and provides roughly four levels of models:

- · the PHY layer models
- the so-called MAC low models: they implement DCF and EDCAF
- the so-called **MAC high models**: they implement the MAC-level beacon generation, probing, and association state machines, and
- a set of **Rate control algorithms** used by the MAC low models

Next, we provide some overview of each layer. More detailed information will be discussed later.

# 33.1.1 MAC high models

There are presently three MAC high models that provide for the three (non-mesh; the mesh equivalent, which is a sibling of these with common parent ns3::RegularWifiMac, is not discussed here) Wi-Fi topological elements - Access Point (AP) (ns3::ApWifiMac), non-AP Station (STA) (ns3::StaWifiMac), and STA in an Independent Basic Service Set (IBSS - also commonly referred to as an ad hoc network (ns3::AdhocWifiMac).

The simplest of these is ns3::AdhocWifiMac, which implements a Wi-Fi MAC that does not perform any kind of beacon generation, probing, or association. The ns3::StaWifiMac class implements an active probing and association state machine that handles automatic re-association whenever too many beacons are missed. Finally, ns3::ApWifiMac implements an AP that generates periodic beacons, and that accepts every attempt to associate.

These three MAC high models share a common parent in ns3::RegularWifiMac, which exposes, among other MAC configuration, an attribute QosSupported that allows configuration of 802.11e/WMM-style QoS support and an attribute HtSupported that allows configuration og 802.11n High Throughput style support.

# 33.1.2 MAC low layer

The **MAC low layer** is split into three components:

- 1. ns3::MacLow which takes care of RTS/CTS/DATA/ACK transactions.
- 2. ns3::DcfManager and ns3::DcfState which implements the DCF and EDCAF functions.
- 3. ns3::DcaTxop and ns3::EdcaTxopN which handle the packet queue, packet fragmentation, and packet retransmissions if they are needed. The ns3::DcaTxop object is used high MACs that are not QoS-enabled, and for transmission of frames (e.g., of type Management) that the standard says should access the medium using the DCF. ns3::EdcaTxopN is is used by QoS-enabled high MACs and also performs QoS operations like 802.11n-style MSDU aggregation.

### 33.1.3 Rate control algorithms

There are also several **rate control algorithms** that can be used by the MAC low layer. A complete list of available rate control algorithms is provided in a separate section.

### 33.1.4 PHY layer models

The PHY layer implements a single model in the ns3::WifiPhy class: the physical layer model implemented there is described fully in a paper entitled Yet Another Network Simulator Validation results for 802.11b are available in this technical report

# 33.2 Using the WifiNetDevice

The modularity provided by the implementation makes low-level configuration of the WifiNetDevice powerful but complex. For this reason, we provide some helper classes to perform common operations in a simple matter, and leverage the *ns-3* attribute system to allow users to control the parametrization of the underlying models.

Users who use the low-level *ns-3* API and who wish to add a WifiNetDevice to their node must create an instance of a WifiNetDevice, plus a number of constituent objects, and bind them together appropriately (the WifiNetDevice is very modular in this regard, for future extensibility). At the low-level API, this can be done with about 20 lines of code (see ns3::WifiHelper::Install, and ns3::YansWifiPhyHelper::Create). They also must create, at some point, a WifiChannel, which also contains a number of constituent objects (see ns3::YansWifiChannelHelper::Create).

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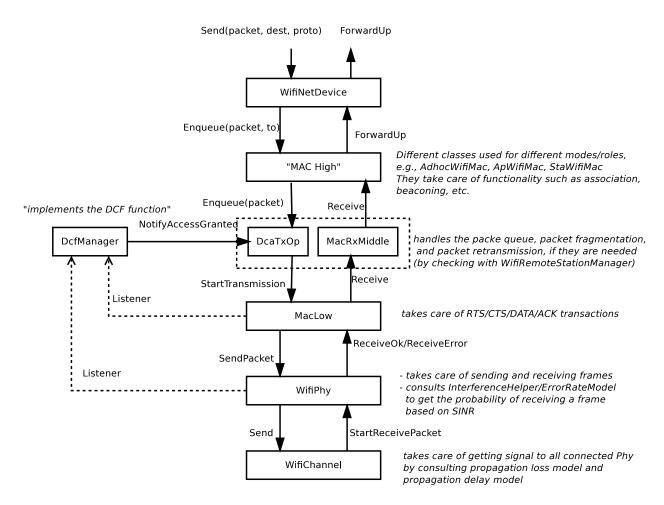


Figure 33.1: WifiNetDevice architecture.

However, a few helpers are available for users to add these devices and channels with only a few lines of code, if they are willing to use defaults, and the helpers provide additional API to allow the passing of attribute values to change default values. Commonly used attribute values are listed in the Attributes section. The scripts in examples/wireless can be browsed to see how this is done. Next, we describe the common steps to create a WifiNetDevice from the bottom layer (WifiChannel) up to the device layer (WifiNetDevice).

To create a WifiNetDevice, users need to configure mainly five steps:

- Configure the WifiChannel: WifiChannel takes care of getting signal from one device to other devices on the same wifi channel. The main configurations of WifiChannel are propagation loss model and propagation delay model.
- Configure the WifiPhy: WifiPhy takes care of actually sending and receiving wireless signal from WifiChannel. Here, WifiPhy decides whether each frame will be successfully decoded or not depending on the received signal strength and noise. Thus, the main configuration of WifiPhy is the error rate model, which is the one that actually calculates the probability of successfully decoding the frame based on the signal.
- Configure WifiMac: this step is more on related to the architecture and device level. The users configure the wifi architecture (i.e. ad-hoc or ap-sta) and whether QoS is supported or not.
- Create WifiDevice: at this step, users configure the desired wifi standard (e.g. **802.11b**, **802.11g**, or **802.11a**) and rate control algorithm
- Configure mobility: finally, mobility model is (usually) required before WifiNetDevice can be used.

# 33.2.1 YansWifiChannelHelper

The YansWifiChannelHelper has an unusual name. Readers may wonder why it is named this way. The reference is to the yans simulator from which this model is taken. The helper can be used to create a WifiChannel with a default PropagationLoss and PropagationDelay model.

Users will typically type code such as:

```
YansWifiChannelHelper wifiChannelHelper = YansWifiChannelHelper::Default ();
Ptr<WifiChannel> wifiChannel = wifiChannelHelper.Create ();
```

to get the defaults. Specifically, the default is a channel model with a propagation delay equal to a constant, the speed of light (ns3::ConstantSpeedPropagationDelayModel), and a propagation loss based on a default log distance model (ns3::LogDistancePropagationLossModel). Please note that the default log distance model is configured with a reference loss of 46.6777 dB at reference distance of 1m. The reference loss of 46.6777 dB was calculated using Friis propagation loss model at 5.15 GHz. The reference loss must be changed if 802.11b or 802.11g are used since they operate at 2.4 Ghz.

Note the distinction above in creating a helper object vs. an actual simulation object. In *ns-3*, helper objects (used at the helper API only) are created on the stack (they could also be created with operator new and later deleted). However, the actual *ns-3* objects typically inherit from class ns3::Object and are assigned to a smart pointer. See the chapter in the *ns-3* manual for a discussion of the *ns-3* object model, if you are not familiar with it.

The following two methods are useful when configuring YansWifiChannelHelper:

- YansWifiChannelHelper::AddPropagationLoss adds a PropagationLossModel to a chain of PropagationLossModel
- YansWifiChannelHelper::SetPropagationDelay sets a PropagationDelayModel

# 33.2.2 YansWifiPhyHelper

Physical devices (base class ns3::WifiPhy) connect to ns3::WifiChannel models in *ns-3*. We need to create WifiPhy objects appropriate for the YansWifiChannel; here the YansWifiPhyHelper will do the work.

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The YansWifiPhyHelper class configures an object factory to create instances of a YansWifiPhy and adds some other objects to it, including possibly a supplemental ErrorRateModel and a pointer to a MobilityModel. The user code is typically:

```
YansWifiPhyHelper wifiPhyHelper = YansWifiPhyHelper::Default ();
wifiPhyHelper.SetChannel (wifiChannel);
```

The default YansWifiPhyHelper is configured with NistErrorRateModel (ns3::NistErrorRateModel). You can change the error rate model by calling the YansWifiPhyHelper::SetErrorRateModel method.

Optionally, if peap tracing is needed, a user may use the following command to enable peap tracing:

```
YansWifiPhyHelper::SetPcapDataLinkType (enum SupportedPcapDataLinkTypes dlt)
```

ns-3 supports RadioTap and Prism tracing extensions for 802.11.

Note that we haven't actually created any WifiPhy objects yet; we've just prepared the YansWifiPhyHelper by telling it which channel it is connected to. The Phy objects are created in the next step.

To enable 802.11n High Throughput style parameters the following line of code could be used:

```
wifiPhyHelper.Set ("ShortGuardEnabled", BooleanValue(true));
wifiPhyHelper.Set ("GreenfieldEnabled", BooleanValue(true));
```

### 33.2.3 WifiMacHelper

The next step is to configure the MAC model. We use WifiMacHelper to accomplish this. WifiMacHelper takes care of both the MAC low model and MAC high model. A user must decide if 802.11/WMM-style QoS support is required.

#### NgosWifiMacHelper and QosWifiMacHelper

The ns3::NqosWifiMacHelper and ns3::QosWifiMacHelper configure an object factory to create instances of a ns3::WifiMac. They are used to configure MAC parameters like type of MAC.

The former, ns3::NqosWifiMacHelper, supports creation of MAC instances that do not have 802.11e/WMM-style QoS support enabled.

For example the following user code configures a non-QoS MAC that will be a non-AP STA in an infrastructure network where the AP has SSID ns-3-ssid:

To create MAC instances with QoS support enabled, ns3::QosWifiMacHelper is used in place of ns3::NqosWifiMacHelper. This object can be also used to set:

- an MSDU aggregator for a particular Access Category (AC) in order to use 802.11n MSDU aggregation feature;
- block ack parameters like threshold (number of packets for which block ack mechanism should be used) and inactivity timeout.

The following code shows an example use of ns3::QosWifiMacHelper to create an AP with QoS enabled, aggregation on AC\_VO, and Block Ack on AC\_BE:

With QoS-enabled MAC models it is possible to work with traffic belonging to four different Access Categories (ACs): AC\_VO for voice traffic, AC\_VI for video traffic, AC\_BE for best-effort traffic and AC\_BK for background traffic. In order for the MAC to determine the appropriate AC for an MSDU, packets forwarded down to these MAC layers should be marked using ns3::QosTag in order to set a TID (traffic id) for that packet otherwise it will be considered belonging to AC\_BE.

To create ad-hoc MAC instances, simply use ns3::AdhocWifiMac instead of ns3::StaWifiMac or ns3::ApWifiMac.

#### HtWifiMacHelper

The ns3::HtWifiMacHelper configures an object factory to create instances of a ns3::WifiMac. It is used to supports creation of MAC instances that have 802.11n-style High throughput (Ht) and QoS support enabled.

For example the following user code configures a HT MAC that will be a non-AP STA in an infrastructure network where the AP has SSID ns-3-ssid:

This object can be also used to set in the same way as ns3::QosWifiMacHelper.

#### 33.2.4 WifiHelper

We're now ready to create WifiNetDevices. First, let's create a WifiHelper with default settings:

```
WifiHelper wifiHelper = WifiHelper::Default ();
```

What does this do? It sets the default wifi standard to **802.11a** and sets the RemoteStationManager to ns3::ArfWifiManager. You can change the RemoteStationManager by calling the WifiHelper::SetRemoteStationManager method. To change the wifi standard, call the WifiHelper::SetStandard method with the desired standard.

Now, let's use the wifiPhyHelper and wifiMacHelper created above to install WifiNetDevices on a set of nodes in a NodeContainer "c":

```
NetDeviceContainer wifiContainer = WifiHelper::Install (wifiPhyHelper, wifiMacHelper, c);
```

This creates the WifiNetDevice which includes also a WifiRemoteStationManager, a WifiMac, and a WifiPhy (connected to the matching WifiChannel).

There are many *ns-3* attributes that can be set on the above helpers to deviate from the default behavior; the example scripts show how to do some of this reconfiguration.

### 33.2.5 Mobility configuration

Finally, a mobility model must be configured on each node with Wi-Fi device. Mobility model is used for calculating propagation loss and propagation delay. Two examples are provided in the next section. Users are referred to the chapter on *Mobility* module for detailed information.

### 33.2.6 Example configuration

We provide two typical examples of how a user might configure a Wi-Fi network – one example with an ad-hoc network and one example with an infrastructure network. The two examples were modified from the two examples in the examples/wireless folder (wifi-simple-adhoc.cc and wifi-simple-infra.cc). Users are encouraged to see examples in the examples/wireless folder.

#### AdHoc WifiNetDevice configuration

In this example, we create two ad-hoc nodes equipped with 802.11a Wi-Fi devices. We use the ns3::ConstantSpeedPropagationDelayModel as the propagation delay model and ns3::LogDistancePropagationLossModel with the exponent of 3.0 as the propagation loss model. Both devices are configured with ConstantRateWifiManager at the fixed rate of 12Mbps. Finally, we manually place them by using the ns3::ListPositionAllocator:

```
std::string phyMode ("OfdmRate12Mbps");
NodeContainer c;
c.Create (2);
WifiHelper wifi;
wifi.SetStandard (WIFI_PHY_STANDARD_80211a);
YansWifiPhyHelper wifiPhy = YansWifiPhyHelper::Default ();
// ns-3 supports RadioTap and Prism tracing extensions for 802.11
wifiPhy.SetPcapDataLinkType (YansWifiPhyHelper::DLT_IEEE802_11_RADIO);
YansWifiChannelHelper wifiChannel;
wifiChannel.SetPropagationDelay ("ns3::ConstantSpeedPropagationDelayModel");
wifiChannel.AddPropagationLoss ("ns3::LogDistancePropagationLossModel",
                                "Exponent", DoubleValue (3.0));
wifiPhy.SetChannel (wifiChannel.Create ());
// Add a non-QoS upper mac, and disable rate control (i.e. ConstantRateWifiManager)
NgosWifiMacHelper wifiMac = NgosWifiMacHelper::Default ();
wifi.SetRemoteStationManager ("ns3::ConstantRateWifiManager",
                              "DataMode", StringValue (phyMode),
                              "ControlMode", StringValue (phyMode));
// Set it to adhoc mode
wifiMac.SetType ("ns3::AdhocWifiMac");
NetDeviceContainer devices = wifi.Install (wifiPhy, wifiMac, c);
// Configure mobility
MobilityHelper mobility;
Ptr<ListPositionAllocator> positionAlloc = CreateObject<ListPositionAllocator> ();
positionAlloc->Add (Vector (0.0, 0.0, 0.0));
positionAlloc->Add (Vector (5.0, 0.0, 0.0));
mobility.SetPositionAllocator (positionAlloc);
mobility.SetMobilityModel ("ns3::ConstantPositionMobilityModel");
```

```
mobility.Install (c);
// other set up (e.g. InternetStack, Application)
```

#### Infrastructure (access point and clients) WifiNetDevice configuration

This is a typical example of how a user might configure an access point and a set of clients. In this example, we create one access point and two clients. Each node is equipped with 802.11b Wi-Fi device:

```
std::string phyMode ("DsssRate1Mbps");
NodeContainer ap;
ap.Create (1);
NodeContainer sta;
sta.Create (2);
WifiHelper wifi;
wifi.SetStandard (WIFI_PHY_STANDARD_80211b);
YansWifiPhyHelper wifiPhy = YansWifiPhyHelper::Default ();
// ns-3 supports RadioTap and Prism tracing extensions for 802.11
wifiPhy.SetPcapDataLinkType (YansWifiPhyHelper::DLT_IEEE802_11_RADIO);
YansWifiChannelHelper wifiChannel;
// reference loss must be changed since 802.11b is operating at 2.4GHz
wifiChannel.SetPropagationDelay ("ns3::ConstantSpeedPropagationDelayModel");
wifiChannel.AddPropagationLoss ("ns3::LogDistancePropagationLossModel",
                                "Exponent", DoubleValue (3.0),
                                "ReferenceLoss", DoubleValue (40.0459));
wifiPhy.SetChannel (wifiChannel.Create ());
// Add a non-QoS upper mac, and disable rate control
NqosWifiMacHelper wifiMac = NqosWifiMacHelper::Default ();
wifi.SetRemoteStationManager ("ns3::ConstantRateWifiManager",
                              "DataMode", StringValue (phyMode),
                              "ControlMode", StringValue (phyMode));
// Setup the rest of the upper mac
Ssid ssid = Ssid ("wifi-default");
// setup ap.
wifiMac.SetType ("ns3::ApWifiMac",
                 "Ssid", SsidValue (ssid));
NetDeviceContainer apDevice = wifi.Install (wifiPhy, wifiMac, ap);
NetDeviceContainer devices = apDevice;
// setup sta.
wifiMac.SetType ("ns3::StaWifiMac",
                 "Ssid", SsidValue (ssid),
                 "ActiveProbing", BooleanValue (false));
NetDeviceContainer staDevice = wifi.Install (wifiPhy, wifiMac, sta);
devices.Add (staDevice);
// Configure mobility
MobilityHelper mobility;
Ptr<ListPositionAllocator> positionAlloc = CreateObject<ListPositionAllocator> ();
positionAlloc->Add (Vector (0.0, 0.0, 0.0));
positionAlloc->Add (Vector (5.0, 0.0, 0.0));
```

```
positionAlloc->Add (Vector (0.0, 5.0, 0.0));
mobility.SetPositionAllocator (positionAlloc);
mobility.SetMobilityModel ("ns3::ConstantPositionMobilityModel");
mobility.Install (ap);
mobility.Install (sta);
// other set up (e.g. InternetStack, Application)
```

### 33.3 The WifiChannel and WifiPhy models

The WifiChannel subclass can be used to connect together a set of ns3::WifiNetDevice network interfaces. The class ns3::WifiPhy is the object within the WifiNetDevice that receives bits from the channel. For the channel propagation modeling, the propagation module is used; see section *Propagation* for details.

This section summarizes the description of the BER calculations found in the yans paper taking into account the Forward Error Correction present in 802.11a and describes the algorithm we implemented to decide whether or not a packet can be successfully received. See "Yet Another Network Simulator" for more details.

The PHY layer can be in one of three states:

- 1. TX: the PHY is currently transmitting a signal on behalf of its associated MAC
- 2. RX: the PHY is synchronized on a signal and is waiting until it has received its last bit to forward it to the MAC.
- 3. IDLE: the PHY is not in the TX or RX states.

When the first bit of a new packet is received while the PHY is not IDLE (that is, it is already synchronized on the reception of another earlier packet or it is sending data itself), the received packet is dropped. Otherwise, if the PHY is IDLE, we calculate the received energy of the first bit of this new signal and compare it against our Energy Detection threshold (as defined by the Clear Channel Assessment function mode 1). If the energy of the packet k is higher, then the PHY moves to RX state and schedules an event when the last bit of the packet is expected to be received. Otherwise, the PHY stays in IDLE state and drops the packet.

The energy of the received signal is assumed to be zero outside of the reception interval of packet k and is calculated from the transmission power with a path-loss propagation model in the reception interval. where the path loss exponent, n, is chosen equal to 3, the reference distance,  $d_0$  is chosen equal to 1.0m and the reference energy is based based on a Friis propagation model.

When the last bit of the packet upon which the PHY is synchronized is received, we need to calculate the probability that the packet is received with any error to decide whether or not the packet on which we were synchronized could be successfully received or not: a random number is drawn from a uniform distribution and is compared against the probability of error.

To evaluate the probability of error, we start from the piecewise linear functions shown in Figure SNIR function over time, and calculate the SNIR function.

From the SNIR function we can derive the Bit Error Rate (BER) and Packet Error Rate (PER) for the modulation and coding scheme being used for the transmission. Please refer to [pei80211ofdm], [pei80211b] and [lacage2006yans] for a detailed description of the available BER/PER models.

### 33.3.1 WifiChannel configuration

The WifiChannel implementation uses the propagation loss and delay models provided within the *ns-3 Propagation* module.

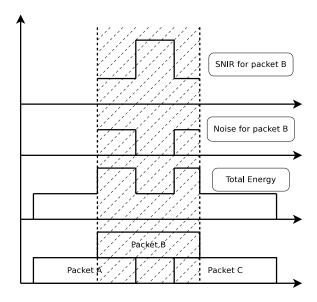


Figure 33.2: SNIR function over time.

### 33.4 The MAC model

The 802.11 Distributed Coordination Function is used to calculate when to grant access to the transmission medium. While implementing the DCF would have been particularly easy if we had used a recurring timer that expired every slot, we chose to use the method described in [ji2004sslswn] where the backoff timer duration is lazily calculated whenever needed since it is claimed to have much better performance than the simpler recurring timer solution.

The backoff procedure of DCF is described in section 9.2.5.2 of [ieee80211].

- "The backoff procedure shall be invoked for a STA to transfer a frame when finding the medium busy as indicated by either the physical or virtual CS mechanism."
- "A backoff procedure shall be performed immediately after the end of every transmission with the More Fragments bit set to 0 of an MPDU of type Data, Management, or Control with subtype PS-Poll, even if no additional transmissions are currently queued."

Thus, if the queue is empty, a newly arrived packet should be transmitted immediately after channel is sensed idle for DIFS. If queue is not empty and after a successful MPDU that has no more fragments, a node should also start the backoff timer.

Some users have observed that the 802.11 MAC with an empty queue on an idle channel will transmit the first frame arriving to the model immediately without waiting for DIFS or backoff, and wonder whether this is compliant. According to the standard, "The backoff procedure shall be invoked for a STA to transfer a frame when finding the medium busy as indicated by either the physical or virtual CS mechanism." So in this case, the medium is not found to be busy in recent past and the station can transmit immediately.

The higher-level MAC functions are implemented in a set of other C++ classes and deal with:

- packet fragmentation and defragmentation,
- use of the RTS/CTS protocol,
- rate control algorithm,
- connection and disconnection to and from an Access Point,
- the MAC transmission queue,

- · beacon generation,
- MSDU aggregation,
- etc.

### 33.5 Rate control algorithms

Multiple rate control algorithms are available in *ns-3*. Some rate control algorithms are modeled after real algorithms used in real devices; others are found in literature. The following rate control algorithms can be used by the MAC low layer:

Algorithms found in real devices:

- ArfWifiManager (default for WifiHelper)
- OnoeWifiManager
- ConstantRateWifiManager
- MinstrelWifiManager

#### Algorithms in literature:

- IdealWifiManager
- AarfWifiManager [lacage2004aarfamrr]
- AmrrWifiManager [lacage2004aarfamrr]
- CaraWifiManager [kim2006cara]
- RraaWifiManager [wong2006rraa]
- AarfcdWifiManager [maguolo2008aarfcd]

### 33.5.1 ConstantRateWifiManager

The constant rate control algorithm always uses the same transmission mode for every packet. Users can set a desired 'DataMode' for all 'unicast' packets and 'ControlMode' for all 'request' control packets (e.g. RTS).

To specify different data mode for non-unicast packets, users must set the 'NonUnicastMode' attribute of the WifiRemoteStationManager. Otherwise, WifiRemoteStationManager will use a mode with the lowest rate for non-unicast packets.

The 802.11 standard is quite clear on the rules for selection of transmission parameters for control response frames (e.g. CTS and ACK). *ns-3* follows the standard and selects the rate of control response frames from the set of basic rates or mandatory rates. This means that control response frames may be sent using different rate even though the ConstantRateWifiManager is used. The ControlMode attribute of the ConstantRateWifiManager is used for RTS frames only. The rate of CTS and ACK frames are selected according to the 802.11 standard. However, users can still manually add WifiMode to the basic rate set that will allow control response frames to be sent at other rates. Please consult the project wiki on how to do this.

#### Available attributes:

- DataMode (default WifiMode::OfdmRate6Mbps): specify a mode for all non-unicast packets
- ControlMode (default WifiMode::OfdmRate6Mbps): specify a mode for all 'request' control packets

### 33.5.2 IdealWifiManager

The ideal rate control algorithm selects the best mode according to the SNR of the previous packet sent. Consider node A sending a unicast packet to node B. When B successfully receives the packet sent from A, B records the SNR of the received packet into a ns3::SnrTag and adds the tag to an ACK back to A. By doing this, A is able to learn the SNR of the packet sent to B using an out-of-band mechanism (thus the name 'ideal'). A then uses the SNR to select a transmission mode based on a set of SNR thresholds, which was built from a target BER and mode-specific SNR/BER curves.

#### Available attribute:

• BerThreshold (default 10e-6): The maximum Bit Error Rate that is used to calculate the SNR threshold for each mode.

### 33.5.3 MinstrelWifiManager

The minstrel rate control algorithm is a rate control algorithm originated from madwifi project. It is currently the default rate control algorithm of the Linux kernel.

Minstrel keeps track of the probability of successfully sending a frame of each available rate. Minstrel then calculates the expected throughput by multiplying the probability with the rate. This approach is chosen to make sure that lower rates are not selected in favor of the higher rates (since lower rates are more likely to have higher probability).

In minstrel, roughly 10 percent of transmissions are sent at the so-called lookaround rate. The goal of the lookaround rate is to force minstrel to try higher rate than the currently used rate.

For a more detailed information about minstrel, see [linuxminstrel].

### 33.6 Modifying Wifi model

Modifying the default wifi model is one of the common tasks when performing research. We provide an overview of how to make changes to the default wifi model in this section. Depending on your goal, the common tasks are (in no particular order):

- Creating or modifying the default Wi-Fi frames/headers by making changes to wifi-mac-header. \*.
- MAC low modification. For example, handling new/modified control frames (think RTS/CTS/ACK/Block ACK), making changes to two-way transaction/four-way transaction. Users usually make changes to mac-low.\* to accomplish this. Handling of control frames is performed in MacLow::ReceiveOk.
- MAC high modification. For example, handling new management frames (think beacon/probe), beacon/probe generation. Users usually make changes to regular-wifi-mac.\*, sta-wifi-mac.\*, ap-wifi-mac.\*, or adhoc-wifi-mac.\* to accomplish this.
- Wi-Fi queue management. The files dca-txop. \* and edca-txop-n. \* are of interested for this task.
- Channel access management. Users should modify the files dcf-manager.\*, which grant access to DcaTxop and EdcaTxopN.
- Fragmentation and RTS threholds are handled by Wi-Fi remote station manager. Note that Wi-Fi remote station manager simply indicates if fragmentation and RTS are needed. Fragmentation is handled by DcaTxop or EdcaTxopN while RTS/CTS transaction is handled by MacLow.
- Modifying or creating new rate control algorithms can be done by creating a new child class of Wi-Fi remote station manager or modifying the existing ones.

## 33.7 Note on the current implementation

- 802.11g does not support 9 microseconds slot
- PHY\_RXSTART is not supported

### 33.8 Wifi Tracing

Should link to the list of traces exported by Doxygen

### 33.9 References

## WIMAX NETDEVICE

This chapter describes the *ns-3* WimaxNetDevice and related models. By adding WimaxNetDevice objects to *ns-3* nodes, one can create models of 802.16-based networks. Below, we list some more details about what the *ns-3* WiMAX models cover but, in summary, the most important features of the *ns-3* model are:

- a scalable and realistic physical layer and channel model
- a packet classifier for the IP convergence sublayer
- · efficient uplink and downlink schedulers
- support for Multicast and Broadcast Service (MBS), and
- · packet tracing functionality

The source code for the WiMAX models lives in the directory src/wimax.

There have been two academic papers published on this model:

- M.A. Ismail, G. Piro, L.A. Grieco, and T. Turletti, "An Improved IEEE 802.16 WiMAX Module for the NS-3 Simulator", SIMUTools 2010 Conference, March 2010.
- J. Farooq and T. Turletti, "An IEEE 802.16 WiMAX module for the NS-3 Simulator," SIMUTools 2009 Conference, March 2009.

### 34.1 Scope of the model

From a MAC perspective, there are two basic modes of operation, that of a Subscriber Station (SS) or a Base Station (BS). These are implemented as two subclasses of the base class ns3::NetDevice, class SubscriberStationNetDevice and class BaseStationNetDevice. As is typical in ns-3, there is also a physical layer class WimaxPhy and a channel class WimaxChannel which serves to hold the references to all of the attached Phy devices. The main physical layer class is the SimpleOfdmWimaxChannel class.

Another important aspect of WiMAX is the uplink and downlink scheduler, and there are three primary scheduler types implemented:

- SIMPLE: a simple priority based FCFS scheduler
- RTPS: a real-time polling service (rtPS) scheduler
- · MBQOS: a migration-based uplink scheduler

The following additional aspects of the 802.16 specifications, as well as physical layer and channel models, are modelled:

leverages existing ns-3 wireless propagation loss and delay models, as well as ns-3 mobility models

- Point-to-Multipoint (PMP) mode and the WirelessMAN-OFDM PHY layer
- · Initial Ranging
- Service Flow Initialization
- · Management Connection
- Transport Initialization
- UGS, rtPS, nrtPS, and BE connections

The following aspects are not presently modelled but would be good topics for future extensions:

- OFDMA PHY layer
- · Link adaptation
- · Mesh topologies
- ARQ
- · ertPS connection
- · packet header suppression

### 34.2 Using the Wimax models

The main way that users who write simulation scripts will typically interact with the Wimax models is through the helper API and through the publicly visible attributes of the model.

The helper API is defined in src/wimax/helper/wimax-helper. {cc,h}.

The example src/wimax/examples/wimax-simple.cc contains some basic code that shows how to set up the model:

```
switch (schedType)
  case 0:
    scheduler = WimaxHelper::SCHED_TYPE_SIMPLE;
   break;
  case 1:
    scheduler = WimaxHelper::SCHED_TYPE_MBQOS;
  case 2:
    scheduler = WimaxHelper::SCHED_TYPE_RTPS;
   break;
  default:
    scheduler = WimaxHelper::SCHED_TYPE_SIMPLE;
NodeContainer ssNodes;
NodeContainer bsNodes;
ssNodes.Create (2);
bsNodes.Create (1);
WimaxHelper wimax;
NetDeviceContainer ssDevs, bsDevs;
```

This example shows that there are two subscriber stations and one base station created. The helper method Install allows the user to specify the scheduler type, the physical layer type, and the device type.

Different variants of Install are available; for instance, the example src/wimax/examples/wimax-multicast.cc shows how to specify a non-default channel or propagation model:

Mobility is also supported in the same way as in Wifi models; see the src/wimax/examples/wimax-multicast.cc.

Another important concept in WiMAX is that of a service flow. This is a unidirectional flow of packets with a set of QoS parameters such as traffic priority, rate, scheduling type, etc. The base station is responsible for issuing service flow identifiers and mapping them to WiMAX connections. The following code from src/wimax/examples/wimax-multicast.cc shows how this is configured from a helper level:

```
ServiceFlow MulticastServiceFlow = wimax.CreateServiceFlow (ServiceFlow::SF_DIRECTION_DOWN, ServiceFlow::SF_TYPE_UGS, MulticastClassifier);
```

bs->GetServiceFlowManager ()->AddMulticastServiceFlow (MulticastServiceFlow, WimaxPhy::MODULATION\_T

### 34.3 Wimax Attributes

The WimaxNetDevice makes heavy use of the *ns-3* attributes subsystem for configuration and default value management. Presently, approximately 60 values are stored in this system.

For instance, class ns-3::SimpleOfdmWimaxPhy exports these attributes:

- NoiseFigure: Loss (dB) in the Signal-to-Noise-Ratio due to non-idealities in the receiver.
- TxPower: Transmission power (dB)
- G: The ratio of CP time to useful time
- txGain: Transmission gain (dB)
- RxGain: Reception gain (dB)
- Nfft: FFT size

34.3. Wimax Attributes 403

• TraceFilePath: Path to the directory containing SNR to block error rate files

For a full list of attributes in these models, consult the Doxygen page that lists all attributes for ns-3.

### 34.4 Wimax Tracing

ns-3 has a sophisticated tracing infrastructure that allows users to hook into existing trace sources, or to define and export new ones.

Many ns-3 users use the built-in Pcap or Ascii tracing, and the WimaxHelper has similar APIs:

```
AsciiTraceHelper ascii;
WimaxHelper wimax;
wimax.EnablePcap ("wimax-program", false);
wimax.EnableAsciiAll (ascii.CreateFileStream ("wimax-program.tr");
```

Unlike other helpers, there is also a special EnableAsciiForConnection() method that limits the ascii tracing to a specific device and connection.

These helpers access the low level trace sources that exist in the WiMAX physical layer, net device, and queue models. Like other *ns-3* trace sources, users may hook their own functions to these trace sources if they want to do customized things based on the packet events. See the Doxygen List of trace sources for a complete list of these sources.

### 34.5 Wimax MAC model

The 802.16 model provided in *ns-3* attempts to provide an accurate MAC and PHY level implementation of the 802.16 specification with the Point-to-Multipoint (PMP) mode and the WirelessMAN-OFDM PHY layer. The model is mainly composed of three layers:

- The convergence sublayer (CS)
- The MAC CP Common Part Sublayer (MAC-CPS)
- Physical (PHY) layer

The following figure WiMAX architecture shows the relationships of these models.

### 34.5.1 Convergence Sublayer

The Convergence sublayer (CS) provided with this module implements the Packet CS, designed to work with the packet-based protocols at higher layers. The CS is responsible of receiving packet from the higher layer and from peer stations, classifying packets to appropriate connections (or service flows) and processing packets. It keeps a mapping of transport connections to service flows. This enables the MAC CPS identifying the Quality of Service (QoS) parameters associated to a transport connection and ensuring the QoS requirements. The CS currently employs an IP classifier.

#### 34.5.2 IP Packet Classifier

An IP packet classifier is used to map incoming packets to appropriate connections based on a set of criteria. The classifier maintains a list of mapping rules which associate an IP flow (src IP address and mask, dst IP address and mask, src port range, dst port range and protocol) to one of the service flows. By analyzing the IP and the TCP/UDP headers the classifier will append the incoming packet (from the upper layer) to the queue of the appropriate WiMAX

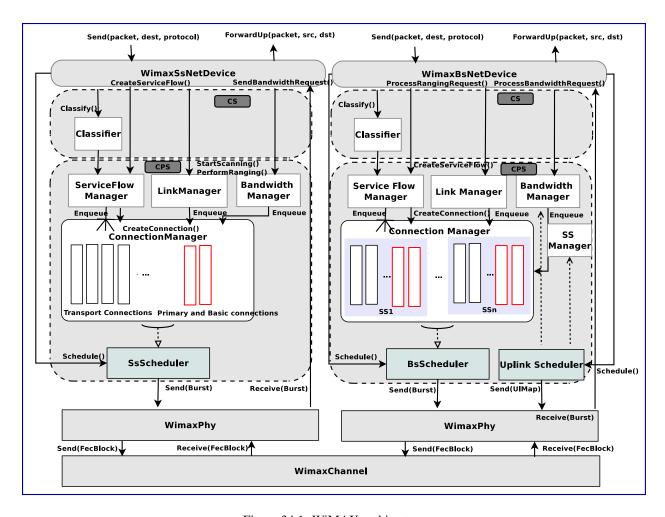


Figure 34.1: WiMAX architecture

connection. Class IpcsClassifier and class IpcsClassifierRecord implement the classifier module for both SS and BS

### 34.5.3 MAC Common Part Sublayer

The MAC Common Part Sublayer (CPS) is the main sublayer of the IEEE 802.16 MAC and performs the fundamental functions of the MAC. The module implements the Point-Multi-Point (PMP) mode. In PMP mode BS is responsible of managing communication among multiple SSs. The key functionalities of the MAC CPS include framing and addressing, generation of MAC management messages, SS initialization and registration, service flow management, bandwidth management and scheduling services. Class WimaxNetDevice represents the MAC layer of a WiMAX network device. This class extends the class NetDevice of the ns-3 API that provides abstraction of a network device. Class WimaxNetDevice is further extended by class BaseStationNetDevice and class SubscriberStationNetDevice, defining MAC layers of BS and SS, respectively. Besides these main classes, the key functions of MAC are distributed to several other classes.

### 34.5.4 Framing and Management Messages

The module implements a frame as a fixed duration of time, i.e., frame boundaries are defined with respect to time. Each frame is further subdivided into downlink (DL) and uplink (UL) subframes. The module implements the Time Division Duplex (TDD) mode where DL and UL operate on same frequency but are separated in time. A number of DL and UL bursts are then allocated in DL and UL subframes, respectively. Since the standard allows sending and receiving bursts of packets in a given DL or UL burst, the unit of transmission at the MAC layer is a packet burst. The module implements a special PacketBurst data structure for this purpose. A packet burst is essentially a list of packets. The BS downlink and uplink schedulers, implemented by class BSScheduler and class UplinkScheduler, are responsible of generating DL and UL subframes, respectively. In the case of DL, the subframe is simulated by transmitting consecutive bursts (instances PacketBurst). In case of UL, the subframe is divided, with respect to time, into a number of slots. The bursts transmitted by the SSs in these slots are then aligned to slot boundaries. The frame is divided into integer number of symbols and Physical Slots (PS) which helps in managing bandwidth more effectively. The number of symbols per frame depends on the underlying implementation of the PHY layer. The size of a DL or UL burst is specified in units of symbols.

### 34.5.5 Network Entry and Initialization

The network entry and initialization phase is basically divided into two sub-phases, (1) scanning and synchronization and (2) initial ranging. The entire phase is performed by the LinkManager component of SS and BS. Once an SS wants to join the network, it first scans the downlink frequencies to search for a suitable channel. The search is complete as soon as it detects a PHY frame. The next step is to establish synchronization with the BS. Once SS receives a Downlink-MAP (DL-MAP) message the synchronization phase is complete and it remains synchronized as long as it keeps receiving DL-MAP and Downlink Channel Descriptor (DCD) messages. After the synchronization is established, SS waits for a Uplink Channel Descriptor (UCD) message to acquire uplink channel parameters. Once acquired, the first sub-phase of the network entry and initialization is complete. Once synchronization is achieved, the SS waits for a UL-MAP message to locate a special grant, called initial ranging interval, in the UL subframe. This grant is allocated by the BS Uplink Scheduler at regular intervals. Currently this interval is set to 0.5 ms, however the user is enabled to modify its value from the simulation script.

### 34.5.6 Connections and Addressing

All communication at the MAC layer is carried in terms of connections. The standard defines a connection as a unidirectional mapping between the SS and BS's MAC entities for the transmission of traffic. The standard defines two types of connections: management connections for transmitting control messages and transport connections for data

transmission. A connection is identified by a 16-bit Connection Identifier (CID). Class WimaxConnection and class Cid implement the connection and CID, respectively. Note that each connection maintains its own transmission queue where packets to transmit on that connection are queued. The ConnectionManager component of BS is responsible of creating and managing connections for all SSs.

The two key management connections defined by the standard, namely the Basic and Primary management connections, are created and allocated to the SS during the ranging process. Basic connection plays an important role throughout the operation of SS also because all (unicast) DL and UL grants are directed towards SS's Basic CID. In addition to management connections, an SS may have one or more transport connections to send data packets. The Connection Manager component of SS manages the connections associated to SS. As defined by the standard, a management connection is bidirectional, i.e., a pair of downlink and uplink connections is represented by the same CID. This feature is implemented in a way that one connection (in DL direction) is created by the BS and upon receiving the CID the SS then creates an identical connection (in UL direction) with the same CID.

### 34.5.7 Scheduling Services

The module supports the four scheduling services defined by the 802.16-2004 standard:

- Unsolicited Grant Service (UGS)
- Real-Time Polling Services (rtPS)
- Non Real-Time Polling Services (nrtPS)
- Best Effort (BE)

These scheduling services behave differently with respect to how they request bandwidth as well as how the it is granted. Each service flow is associated to exactly one scheduling service, and the QoS parameter set associated to a service flow actually defines the scheduling service it belongs to. When a service flow is created the UplinkScheduler calculates necessary parameters such as grant size and grant interval based on QoS parameters associated to it.

### 34.5.8 WiMAX Uplink Scheduler Model

Uplink Scheduler at the BS decides which of the SSs will be assigned uplink allocations based on the QoS parameters associated to a service flow (or scheduling service) and bandwidth requests from the SSs. Uplink scheduler together with Bandwidth Manager implements the complete scheduling service functionality. The standard defines up to four scheduling services (BE, UGS, rtPS, nrtPS) for applications with different types of QoS requirements. The service flows of these scheduling services behave differently with respect to how they request for bandwidth as well as how the bandwidth is granted. The module supports all four scheduling services. Each service flow is associated to exactly one transport connection and one scheduling service. The QoS parameters associated to a service flow actually define the scheduling service it belongs to. Standard QoS parameters for UGS, rtPS, nrtPS and BE services, as specified in Tables 111a to 111d of the 802.16e amendment, are supported. When a service flow is created the uplink scheduler calculates necessary parameters such as grant size and allocation interval based on QoS parameters associated to it. The current WiMAX module provides three different versions of schedulers.

- The first one is a simple priority-based First Come First Serve (FCFS). For the real-time services (UGS and rtPS) the BS then allocates grants/polls on regular basis based on the calculated interval. For the non real-time services (nrtPS and BE) only minimum reserved bandwidth is guaranteed if available after servicing real-time flows. Note that not all of these parameters are utilized by the uplink scheduler. Also note that currently only service flow with fixed-size packet size are supported, as currently set up in simulation scenario with OnOff application of fixed packet size. This scheduler is implemented by class BSSchedulerSimple and class UplinkSchedulerSimple.
- The second one is similar to first scheduler except by rtPS service flow. All rtPS Connections are able to transmit all packet in the queue according to the available bandwidth. The bandwidth saturation control has been implemented to redistribute the effective available bandwidth to all rtPS that have at least one packet to

transmit. The remaining bandwidth is allocated to nrtPS and BE Connections. This scheduler is implemented by class BSSchedulerRtps and class UplinkSchedulerRtps.

• The third one is a Migration-based Quality of Service uplink scheduler This uplink scheduler uses three queues, the low priority queue, the intermediate queue and the high priority queue. The scheduler serves the requests in strict priority order from the high priority queue to the low priority queue. The low priority queue stores the bandwidth requests of the BE service flow. The intermediate queue holds bandwidth requests sent by rtPS and by nrtPS connections. rtPS and nrtPS requests can migrate to the high priority queue to guarantee that their QoS requirements are met. Besides the requests migrated from the intermediate queue, the high priority queue stores periodic grants and unicast request opportunities that must be scheduled in the following frame. To guarantee the maximum delay requirement, the BS assigns a deadline to each rtPS bandwidth request in the intermediate queue. The minimum bandwidth requirement of both rtPS and nrtPS connections is guaranteed over a window of duration T. This scheduler is implemented by class UplinkSchedulerMBQoS.

#### 34.5.9 WiMAX Outbound Schedulers Model

Besides the uplink scheduler these are the outbound schedulers at BS and SS side (BSScheduler and SSScheduler). The outbound schedulers decide which of the packets from the outbound queues will be transmitted in a given allocation. The outbound scheduler at the BS schedules the downlink traffic, i.e., packets to be transmitted to the SSs in the downlink subframe. Similarly the outbound scheduler at a SS schedules the packet to be transmitted in the uplink allocation assigned to that SS in the uplink subframe. All three schedulers have been implemented to work as FCFS scheduler, as they allocate grants starting from highest priority scheduling service to the lower priority one (UGS> rtPS> nrtPS> BE). The standard does not suggest any scheduling algorithm and instead leaves this decision up to the manufacturers. Of course more sophisticated algorithms can be added later if required.

### 34.6 WimaxChannel and WimaxPhy models

The module implements the Wireless MAN OFDM PHY specifications as the more relevant for implementation as it is the schema chosen by the WiMAX Forum. This specification is designed for non-light-of-sight (NLOS) including fixed and mobile broadband wireless access. The proposed model uses a 256 FFT processor, with 192 data subcarriers. It supports all the seven modulation and coding schemes specified by Wireless MAN-OFDM. It is composed of two parts: the channel model and the physical model.

#### 34.7 Channel model

The channel model we propose is implemented by the class SimpleOFDMWimaxChannel which extends the class wimaxchannel. The channel entity has a private structure named m\_phyList which handles all the physical devices connected to it. When a physical device sends a packet (FEC Block) to the channel, the channel handles the packet, and then for each physical device connected to it, it calculates the propagation delay, the path loss according to a given propagation model and eventually forwards the packet to the receiver device. The channel class uses the method GetDistanceFrom() to calculate the distance between two physical entities according to their 3D coordinates. The delay is computed as delay = distance/C, where C is the speed of the light.

### 34.8 Physical model

The physical layer performs two main operations: (i) It receives a burst from a channel and forwards it to the MAC layer, (ii) it receives a burst from the MAC layer and transmits it on the channel. In order to reduce the simulation complexity of the WiMAX physical layer, we have chosen to model offline part of the physical layer. More specifically

we have developed an OFDM simulator to generate trace files used by the reception process to evaluate if a FEC block can be correctly decoded or not.

Transmission Process: A burst is a set of WiMAX MAC PDUs. At the sending process, a burst is converted into bit-streams and then splitted into smaller FEC blocks which are then sent to the channel with a power equal P\_tx.

Reception Process: The reception process includes the following operations:

- 1. Receive a FEC block from the channel.
- 2. Calculate the noise level.
- 3. Estimate the signal to noise ratio (SNR) with the following formula.
- 4. Determine if a FEC block can be correctly decoded.
- 5. Concatenate received FEC blocks to reconstruct the original burst.
- 6. Forward the burst to the upper layer.

The developed process to evaluate if a FEC block can be correctly received or not uses pre-generated traces. The trace files are generated by an external OFDM simulator (described later). A class named SNRToBlockErrorRateManager handles a repository containing seven trace files (one for each modulation and coding scheme). A repository is specific for a particular channel model.

A trace file is made of 6 columns. The first column provides the SNR value (1), whereas the other columns give respectively the bit error rate BER (2), the block error rate BlcER(3), the standard deviation on BlcER, and the confidence interval (4 and 5). These trace files are loaded into memory by the SNRToBlockErrorRateManager entity at the beginning of the simulation.

Currently, The first process uses the first and third columns to determine if a FEC block is correctly received. When the physical layer receives a packet with an SNR equal to SNR\_rx, it asks the SNRToBlockErrorRateManager to return the corresponding block error rate BlcER. A random number RAND between 0 and 1 is then generated. If RAND is greater than BlcER, then the block is correctly received, otherwise the block is considered erroneous and is ignored.

The module provides defaults SNR to block error rate traces in default-traces.h. The traces have been generated by an External WiMAX OFDM simulator. The simulator is based on an external mathematics and signal processing library IT++ and includes: a random block generator, a Reed Solomon (RS) coder, a convolutional coder, an interleaver, a 256 FFT-based OFDM modulator, a multi-path channel simulator and an equalizer. The multipath channel is simulated using the TDL\_channel class of the IT++ library.

Users can configure the module to use their own traces generated by another OFDM simulator or ideally by performing experiments in real environment. For this purpose, a path to a repository containing trace files should be provided. If no repository is provided the traces form default-traces.h will be loaded. A valid repository should contain 7 files, one for each modulation and coding scheme.

The names of the files should respect the following format: modulation 0.txt for modulation 0, modulation 1.txt for modulation 1 and so on... The file format should be as follows:

```
SNR_value1 BER Blc_ER STANDARD_DEVIATION CONFIDENCE_INTERVAL1 CONFIDENCE_INTERVAL2 SNR_value2 BER Blc_ER STANDARD_DEVIATION CONFIDENCE_INTERVAL1 CONFIDENCE_INTERVAL2 ... ... ... ...
```

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